PERKINS COIE

A LAW PARTNERSHIP INCLUDING PROFESSIONAL CORPORATIONS
607 FOURTEENTH STREET, N.W. · WASHINGTON, D.C. 20005-2011
TELEPHONE: (202) 628-6600 · FACSIMILE: (202) 434-1690

March 7, 1996

MUR 4323

Lawrence M. Noble, Esq.
General Counsel
Federal Election Commission
999 E Street, N.W. - 6th Floor
Washington, D.C. 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL
COUNSEL
MAR 8 3 54 PM '96

**Re: Complaint Against Michael Huckabee**

Dear Mr. Noble:

The Democratic Senatorial Campaign Committee ("DSCC"), through undersigned counsel, files this complaint charging violations of the Federal Election Campaign Act of 1971 ("FECA" or the "Act"), as amended, 2 U.S.C. § 431 et seq., and related regulations of the Federal Election Commission ("FEC" or the "Commission"), 11 C.F.R. § 100.1 et seq., by Michael Huckabee.

In sum, publicly available state and federal election campaign records as well as newspaper accounts portray Huckabee as an individual who has disregarded the requirements of the state and federal campaign finance laws.[1] The record contains numerous examples of Huckabee commingling money raised for his state and federal races, and accepting and using state and corporate money to benefit his federal campaign. Unfortunately, the full scope of Huckabee's violations of state and federal campaign finance laws is impossible to determine without further investigation. If, after the Commission has completed such an investigation, it finds reason to believe that Huckabee has violated the law, the Commission should take swift remedial action with regard to violation of federal campaign finance laws, and refer all potential violations of state campaign finance laws to the proper Arkansas state authorities.

Huckabee has a history of indifference to federal and state campaign finance laws and sloppy recordkeeping. For example, in response to a recent complaint that

---

[1] The allegations in this complaint are based on published news accounts, FEC reports and other publicly available information. Copies of those materials that are principally relied upon are attached.

[04005-0001/DA960670.036]

Huckabee had illegally accepted corporate contributions for his Senate election campaign, a Huckabee spokesman told the media that the corporate contributions were intended to retire Huckabee's debt from his 1994 race for Arkansas Lieutenant Governor. In other words, Huckabee's defense for having accepted corporate contributions for a federal election was that the contributions, which were solicited for and received by his federal campaign committee, should have, in fact, been solicited for and received by his former state committee.

A recent article in the Arkansas Times entitled "Huckabee Gets a Bipartisan Scolding" provides further insight and details into Huckabee's past problems. For example, in addition to detailing a number of violations of Arkansas state campaign finance laws, the article notes that in 1995, Huckabee had his Lieutenant Governor's campaign pay the expenses for him and his campaign aide, Brenda Turner, to travel to Washington, D.C. According to the article, the trip was taken "in part to explore his Senate prospects." Commission regulations make clear that "only funds permissible under the Act may be used for such [testing the waters] activities." 11 C.F.R. § 100.8(b)(1)(i). In this instance, Huckabee has acknowledged that he used state campaign funds -- which are not permissible under the FECA -- to fund his "testing the waters" activities. Indeed, section 110.3(d) of the Commission's regulations specifically prohibits the use of state campaign committee funds in connection with federal elections.

Similarly, in July 1995, the Arkansas Democrat Gazette reported that Huckabee was prepared to form an exploratory committee because "of the 'incredible' response he has received to letters sent to Republicans across the state asking if should run for the Senate." In fact, several weeks later, Huckabee did form such a committee. However, Huckabee's FEC reports failed to show any expenses related to the mailing referenced in the newspaper article. Not surprisingly, news reports state that Huckabee spent $3,000 in printing and $5,000 in "office supplies" from his state campaign account in the month of July. It thus appears that Huckabee may have used state campaign funds to finance "testing the waters" activities for his inevitable Senate run, in violation of federal law.

The violations noted above are consistent with Huckabee's history of sloppy bookkeeping. For example, a May 5, 1992 letter from the Commission's Reports Analyst Division to the Huckabee campaign cites numerous examples of missing or improperly reported information. A follow-up letter on May 28, 1992 also alludes to incomplete reports and Huckabee's inability to correctly state the total of the contributions his campaign had received. In December 1992, the Reports Analyst

Division again sent a letter to the Huckabee campaign, this time noting that the campaign had not responded to the FEC's previous letter requesting more complete information on three prior disclosure reports. It is precisely this attitude that caused a bipartisan state ethics panel that included former Huckabee campaign workers to find that Huckabee's state campaign records were "inaccurate."

In addition to the violations noted above, Huckabee's 1996 Senate campaign has already filed 1995 year-end FEC reports that list 44 donors without their occupations identified. Furthermore, there is no evidence that Huckabee or his campaign has complied with the Commission's "best effort" requirements. See 11 C.F.R. § 104.7(b).

Already, in response to a complaint filed earlier this month, Huckabee has acknowledged that his campaign accepted illegal corporate contributions. Instead, Huckabee appears to have shown indifference to the FEC's prohibitions, limitations, and reporting requirements. There is no better evidence of this than the Audit Division's numerous correspondence with Huckabee's 1992 Senate campaign. In order to prevent a replay of those events, and in order to remedy past violations of the Act, the undersigned requests the Commission to undertake a full and comprehensive investigation of Huckabee and his campaign.

Respectfully submitted,

Marc E. Elias
Counsel for Democratic
Senatorial Campaign Committee

STATE OF DISTRICT OF )

County of COLUMBIA )

SUBSCRIBED AND SWORN to before me this 7th day of March, 1996.

Claudette B. [illegible]
Notary Public

My Commission Expires:

CLAUDETTE B. [illegible]
Notary Public, [illegible], D.C.

My Commission Expires June 30, 1998

Item #1

0003.105.40.00

# Democrats: Huckabee illegally took $1,500 in corporate donations

BY RACHEL O'NEAL
Democrat-Gazette Capitol Bureau

Republican Lt. Gov. Mike Huckabee of Texarkana is the subject of a complaint filed Friday with the Federal Election Commission.

The Democratic Senatorial Campaign Committee at Washington lodged the complaint, alleging that Huckabee accepted $1,500 in illegal corporate contributions. Corporate contributions are prohibited in all federal races.

Huckabee is expected to be the GOP nominee in the race to succeed Sen. David Pryor, D-Ark. Pryor announced last April that he would not seek a fourth term. Arkansas voters have never elected a Republican to the Senate

Mike Huckabee

Bruce Lott, a spokesman for Huckabee's campaign, said the campaign contribution report contained errors. He said an amended report will be filed with the FEC.

Democrats charge that Huckabee accepted money from two corporations that do not have political action committees. The companies and the amounts of their donations are Fort Smith Coca-Cola Bottling Co., $500; and Delta Beverage Group Inc. of Memphis, $1,000.

Congressional candidates may accept campaign contributions of up to $1,000 per election from individuals, political action committees and political party committees.

"If Mike Huckabee is having so much trouble raising money for his Senate race that he has to take illegal contributions, then maybe he shouldn't be running," said Don Foley, executive director of the Democratic Senatorial Campaign Committee.

Lott said the $500 contribution actually was an individual contribution from Roger Meek Jr., a managing partner of the Fort Smith company.

He said the Delta Beverage Group contribution was intended to help retire Huckabee's debt from his 1994 race for lieutenant governor. The money will be returned to the Memphis company, Lott said.

Democrats in the race are Attorney General Winston Bryant, Jonesboro lawyer Bill Bristow, state Sen. Lu Hardin of Russellville, Little Rock lawyer Sandy McMath, state Sen. Kevin Smith of Stuttgart and Mark Brown of Cabot.

Huckabee and Bryant already have reported contributions for the Nov. 5 general election even though they have yet to win their party nominations. An FEC spokesman said Friday that candidates are allowed to accept money for a general election campaign before winning the primary. If the candidate does not win his party's nomination, the general election contributions must be returned.

None of the other Senate candidates reported contributions for the general election.

According to FEC reports filed Jan. 31, Bryant received money for his general election campaign from two contributors — Graham Catlett, $500, and Meredith Catlett, $500. Both are Little Rock lawyers. They also gave Bryant $1,000 each for his primary campaign.

Huckabee's general election campaign contributions were from Linda Weaver of Maumelle, $1,000; Virginia Bailey of Little Rock, $500; and Colin McMillan of Roswell, N.M., $1,000. Each of the three contributors gave $1,000 for Huckabee's primary campaign. Bryant reported total contributions of $422,518, and Huckabee reported total contributions of $249,903.

Charles Miller, Bryant's campaign manager, said the attorney general is not actively soliciting contributions for a fall campaign.

3/2/96

Item #2

FEDERAL ELECTION COMMISSION RQ-2

Prissy Hickerson, Treasurer
Huckabee United States Senate
Election Committee
720 East 5th Street
Texarkana, AR 75502

MAY 5 1992

Identification Number: C00260778

Reference: April Quarterly Report (11/1/91-3/31/92)

Dear Ms. Hickerson:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report (pertinent portion attached) discloses several contributions which appear to exceed the limits set forth in the Act. No individual or political committee other than a qualified multicandidate committee may make a contribution to a candidate for federal office in excess of $1,000 per election.

The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for federal office. (2 U.S.C. §441a(a) and (f); 11 CFR §110.1(b), (e) and (k))

Please note that contributions designated in writing by the contributor for a particular election shall be applied to that election. Contributions not designated in writing by the contributor shall be considered made in respect to the next election for that Federal Office (1992 Primary Election)(11 CFR §110.1(b)(2)(i) and (ii)).

Any contribution drawn on a joint checking account shall be considered made by both individuals only if the check is signed by both individuals or is accompanied by a written document noting the amount attributable to each individual and is signed by each individual. (11 CFR §110.1(k)(1) and (2))

If the contributions in question were incompletely or incorrectly disclosed, you should amend your original

report with the clarifying information. If the contributions you received exceed the limits, you should refund to the donor the amount in excess of $1,000. All refunds must be made within sixty days of the treasurer's receipt of the contribution. 3(b)(2)) Copies of refund checks for the contributions in question may be used to respond to this letter. Refunds are reported on Line 20 of the Detailed Summary Page and on Schedule B of the report covering the period in which they are made. (11 CFR §104.8(d)(2), (3) and (4))

Although the Commission may take further legal steps, prompt action by you to refund the prohibited amountand refunds or received redesignation of the excessive amount will be taken into consideration.

-A review of your itemised receipts indicates a possible discrepancy in your aggregate year-to-date totals. The reported aggregate totals on your report should include only contributions from an individual or committee for the current calendar year (January 1, 1991 - Present). Please review your procedures for compliance with this requirement and amend any report(s) as necessary.

-You must provide the occupation and name of employer for each contributor required to be itemised on Schedule A. Please amend your report by supplying the omitted information.

With respect to the occupation and name of employer of the contributor, your committee may demonstrate "best efforts" to obtain the required information. This demonstration must describe your committee's procedures for requesting the information. You may also supply a copy of the solicitation. Under 11 CFR §104.7(b), the solicitation shall consist of a clear request for the required information (i.e., name, mailing address, occupation, and name of employer). The request should also inform the contributor that the reporting of such information is required by law. See 11 CFR §104.3(a)(4)(i) and 11 CFR §104.7.

-2 U.S.C. §434(b)(3) requires itemization of contributions from individuals and persons other than political committees, where the aggregate total from the contributor exceeds $200 in a calendar year. In addition, 11 CFR §104.3(a)(2)(i)(B) requires a committee to report the total amount of unitemized contributions (see Line 11(a)(ii) of the Detailed Summary Page). If a committee wishes to disclose contributions regardless of the amount contributed, the committee must separate (on separate receipt schedules) those contributors requiring itemization from those who are not required to be itemized. (11 CFR §104.3(a)(4)(i)) For future filings please submit your reports in this order.

-When a committee's first report includes an initial cash balance, contributors of these funds must be identified. Please provide a memo Schedule A to itemize contributions received, which in the aggregate were in excess of $200. If these funds were contributed during an exploratory stage of your committee, please amend the coverage dates of your report to show the beginning date of your activity for federal office. (11 CFR §104.12)

-Your report discloses a reimbursement to a committee staff member or other individual. Staff advances are considered contributions until they are repaid and are subject to the contribution limits for individuals. Staff advances, until they are repaid, are subject to the regulations governing the reporting of debts. See 11 CFR §104.11(b). If this individual was advancing funds to the committee for the purchase of campaign materials or services the transaction should be reported in the following manner. The advance should be itemized as a contribution on Schedule A and listed as a memo entry. When the repayment is made the transaction should be itemized on a Schedule B supporting Line 17. If the ultimate payee (vendor) requires itemization, it should be listed on Schedule B as a memo entry directly below the entry itemizing the repayment of the advance. On future filings, please report advances as stated above. See 11 CFR §116.5 Advances by committee staff and other individuals.

For future reports, please be advised that operating expenditures and contributions or other disbursements should be itemized on separate Schedules B.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Secretary of the Senate, 232 Hart Senate Office Building, Washington, DC 20510 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,

Pat Sheppard

Pat Sheppard
Senior Reports Analyst
Reports Analysis Division

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-3

May 28, 1992

Prissy Hickerson, Treasurer
Huckabee United States Senate
Election Committee
720 East 5th Street
Texarkana, AR 75502

Identification Number: C00260778

Reference: April Quarterly Report (11/1/91-3/31/92)

Dear Ms. Hickerson:

On May 5, 1992, you were notified that a review of the above-referenced report(s) raised questions as to specific contributions and/or expenditures, and the reporting of certain information required by the Federal Election Campaign Act.

Your May 14, 1992 response is incomplete because you have not provided all the requested information. For this response to be considered adequate, the following information is still required.

-A review of your itemized receipts indicates a possible discrepancy in your aggregate year-to-date totals. The reported aggregate totals on your report should include only contributions from an individual or committee for the current calendar year (January 1, 1991 - Present). Please review your procedures for compliance with this requirement and amend any report(s) as necessary.

-You must provide the occupation and name of employer for each contributor required to be itemized on Schedule A. Please amend your report by supplying the omitted information.

With respect to the occupation and name of employer of the contributor, your committee may demonstrate "best efforts" to obtain the required information. This demonstration must describe your committee's procedures for requesting the information. You may also supply a copy of the solicitation. Under 11 CFR §104.7(b), the solicitation shall consist of a clear request for the required information (i.e., name, mailing address, occupation, and name of employer). The request should also inform the contributor that the reporting of such information is required by law. See 11 CFR §104.3(a)(4)(i) and 11 CFR §104.7.

If this information is not received by the Commission with fifteen (15) days from the date of this notice, the Commission ma, choose to initiate audit or legal enforcement action.

If you should have any questions related to this matter, please contact Pat Sheppard on our toll-free number (800) 424-9530 or our local number (202) 219-3580.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

FEDERAL ELECTION COMMISSION

RQ-3

December 23, 1992

Frissy Hickerson, Treasurer
Huckabee United States Senate
Election Committee
720 East 5th Street
Texarkana, AR 75502

Identification Number: C00260778

Subject: July Quarterly (5/7/92-6/30/92), October Quarterly (7/1/92-9/30/92) and 12 Day Pre-General (10/1/92-10/14/92) Reports

Dear Ms. Hickerson:

This letter is to inform you that as of December 22, 1992, the Commission has not received your response to our requests for additional information dated December 1, 1992. Those notices requested information essential to full public disclosure of your federal election financial activity and to ensure compliance with provisions of the Federal Election Campaign Act (the Act). Copies of our original requests are enclosed.

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions related to this matter, please contact Pat Sheppard on our toll-free number (800) 424-9530 or our local number (202) 219-3580.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosures

P.O. Box 1557 • Texarkana, AR 75504-1557 • (501) 772-6755 / FAX (501) 772-6354 • Headquarters: 720 E. Fifth • Texarkana, AR 75502

December 31, 1992

Mr. John D. Gibson, Assistant Staff Director
Reports Analysis Division
Federal Election Commission
Washington, D. C. 20463

Dear Mr. Gibson:

We received your letter dated today December 23 indicating our failure to respond to a December 1 request for information. We have not received anything dated December 1, and was rather shocked to learn that you had an information request to us. We frankly have no idea whatsoever as to why we didn't receive your December 1 letter, but assure you we did not. As our previous record will indicate, it has not been our practice to be late or fail to disclose information as requested. We regret the problem.

Due to the fact that we received your letter on December 31, we will send a response as soon after the holiday as possible. It appears from first glance that contributions from Mrs. Dean Kerns were listed under his instead of her name.

Thanks for your patience. If more information is needed, write or fax to us at:
(501-772-5903 FAX)

Sincerely,

Brenda Turner

Brenda Turner, Campaign Director

"Huckabee - A Positive Alternative"

Paid for by the Huckabee United States Senate Election Committee

HUCKABEE

Item H3 —

Better copies

on the

way

Post-it™ brand fax transmittal memo No. 3791 # of pages ▶ 4

| To Peter Lindstrum | From Rick Kiatkins |
|---|---|
| Co. | Co. |
| Dept. | Phone # |
| Fax # | Fax # |

## THE WEEK THAT WAS

FEB. 1-7, 1996

**IT WAS A GOOD WEEK FOR...**

STATE INSURANCE COMMISSIONER LEE DOUGLASS, who said he wanted to see David Hale prosecuted for alleged insurance law violations, no matter what Whitewater Prosecutor Kenneth Starr might think. Douglass, a former prosecutor, properly noted that he raised the issue nearly two years ago and the violations have no relationship to federal charges for which Starr has promised Hale easy treatment. At last, courage. The hot potato now belongs to Prosecutor Mark Stodola.

LITTLE ROCK SCHOOL SUPERINTENDENT HENRY WILLIAMS, in danger of being axed from his current job, who was chosen as a finalist to be superintendent in the larger St. Louis school district. Good for Little Rock, too.

**IT WAS A BAD WEEK FOR...**

PRESIDENT CLINTON, who, a judge decided, may be subpoenaed to testify as a defense witness in the criminal trial of Gov. Jim Guy Tucker and Jim and Susan McDougal. On the other hand, we like Clinton's chances when it's his word against David Hale.

LT. GOV. MIKE HUCKABEE, who wasn't man enough to face the music when the state Ethics Commission found a host of irregularities in his 1994 campaign finance reports. Denied his usual alibi—blaming Democrats—by a bipartisan 5-0 vote, Huckabee went into hiding for four days, then emerged to whine to his favorite journalists that he was a victim of a "vague" law.

The HOT SPRINGS BOARD OF DIRECTORS, which refused to vote on Director Charles Oxford's resolution denouncing proposals to legalize casinos in the Spa. The wimps said they'd rather hear from voters first.

WHITEWATER PROSECUTOR KENNETH STARR, caught red-handed. He had said he didn't try to dissuade state prosecution of David Hale. Documents showed he lied.

SAM DASH, Kenneth Starr's alleged ethics adviser, who peddled a line of bull to the press to cover his own heavy-handed intervention against then David Hale prosecution. No Bar Association rule, despite what Dash said, requires the state to default on a prosecution because the feds don't want a jury to know what a dirtbag their key witness is.

RE

Arkansas Times Feb. 9, 1996

# Huckabee gets a bipartisan scolding

*Ethics panel finds "inaccurate" records, "unreasonable" expenses.*

BY MAX BRANTLEY

"The bottom line is this is pretty lousy bookkeeping."

The speaker was Candi Russell of Fort Smith, a member of the state Ethics Commission, as it reviewed staff findings on Lt. Gov. Mike Huckabee's fund-raising in the months after his November 1994 re-election.

Russell's comment was significant. A one-time Huckabee campaign worker, she joined in two 5-0 commission votes that effectively sent the message that Huckabee had not complied with campaign finance laws. Joining in the vote was Rita Looney, a Little Rock lawyer and Huckabee appointee to the commission, a circumstance that deprived Huckabee of the argument that he was a victim of Democratic partisans.

Because no formal investigation had been undertaken, commission rules precluded a vote on a formal finding of a law violation. But the commission issued two advisory opinions: 1) that Huckabee's finance reports were "incorrect"—full of errors and misstatements; and 2) that he had raised an unreasonable amount, $91,000, to pay a campaign debt that proved to be only about $17,000. Such an effort was hardly necessary. The review showed Huckabee spent only about $1,000 on two fund-raisers, one by the campaign and one by the soft drink industry, that raised far more than necessary to retire the debt, about $40,000.

The law says candidates who end campaigns with debt may raise money "solely" to retire the debt. A commission opinion allows candidates to spend "reasonable" amounts toward administrative costs of debt retirement.

The commission's decision that Huckabee stepped over the line was a political setback for a fiscal conservative who expects to be the Republican nominee for U.S. Senate this year.

The outcome was also embarrassing on account of Huckabee's earlier pronouncements. In a campaign letter, he had bitterly criticized "junkyard journalists" who raised questions about his campaign reports. That letter said that his campaign finance disclosures were more extensive than any candidate's. He and his staff had repeatedly told reporters that he had receipts to support all his expenses (they didn't). He also had told the Ethics Commission that he "firmly believed" all funds had been raised, spent and reported legally. The Ethics Commission firmly disagreed.

*EASY CALL: Ethics Commissioner Eugene Hunt said it didn't take "a real leap" to answer the question of whether Huckabee had spent money unreasonably to retire 1994 campaign debt.*

Huckabee, who had asked the commission to look into his records as a way to combat negative media attention, wasn't wholly cooperative in the investigation. The Ethics Commission was unable to photocopy his records. Staff members were able to take only hand-written notes, a process that effectively stymied prolonged study.

A nine-hour review of the records by commission staff turned up embarrassment enough.

Huckabee charged his campaign $1,500 in 1995 for a trip to Hawaii in 1994. He says the trip, to make a speech in support of another Republican candidate, was supposed to be reimbursed, but he never got the money. It produced nothing in the way of money for debt retirement, in any case.

He charged in 1995, as a 1994 campaign expense, $2,000 for an August trip by him and campaign aide Brenda Turner to Washington. The trip, undertaken in part to explore his Senate prospects and in part to talk to political consultant Dick Morris, also produced no direct 1994 campaign contributions, other than forgiveness of a debt to Morris, which seemingly could have been obtained by telephone.

The Ethics Commission also found that Huckabee used money ostensibly raised to pay off 1994 debt to make trips around Arkansas in early 1995, during the time the Arkansas legislature was in session. This is a time when campaign fund-raising is prohibited by law. Huckabee said he needed to make such appearances, often as Republican Party dinners, to keep up good public relations with people who might give him money later.

Also during the legislative session, Huckabee kept Brenda Turner employed full-time to run a political office in Texarkana, ultimately paying her more than $10,000 for the work. She told investigators that no more than 50 percent of her time could be said to be directly related to retiring campaign debt, the rest was spent on political work such as scheduling Huckabee speaking appearances.

The investigation also found that a reported $4,166 reimbursement of "candidate" expenses in 1995 actually was a cash payment to reimburse his wife, Janet, for miles driven during the 1994, at a rate of 30 cents a mile.

"I'd drive around all day for 30 cents a mile. I'll start right now," said Commissioner Troy Burris. Commissioners Eugene Hunt and Norton Wilson also criticized the rate ("ridiculous" and "unreasonable" were Wilson's words).

It marks the third time the Huckabee family has drawn a cash from campaign funds, a legal though politically problematic practice. In his 1992 campaign for Senate, he paid himself, through a personal communications company, for campaign work. In his 1993 race for lieutenant governor, he paid his wife a salary.

Huckabee's attorney, Frank Arey of Conway, had hoped to make the case that Huckabee had operated no differently than

See page 9

To subscribe call 375-2985 • ARKANSAS TIM... ...ITY 9, ...

The Arkansas REPORTER

*ETHICS: Continued from page 8*

*other candidates. But the Commission staff investigation found otherwise.* Only Gov. Jim Guy Tucker among state-wide officials carries a continuing campaign debt of any size, and he has spent a *relatively* small amount on postage and temporary workers.

To a degree, Huckabee was a victim of the *newfound strength of the Ethics Commission.* There have undoubtedly been sloppy campaign record-keepers before him. But the Commission now has a full-time staff attorney and investigator. Huckabee is the first major candidate to draw in-depth examination.

Huckabee has argued that the law doesn't provide specific guidance for what constitutes a reasonable amount to spend in administrative costs to retire debt.

Commissioner Troy Burris said that spending more than about 20 percent of campaign contributions on *administrative* costs was excessive. Huckabee spent more than 80 percent.

The commission decided, for the time being, to follow a common-sense approach: They know unreasonable spending when they see it.

### Tricky Dick

A sidelight of the *Mike Huckabee* campaign's *day before* the state Ethics Commission last week was a tidbit about Dick Morris, the Connecticut political consultant. Morris has been described as *something* of a political soul mate of Huckabee, a Republican, but is working now as a consultant to a Democrat, President Clinton.

Morris *worked* for Huckabee in the 1994 campaign and, it developed, he forgave a debt of more than $15,000 to Huckabee in August 1995, which was one of the ways *Huckabee* eventually *balanced* his campaign books.

The Ethics Commission staff said they had been told Morris forgave the debt for "political" *reasons.* Frank Arey, Huckabee's attorney, explained that Morris had said "he could make more money working for *President* Clinton" and *didn't want to have to* report receiving money from a Republican candidate in 1995.

The financial contribution limit in Arkansas is $1,000 per election, *but* Arey said in-kind contributions in excess of that limit were legal under a provision of the law allowing voluntary contributions of personal services.

### Political expense account

The Ethics Commission *investigation of Lt. Gov.* Mike Huckabee, in *concert with office records* obtained under the state Freedom of Information Act, shows he used campaign money for *prohibited purposes.*

For example, a summary of the Ethics Commission findings showed that Huckabee claimed $1,573 in travel expenses in *June and July of 1995.* Under state law, money raised post-election was supposed to be used "solely" to retire debt from the 1994 campaign.

But Huckabee's *office calendar,* obtained by the *Times* under the FOI, shows $404 of the travel money paid to fly Huckabee to the Pink Tomato Festival in Warren.

Subsequent charges to the campaign *for July 4-13 covered* Huckabee's travels to the Piggott July 4th picnic, the Pangburn July 4th parade and an appearance at Arkansas Governor's School in Conway, among other typical public appearances.

Huckabee contends that because these appearances were political—"maintaining constituent contact" was how he described it to the Ethics Commission—they *should be reimbursable even if they were only* vaguely related to campaign fund-raising. The Ethics Commission indicated it wasn't inclined to open the door to such practices.


Don't Wait To Get That New Natural Gas Appliance. Just Say

CHARGE IT

On Your Arkla Bill.

Now, it's easier than ever to own the new generation of clean, efficient, environmentally-friendly, natural gas appliances — just say "Charge it to my Arkla bill." Financing is now available to qualified buyers on any new natural gas appliance with easy, monthly payments on your Arkla bill. For details, call Arkla for a list of participating natural gas appliance dealers. Why wait any longer! Start enjoying the convenience of natural gas appliances today.

ARKLA

A NORAM ENERGY COMPANY

Feb. 12. 1996 3:59PM RICK WATKINS No. 3791 P. 3/4

Arkansas Democrat Gazette

ARKANSAS' NEWSPAPER LITTLE ROCK, FEBRUARY 2, 1996 80 PAGES 10 SECTIONS 35¢

# Huckabee's '95 fund raising wasn't reasonable, panel says

BY ELIZABETH CALDWELL
Democrat-Gazette Capitol Bureau

Lt. Gov. Mike Huckabee's 1995 fund-raising activities were not "reasonable" based on the size of his 1994 campaign debt, the Arkansas Ethics Commission concluded Thursday.

The commission determined that Huckabee raised $91,825 to pay off a debt of only $16,996. But commissioners stopped short of ruling that Huckabee violated state campaign finance laws.

"You have never declared this to be an official investigation," Randy Wright, the Ethics Commission attorney, told the five commissioners.

Huckabee's campaign account was used to pay off credit-card debts and fund a trip to Hawaii.

"The Huckabee campaign did not focus on paying the debt that was listed," said Eugene Hunt of Pine Bluff, an Ethics Commission member.

Frank Arey of Conway, Huckabee's attorney, told the commis-

See HUCKABEE, Page 10A

## Huckabee

• Continued from Page 1A

sion that the administrative expenses Huckabee incurred after his 1994 re-election campaign were necessary to keep his fund-raising apparatus in place.

Arey said the Huckabee campaign "sought the advice and counsel of the commission throughout the election" and "went to great lengths to follow their counsel as well as the letter and spirit of the rules. We are surprised and disappointed by this new interpretation."

Huckabee could not be reached for comment.

Huckabee won a special election for lieutenant governor in July 1993 to become the first Republican elected to statewide office in Arkansas since November 1980 when Frank White upset then-Gov. Bill Clinton.

Huckabee was elected in November 1994 to a full four-year term. He defeated then-state Sen. Charlie Cole Chaffin, D-Benton.

The lieutenant governor, a Southern Baptist minister and professional speaker from Texarkana, is expected to be the Republican nominee this year for the seat being given up by Sen. David Pryor, D-Ark.

State law prohibits candidates from raising funds during a legislative session, 30 days before a regular session and 30 days after a regular session. The 1995 regular session began Jan. 9 and ended April 7.

The law also prohibits a candidate from raising money more than two years before an election except to retire a debt.

A three-month review of Huckabee's campaign records began when the lieutenant governor asked the Ethics Commission to examine his reports and those of other elected officials. Questions about Huckabee's fund-raising activities had been raised by the media.

A 1991 Ethics Commission opinion said campaign funds can be used for the "reasonable expense of raising and administering the past debt fund." Huckabee has insisted that he raised, spent and reported all funds legally.

"It is as though there were additional debts created to justify additional fund raising," Hunt said.

The commission also completed its review of campaign finance reports filed by Democratic Secretary of State Sharon Priest.

"Unlike we had originally believed, Sharon Priest did not end her campaign in surplus but in debt," a staff report said.

Priest now has a surplus of $3,886 and has asked the commission for advice on how the money can be used. The commission decided to study the issue.

Mike Huckabee

In the Huckabee case, commission members voted unanimously to issue an advisory opinion notifying the lieutenant governor what he did wrong. The opinion's wording will be discussed and voted on at the commission's next meeting.

The commission found that amended reports that Huckabee submitted after the inquiry began were inaccurate, including reported payments on credit cards that had been voided.

"I agree we made some pretty bad bookkeeping mistakes," Arey told commission members.

Huckabee's original report listed a campaign debt of $35,560 from 1994. Ethics Commission staff members determined that Huckabee owed $27,795 at the end of 1994 in addition to $7,300 in reimbursements due to Huckabee and his wife, Janet, for a loan, utility bills and travel expenses.

Some debts were later forgiven bringing the total debt to $16,996. The largest outstanding debt was a $15,000 fee to political consultant Dick Morris of Connecticut.

Arey said Morris kept putting off acceptance of the payment until he forgave the debt Aug. 1. Morris, who is now advising President Clinton, didn't want to accept additional payments from Republican clients, Arey said.

The Ethics Commission investigation found that Huckabee had raised enough funds by May 1995 to pay off the debt but instead used part of the money for travel to political functions. The payments included one for a May 1994 trip to Hawaii. Huckabee was not reimbursed by the campaign for the trip until the following year.

The 1994 campaign account also was used to pay for trips taken by Huckabee in June, July and August 1995.

Amanda Nixon White, director of the Ethics Commission, said Huckabee told her that Republican officials in Washington had promised to pay the $1,500 for his Hawaiian trip to support a GOP candidate in the state but never sent the money.

Huckabee listed large payments to Visa, MasterCard and Discover for travel expenses. Commission staff members were told that Huckabee paid the expenses himself and asked the campaign to reimburse him by writing checks directly to credit-card companies. Credit-card expenses often were not itemized.

# ANALYSIS OF HUCKABEE'S POST ELECTION 1994 ELECTION REPORTS

**RETIREMENT OF DEBT:** (see attached Summary of Contributions and Expenditures)
The November 1994 report reflects a $20,554.30 debt to be retired. In December of 1994, however, a math error occurred which resulted in approximately overstating the outstanding debt by $24,000. The actual debt should have been $11,739.01 rather than the reported $35,560.30. It would seem that it would be obvious to a campaign to recognize whether it had outstanding obligations of $11,000 or $35,000.

Had the math error not occurred, and based upon actual calculations, the campaign should have retired its debt at the end of May, 1995 with a surplus of $8,805.65. However, due to the miscalculation of debt, an additional $72,284.22 was raised with expenditures of $57,268.85 subsequent to the month of May, 1995. If the debt was overstated by nearly $24,000, then a real question arises as to where that $24,000 actually went.

According to Huckabee's own records, he raised $159,322.27 to retire a post-campaign debt of $11,739.01.

**CONTRIBUTION VIOLATIONS:** (see attached Summary of Contributors)
Several violations appear here, some may be errors in reports, some appear to be excess contributions, and some appear to be prohibited contributions. Below is a list of questionable contributions:

Arkansas Pharmacists PAC was reported as contributing $250.00 in December 1994 and an additional $1,000.00 in June of 1995. The total aggregate contribution was reported as $1,000.00 when in actuality $1,250.00 was received--$250.00 over the contribution limit.

Ronald P. Bridges was reported as contributing $100.00 in October, 1994 with an aggregate of $200.00. Then another contribution of $800.00 is reported for October, 1994 with a stated aggregate of $1,000. Later, in December of 1994, yet another contribution of $100.00 is reported for a stated aggregate of $100.00. The reports misstates the aggregate in December, 1994 and indicate receiving $100.00 in excess of contribution limits.

Charles Cabe is reported as contributing $100.00 in November of 1994 for an aggregate of $100.00. In May of 1995, Cabe is reported as contributing another $250.00 for a total aggregate of $928.00. If these reports are accurate, contributions were received between November, 1994 and May, 1995 and not reported in any of the interim months.

Dealers Interested in Government is reported as contributing $500.00 in November, 1994 , $750.00 in December, 1994, and another $250.00 in June, 1995. This final aggregate total reported is $750.00. The reports indicate that $500.00 was received in excess of contribution limits.

First Pac-State & Local Fun- was reported as contributing $500.00 in October, 1994 for an aggregate of $1,000.00. Then in June, 1995, First Pac made another contribution of $250.00 for a stated aggregate of $1,000.00. This appears to reflect a contribution of $250.00 in excess of contribution limits.

Robert E. Miller was reported as contributing $500.00 in October, 1994 for an aggregate of $500.00. In December, 1994, an additional $100.00 was reported received for an aggregate of $600.00. In May, 1995, another $100.00 was received and an aggregate of $1,000.00. This would appear to reflect that contributions were received between December, 1994 and May, 1994 which were not reflected on interim reports.

Larry Romine was reported as contributing $500.00 in December of 1994 for an aggregate of $1,000.00. Then in May, 1995, an additional $100.00 was reported received for a stated aggregate of $100.00. It appears that there might be an excess contribution of $100.00 over limits.

Harrow Smith was reported as contributing $100.00 in December, 1994 for a total of $200.00. Then in May, 1995, another $100.00 was reported as received for a stated total of $400.00. This appears to reflect that $100.00 might have been received in the interim but not reported on interim reports.

There are numerous other misstatements as to aggregate totals that were not disclosed above. Also, no review was taken of contributions received prior to October, 1994. It does appear that recordkeeping might be lacking or that reports were filled out in error.

**UNREGISTERED PAC CONTRIBUTIONS:** (see attached Summary of PAC activity)
Arkansas law prohibits a candidate from accepting contributions from a PAC unless the PAC has previously registered with the Secretary of State. From the reports, it appears that the following PAC contributions may be questionable:

AIADA PAC: Contributed in December, 1994 with no record of registration.

ANOPAC. Contributed in October, 1994 and registered in January, 1995.

Ark. Dental Pol't Action: Contributed in Dec. 1994, and registered in Jan. 1995.

Ark. Hosp. Assoc. PAC: Contributed in Dec. 1994 with no record of registration.

Ark. Mfg. Housing Assoc: Contributed in June 1994 with no record of registration.

Ark. Pharmacist PAC: Contributed in Dec. 1994, and registered in Jan. 1995.

Ark. Soft Drink Assoc.: Contributed in Oct. 1994 with no registration.

Arkansas VEPAC: Contributed in Oct. and Dec. 1994 with no registration.

Dealers Interested in Gov't: Contributed in Nov. and Dec, 1994 and again in June, 1995 with registration occurring in Sept. 1995.

F&M Bank PAC: Contributed in Nov. 1994 and registered in June, 1995.

First PAC-State & Local: Contributed in Oct. 1994 and June, 1995 with no registration.

Life Underwater PAC: Contributed in Oct. 1994 with no registration.

National Soft Drink Assoc: Contributed in July 1995 with no registration.

SWB Ark. Em PAC: Contributed in Dec. 1994 with registration in January 1995.

VOTE: Contributed in December 1994 with registration in January 1995.

Item #4

00014" FCL" 40" 66

From Mike Huckabee's
Year-end FEC Report, 1995

| | A | B | C | D | E | F |
|---|---|---|---|---|---|---|
| 1 | **Huckabee Election Committee- Receipts and Disbursements without Occupation** | | | | | |
| 2 | | | | | | |
| 3 | Name | City | State | Page# | Date | Amount |
| 5 | Mr John L Johnson | Fairfield Bay | AR | 1 | 8-18-95 | $1,000.00 |
| 6 | Mr Milburn Adams | Conway | AR | 4 | 10-12-95 | $250.00 |
| 7 | Mr Dale A Bruns | Conway | AR | 4 | 10-12-95 | $1,000.00 |
| 8 | Mr J V Kennedy | Red Bay | AL | 5 | 10-12-95 | $500.00 |
| 9 | Mr Donald R Melton | Conway | AR | 5 | 10-12-95 | $500.00 |
| 10 | Ms Janie Naylor | Conway | AR | 5 | 10-12-95 | $200.00 |
| 11 | Mr Louis E Stell | Conway | AR | 5 | 10-12-95 | $500.00 |
| 12 | Culp & Comapy Hudson, Cisne, Keeling | Little Rock | AR | 6 | 10-12-95 | $500.00 |
| 13 | Ms Linda M Weaver | Maumelle | AR | 6 | 10-12-95 | $1,000.00 |
| 14 | Mr Lynch Butler | Siloam Springs | AR | 7 | 10-19-95 | $1,000.00 |
| 15 | Mr Hillman Koen | Hope | AR | 7 | 10-19-95 | $500.00 |
| 16 | Mr Kirk McClain | Mountain Home | AR | 8 | 10-19-95 | $500.00 |
| 17 | Ms Diane Simmons | Siloam Springs | AR | 8 | 10-19-95 | $1,000.00 |
| 18 | Mr Randall B Morgan | Pine Bluff | AR | 10 | 10-25-95 | $1,000.00 |
| 19 | Mr Scott Coffey | Conway | AR | 10 | 10-26-95 | $250.00 |
| 20 | Mrs Becky Townsell | Conway | AR | 10 | 10-26-95 | $1,000.00 |
| 21 | Mr Robert F Hogan | El Dorado | AR | 11 | 10-26-95 | $500.00 |
| 22 | Mr Martha Mathis | El Dorado | AR | 11 | 10-26-95 | $200.00 |
| 23 | Sydney W Murphy | El Dorado | AR | 12 | 10-26-95 | $750.00 |
| 24 | Mr Sam M Richardson | El Dorado | AR | 12 | 10-26-95 | $500.00 |
| 25 | Mr Maurice Camell | El Dorado | AR | 12 | 10-26-95 | $500.00 |
| 26 | Mr David R James | El Dorado | AR | 12 | 12-26-95 | $250.00 |
| 27 | Dr Henry R Rogers | El Dorado | AR | 13 | 10-26-95 | $500.00 |
| 28 | Mr John L Solomon | El Dorado | AR | 13 | 10-26-95 | $250.00 |
| 29 | Mr C V Blanchard | El Dorado | AR | 13 | 10-26-95 | $200.00 |
| 30 | Dr D M Yocum | El Dorado | AR | 13 | 10-26-95 | $500.00 |
| 31 | Mr Wilbur P Davis | El Dorado | AR | 13 | 10-26-95 | $200.00 |
| 32 | Mr William C Nolan | El Dorado | AR | 15 | 11-2-95 | $1,000.00 |
| 33 | Ms LaNell Sterling | North Little Rock | AR | 22 | 11-2-95 | $1,000.00 |
| 34 | Mrs Randall G Mourot | Little Rock | AR | 25 | 11-2-95 | $1,000.00 |
| 35 | Mr H E Cummins | Little Rock | AR | 26 | 11-2-95 | $1,000.00 |
| 36 | Mrs Roy M Bentley | Little Rock | AR | 27 | 11-2-95 | $1,000.00 |
| 37 | Ms Deadra Neals | Plano | TX | 29 | 11-4-95 | $1,000.00 |
| 38 | Mr Robert B Clark | Little Rock | AR | 30 | 11-4-95 | $250.00 |
| 39 | Dr Bernard C Smith | Bradford | AR | 30 | 11-4-95 | $250.00 |
| 40 | Mr Mike Sulcer | Marion | AR | 30 | 11-8-95 | $500.00 |

| | A | B | C | D | E | F |
|---|---|---|---|---|---|---|
| 41 | Mr Mike Sulcer | Marion | AR | 31 | 11-20-95 | $500.00 |
| 42 | Mr Ben M Elrod | Arkadelphia | AR | 31 | 11-15-95 | $249.00 |
| 43 | Ms Mary A McFarland | Fort Smith | AR | 31 | 11-15-95 | $250.00 |
| 44 | Mr Michael T Hudson | Rogers | AR | 33 | 12-4-95 | $1,000.00 |
| 45 | Ms Linda Hudson | Rogers | AR | 33 | 12-4-95 | $1,000.00 |
| 46 | Mrs Xanthoula E Groom | North Little Rock | AR | 33 | 12-4-95 | $500.00 |
| 47 | Ms Joyce H Mross | Hope | AR | 34 | 12-11-95 | $200.00 |
| 48 | Ms Jan Scruggs | LittleRock | AR | 36 | 12-26-95 | $500.00 |
| 50 | 44 Contributions | | Total | | | $26,249.00 |

Item #5

1704.162.40.60

Non-Federal from Ark Sec. of State

A

ITEMIZED RECEIPTS


for Line Number 11a

** Any information copied from these reports or statements may NOT be sol
contributions or for commercial purposes, other than using the name & add

Name of Committee (in Full): Huckabee Election Committee

| A. Full Name, Address, Zip | Employer & Occupation | Date | Amount |
|---|---|---|---|
| Willhite, Ronnie E.<br>P.O. Box 126<br>St. Paul, AR 72760 | Info Has Been Requested | 07/26/93 | 150.00 |
| Election: GENERAL | Total Year-to-Date: $ | 150.00 | |

| B. Full Name, Address, Zip | Employer & Occupation | Date | Amount |
|---|---|---|---|
| Balch, Linda M.<br>1020 W. Altena<br>De Queen, AR 71832 | Info Has Been Requested | 07/27/93 | 50.00 |
| Election: GENERAL | Total Year-to-Date: $ | 150.00 | |

| C. Full Name, Address, Zip | Employer & Occupation | Date | Amount |
|---|---|---|---|
| Bank of Tuckerman<br>Tuckerman, AR 72473 | Sherry Jones | 07/27/93 | 500.00 |
| Election: GENERAL | Total Year-to-Date: $ | 500.00 | |

| D. Full Name, Address, Zip | Employer & Occupation | Date | Amount |
|---|---|---|---|
| Berry, L. R.<br>1505 Randall<br>Searcy, AR 72143 | Info Has Been Requested | 07/27/93 | 15.00 |
| Election: GENERAL | Total Year-to-Date: $ | 115.00 | |

| E. Full Name, Address, Zip | Employer & Occupation | Date | Amount |
|---|---|---|---|
| Coca Cola Bottling Co. Fort Smith<br>C/O 1st Natl. Bank<br>Fort Smith, AR 72901 | Roger Meek Jr. | 07/27/93 | 250.00 |
| Election: GENERAL | Total Year-to-Date: $ | 250.00 | |

| F. Full Name, Address, Zip | Employer & Occupation | Date | Amount |
|---|---|---|---|
| Coca Cola Bottling Co. Hot Springs<br>321 Market Street<br>Hot Springs, AR 71901 | | 07/27/93 | 250.00 |
| Election: GENERAL | Total Year-to-Date: $ | 250.00 | |

SUBTOTAL FOR PAGE: 1215.00

Non-Federal Report from the Ark. Secy of State

SCHEDULE A ITEMIZED RECEIPTS


for Line Number 11c

** Any information copied from these reports or statements may NOT be sol
contributions or for commercial purposes, other than using the name & add

Name of Committee (in Full): Huckabee Election Committee

| A. Full Name, Address, Zip | Employer & Occupation | Date | Amount |
|---|---|---|---|
| NRA Political Victory Fund<br>1600 Rhode Island<br>Ave. N.W.<br>Washington, DC 20036 | James Barber | 07/23/93 | 1000.00 |
| Election: GENERAL | Total Year-to-Date: $ | 1000.00 | |

Total all categories of itemized contributions $ 64,069.04

SUBTOTAL FOR PAGE: 1000.00

Non-Federal Report from the Ark. Secretary of State

SCHEDULE B ITEMIZED DISBURSEMENTS



** Any information copied from these reports or statements may NOT be sol contributions or for commercial purposes, other than using the name & add

Name of Committee (in Full): Huckabee Election Committee

| A. Full Name, Address, Zip | Purpose of Disbursement | Amount |
|---|---|---|
| GTE<br>1702 Hampton Road<br>Texarkana, TX 75501 | TELEPHONE<br>Date :09/27/93<br>Election: GENERAL | 643.43 |

| B. Full Name, Address, Zip | Purpose of Disbursement | Amount |
|---|---|---|
| Advanced Business Equipment<br>106 West Broad<br>Texarkana, TX 75501 | EQUIPMENT RENTAL/REPAIR<br>Date :09/15/93<br>Election: GENERAL | 161.25 |

| C. Full Name, Address, Zip | Purpose of Disbursement | Amount |
|---|---|---|
| Process One<br>Arkansas Blvd.<br>Texarkana, AR 75502 | SUPPLIES<br>Date :09/07/93<br>Election: GENERAL | 27.92 |

| D. Full Name, Address, Zip | Purpose of Disbursement | Amount |
|---|---|---|
| Firmin's Printing & Office Eq<br>2219 N. State Line<br>Texarkana, TX 75501 | SUPPLIES<br>Date :09/15/93<br>Election: GENERAL | 28.25 |

| E. Full Name, Address, Zip | Purpose of Disbursement | Amount |
|---|---|---|
| Petty Cash - CNB<br>224 E. 4th Street<br>Texarkana, AR 75502 | SUPPLIES<br>Date :09/15/93<br>Election: GENERAL | 7.99 |

| F. Full Name, Address, Zip | Purpose of Disbursement | Amount |
|---|---|---|
| Sam's Club<br>3610 Hampton Rd.<br>Texarkana, TX 75501 | SUPPLIES<br>Date :09/16/93<br>Election: GENERAL | 98.77 |

SUBTOTAL FOR PAGE: 967.61

Item #6

5704.162.40.66

Mike
HUCKABEE
Lieutenant Governor

P. O. Box 1557 • Texarkana, AR 75504-1557 • (501) 772-5344 / FAX (501) 773-0439 • 323 Hickory St. • Texarkana, AR 75502

October 25, 1994

FILED
1 PAGES
OCT 31 1994
W.J. "BILL" McCUEN
SECRETARY OF STATE
BY

Elections Division
Secretary of State's Office
State of Arkansas
Capitol Building
Little Rock, AR 72201-1094

Dear Sirs:

It has come to my attention that we may have errors on recent campaign reports.

We use a campaign software program that keeps and prints a line item called "year to date total" for each contributor. This totals contributions from both the primary and general periods of an election. It has been pointed out to me that the correct line item on the Campaign Contribution Report pages required by your office reads "aggregate this election." The language and description differences between our software and the required forms means that some of our contributor aggregates have been typed incorrectly.

We will be doing amended reports for those pages in error on the aggregates. None of our contributors have given over the limit in any period; however, by listing a year to date total, we have lumped primary and general periods together, and without correction and interpretation, it would appear contributors are over the limit.

We will send the amended pages as soon as possible and make sure we type the proper aggregates on our next report.

Thank you,

Brenda Turner

Brenda Turner
Campaign Director *

BT/file

Paid for by the Huckabee Election Committee

Mike
HUCKABEE
Lieutenant Governor

Scheduling Office • P. O. Box 1557 • Texarkana, AR 75504-1557 • (501) 772-5344 / FAX (501) 773-0439

August 25, 1995

Sharon Priest
Secretary of State
Ethics Division
Little Rock, AR 72201-1094

Dear Ms. Priest,

Please find enclosed an amended "Report Of Contributions and Expenditures" for the period covering July 1 through July 31, 1995, and dated August 25, 1995. This report will replace the one filed for August 15th.

Due to a banking error that I have discovered, we are amending our current surplus figure and the amount of our expenditures. The notation of "Final Monthly Report" should be ignored; we will be filing the final report at a future date.

Sincerely,

Brenda Turner

Brenda Turner

BT/file

Scheduling Office:
P. O. Box 1557
Texarkana, AR 75504
(501) 772-5344 phone
(501) 773-0439 fax
(903) 832-7742 home

FILED

AUG 23 1995

SHARON PRIEST
SECRETARY OF STATE
BY _____

SCHEDULE B

# ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 2 OF 6

FOR LINE NUMBER 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Huckabee Election Committee (U.S. Senate) *

| A. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
|---|---|---|---|
| Federal Express<br>1401 College Drive<br>Texarkana, TX 75503 | MAILING EXPENSE<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 10/27/95<br>10/27/95<br>11/03/95 | 40.00<br>20.00<br>31.75 |
| B. Full Name, Mailing Address and ZIP Code<br>see above | Purpose of Disbursement<br>Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | 11/10/95 | 36.00 |
| C. Full Name, Mailing Address and ZIP Code<br>US Postal Service<br>500 State Line Avenue<br>Texarkana, AR 75502 | MAILING EXPENSE<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 10/23/95<br>10/27/95<br>12/09/95 | 160.00<br>4.56<br>324.32 |
| D. Full Name, Mailing Address and ZIP Code<br>see above | Purpose of Disbursement<br>Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | 12/19/95 | 85.68 |
| E. Full Name, Mailing Address and ZIP Code<br>Brenda Turner<br>3910 Concord Place<br>Texarkana, TX 75501 | PERSONNEL DISBURS<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 10/19/95<br>10/19/95<br>10/20/95 | 502.19<br>502.19<br>502.19 |
| F. Full Name, Mailing Address and ZIP Code<br>see above | Purpose of Disbursement<br>Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | 10/20/95<br>10/20/95<br>10/27/95 | 502.19<br>502.19<br>502.19 |
| G. Full Name, Mailing Address and ZIP Code<br>see above | Purpose of Disbursement<br>Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | 11/03/95<br>11/17/95<br>11/10/95 | 502.19<br>502.19<br>502.19 |
| H. Full Name, Mailing Address and ZIP Code<br>see above | Purpose of Disbursement<br>Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | 11/24/95<br>12/01/95<br>12/08/95 | 502.19<br>502.19<br>502.19 |
| I. Full Name, Mailing Address and ZIP Code<br>see above | Purpose of Disbursement<br>Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | 12/15/95 | 502.19 |

SUBTOTAL of Disbursements This Page (optional) .....

TOTAL This Period (last page this line number only) .....

96040025 00 8104.1631.40.96

Item #7

6404"163"40"66

MIKE HUCKABEE
HUCKABEE EXPLORATORY COMMITTEE (U.S. SENATE)
# 2 CAMBRIDGE DRIVE
P. O. BOX 1557
TEXARKANA, ARKANSAS 75504

ADDENDUM TO STATEMENT OF ORGANIZATION:

To Whom It May Concern:

Should I decide not to make the race in 1996 for the United States Senate due to serving my constitutional duties to the state of Arkansas, I hereby pledge to refund the contributions I have received on a pro rata basis to the individual donors and will dissolve the committee as per Federal Election Commission regulations.

Mike Huckabee

Mike Huckabee

Date 8-10-95

96030036

# STATEMENT OF ORGANIZATION

(See reverse side for instructions)

| | | |
|---|---|---|
| 1. (a) NAME OF COMMITTEE IN FULL ☐ (Check if name is changed)<br>HUCKABEE EXPLORATORY COMMITTEE (U.S. SENATE) | 2. DATE<br>8-10-95 | SECRETARY OF THE SENATE<br>95 AUG 15 PM 3:12<br>F.E.C. |
| (b) Number and Street Address ☐ (Check if address is changed)<br>42 CAMBRIDGE DRIVE P.O. BOX 1557 | 3. FEC Identification Number | |
| (c) City, State and ZIP Code<br>TEXARKANA ARKANSAS 75502 | 4. Is This Report An Amendment? ☐ YES ☒ NO | |

5. TYPE OF COMMITTEE (Check one)

☒ (a) This committee is a principal campaign committee. (Complete the candidate information below.)

☐ (b) This committee is an authorized committee, and is NOT a principal campaign committee. (Complete the candidate information below.)

| Name of Candidate | Candidate Party Affiliation | Office Sought | State/District |
|---|---|---|---|
| MIKE HUCKABEE | REPUBLICAN | U.S. SENATE | ARKANSAS |

☐ (c) This committee supports/opposes only one candidate _____ and is NOT an authorized committee.
(name of candidate)

☐ (d) This committee is a _____ committee of the _____ Party.
(National, State or subordinate) (Democratic, Republican, etc.)

☐ (e) This committee is a separate segregated fund.

☐ (f) This committee supports/opposes more than one Federal candidate and is NOT a separate segregated fund or a party committee.

| 6. Name of Any Connected Organization or Affiliated Committee | Mailing Address and ZIP Code | Relationship |
|---|---|---|
| | | |

Type of Connected Organization
☐ Corporation ☐ Corporation w/o Capital Stock ☐ Labor Organization ☐ Membership Organization ☐ Trade Association ☐ Cooperative

7. Custodian of Records: Identify by name, address (phone number -- optional) and position of the person in possession of committee books and records.

| Full Name | Mailing Address (501) 772-5344 | Title or Position |
|---|---|---|
| BRENDA TURNER | 3910 CONCORD PLACE, TEXARKANA 75501 | CAMPAIGN DIRECTOR |

8. Treasurer: List the name and address (phone number -- optional) of the treasurer of the committee; and the name and address of any designated agent (e.g., assistant treasurer).

| Full Name | Mailing Address (501) 773-1603 | Title or Position |
|---|---|---|
| PRISSY HICKERSON | 410 WADE TRAIL TEXARKANA 75502 | TREASURER |

9. Banks or Other Depositories: List all banks or other depositories in which the committee deposits funds, holds accounts, rents safety deposit boxes or maintains funds.

| Name of Bank, Depository, etc. | Mailing Address and ZIP Code |
|---|---|
| COMMERCIAL NATIONAL BANK | P.O. BOX 1998 TEXARKANA, AR 75504 |

...rtify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete.

| TYPE OR PRINT NAME OF TREASURER | SIGNATURE OF TREASURER | DATE |
|---|---|---|
| PRISSY HICKERSON | Priscy Hickerson | 8-10-95 |

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Statement to the penalties of 2 U.S.C. §437g.
ANY CHANGE IN INFORMATION SHOULD BE REPORTED WITHIN 10 DAYS.

For further information contact:
Federal Election Commission
Toll-free 800-424-9530
Local 202-219-3420

FE5AN045

**FEC FORM 1**
(revised 4/87)

Mike

# HUCKABEE

## Lieutenant Governor

C2163

Scheduling Office • P. O. Box 1557 • Texarkana, AR 75504-1557 • (501) 772-5344 / FAX (501) 773-0439

2v

Secretary of the Senate
Office of Public Records
232 Hart Senate Office Building
Washington, DC 20510-7116

October 5, 1995

95 OCT 06 PM 4:03

# STATEMENT OF ORGANIZATION

(See reverse side for instructions)

SECRETARY OF THE SENATE
PUBLIC RECORDS
96 FEB -7 AM 10: 27

| | |
|---|---|
| 1. (a) NAME OF COMMITTEE IN FULL ☐ (Check if name is changed)<br>HUCKABEE ELECTION COMMITTEE (U.S. SENATE) | 2. DATE<br>2-1-96 |
| (b) Number and Street Address ☐ (Check if address is changed)<br>P.O. BOX 1557 | 3. FEC Identification Number<br>C00305235 |
| (c) City, State and ZIP Code<br>TEXARKANA, AR 75504 | 4. Is This Report An Amendment?<br>☒ YES ☐ NO |

5. TYPE OF COMMITTEE (Check one)

☒ (a) This committee is a principal campaign committee. (Complete the candidate information below.)

☐ (b) This committee is an authorized committee, and is NOT a principal campaign committee. (Complete the candidate information below.)

| Name of Candidate | Candidate Party Affiliation | Office Sought | State/District |
|---|---|---|---|
| MIKE HUCKABEE | REPUBLICAN | U.S. SENATE | ARKANSAS |

☐ (c) This committee supports/opposes only one candidate _____ and is NOT an authorized committee.
(name of candidate)

☐ (d) This committee is a _____ committee of the _____ Party.
(National, State or subordinate) (Democratic, Republican, etc.)

☐ (e) This committee is a separate segregated fund.

☐ (f) This committee supports/opposes more than one Federal candidate and is NOT a separate segregated fund or a party committee.

| 6. Name of Any Connected Organization or Affiliated Committee | Mailing Address and ZIP Code | Relationship |
|---|---|---|
| | | |

Type of Connected Organization
☐ Corporation ☐ Corporation w/o Capital Stock ☐ Labor Organization ☐ Membership Organization ☐ Trade Association ☐ Cooperative

7. **Custodian of Records:** Identify by name, address (phone number -- optional) and position of the person in possession of committee books and records.

| Full Name | Mailing Address | Title or Position |
|---|---|---|
| BRENDA TURNER | 3910 CONCORD PLACE, TEXARKANA 75501 (501) 772-5344 | CAMPAIGN DIRECTOR |

8. **Treasurer:** List the name and address (phone number -- optional) of the treasurer of the committee; and the name and address of any designated agent (e.g., assistant treasurer).

| Full Name | Mailing Address | Title or Position |
|---|---|---|
| PRISSY HICKERSON | #10 WADE TRAIL TEXARKANA, 75502 | TREASURER |

9. **Banks or Other Depositories:** List all banks or other depositories in which the committee deposits funds, holds accounts, rents safety deposit boxes or maintains funds.

| Name of Bank, Depository, etc. | Mailing Address and ZIP Code |
|---|---|
| COMMERCIAL NATIONAL BANK | P.O. BOX 1998 TEXARKANA, AR 75504 |
| STATE FIRST NATIONAL BANK | STATE LINE PLAZA BOX 8000 TEXARKANA, AR 75504 |

*I certify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete.*

| TYPE OR PRINT NAME OF TREASURER | SIGNATURE OF TREASURER | DATE |
|---|---|---|
| PRISSY HICKERSON | Prissy Hickerson | 2-1-96 |

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Statement to the penalties of 2 U.S.C. §437g.
ANY CHANGE IN INFORMATION SHOULD BE REPORTED WITHIN 10 DAYS.

For further information contact:
Federal Election Commission
Toll-free 800-424-9530
Local 202-219-3420

FE5AN045

**FEC FORM 1**
(revised 4/87)

LEVEL 1 - 11 OF 15 STORIES

Copyright 1995, The Commercial Appeal
The Commercial Appeal (Memphis)

August 27, 1995, Sunday, Final Edition

SECTION: VIEWPOINT, Pg. 5B
LENGTH: 669 words
HEADLINE: <Huckabee's> not sweating this dilemma
BYLINE: Joan I. <Duffy Joan> I. Duffy is a reporter in The Commercial Appeal's Little Rock bureau.
DATELINE: LITTLE ROCK

BODY:

Gov. Jim Guy Tucker is clearly at ground zero in the latest blast of Whitewater indictments, but the fallout is creating a colossal dilemma for Republican Lt. Gov. Mike <Huckabee.>

Not that any of us should lose sleep over <Huckabee's> "problems." All he has to do is play his cards - he doesn't even have to play them right - and he likely will be Arkansas's next U.S. senator or its next governor. <Huckabee's> problem is he has to decide which one he wants.

As his bad luck would have it, <Huckabee> organized a Senate exploratory committee on the very day the Whitewater grand jury handed down a 21-count indictment, 19 counts naming Tucker.

<Huckabee> claims that is just pro forma and he is months away from making a decision about the Senate race. But his campaign finance records belie that. Senate rules require candidates to file a financial disclosure report after receiving or spending more than $ 5,000. <Huckabee> hasn't filed one yet - he said last week he has yet to reach the threshold.

But his state campaign finance records show the $ 29,811-a-year lieutenant governor is collecting and spending from $ 20,000 to $ 30,000 a month campaigning for something.

The latest indictment tying Tucker to Whitewater linchpins Jim and Susan McDougal increases the chances that the lieutenant governor may be called on to perform the one duty assigned to the office - become governor if a vacancy is created.

It creates an interesting conundrum for the Baptist minister-turned-politician: Does <Huckabee> have a moral obligation to set aside his Senate ambitions and be at the ready in his state's time of gubernatorial crisis, or does he give in to good old political opportunism and risk losing that nonpolitician cloak of respectability that launched his political career in the first place?

After the first set of indictments, other Republicans who might want to run for the Senate if <Huckabee> doesn't became increasingly vocal that the lieutenant governor needed to make up his mind.

If <Huckabee> can walk into the governor's office by January, as GOP operatives are predicting, then they say he needs to take himself out of the Senate race as early as possible.

If he doesn't, the party fears no other Republican will have the necessary time to put together the money and organization to make a credible Senate race.

That lack of a well-established backup candidate for the Senate may be the exact reason <Huckabee> won't decide.

The conventional wisdom is that if <Huckabee> runs for Senate he wins; if he doesn't, the Democrats take it.

The seat in question is one of six the national Republicans have targeted in their quest to raise their Senate majority to a veto-proof 60. They don't really care who is governor of Arkansas, but the state's Senate seat is of critical importance to the party and its "Contract With America."

Meanwhile, a look at <Huckabee's> monthly campaign finance reports gives a glimpse of just how different life might be if legal troubles force Tucker from office.

Last year Tucker dedicated his campaign for a full term to defending his administration's soft-drink tax. He won with 60 percent of the vote. The tax was ratified by 58 percent of the voters. The two-cents-per-can tax is dedicated to the pot of money the state uses to match federal Medicaid funds and fund health care for the elderly poor and the indigent.

The soda-pop industry, which has feared from the outset the Arkansas tax would pique the interest of other state legislatures, apparently is courting <Huckabee.> As governor, he would have substantial clout in a move to repeal the tax.

On last month's campaign finance report, <Huckabee> received more than $ 13,000 from Coca-Cola, Pepsi and Dr. Pepper manufacturers, bottlers in three states, vending machine manufacturers, can makers and syrup manufacturers.

Arkansas Democrat-Gazette WEDNESDAY, OCTOBER 11, 1995

AR - Huckabee

# Huckabee files but says he may not run

BY NOEL CMAN
Democrat-Gazette Capitol Bureau

Republican Lt. Gov. Mike Huckabee of Texarkana has filed papers declaring himself a candidate for the U.S. Senate.

But Huckabee insisted Tuesday that he has not decided whether to seek the seat being given up next year by Sen. David Pryor, D-Ark.

Huckabee filed a "statement of candidacy" earlier this month with the Federal Election Commission. He previously had formed an exploratory committee.

Huckabee said he believed he was required to file the "statement of candidacy" once the exploratory committee raised more than $5,000. He said he was merely "following the letter of the law."

Mike Huckabee

"When we see this filed, we assume you are running," Sharon Snyder, an FEC spokesman, said Tuesday when asked about the "statement of candidacy." If a candidate is undecided, an exemption to the $5,000 threshold exists under commission regulations, Snyder said. She called it a "testing the waters" exemption. It allows a potential candidate to retain a staff and conduct polls to test the viability of a candidacy. The exemption bars political advertising, Snyder said.

"We still consider it an exploratory committee," Huckabee said. "I anticipate an announcement in a month or so. I think that's a reasonable time frame to deal from."

Asa Hutchinson, a Fort Smith lawyer, resigned last month as chairman of the Arkansas Republican Party to prepare for a Senate race if Huckabee does not run.

COMMON CAUSE of Arkansas to review Mike Huckabee's 1994 campaign. Page 10B

9204" 162" 10" 66

Item #8

Feb. 12. 1996 3:59PM RICK WATKINS No. 3791 P. 3/4

Arkansas Democrat Gazette

ARKANSAS' NEWSPAPER LITTLE ROCK, FEBRUARY 2, 1996 60 PAGES 10 SECTIONS 35¢

# Huckabee's '95 fund raising wasn't reasonable, panel says

BY ELIZABETH CALDWELL
Democrat-Gazette Capitol Bureau

Lt. Gov. Mike Huckabee's 1995 fund-raising activities were not "reasonable" based on the size of his 1994 campaign debt, the Arkansas Ethics Commission concluded Thursday.

The commission determined that Huckabee raised $81,825 to pay off a debt of only $16,996. But commissioners stopped short of ruling that Huckabee violated state campaign finance laws.

"You have never declared this to be an official investigation," Randy Wright, the Ethics Commission attorney, told the five commissioners.

Huckabee's campaign account was used to pay off credit-card debts and fund a trip to Hawaii.

"The Huckabee campaign did not focus on paying the debt that was listed," said Eugene Hunt of Pine Bluff, an Ethics Commission member.

Frank Arey of Conway, Huckabee's attorney, told the commis-

See HUCKABEE, Page 10A

## Huckabee

• Continued from Page 1A

sion that the administrative expenses Huckabee incurred after his 1994 re-election campaign were necessary to keep his fund-raising apparatus in place.

Arey said the Huckabee campaign "sought the advice and counsel of the commission throughout the election" and "went to great lengths to follow their counsel as well as the letter and spirit of the rules. We are surprised and disappointed by this new interpretation."

Huckabee could not be reached for comment.

Huckabee won a special election for lieutenant governor in July 1993 to become the first Republican elected to statewide office in Arkansas since November 1980 when Frank White upset then-Gov. Bill Clinton.

Huckabee was elected in November 1994 to a full four-year term. He defeated then-state Sen. Charlie Cole Chaffin, D-Benton.

The lieutenant governor, a Southern Baptist minister and professional speaker from Texarkana, is expected to be the Republican nominee this year for the seat being given up by Sen. David Pryor, D-Ark.

State law prohibits candidates from raising funds during a legislative session, 30 days before a regular session and 30 days after a regular session. The 1995 regular session began Jan. 9 and ended April 7.

The law also prohibits a candidate from raising money more than two years before an election except to retire a debt.

A three-month review of Huckabee's campaign records began when the lieutenant governor asked the Ethics Commission to examine his reports and those of other elected officials. Questions about Huckabee's fund-raising activities had been raised by the media.

A 1991 Ethics Commission opinion said campaign funds can be used for the "reasonable expense of raising and administering the past debt fund." Huckabee has insisted that he raised, spent and reported all funds legally.

"It is as though there were additional debts created to justify additional fund raising," Hunt said.

The commission also completed its review of campaign finance reports filed by Democratic Secretary of State Sharon Priest.

"Unlike we had originally believed, Sharon Priest did not end her campaign in surplus but in debt," a staff report said.

Priest now has a surplus of $3,886 and has asked the commission for advice on how the money can be used. The commission decided to study the issue.

Mike Huckabee

In the Huckabee case, commission members voted unanimously to issue an advisory opinion notifying the lieutenant governor what he did wrong. The opinion's wording will be discussed and voted on at the commission's next meeting.

The commission found that amended reports that Huckabee submitted after the inquiry began were inaccurate, including reported payments on credit cards that had been voided.

"I agree we made some pretty bad bookkeeping mistakes," Arey told commission members.

Huckabee's original report listed a campaign debt of $35,560 from 1994. Ethics Commission staff members determined that Huckabee owed $27,795 at the end of 1994 in addition to $7,300 in reimbursements due to Huckabee and his wife, Janet, for a loan, utility bills and travel expenses.

Some debts were later forgiven, bringing the total debt to $16,996. The largest outstanding debt was a $15,000 fee to political consultant Dick Morris of Connecticut.

Arey said Morris kept putting off acceptance of the payment until he forgave the debt Aug. 1. Morris, who is now advising President Clinton, didn't want to accept additional payments from Republican clients, Arey said.

The Ethics Commission investigation found that Huckabee had raised enough funds by May 1995 to pay off the debt but instead used part of the money for travel to political functions. The payments included one for a May 1994 trip to Hawaii. Huckabee was not reimbursed by the campaign for the trip until the following year.

The 1994 campaign account also was used to pay for trips taken by Huckabee in June, July and August 1995.

Amanda Nixon White, director of the Ethics Commission, said Huckabee told her that Republican officials in Washington had promised to pay the $1,500 for his Hawaiian trip to support a GOP candidate in the state but never sent the money.

Huckabee listed large payments to Visa, MasterCard and Discover for travel expenses. Commission staff members were told that Huckabee paid the expenses himself and asked the campaign to reimburse him by writing checks directly to credit-card companies. Credit-card expenses often were not itemized.

LEVEL 1 - 19 OF 33 STORIES

Copyright 1995, The Commercial Appeal
The Commercial Appeal (Memphis)

October 26, 1995, Thursday, First Edition

SECTION: METRO, Pg. 1B
LENGTH: 693 words
HEADLINE: <Huckabee> starts Senate bid - for Ark., not GOP
BYLINE: Joan I. Duffy, The Commercial Appeal
Little Rock Bureau

DATELINE: LITTLE ROCK

BODY:

Lt. Gov. Mike <Huckabee> opened his campaign Wednesday for the U.S. Senate and declined to say whether he supported the Republican agenda on farm policy changes critical to Delta cotton and rice farmers.

<Huckabee,> who has been courting black leaders in the Delta, also declined to outline his positions on Republican-backed budget policies or identify areas where he disagreed with the national party.

''We've been focused on the election and I wouldn't want to get into this vote, that vote, but I would want everyone in Arkansas to understand. . . . The primary reason that I want to run for the U.S. Senate is not to give the Republicans another voice in the Senate but to give the people of Arkansas a voice in the Senate,'' <Huckabee> said.

<Huckabee,> who has been introduced to major Republican campaign contributors by Republican Senate Campaign Committee Chairman Alfonse D'Amato of New York, said much of what the Republicans seek to do in Washington ''is exactly what the people of Arkansas want.''

<Huckabee> is running for the seat to be vacated by the retiring David Pryor, a Democrat.

As for the farm bill, <Huckabee> said some government subsidies were ''ridiculous'' but ''two things any country has to able to do is, No. 1, it had better be able to feed itself and No. 2, it had better be able to manufacture for itself its own weapons of defense.''

The 40-year-old Baptist preacher opened his third campaign in four years with airport pep rallies in seven cities - West Memphis, Jonesboro, El Dorado, Springdale, Fort Smith, Texarkana and Little Rock.

<Huckabee> expects no opposition for the Republican nomination.

Five Democrats have announced or expressed an intent to run: Jonesboro lawyer Bill Bristow, Russellville state Sen. Lu Hardin, Little Rock lawyer

Sandy McMath and Cabot resident Mark Brown have announced their candidacies.

Atty. Gen. Winston Bryant is expected to announce early next year. State Sen. Kevin Smith (D-Stuttgart) is expected to decide in December whether he will run.

<Huckabee's> announcement came two weeks after questions were raised about his campaign finances.

The <state Ethics Commission> is scheduled to review his 1994 campaign books on Nov. 2.

State law prohibits candidates from raising money more than two years before the next election except to retire a debt. <Huckabee,> who ended the 1994 campaign with a $ 20,000 to $ 35,000 debt, has raised $ 138,000 since the election.

He said Wednesday he was willing to give the Ethics Commission underlying documents showing how the $ 138,000 was spent, but he refused to release the documents publicly for the media to ''nit-pick'' over.

<Huckabee> said he expected a ''tough, hard and intense'' campaign and he asked his supporters to trust him.

''I'm sure you'll read and hear things that you'll say, 'I can't believe it.' Well, then don't.''

<Huckabee,> whose political successes have been fueled by strong support from the Christian right, said traditional Democratic voters - including black voters - were responding to his message.

''They finally realize that the policies of liberalism for the past generation have done little to do anything more than impoverish future generations,'' <Huckabee> said.

Earlier in his speech, <Huckabee> said it was important for Arkansas to send to Washington a senator who can be a part of the majority party and who holds values and viewpoints held in common in Arkansas.

''Most of us understand that people who get up at six in the morning, fix a sandwich, work all day, come home after dark tired are tired of coming home knowing that half their paycheck will go to those who get up at 10, watch Oprah all afternoon and cruise town all night,'' <Huckabee> said to whoops, whistles and applause from the crowd of 100 supporters.

He said law-abiding citizens are no longer tolerant of having to shield their children behind burglar bars and electronic security, ''while criminals get weekend passes and enjoy cable TV.''

NOTES:
Shorter Version, Final B1

Item # 9

T20h'T65'40'00

LEVEL 2 - 1 OF 96 STORIES

Copyright 1995 Little Rock Newspapers, Inc.
Arkansas Democrat-Gazette

<August> 06, 1995, Sunday

SECTION: NEWS; Pg. 1A
LENGTH: 861 words
HEADLINE: HUCKABEE GETS SIGNS HE'D BE A HIT IF HE RAN
BYLINE: JANE FULLERTON, Democrat-Gazette Washington Bureau

BODY:

WASHINGTON -- Showing signs of a <Senate> run, Lt. Gov. <Mike Huckabee> spent the past week in the nation's capital laying groundwork for 1996.

Huckabee met with key Republicans, including <Senate> Majority Leader Bob Dole of Kansas and House Speaker Newt Gingrich of Georgia, during his three-day visit to Washington.

The response, Huckabee said, was overwhelmingly positive.

"It's been incredible," he said. "If I'd had this kind of reaction in '92, I'd already be here."

Huckabee lost the 1992 <Senate> race to Democratic Sen. Dale Bumpers by a 60-40 margin. He is considered the favorite for the 1996 GOP nomination to fill the seat of retiring Democratic Sen. David Pryor.

On the Democratic side, state Sen. Lu Hardin of Russellville has formally announced his candidacy, and Attorney General Winston Bryant has formed an exploratory committee.

Although Huckabee has yet to formally announce his plans, the former Baptist minister from Texarkana is ready to begin assembling his campaign apparatus. He plans to form an exploratory committee "in the next couple of weeks" so he can begin raising money.

"Short of an announcement, when you set up a committee and open an account, you're certainly testing the waters," he acknowledged.

The race to fill Arkansas' open <Senate> seat is expected to draw national attention, with Republicans mounting an aggressive campaign in President Clinton's home state.

Already, the National Republican Senatorial Committee has promised the maximum financial support -- $ 212,000 -- to help a Republican win an Arkansas <Senate> seat for the first time since Reconstruction. Sen. Alfonse D'Amato, R-N.Y., is chairman of the senatorial committee, and also chairman of the <Senate> Special Whitewater Committee.

Huckabee said he will make a decision about whether to seek the <Senate> post "in the fall."

"I don't want to be boxed into a specific date," he said.

In deciding whether to run, Huckabee is weighing several factors. Chief among them is the fact that he stands to become the state's chief executive if Gov. Jim Guy Tucker should be forced to step down because of the Whitewater investigation.

Huckabee said he is receiving strong encouragement to run for the <Senate> from supporters at home as well as those in Washington.

"Many people who supported Clinton and have supported Democrats have come to me and said they'd support me," he said, declining to give any names.

Despite the state's longtime Democratic leanings, Huckabee contended that voters realize the importance of having a senator in the majority party. The GOP now holds a 54-46 edge in the <Senate> and could gain a filibuster-proof majority of 60 in next year's election.

"A freshman Democratic senator after the '96 election could be rendered virtually powerless," he said. "Electing a Republican becomes certainly important from a standpoint of Arkansas protecting itself."

Huckabee estimates that he would need to raise at least $ 2 million for the 1996 <Senate> race. While that may seem expensive, it is substantially less than the cost of <Senate> races in larger states.

"One of the things that will determine whether I run is to make sure the financing is there," Huckabee said. "I know the hard way what it's like to be outspent, and I don't want to do that again."

In the 1992 race, Bumpers spent more than $ 2 million --more than twice the $ 910,000 spent by Huckabee.

During last week's trip to Washington, Huckabee also wrapped up some loose ends from his 1994 lieutenant governor's race, paying some thank-you visits to supporters.

The trip was paid for out of his lieutenant governor's campaign funds, Huckabee said. He was accompanied by a campaign aide, Brenda Turner.

Huckabee, who arrived in Washington on Tuesday and left Thursday, spent much of his time meeting with GOP lawmakers on Capitol Hill. He even ran into Pryor while riding the <Senate> subway.

Wednesday, Huckabee met with Dole, the leading candidate for the 1996 GOP presidential nomination. Huckabee has signed on as one of 12 national co-chairmen for Dole's campaign.

Huckabee also met several of the <Senate's> more conservative Republican

members, including Sen. Don Nickles of Oklahoma, Sen. Trent Lott of Mississippi, Sen. Orrin Hatch of Utah and Sen. John Ashcroft of Missouri.

And Huckabee made the rounds of some of Washington's most influential political reporters. He met with David Broder, a columnist with The Washington Post, and Fred Barnes, the former editor of The New Republic who is working with a new conservative publication, The Standard.

Huckabee also found time to meet with Republican political consultant Dick Morris, who has generated attention recently for his work with Clinton. Morris has regularly worked with Clinton since his first race for governor in 1978.

Although Huckabee has received some pressure to drop Morris because of Morris' high-profile work for the president, he plans to continue seeking the Connecticut consultant's advice.

"It's an awkward situation that we'll work our way through," Huckabee explained. If Huckabee decides to run, Morris will be "one of several consultants."

LEVEL 2 - 8 OF 96 STORIES

Copyright 1995 The Washington Post
The Washington Post

<August> 06, 1995, Sunday, Final Edition

SECTION: A SECTION; Pg. A19; POLITICS
LENGTH: 332 words
HEADLINE: Clinton Adviser May Aid GOP Arkansan
BYLINE: Paul Taylor; David S. Broder

BODY:

When Arkansas Lt. Gov. <Mike Huckabee> (R) came to town last week to discuss his planned race for the <Senate> vacancy created by the retirement next year of Sen. David Pryor (D), he brought some surprising news. One of the consultants he has lined up is Dick Morris, the same fellow who has cut such a wide swath since President Clinton turned to him for advice after the 1994 election.

Morris, who consulted for Clinton in Arkansas races, helped Huckabee win the 1993 special election for lieutenant governor and guided his reelection campaign last year. As Huckabee tells the story, Morris called him in 1993, when word of his candidacy circulated, and said, "I've invested 15 years in Arkansas politics," counseling Clinton on his races for governor, "and now I've lost my horse. I'm looking for another horse."

Morris, one of the few consultants with clients in both parties, is "very good, one on one, in helping you refine the message," Huckabee said, "but he's very dangerous for a person who doesn't have strong principles. He's a salesman, and you have to be sure you can tell him what you want sold."

Huckabee said that Morris has agreed to be "one of my consultants" in 1996 -- a position that would put Morris in the odd situation of helping elect a Republican in Arkansas at the same time he is trying to reelect Clinton as president.

Morris, in a phone interview, confirmed Huckabee's account of their past dealings but said, "We have not formalized any relationship at this point" for 1996.

With Gov. Jim Guy Tucker (D) under indictment and awaiting trial on Whitewater-related charges, it is possible that Huckabee may be elevated to the governorship before 1996 -- in which case, he says, he would not run for the <Senate.>

But as it stands, Morris may have two Arkansas clients -- one Republican and one Democratic -- who, coincidentally, claim the same home town.

As Huckabee says, "Dick has only two friends in the world -- and we're both from Hope."

LEVEL 2 - 13 OF 96 STORIES

Copyright 1995 News World Communications, Inc.
The Washington Times

<August> 4, 1995, Friday, Final Edition

SECTION: Part A; NATION; Pg. A4
LENGTH: 624 words
HEADLINE: Huckabee warns GOP not to alienate Arkansas
BYLINE: Ralph Z. Hallow; THE WASHINGTON TIMES

BODY:

Republicans can sweep to victory in President Clinton's home state and across the nation in 1996 if they speak to values instead of attacking Democrats, Arkansas Lt. Gov. <Mike Huckabee> said yesterday.

"A lot of people are writing off Arkansas, particularly at the presidential level. I don't think that's a smart bet," said Mr. Huckabee, who is preparing to run for an open <Senate> seat next year.

The key to GOP success in Arkansas is to avoid insulting its residents, even obliquely, said Mr. Huckabee in a meeting with editors and reporters at The Washington Times.

Mr. Huckabee, who has gained a reputation as a skilled politician, cautioned that if his party's nominee goes into Arkansas "and attacks Bill Clinton and just trashes him, that'll be terrible."

He warned that if the GOP presidential nominee next year "were to go do what George Bush did" in his failed 1992 presidential re-election bid, "trash Arkansas, and talk about dirty rivers and otherwise exaggerate about how bad things are in the state, it would be horrible."

Mr. Huckabee said that most Arkansans are conservative but still think of themselves as Democrats. The way for a GOP presidential nominee to carry the state is to be less of a partisan and to "simply come in and speak to the values of Arkansas and resonate with those values."

"The key is ignoring Bill Clinton and focusing on what you're for and not on who you're against."

The GOP presidential nominee should also apply that strategy nationwide, he said.

Mr. Huckabee, whose election victory in 1993 made him the the first Republican to win a statewide race in his state since 1980, believes the GOP can win all four House seats in Arkansas and the <Senate> seat that David Pryor is retiring from next year, as well as carry the state for the Republican presidential nominee.

Mr. Huckabee, a Baptist minister with a hearty sense of humor, won a

special election for lieutenant governor in 1993 after Jim Guy Tucker, the Democratic lieutenant governor, succeeded Mr. Clinton as governor.

Mr. Tucker now faces trial on three felony charges that he lied to obtain a federally backed loan and plotted to evade taxes. He has accused Whitewater independent counsel Kenneth Starr of railroading him for partisan reasons.

The dilemma Mr. Huckabee faces is that the outcome of the Tucker trial may be delayed until next year. If Mr. Tucker is found guilty and has to vacate the governorship, Mr. Huckabee automatically becomes governor. That could leave too little time for another Republican to raise enough money for an effective <Senate> race against the Democratic contestant.

If on the other hand Mr. Tucker is found not guilty, he is expected to campaign on behalf of Democrats by appealing to Arkansans' pride, arguing that Republicans let the Whitewater independent counsel besmirch Mr. Tucker's and the state's reputation purely for political gain.

Mr. Huckabee said his counterstrategy would be to argue that "the worst thing that could happen to Arkansas is to be completely shut out" of a Republican-dominated <Senate> at a time when Congress is reapportioning federal tax dollars and responsibilites to the states.

Mr. Huckabee agreed Republicans in Congress appear to be pulling back from their commitments to enact congressional term limits, pass a constitutional amendment to require a balanced budget and roll back affirmative-action laws.

He predicted Republican lawmakers will recover their backbones later this month when GOP lawmakers get out of Washington for summer recess, return to their home districts and "hear from people in the real world."

GRAPHIC: Photo, Lt. Gov. <Mike Huckabee> says George Bush took the wrong tack on Arkansas in 1992., By Andrea C. Figgatt/The Washington Times

Item # 10

9204.155.40.66

Post-it™ brand fax transmittal memo No. 3791 Pg. 1 4

| To Peter Lundstrom | From Rick Watkins |
|---|---|
| Co. | Co. |
| Dept. | Phone # |
| Fax # | Fax # |

## THE WEEK THAT WAS

FEB. 1–7, 1996

**IT WAS A GOOD WEEK FOR...**

STATE INSURANCE COMMISSIONER LEE DOUGLASS, who said he wanted to see David Hale prosecuted for alleged insurance law violations, no matter what Whitewater Prosecutor Kenneth Starr might think. Douglass, a former prosecutor, properly noted that he raised the issue nearly two years ago and the violations have no relationship to federal charges for which Starr has promised Hale easy treatment. At last, courage. The hot potato now belongs to Prosecutor Mark Stodola.

LITTLE ROCK SCHOOL SUPERINTENDENT HENRY WILLIAMS, in danger of being axed from his current job, who was chosen as a finalist to be superintendent in the larger St. Louis school district. Good for Little Rock, too.

**IT WAS A BAD WEEK FOR...**

PRESIDENT CLINTON, who, a judge decided, may be subpoenaed to testify as a defense witness in the criminal trial of Gov. Jim Guy Tucker and Jim and Susan McDougal. On the other hand, we like Clinton's chances when it's his word against David Hale.

LT. GOV. MIKE HUCKABEE, who wasn't man enough to face the music when the state Ethics Commission found a host of irregularities in his 1994 campaign finance reports. Denied his usual alibi—blaming Democrats—by a bipartisan 5-0 vote, Huckabee went into hiding for four days, then emerged to whine to his favorite journalists that he was a victim of a "vague" law.

The HOT SPRINGS BOARD OF DIRECTORS, which refused to vote on Director Charles Oxford's resolution denouncing proposals to legalize casinos in the Spa. The wimps said they'd rather hear from voters first.

WHITEWATER PROSECUTOR KENNETH STARR, caught red-handed. He had said he didn't try to dissuade state prosecution of David Hale. Documents showed he lied.

SAM DASH, Kenneth Starr's alleged ethics adviser, who peddled a line of bull to the press to cover his own heavy-handed intervention against then David Hale prosecution. No Bar Association rule, despite what Dash said, requires the state to default on a prosecution because the feds don't want a jury to know what a dirtbag their key witness is.

RI

Arkansas Times, Feb. 9, 1996

# Huckabee gets a bipartisan scolding

*Ethics panel finds "inaccurate" records, "unreasonable" expenses.*

BY MAX BRANTLEY

"The bottom line in this is pretty lousy bookkeeping."

The speaker was *Candi Russell of Fort Smith*, a member of the state Ethics Commission, as it reviewed staff findings on Lt. Gov. Mike Huckabee's fund-raising in the months after his November 1994 re-election.

Russell's comment was significant. A one-time Huckabee campaign worker, she joined in two 5-0 commission votes that effectively sent the message that Huckabee had not complied with campaign finance laws. Joining in the vote was Rita Looney, a Little Rock lawyer and Huckabee appointee to the commission, a circumstance that deprived Huckabee of the argument that he was a victim of Democratic partisans.

Because no formal investigation had been undertaken, commission rules precluded a vote on a formal finding of a law violation. But the commission issued two advisory opinions: 1) that Huckabee's finance reports were "incorrect"—full of errors and misstatements; and 2) that he had raised an unreasonable amount, $91,000, to pay a campaign debt that proved to be only about $17,000. Such an effort was hardly necessary. The review showed Huckabee spent only about $1,000 on two fund-raisers, one by the campaign and one by the soft drink industry, that raised far more than necessary to retire the debt, about $40,000.

The law says candidates who end campaigns with debt may raise money "solely" to retire the debt. A commission opinion allows candidates to spend "reasonable" amounts toward administrative costs of debt retirement.

The commission's decision that Huckabee stepped over the line was a political setback for a fiscal conservative who expects to be the Republican nominee for U.S. Senate this year.

The outcome was also embarrassing on account of Huckabee's earlier pronouncements. In a campaign letter, he had bitterly criticized "junkyard journalists" who raised questions about his campaign reports. That letter said that his campaign finance disclosures were more extensive than any candidate's. He and his staff had repeatedly told reporters that he had receipts to support all his expenses (they didn't). He also had told the Ethics Commission that he "firmly believed" all funds had been raised, spent and reported legally. The Ethics Commission firmly disagreed.

*EASY CALL: Ethics Commissioner Eugene Hunt said it didn't take "a real leap" to answer the question of whether Huckabee had spent money unreasonably to retire 1994 campaign debt.*

Huckabee, who had asked the commission to look into his records as a way to combat negative media attention, wasn't wholly cooperative in the investigation. The Ethics Commission was unable to photocopy his records. Staff members were able to take only hand-written notes, a process that effectively stymied prolonged study.

A nine-hour review of the records by commission staff turned up embarrassments enough.

Huckabee charged his campaign $1,500 in 1995 for a trip to Hawaii in 1994. He says the trip, to make a speech in support of another Republican candidate, was supposed to be reimbursed, but he never got the money. It produced nothing in the way of money for debt retirement, in any case.

He charged in 1995, as a 1994 campaign expense, $2,000 for an August trip by him and campaign aide Brenda Turner to Washington. The trip, undertaken in part to explore his Senate prospects and in part to talk to political consultant Dick Morris, also produced no direct 1994 campaign contributions, other than forgiveness of a debt to Morris, which seemingly could have been obtained by telephone.

The Ethics Commission also found that Huckabee used money ostensibly raised to pay off 1994 debt to make trips around Arkansas in early 1995, during the time the Arkansas legislature was in session. This is a time when campaign fund-raising is prohibited by law. Huckabee said he needed to make such appearances, often at Republican Party dinners, to keep up good public relations with people who might give him money later.

Also during the legislative session, Huckabee kept Brenda Turner employed full-time to run a political office in Texarkana, ultimately paying her more than $10,000 for the work. She told investigators that no more than 50 percent of her time could be said to be directly related to retiring campaign debt, the rest was spent on political work such as scheduling Huckabee speaking appearances.

The investigation also found that a reported $4,166 reimbursement of "candidate" expenses in 1995 actually was a cash payment to reimburse his wife, Janet, for miles driven during the 1994, at a rate of 30 cents a mile.

"I'd drive around all day for 30 cents a mile. I'll start right now," said Commissioner Troy Burris. Commissioners Eugene Hunt and Norton Wilson also criticized the rate ("ridiculous" and "unreasonable" were Wilson's words).

It marks the third time the Huckabee family has drawn cash from campaign funds, a legal though politically problematic practice. In his 1992 campaign for Senate, he paid himself, through a personal communications company, for campaign work. In his 1993 race for lieutenant governor, he paid his wife a salary.

Huckabee's attorney, Frank Arey of Conway, had hoped to make the case that Huckabee had operated no differently than

See page 9

To subscribe call 375-2985 • ARKANSAS TIMES

The Arkansas REPORTER

*ETHICS: Continued from page 8*

other candidates. But the Commission staff investigation found otherwise. Only Gov. Jim Guy Tucker among state-wide officials carries a continuing campaign debt of any size, and he has spent a relatively small amount on postage and temporary workers.

To a degree, Huckabee was a victim of the newfound strength of the Ethics Commission. There have undoubtedly been sloppy campaign record-keepers before him. But the Commission now has a full-time staff attorney and investigator. Huckabee is the first major candidate to draw in-depth examination.

Huckabee has argued that the law doesn't provide specific guidance for what constitutes a reasonable amount to spend in administrative costs to retire debt.

Commissioner Troy Harris said that spending more than about 20 percent of campaign contributions on administrative costs was excessive. Huckabee spent more than 80 percent.

The commission decided, for the time being, to follow a common-sense approach. They know unreasonable spending when they see it.

### Tricky Dick

A tidbit of the Mike Huckabee campaign's day before the state Ethics Commission last week was a tidbit about Dick Morris, the Connecticut political consultant. Morris has been described as something of a political soul mate of Huckabee, a Republican, but is working now as a consultant to a Democrat, President Clinton.

Morris worked for Huckabee in the 1994 campaign and, it developed, he forgave a debt of more than $15,000 to Huckabee in August 1995, which was one of the ways Huckabee eventually balanced his campaign books.

The Ethics Commission staff said they had been told Morris forgave the debt for "political" reasons. Frank Arey, Huckabee's attorney, explained that Morris had said "he could make more money working for President Clinton" and didn't want to have to report receiving money from a Republican candidate in 1995.

The financial contribution limit in Arkansas is $1,000 per election, but Arey said in-kind contributions in excess of that limit were legal under a provision of the law allowing voluntary contributions of personal services.

### Political expense account

The Ethics Commission investigation of Lt. Gov. Mike Huckabee, in concert with office records obtained under the state Freedom of Information Act, shows he used campaign money for prohibited purposes.

For example, a summary of the Ethics Commission findings showed that Huckabee claimed $1,573 in travel expenses in June and July of 1995. Under state law, money raised post-election was supposed to be used "solely" to retire debt from the 1994 campaign.

But Huckabee's office calendar, obtained by the *Times* under the FOI, shows $404 of the travel money paid to fly Huckabee to the Pink Tomato Festival in Warren.

Subsequent charges to the campaign for July 4-13 covered Huckabee's travels to the Piggott July 4th picnic, the Pangburn July 4th parade and an appearance at Arkansas Governor's School in Conway, among other typical public appearances.

Huckabee contends that because those appearances were political—"maintaining constituent contact" was how he described it to the Ethics Commission—they should be reimbursable even if they were only vaguely related to campaign fund-raising. The Ethics Commission indicated it wasn't inclined to open the door to such practices.

Don't Wait To Get That New Natural Gas Appliance. Just Say

CHARGE IT

On Your Arkla Bill.

Now, it's easier than ever to own the new generation of clean, efficient, environmentally-friendly, natural gas appliances — just say "Charge it to my Arkla bill." Financing is now available to qualified buyers on any new natural gas appliance with easy, monthly payments on your Arkla bill. For details, call Arkla for a list of participating natural gas appliance dealers. Why wait any longer! Start enjoying the convenience of natural gas appliances today.

ARKLA

A NORAM ENERGY COMPANY

Item #11

F40t.T63.40.66

LEVEL 2 - 18 OF 96 STORIES

Copyright 1995 Little Rock Newspapers, Inc.
Arkansas Democrat-Gazette

<July> 24, 1995, Monday

SECTION: NEWS; Pg. 1B
LENGTH: 1297 words
HEADLINE: PRYOR'S SEAT ATTRACTS FULL CANDIDATE FIELD DEMOCRATS HARDIN, BRYANT ALREADY ON STUMP
BYLINE: RACHEL O'NEAL, Democrat-Gazette Capitol Bureau

BODY:

It's a long time until the first Tuesday in November 1996.

But state Sen. Lu Hardin, D-Russellville, already is on the campaign trail, trying to earn name recognition among voters and pick up seed money.

Hardin is the only person to have formally announced that he will run for the seat being given up next year by Sen. David Pryor, D-Ark. Right behind Hardin at community picnics, in coffee shops and at civic club luncheons are several unannounced candidates who are all but certain to enter the race.

Hardin, who has served in the Legislature since 1983, announced in early May that he would run for Pryor's seat. He has raised about $ 122,000 in contributions for a race many political observers think will take at least $ 2 million to win.

Hardin has taken a leave of absence from his job as a business professor at Arkansas Tech University in Russellville to run full time. He also is a lawyer.

"I'm enjoying it," Hardin said last week. "This is hard work but a good experience. The campaign has become a family affair."

Hardin, 43, frequently is accompanied to events by his wife, Mary. His son, 17-year-old Scooter, often drives his father. His daughter, 10-year-old Mallory, sometimes is along for the ride.

With seven victories in seven statewide races since 1976, Attorney General Winston Bryant, 56, is viewed as the front-runner for the Democratic nomination. Bryant filed the necessary paperwork in June to run for the <Senate,> but he won't formally announce his candidacy until early next year.

"I'm satisfied with the position I'm in," said Bryant, who first was elected attorney general in 1990 and overwhelmingly was re-elected to a second four-year term in November 1994. "The race is still a long way off. But this is a big race, and there will be a lot of attention focused on it. It is going to take a lot of time and energy."

Bryant said major fund-raising events will come later. Still, almost $

100,000 in contributions has flowed in. The attorney general attends parades and festivals across the state on weekends and holidays.

On the Republican side, it is a case of waiting to see what Lt. Gov. <Mike> <Huckabee> of Texarkana will do. Huckabee, 39, is getting ready to form an exploratory committee.

"I'm in no red-hot hurry to meet a deadline," Huckabee said. "We're going to start the process of getting papers filed and opening an account during the next few weeks."

Huckabee won a July 1993 special election for lieutenant governor, becoming the first Republican elected in a statewide race since 1980. He was re-elected in November to a full four-year term.

Huckabee said he decided to form an exploratory committee now because of the "incredible" response he has received to letters sent to Republicans across the state asking if he should run for the <Senate.>

"There is more than enough time to make a decision," he said, "but part of the task is to see what level of financial support is out there. ... Should something happen, there is not only an opportunity for me to give money back to those who have contributed, but more importantly there is time for anyone else who wants to run to get in the race."

Huckabee is watching with interest as Gov. Jim Guy Tucker defends himself against a three-count felony indictment. Should Tucker resign, Huckabee would move up to governor and probably come under pressure from many Arkansans to devote full time to that job.

Other Republicans appear ready to jump into the <Senate> race should Huckabee decide not to run.

Asa Hutchinson, a Fort Smith lawyer and chairman of the Arkansas Republican Party, is considered a likely candidate if Huckabee doesn't get in. Hutchinson and Huckabee both have run losing races against Sen. Dale Bumpers, D-Ark. Hutchinson received 38 percent of the vote against Bumpers in 1986 and Huckabee received 40 percent against Bumpers in 1992.

Former U.S. Attorney Chuck Banks of Little Rock and former state Sen. Steve Luelf of Mountain Home also are mentioned as potential Republican candidates.

Banks, 48, ran unsuccessfully for Congress in the 1st District in 1982, losing to former Rep. Bill Alexander, D-Ark.

Luelf, who served in the state <Senate> from 1985-94, said he will consider a race if Huckabee doesn't run. But Luelf, who finished second in last year's three-man GOP gubernatorial primary behind Sheffield Nelson, a Little Rock lawyer, said he's more interested in making another gubernatorial race in 1998.

"It depends on the circumstances," said Luelf, 53. "I am not actively

pursuing it. If number of people think I need to run, I would consider it. It really depends on <Mike Huckabee.> He would be our strongest candidate."

With Republicans in control of Congress, Huckabee said it is "important for everyone in Arkansas to have at least one person in that chamber who is in the majority party and can deal with the leadership. Nothing would be worse than to be irrelevant."

That, of course, assumes the GOP retains control of the <Senate> in the November 1996 elections.

Expected to join Hardin and Bryant in the Democratic field are two other lawyers, Sandy McMath of Little Rock and Bill Bristow of Jonesboro.

During the past year, McMath, 53, has visited voters in 55 of the state's 75 counties. He has even had a slick brochure printed to tout his accomplishments as a trial lawyer and author. The only thing missing in the brochure is a reference to which office he is seeking. In fact, it doesn't even mention a campaign.

McMath is the son of former Gov. Sid McMath, who served from 1949-52.

The brochure calls Sandy McMath a "relentless defender of people's rights. While his practice is based in Little Rock, his work is seen and felt throughout Arkansas and the nation."

It mentions his support of term limits and a balanced-budget amendment.

McMath said that even though he hasn't formally entered the race, he needed a brochure because he had been passing out business cards. People wanted something more descriptive, he said. McMath said he will make his final decision after Labor Day.

"You need to talk to people at the local level about what their thoughts are," McMath said. "You need to see if your philosophy is in keeping with their needs."

McMath classifies himself as a populist Democrat from the "Andrew Jackson-Harry Truman" school of politics.

In his brochure, McMath writes: "We once called Arkansas the Bear State, a name befitting its greatness. For 25 years, I fought like a grizzly bear in the courtroom. My battle has been for Arkansas people and their families. It has been against injustice and corruption. I've only just begun."

Bristow, 44, has told friends he will run, although he's not prepared to make a formal announcement. Bristow characterizes himself as a fiscal conservative.

"We are continuing to borrow from our children and grandchildren, and we have to stop," he said last week.

Bristow is well-known in the legal community. He represented former Attorney General Steve Clark, who was convicted of theft in 1990. Bristow also represented Neal Ainley of Perryville, the former Perry County Bank president who pleaded guilty earlier this year to misdemeanor charges brought by the Whitewater independent counsel, Kenneth Starr.

Many Democrats think the strongest primary challenge to Bryant would be presented by state Sen. Mike Beebe of Searcy. Beebe, 48, spent part of June calling potential supporters. Now, friends say, he is talking to family members about a race.

"Most of what I have done in the past month has been trying to resolve the personal aspects," Beebe said.

Beebe said he has been approached by "major" consultants who were "extraordinarily sharp" and persuasive. The consultants, he said, urged him to enter the race.

**SCHEDULE B** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 6

FOR LINE NUMBER 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Huckabee Election Committee (U.S. Senate)

| Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
|---|---|---|---|
| A. Century Cellunet<br>2325 Texas Blvd.<br>Texarkana, TX 75501 | TELEPHONE<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 10/23/95<br>11/10/95<br>11/17/95 | 18.90<br>61.05<br>214.27 |
| B. see above | Purpose of Disbursement<br>Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | 12/19/95 | 244.19 |
| C. GTE<br>P.O. Box 920041<br>Dallas, TX 75392 | Purpose of Disbursement<br>TELEPHONE<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 10/23/95<br>11/10/95<br>11/10/95 | 68.32<br>71.75<br>96.29 |
| D. see above | Purpose of Disbursement<br>Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | 12/09/95<br>12/12/95 | 78.29<br>145.73 |
| E. AT&T<br>P.O. Box 78225<br>Phoenix, AZ 85062 | Purpose of Disbursement<br>TELEPHONE<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 10/23/95<br>11/10/95<br>12/09/95 | 371.10<br>1011.84<br>243.64 |
| F. Hightech Signs<br>2315 Richmond Road, #6<br>Texarkana, TX 75501 | Purpose of Disbursement<br>SUPPLIES<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 10/27/95 | 214.34 |
| G. NRSC<br>425 Second Street NE<br>Washington, DC 20002 | Purpose of Disbursement<br>POSTAGE<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 09/28/95 | 279.36 |
| H. Griffith Enterprises<br>1006 Westlawn Drive<br>Texarkana, TX 75501 | Purpose of Disbursement<br>DIRECT MAIL EXP<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 10/16/95<br>10/23/95 | 4059.86<br>5854.30 |
| I. Federal Express<br>1401 College Drive<br>Texarkana, TX 75503 | Purpose of Disbursement<br>MAILING EXPENSE<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 10/16/95<br>10/16/95<br>10/19/95 | 26.75<br>39.50<br>31.50 |

SUBTOTAL of Disbursements This Page (optional) .....

TOTAL This Period (last page this line number only) .....

9500040224

SCHEDULE B

# ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page



FOR LINE NUMBER 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Huckabee Election Committee (U.S. Senate)

| A. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
|---|---|---|---|
| Federal Express<br>1401 College Drive<br>Texarkana, TX 75503 | MAILING EXPENSE<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 10/27/95<br>10/27/95<br>11/03/95 | 40.00<br>20.00<br>31.75 |
| B. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| see above | Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | 11/10/95 | 36.00 |
| C. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| US Postal Service<br>500 State Line Avenue<br>Texarkana, AR 75502 | MAILING EXPENSE<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 10/23/95<br>10/27/95<br>12/09/95 | 160.00<br>4.56<br>324.32 |
| D. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| see above | Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | 12/19/95 | 85.68 |
| E. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| Brenda Turner<br>3910 Concord Place<br>Texarkana, TX 75501 | PERSONNEL DISBURS<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 10/19/95<br>10/19/95<br>10/20/95 | 502.19<br>502.19<br>502.19 |
| F. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| see above | Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | 10/20/95<br>10/20/95<br>10/27/95 | 502.19<br>502.19<br>502.19 |
| G. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| see above | Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | 11/03/95<br>11/17/95<br>11/10/95 | 502.19<br>502.19<br>502.19 |
| H. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| see above | Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | 11/24/95<br>12/01/95<br>12/08/95 | 502.19<br>502.19<br>502.19 |
| I. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| see above | Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | 12/15/95 | 502.19 |

SUBTOTAL of Disbursements This Page (optional) .....

TOTAL This Period (last page this line number only) .....

9 6 0 2 0 0 1 4 0 2 5

CHEDULE B

# ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 3 OF 6

FOR LINE NUMBER 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Huckabee Election Committee (U.S. Senate)

| A. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
|---|---|---|---|
| Sharon Hicks<br>934 Clear Creek<br>Texarkana, TX 75503 | PERSONNEL DISBURS<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 10/19/95<br>10/27/95<br>11/03/95 | 173.64<br>173.64<br>173.64 |
| B. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| see above | Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | 11/10/95<br>11/17/95<br>12/01/95 | 173.64<br>173.64<br>173.64 |
| C. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| see above | Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | 12/08/95<br>12/19/95 | 173.64<br>173.64 |
| D. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| Mary Beth Edwards<br>RR 15 Box 514<br>Texarkana, TX 75501 | PERSONNEL DISBURS<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 11/17/95<br>12/01/95 | 191.09<br>227.11 |
| E. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| Prissy Hickerson<br>10 Wade Trail<br>Texarkana, AR 75502 | PERSONNEL DISBURS<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 11/17/95 | 360.00 |
| F. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| Citibank VISA<br>P.O. Box 6000<br>The Lakes, NV 89163 | EXPENSES-TRAVEL<br>No vendors over $200<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 09/15/95 | 365.66 |
| G. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| DISCOVER<br>P.O. Box 6011<br>Dover, DE 19903 | EXPENSES-TRAVEL<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 10/16/95 | 614.90 |
| H. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| Eagle Forum<br>% Marriott Hotel<br>Interstate 70<br>St. Louis, MO 63134 | CONFERENCE EXP<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 10/16/95 | 205.00<br>Ultimate vendor<br>Memo entry |
| I. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| DISCOVER<br>P.O. Box 6011<br>Dover, DE 19903 | EXPENSES-TRAVEL<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 11/10/95 | 1808.32 |

SUBTOTAL of Disbursements This Page (optional) .....

TOTAL This Period (last page this line number only) .....

8408 7621 4065

SCHEDULE B

# ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 4 OF 16

FOR LINE NUMBER 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Huckabee Election Committee (U.S. Senate)

| Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
|---|---|---|---|
| A. Goodwin Travel<br>320 West Capitol, Suite 245<br>Little Rock, AR 72201 | AIRFARE<br>Disbursement for: [x] Primary [ ] General [ ] Other (specify) | 11/10/95 | 951.00<br>Ultimate vendor<br>Memo entry |
| B. Cope's Pilot Services<br>123 Locust Street<br>Conway, AR 72032 | PILOT SERVICE<br>Disbursement for: [x] Primary [ ] General [ ] Other (specify) | 11/10/95 | 446.50 |
| C. Conway Aviation<br>425 6th Street<br>Conway, AR 72032 | FUEL<br>Disbursement for: [x] Primary [ ] General [ ] Other (specify) | 11/10/95 | 211.36 |
| D. Gold Coast Aviation, Inc.<br>4200 Landers Road<br>N. Little Rock, AR 72117 | AIRCRAFT LEASE<br>Disbursement for: [x] Primary [ ] General [ ] Other (specify) | 11/10/95 | 1050.00 |
| E. VISA<br>P.O. Box 740115<br>Atlanta, GA 30374 | EXPENSES-TRAVEL<br>No vendors over $200<br>Disbursement for: [x] Primary [ ] General [ ] Other (specify) | 12/19/95 | 622.51 |
| F. Hilton Inn<br>925 S. University<br>Little Rock, AR 72204 | HOTEL EXPENSE<br>Disbursement for: [x] Primary [ ] General [ ] Other (specify) | 11/03/95 | 300.16 |
| G. Sutton Press<br>1924 W. 7th Street<br>Texarkana, TX 75501 | PRINTING<br>Disbursement for: [x] Primary [ ] General [ ] Other (specify) | 10/23/95 | 378.56 |
| H. Wholesale Printing<br>3305 Texas Blvd.<br>Texarkana, TX 75503 | PRINTING<br>Disbursement for: [x] Primary [ ] General [ ] Other (specify) | 10/23/95<br>11/10/95<br>12/09/95 | 47.55<br>713.34<br>406.91 |
| I. AR Newspaper Clipping Service<br>1701 Broadway<br>Little Rock, AR 72206 | PRESS RELATIONS<br>Disbursement for: [x] Primary [ ] General [ ] Other (specify) | 12/09/95 | 200.00 |

SUBTOTAL of Disbursements This Page (optional) .....

TOTAL This Period (last page this line number only) .....

960-00-4027

SCHEDULE B

# ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 5 OF 6

FOR LINE NUMBER 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Huckabee Election Committee (U.S. Senate)

| A. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
|---|---|---|---|
| The Nemo Group<br>537 3rd Street NE<br>Washington, DC 20002 | CONSULTING<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 09/28/95<br>11/17/95<br>12/08/95 | 1500.00<br>3000.00<br>1291.08 |
| B. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| DISCOVER<br>P.O. Box 6011<br>Dover, DE 19903 | SPECIAL EVENTS<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 09/20/95 | 207.64 |
| C. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| Holiday Inn West<br>Interstate 430<br>Little Rock, AR | MEETING ROOM<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 09/20/95 | 207.64<br>Ultimate vendor<br>Memo entry |
| D. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| Becky Hamill & Company<br>425 Second Street NE<br>NRSC<br>Washington, DC 20002 | SPECIAL EVENTS<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 09/28/95 | 980.00 |
| E. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| The Monocle<br>107 D Street NE<br>Washington, DC 20002 | SPECIAL EVENTS<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 11/17/95 | 302.40 |
| F. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| Eileen McGann<br>20 Beeholm Road<br>West Redding, CT 06896 | POLLING<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 09/18/95 | 4000.00 |
| G. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| Albright Ideas<br>#5 Shackleford, Suite 150<br>Little Rock, AR 72211 | RADIO & TELEVISION<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 10/16/95 | 10000.00 |
| H. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| Ron Fuller<br>#5 Shackleford, Suite 150<br>Little Rock, AR 72211 | FUNDRAISING SALARY<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 11/17/95 | 329.00 |
| I. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
| Federal Express<br>1401 College Drive<br>Texarkana, TX 75503 | FUNDRAISING DIRECT MAIL<br>Disbursement for: [X] Primary [ ] General [ ] Other (specify) | 10/16/95 | 217.25 |

SUBTOTAL of Disbursements This Page (optional) .....

TOTAL This Period (last page this line number only) .....

0504.1653.40.00

SCHEDULE B

# ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 6 OF 16

FOR LINE NUMBER 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Huckabee Election Committee (U.S. Senate)

| Full Name, Mailing Address and ZIP Code | Purpose of Disbursement / Disbursement for | Date (month, day, year) | Amount of Each Disbursement This Period |
|---|---|---|---|
| A. The Capital Hotel<br>111 West Markham<br>Little Rock, AR 72201 | FUNDRAISING OVERHEAD<br>[X] Primary [ ] General [ ] Other (specify) | 11/03/95<br>11/03/95 | 4625.48<br>420.00 |
| B. RSVP Catering<br>3801 Bowman Road<br>Little Rock, AR 72211 | FUNDRAISING OVERHEAD<br>[X] Primary [ ] General [ ] Other (specify) | 11/10/95 | 497.60 |
| C. IRS<br>Commercial National<br>224 E. 4th Street<br>Texarkana, AR 75502 | IRS TAX DEPOSIT<br>[X] Primary [ ] General [ ] Other (specify) | 09/26/95<br>09/26/95<br>10/27/95 | 262.13<br>24.23<br>24.44 |
| D. see above | [ ] Primary [ ] General [ ] Other (specify) | 10/27/95<br>11/17/95 | 355.92<br>1500.00 |
| E. American Express<br>P.O. Box 53779<br>Phoenix, AZ 85072 | SUPPLIES<br>[X] Primary [ ] General [ ] Other (specify) | 12/09/95 | 324.49 |
| F. Georgetown Publishing<br>1101 30th Street<br>Washington, DC 20007 | SUPPLIES<br>[X] Primary [ ] General [ ] Other (specify) | 12/09/95 | 307.00<br>Ultimate vendor<br>Memo entry |
| G. | [ ] Primary [ ] General [ ] Other (specify) | | |
| H. | [ ] Primary [ ] General [ ] Other (specify) | | |
| I. | [ ] Primary [ ] General [ ] Other (specify) | | |

SUBTOTAL of Disbursements This Page (optional) .....

TOTAL This Period (last page this line number only) ..... 59556.51

9 0 0 1 0 2 1 5 0 4 1 5 2 1 0 6

Item #12

2504'162'40'66

LEVEL 1 - 6 OF 33 STORIES

Copyright 1995 Little Rock Newspapers, Inc.
Arkansas Democrat-Gazette

November 05, 1995, Sunday

SECTION: NEWS; Pg. 1B
LENGTH: 979 words
HEADLINE: <HUCKABEE> DIGS OUT OF DEBT TUCKER STILL FIGHTS EXPANDING RED INK
BYLINE: NOEL OMAN, Democrat-Gazette Capitol Bureau

BODY:

Lt. Gov. Mike <Huckabee> of Texarkana is not the only Arkansas elected official who carried a campaign debt into 1995. Gov. Jim Guy Tucker's 1994 campaign organization continued to raise and spend large amounts of money, too.

<Huckabee,> the lone statewide Republican officeholder, has been under intense scrutiny by the media in recent weeks for raising $ 137,650 to pay off a debt he said had grown to $ 35,560 by early this year.

<Huckabee> asked the <state Ethics Commission> to investigate finance reports for his 1994 re-election campaign. On Thursday, the commission took no action, saying it could find only minor discrepancies.

Tucker, a Democrat, also continued to pay large bills with his 1994 campaign account. Tucker's debt was $ 104,951 by last month. By that time, <Huckabee> had paid off his 1994 debt.

Almost half of the Tucker campaign's expenditures in the past year have gone to the Little Rock law firm where John Haley is a partner. Haley was indicted June 7 along with Tucker by a federal grand jury at Little Rock.

State law prohibits candidates from raising money more than two years before their next election except to retire campaign debts. The law allows a candidate to raise enough money to cover "reasonable" fund-raising expenses.

But "reasonable" is open to interpretation.

The government watchdog group Common Cause of Arkansas became interested not only in <Huckabee's> high rate of spending in the months following the 1994 campaign but also in the inability to trace exactly how <Huckabee> spent money from his campaign account, especially when it came to travel.

<Huckabee> insists he has complied with campaign finance laws, and the Ethics Commission agreed. <Huckabee> said he had to spend money to make money and that critics were motivated by partisan politics.

"I'm becoming increasingly used to the idea of being held to a different standard because I'm in the minority party," <Huckabee> said Friday. "It's unfortunate that people would try to use the <state Ethics Commission> as a

political weapon. That's an abuse of what the Ethics Commission was created for."

He said Democratic officeholders have not been subjected to the same level of scrutiny by reporters and Common Cause officials.

<Huckabee> is expected to be the Republican nominee next year for the seat being given up by Sen. David Pryor, D-Ark. Arkansas voters have never elected a Republican to the U.S. Senate, but several polls have shown <Huckabee> with a sizable lead over potential Democratic contenders.

Through last month, when he formally announced his Senate candidacy, <Huckabee> used his lieutenant governor's campaign account to pay off bills on three credit cards. Although the credit-card payments were listed as travel expenses, the expenses were not itemized as to exact flights, meals, etc.

For instance, <Huckabee's> 1994 re-election campaign reported $ 30,204 in expenses for July 1995. More than $ 4,000 of that was listed as travel expenses.

In the part of the report where travel expenses were itemized, the campaign broke down expenditures this way: $ 361 in travel expenses by Brenda Turner, a campaign aide; $ 350 to a charge card identified as CNB; and $ 3,394 to pay off a MasterCard bill.

<Huckabee> was reimbursed another $ 1,057 for expenses the report did not disclose.

<Huckabee> said part of the 1995 expenditures have gone to pay off bills for expenses incurred before the Nov. 8, 1994, election.

His campaign's July expenses included bills totaling more than $ 3,000 from four telephone companies: $ 2,052 to General Telephone Co.; $ 245 to Southwestern Bell Telephone Co.; $ 681 to Century Cellunet; and $ 324 to AT&T.

The <Huckabee> campaign also spent more than $ 5,000 on office supplies and printing costs in July. For office supplies, the campaign reported spending $ 408 at Office Source of Texarkana. Printing expenses included $ 2,893 at Griffith Enterprises in Texarkana. The campaign spent $ 320 with the U.S. Postal Service. Another $ 255 in printing costs went to Sutton Press, for which no address was listed.

Few Arkansas campaigns turn in itemized expenditure lists that go into more detail than the <Huckabee> campaign's reports.

While <Huckabee's> campaign debt from 1994 has disappeared, Tucker's has grown.

In a January quarterly report, Tucker reported a debt of $ 86,740. In his October quarterly report, the governor listed a debt of $ 104,951.

In the last four quarterly reports, the Tucker campaign reported spending $ 98,049 and raising $ 146,912. Tucker aides said most of the expenditures were for pre-election expenses. The July quarterly report listed a $ 25,466 payment

to Austin-Sheinkopf Ltd., a political consulting firm Tucker used during the 1994 campaign.

But about half of the expenditures -- $ 45,283 -- went to the Little Rock law firm Arnold Grobmyer & Haley, where John Haley is a partner. The April quarterly report listed the payment under "research."

The Whitewater grand jury charged in June that Tucker, Haley and a former business partner named William Marks were involved in a sham 1987 Texas bankruptcy. Haley was Tucker's longtime tax attorney.

U.S. District Judge Henry Woods of Little Rock quashed the indictment, ruling that Whitewater independent counsel Kenneth Starr was outside his jurisdiction when he asked the grand jury to bring the charges. Woods' ruling is on appeal to the 8th U.S. Circuit Court of Appeals.

Tucker said the campaign payments were for time Haley and other employees of the firm spent responding to campaign-related media inquiries. Tucker's Republican opponent, Little Rock lawyer Sheffield Nelson, repeatedly tied Tucker to the Whitewater affair before the election.

Compared with <Huckabee's> reports, Tucker's 1995 reports reflect only a small amount of travel-related expenses ($ 7,231) and telephone expenses ($ 3,068).

Item #13

9504"162"40"66

Post-it™ brand fax transmittal memo No. 3791 pg. 1 of 4

| To Peter Lundstrom | From Rick Watkins |
|---|---|
| Co. | Co. |
| Dept. | Phone # |
| Fax # | Fax # |

## THE WEEK THAT WAS

FEB. 1-7, 1996

**IT WAS A GOOD WEEK FOR...**

**STATE INSURANCE COMMISSIONER LEE DOUGLASS**, who said he wanted to see David Hale prosecuted for alleged insurance law violations, no matter what Whitewater Prosecutor Kenneth Starr might think. Douglass, a former prosecutor, properly noted that he raised the issue nearly two years ago and the violations have no relationship to federal charges for which Starr has promised Hale easy treatment. At last, courage. The hot potato now belongs to Prosecutor Mark Stodola.

**LITTLE ROCK SCHOOL SUPERINTENDENT HENRY WILLIAMS**, in danger of being axed from his current job, who was chosen as a finalist to be superintendent in the larger St. Louis school district. Good for Little Rock, too.

**IT WAS A BAD WEEK FOR...**

**PRESIDENT CLINTON**, who, a judge decided, may be subpoenaed to testify as a defense witness in the criminal trial of Gov. Jim Guy Tucker and Jim and Susan McDougal. On the other hand, we like Clinton's chances when it's his word against David Hale.

**LT. GOV. MIKE HUCKABEE**, who wasn't man enough to face the music when the state Ethics Commission found a host of irregularities in his 1994 campaign finance reports. Denied his usual alibi—blaming Democrats—by a bipartisan 5-0 vote, Huckabee went into hiding for four days, then emerged to whine to his favorite journalists that he was a victim of a "vague" law.

**The HOT SPRINGS BOARD OF DIRECTORS**, which refused to vote on Director Charles Oxford's resolution denouncing proposals to legalize casinos in the Spa. The wimps said they'd rather hear from voters first.

**WHITEWATER PROSECUTOR KENNETH STARR**, caught red-handed. He had said he didn't try to dissuade state prosecution of David Hale. Documents showed he lied.

**SAM DASH**, Kenneth Starr's alleged ethics adviser, who peddled a line of bull to the press to cover his own heavy-handed intervention against then David Hale prosecution. No Bar Association rule, despite what Dash said, requires the state to default on a prosecution because the feds don't want a jury to know what a dirtbag their key witness is.

RE

Arkansas Times Feb. 9, 1996

# Huckabee gets a bipartisan scolding

*Ethics panel finds "inaccurate" records, "unreasonable" expenses.*

BY MAX BRANTLEY

"The bottom line is this is pretty lousy bookkeeping."

The speaker was Cindi Russell of Fort Smith, a member of the state Ethics Commission, as it reviewed staff findings on Lt. Gov. Mike Huckabee's fund-raising in the months after his November 1994 re-election.

Russell's comment was significant. A one-time Huckabee campaign worker, she joined in two 5-0 commission votes that effectively sent the message that Huckabee had not complied with campaign finance laws. Joining in the vote was Rita Looney, a Little Rock lawyer and Huckabee appointee to the commission, a circumstance that deprived Huckabee of the argument that he was a victim of Democratic partisans.

Because no formal investigation had been undertaken, commission rules precluded a vote on a formal finding of a law violation. But the commission issued two advisory opinions: 1) that Huckabee's finance reports were "incorrect"—full of errors and misstatements; and 2) that he had raised an unreasonable amount, $91,000, to pay a campaign debt that proved to be only about $17,000. Such an effort was hardly necessary. The review showed Huckabee spent only about $1,000 on two fund-raisers, one by the campaign and one by the soft drink industry, that raised far more than necessary to retire the debt, about $40,000.

The law says candidates who end campaigns with debt may raise money "solely" to retire the debt. A commission opinion allows candidates to spend "reasonable" amounts toward administrative costs of debt retirement.

The commission's decision that Huckabee stepped over the line was a political setback for a fiscal conservative who expects to be the Republican nominee for U.S. Senate this year.

The outcome was also embarrassing on account of Huckabee's earlier pronouncements. In a campaign letter, he had bitterly criticized "junkyard journalists" who raised questions about his campaign reports. That letter said that his campaign finance disclosures were more extensive than any candidate's. He and his staff had repeatedly told reporters that he had receipts to support all his expenses (they didn't). He also had told the Ethics Commission that he "firmly believed" all funds had been raised, spent and reported legally. The Ethics Commission firmly disagreed.

*EASY CALL: Ethics Commissioner Eugene Hunt said it didn't take "a real leap" to answer the question of whether Huckabee had spent money unreasonably to retire 1994 campaign debt.*

Huckabee, who had asked the commission to look into his records as a way to combat negative media attention, wasn't wholly cooperative in the investigation. The Ethics Commission was unable to photocopy his records. Staff members were able to take only hand-written notes, a process that effectively stymied prolonged study.

A nine-hour review of the records by commission staff turned up embarrassment enough.

Huckabee charged his campaign $1,500 in 1995 for a trip to Hawaii in 1994. He says the trip, to make a speech in support of another Republican candidate, was supposed to be reimbursed, but he never got the money. It produced nothing in the way of money for debt retirement, in any case.

He charged in 1995, as a 1994 campaign expense, $2,000 for an August trip by him and campaign aide Brenda Turner to Washington. The trip, undertaken in part to explore his Senate prospects and in part to talk to political consultant Dick Morris, also produced no direct 1994 campaign contributions, other than forgiveness of a debt to Morris, which seemingly could have been obtained by telephone.

The Ethics Commission also found that Huckabee used money ostensibly raised to pay off 1994 debt to make trips around Arkansas in early 1995, during the time the Arkansas legislature was in session. This is a time when campaign fund-raising is prohibited by law. Huckabee said he needed to make such appearances, often as Republican Party dinners, to keep up good public relations with people who might give him money later.

Also during the legislative session, Huckabee kept Brenda Turner employed full-time to run a political office in Texarkana, ultimately paying her more than $10,000 for the work. She told investigators that no more than 50 percent of her time could be said to be directly related to retiring campaign debt, the rest was spent on political work such as scheduling Huckabee speaking appearances.

The investigation also found that a reported $4,166 reimbursement of "candidate" expenses in 1995 actually was a cash payment to reimburse his wife, Janet, for miles driven during the 1994, at a rate of 30 cents a mile.

"I'd drive around all day for 30 cents a mile. I'll start right now," said Commissioner Troy Burris. Commissioners Eugene Hunt and Norton Wilson also criticized the rate ("ridiculous" and "unreasonable" were Wilson's words).

It marks the third time the Huckabee family has drawn cash from campaign funds, a legal though politically problematic practice. In his 1992 campaign for Senate, he paid himself, through a personal communications company, for campaign work. In his 1993 race for lieutenant governor, he paid his wife a salary.

Huckabee's attorney, Frank Arey of Conway, had hoped to make the case that Huckabee had operated no differently than

See page 9

Mike

# HUCKABEE

## Lieutenant Governor

C2163

Scheduling Office • P. O. Box 1557 • Texarkana, AR 75504-1557 • (501) 772-5344 / FAX (501) 773-0439

2v

*

Secretary of the Senate
Office of Public Records
232 Hart Senate Office Building
Washington, DC 20510-7116

October 5, 1995

95 OCT 13 PM 4:05

LEVEL 1 - 16 OF 33 STORIES

Copyright 1995 Little Rock Newspapers, Inc.
Arkansas Democrat-Gazette

November 05, 1995, Sunday

SECTION: NEWS; Pg. 1B
LENGTH: 979 words
HEADLINE: <HUCKABEE> DIGS OUT OF DEBT TUCKER STILL FIGHTS EXPANDING RED INK
BYLINE: NOEL OMAN, Democrat-Gazette Capitol Bureau

BODY:

Lt. Gov. Mike <Huckabee> of Texarkana is not the only Arkansas elected official who carried a campaign debt into 1995. Gov. Jim Guy Tucker's 1994 campaign organization continued to raise and spend large amounts of money, too.

<Huckabee,> the lone statewide Republican officeholder, has been under intense scrutiny by the media in recent weeks for raising $ 137,650 to pay off a debt he said had grown to $ 35,560 by early this year.

<Huckabee> asked the <state Ethics Commission> to investigate finance reports for his 1994 re-election campaign. On Thursday, the commission took no action, saying it could find only minor discrepancies.

Tucker, a Democrat, also continued to pay large bills with his 1994 campaign account. Tucker's debt was $ 104,951 by last month. By that time, <Huckabee> had paid off his 1994 debt.

Almost half of the Tucker campaign's expenditures in the past year have gone to the Little Rock law firm where John Haley is a partner. Haley was indicted June 7 along with Tucker by a federal grand jury at Little Rock.

State law prohibits candidates from raising money more than two years before their next election except to retire campaign debts. The law allows a candidate to raise enough money to cover "reasonable" fund-raising expenses.

But "reasonable" is open to interpretation.

The government watchdog group Common Cause of Arkansas became interested not only in <Huckabee's> high rate of spending in the months following the 1994 campaign but also in the inability to trace exactly how <Huckabee> spent money from his campaign account, especially when it came to travel.

<Huckabee> insists he has complied with campaign finance laws, and the Ethics Commission agreed. <Huckabee> said he had to spend money to make money and that critics were motivated by partisan politics.

"I'm becoming increasingly used to the idea of being held to a different standard because I'm in the minority party," <Huckabee> said Friday. "It's unfortunate that people would try to use the <state Ethics Commission> as a

political weapon. That's an abuse of what the Ethics Commission was created for."

He said Democratic officeholders have not been subjected to the same level of scrutiny by reporters and Common Cause officials.

<Huckabee> is expected to be the Republican nominee next year for the seat being given up by Sen. David Pryor, D-Ark. Arkansas voters have never elected a Republican to the U.S. Senate, but several polls have shown <Huckabee> with a sizable lead over potential Democratic contenders.

Through last month, when he formally announced his Senate candidacy, <Huckabee> used his lieutenant governor's campaign account to pay off bills on three credit cards. Although the credit-card payments were listed as travel expenses, the expenses were not itemized as to exact flights, meals, etc.

For instance, <Huckabee's> 1994 re-election campaign reported $ 30,204 in expenses for July 1995. More than $ 4,000 of that was listed as travel expenses.

In the part of the report where travel expenses were itemized, the campaign broke down expenditures this way: $ 361 in travel expenses by Brenda Turner, a campaign aide; $ 350 to a charge card identified as CNB; and $ 3,394 to pay off a MasterCard bill.

<Huckabee> was reimbursed another $ 1,057 for expenses the report did not disclose.

<Huckabee> said part of the 1995 expenditures have gone to pay off bills for expenses incurred before the Nov. 8, 1994, election.

His campaign's July expenses included bills totaling more than $ 3,000 from four telephone companies: $ 2,052 to General Telephone Co.; $ 245 to Southwestern Bell Telephone Co.; $ 681 to Century Cellunet; and $ 324 to AT&T.

The <Huckabee> campaign also spent more than $ 5,000 on office supplies and printing costs in July. For office supplies, the campaign reported spending $ 408 at Office Source of Texarkana. Printing expenses included $ 2,893 at Griffith Enterprises in Texarkana. The campaign spent $ 320 with the U.S. Postal Service. Another $ 255 in printing costs went to Sutton Press, for which no address was listed.

Few Arkansas campaigns turn in itemized expenditure lists that go into more detail than the <Huckabee> campaign's reports.

While <Huckabee's> campaign debt from 1994 has disappeared, Tucker's has grown.

In a January quarterly report, Tucker reported a debt of $ 86,740. In his October quarterly report, the governor listed a debt of $ 104,951.

In the last four quarterly reports, the Tucker campaign reported spending $ 98,049 and raising $ 146,912. Tucker aides said most of the expenditures were for pre-election expenses. The July quarterly report listed a $ 25,466 payment

to Austin-Sheinkopf Ltd., a political consulting firm Tucker used during the 1994 campaign.

But about half of the expenditures -- $ 45,283 -- went to the Little Rock law firm Arnold Grobmyer & Haley, where John Haley is a partner. The April quarterly report listed the payment under "research."

The Whitewater grand jury charged in June that Tucker, Haley and a former business partner named William Marks were involved in a sham 1987 Texas bankruptcy. Haley was Tucker's longtime tax attorney.

U.S. District Judge Henry Woods of Little Rock quashed the indictment, ruling that Whitewater independent counsel Kenneth Starr was outside his jurisdiction when he asked the grand jury to bring the charges. Woods' ruling is on appeal to the 8th U.S. Circuit Court of Appeals.

Tucker said the campaign payments were for time Haley and other employees of the firm spent responding to campaign-related media inquiries. Tucker's Republican opponent, Little Rock lawyer Sheffield Nelson, repeatedly tied Tucker to the Whitewater affair before the election.

Compared with <Huckabee's> reports, Tucker's 1995 reports reflect only a small amount of travel-related expenses ($ 7,231) and telephone expenses ($ 3,068).

Item #14

2904.152.40.06

1992

| | A | B | C | D |
|---|---|---|---|---|
| 1 | Huckabee United States Senate Election Committee- Itemized Disbursements | | | |
| 2 | | | | |
| 3 | Name | Date | Memo Entry | Amount |
| 5 | Janet Huckabee | 4-17-92 | SALARIES | $1,000.00 |
| 6 | Janet Huckabee | 5-1-92 | SALARIES | $1,000.00 |
| 7 | Janet Huckabee | 4-28-92 | EXPENSES-TRAVEL | $59.92 |
| 8 | Mike Huckabee | 4-10-92 | EXPENSES-CANIDATE TRAVEL | $904.14 |
| 9 | Mike Huckabee | 4-15-92 | EXPENSES-CANIDATE TRAVEL | $109.91 |
| 10 | Mike Huckabee | 4-28-92 | EXPENSES-CANIDATE TRAVEL | $332.04 |
| 11 | Cambridge Communications | 4-28-92 | AUDIO-VISUAL EXPENSES | $5,104.00 |
| 12 | Janet Huckabee | 12-3-91 | EXPENSES-CANDIDATE - JANET | $75.59 |
| 13 | Mike Huckabee | 3-23-92 | EXPENSES-CANDIDATE | $202.00 |
| 14 | Mike Huckabee | 3-23-92 | EXPENSES-CANDIDATE | $276.50 |
| 15 | Mike Huckabee | 3-23-92 | EXPENSES-CANDIDATE | $1,233.10 |
| 16 | Mike Huckabee | 2-10-92 | EXPENSES-CANIDATE TRAVEL-MARSHALL | $43.40 * |
| 17 | Mike Huckabee | 2-12-92 | EXPENSES-CANIDATE TRAVEL | $180.65 |
| 18 | Mike Huckabee | 2-12-92 | EXPENSES-CANIDATE TRAVEL | $629.24 |
| 19 | Mike Huckabee | 2-20-92 | EXPENSES-CANIDATE TRAVEL | $498.86 |
| 20 | Mike Huckabee | 3-5-92 | EXPENSES-CANIDATE TRAVEL | $186.15 |
| 21 | Mike Huckabee | 3-5-92 | EXPENSES-CANIDATE TRAVEL | $64.91 |
| 22 | Janet Huckabee | 2-12-92 | SPECIAL EVENTS - ANNOUNCEMENT | $32.73 * |
| 23 | Janet Huckabee | 3-12-92 | SPECIAL EVENTS / BANQUETS | $95.00 * |
| 24 | Cambridge Communications | 3-12-92 | AUDIO - VISUAL EXPENSES | $1,200.00 |
| 25 | Cambridge Communications | 3-13-92 | AUDIO - VISUAL EXPENSES | $1,866.59 |
| 26 | Janet Huckabee | 3-20-92 | AUDIO - VISUAL EXPENSES | $18.15 |
| 27 | Cambridge Communications | 3-20-92 | AUDIO - VISUAL EXPENSES | $2,950.00 |
| 28 | Mike Huckabee | 3-17-92 | FUNDRAISING EVENTS, TICKETS | $2,269.78 |
| 29 | Janet Huckabee | 5-15-92 | EXPENSES-CANDIDATE | $1,000.00 |
| 30 | Janet Huckabee | 5-29-92 | SALARIES | $1,000.00 |
| 31 | Mike Huckabee | 6-10-92 | EXPENSES-CANDIDATE | $104.63 |
| 32 | Mike Huckabee | 6-10-92 | EXPENSES-CANDIDATE | $599.25 |
| 33 | Janet Huckabee | 6-15-92 | EXPENSES-CANDIDATE | $1,000.00 |
| 34 | Mike Huckabee | 6-26-92 | EXPENSES-CANDIDATE | $1,098.51 |
| 35 | Mike Huckabee | 6-26-92 | EXPENSES-CANDIDATE | $214.67 |
| 36 | Mike Huckabee | 6-26-92 | EXPENSES-CANDIDATE | $116.65 |
| 37 | Mike Huckabee | 6-30-92 | EXPENSES-CANDIDATE | $391.38 |
| 38 | Mike Huckabee | 5-12-92 | EXPENSES-TRAVEL | $50.00 |
| 39 | Mike Huckabee | 5-12-92 | EXPENSES-TRAVEL | $675.80 |
| 40 | Cambridge Communications | 6-10-92 | AUDIO - VISUAL EXPENSES | $4,348.58 |
| 41 | Mike Huckabee | 10-10-92 | EXPENSES-CANDIDATE | $1,129.69 |

| | A | B | C | D |
|---|---|---|---|---|
| 42 | Mike Huckabee | 10-10-92 | EXPENSES-CANDIDATE | $236.67 |
| 43 | Mike Huckabee | 10-10-92 | EXPENSES-CANDIDATE | $1,154.70 |
| 44 | Mike Huckabee | 10-10-92 | EXPENSES-CANDIDATE | $201.86 |
| 45 | Mike Huckabee | 10-20-92 | TRAVEL | $203.53 |
| 46 | Mike Huckabee | 10-22-92 | TRAVEL | $1,465.21 |
| 47 | Janet Huckabee | 10-15-92 | SALARIES | $1,000.00 |
| 48 | Janet Huckabee | 10-29-92 | SALARIES | $1,000.00 |
| 49 | Mike Huckabee | 10-18-92 | EXPENSES-CANDIDATE | $106.31 |
| 50 | Mike Huckabee | 10-20-92 | EXPENSES-CANDIDATE | $43.81 |
| 51 | Mike Huckabee | 9-15-92 | TRAVEL | $341.51 |
| 52 | Mike Huckabee | 9-15-92 | TRAVEL | $451.94 |
| 53 | Mike Huckabee | 9-15-92 | TRAVEL | $939.31 |
| 54 | Mike Huckabee | 9-15-92 | TRAVEL | $20.50 |
| 55 | Mike Huckabee | 9-15-92 | TRAVEL | $932.24 |
| 56 | Janet Huckabee | 7-15-92 | SALARIES | $1,000.00 |
| 57 | Janet Huckabee | 8-1-92 | SALARIES | $1,000.00 |
| 58 | Janet Huckabee | 8-14-92 | SALARIES | $1,000.00 |
| 59 | Janet Huckabee | 9-1-92 | SALARIES | $1,000.00 |
| 60 | Janet Huckabee | 9-16-92 | SALARIES | $1,000.00 |
| 61 | Janet Huckabee | 7-1-92 | EXPENSES-CANDIDATE | $1,000.00 |
| 62 | Mike Huckabee | 7-3-92 | EXPENSES-CANDIDATE | $122.30 |
| 63 | Mike Huckabee | 7-21-92 | EXPENSES-CANDIDATE | $101.45 |
| 64 | Mike Huckabee | 7-21-92 | EXPENSES-CANDIDATE | $137.76 |
| 65 | Mike Huckabee | 8-3-92 | EXPENSES-CANDIDATE TRAVEL | $1,615.94 |
| 66 | Cambridge Communications | 8-3-92 | AUDIO - VISUAL EXPENSES | $1,200.00 |
| 67 | Cambridge Communications | 9-10-92 | AUDIO - VISUAL EXPENSES | $1,200.00 |
| 68 | | | Total | $50,536.86 |

↓ FAX to D.C. - copies for Nate + Huck file



Texarkana Gazette ● Monday, Aug. 10, 1992

# Newspaper: Huckabee uses his own firm in campaign

By The Associated Press

LITTLE ROCK – Mike Huckabee, the Republican nominee for U.S. Senate, has paid his one-man company more than $6,000 in campaign funds and made his wife the campaign's highest-paid staff member.

The information was contained in Huckabee campaign finance reports filed with the Federal Election Commission. The reports are filed quarterly, with the latest covering campaign spending through June.

Incumbent Democrat Dale Bumpers has paid a Washington campaign consulting firm more than $170,000 through the first half of the year, FEC reports show. Much of the money was used to make and pay for television and radio commercials, records indicate.

The addition of Huckabee's wife to the paid campaign staff is legal, the *Arkansas Democrat-Gazette* reported Sunday. Huckabee himself could draw a direct salary under federal laws.

The Democrat-Gazette reported that Huckabee's FEC filings show Cambridge Communications was paid $6,016 from Huckabee's campaign during the first half of the year. The company's address is the same as Huckabee's residence. Huckabee is the company's sole employee.

Huckabee said he formed the company after resigning as pastor of Beech Street First Baptist Church in Texarkana to run for the Senate. The company now is his primary source of income, he said, and he has worked for private contracts as well as his campaign.

The company provides a variety of television and print production services, including scripts, video production and brochures. Huckabee wrote the scripts for campaign commercials aired before the Arkansas primary in May and has written campaign brochures.

"Rather than job it out, we were able to do it in-house," Huckabee said. "If people think I was in this to make money, I'd like to see how."

Huckabee's wife, Janet, draws a $2,000-per-month salary from the campaign, making her the highest-paid campaign staff member as of the end of June.

"She does all of the incoming receipts and bookkeeping," Huckabee said Friday. "She does work that we would have had to pay somebody else to do."

Huckabee has drawn another $8,972 in campaign money for expenses. All the funds were reimbursements for campaign bills he paid personally, and he has kept receipts to show it, he said.

Through June, Huckabee's campaign raised $359,766.24 and had spent $344,383.41. The campaign had $15,382.83 in cash on hand.

Bumpers' campaign raised $286,608.98 and spent $353,337.31 during the same period. However, thanks to money raised before this year, the campaign still had $904,259.67 in cash on hand – more than 58 times as much cash on hand as Huckabee.

Bumpers' reports show his campaign paid $171,820.81 to Squier Eskew Knapp, a Washington-based Democratic consulting firm.

"We hired them because they produce good ads. They came to our attent after Sen. (David) Pryor used them 1984," Melissa Skolfield, Bumpe press secretary, said Friday.

An FEC spokesman in Washing D.C., said Friday that Bumpers ra $43,141 during 1987-88 and $79,8 during 1989-90. Each six-year Sen terms is divided into three two-ye election cycles for FEC purposes, t spokesman said. Bumpers' third te began in January 1987.

Through June 30 of this year, t 1991-92 cycle showed Bumpers wi $1,268,787 in contributions befo expenses. About half of that amou came from a Little Rock fund-raiser April 1991.

Huckabee said the ability of incu bents to raise money before an electi year is an unfair advantage.

Item #15

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

OCT 3 1995

A. Bruce Levin, Treasurer
Steal Neal for Congress
~~P.O. Box 20187~~
~~Winston-Salem, NC 27120~~ 7913 Lawinsville Rd.
McLean, VA. 22102

Identification Number: C00017087

Reference: July Quarterly Report (1/1/95-6/30/95)

Dear Mr. Levin:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-An expenditure to Steve Neal for Employee Dinner reimbursement has been disclosed. When itemizing payments made to credit card companies or reimbursements to individuals, you must list the name and address of the original vendor from which you purchased an item or a service. You should also show the date, the amount, and the purpose of the payment if you have paid in excess of $200 this current year to the vendor. -In addition, please provide further clarification as to the purpose of this expenditure. (11 CFR §104.9)

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington, DC 20515 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,

Pat Sheppard

Pat Sheppard
Senior Reports Analyst
Reports Analysis Division

404

Item #16

0304.162.40.60

LEVEL 1 OF 33 STORIES

Copyright 1995 Little Rock Newspapers, Inc.
Arkansas Democrat-Gazette

December 16, 1995, Saturday

SECTION: NEWS; Pg. 1B
LENGTH: 634 words
BYLINE: ELIZABETH CALDWELL, Democrat-Gazette Capitol Bureau

BODY:

A review of Lt. Gov. Mike <Huckabee's> campaign finance reports led members of the <state Ethics Commission> on Friday to discuss stricter scrutiny of postelection campaign contributions.

Randy Wright, a lawyer for the Ethics Commission, told the five commissioners that more documentation is needed before a final report can be issued on <Huckabee's> fund-raising activities after his November 1994 re-election as lieutenant governor.

Frank Arey of Conway, <Huckabee's> lawyer, said commission staff members have the lieutenant governor's permission to inspect records at his campaign headquarters in Texarkana.

The commission's inquiry began at <Huckabee's> request after the lieutenant governor came under criticism for raising $ 137,000 to retire a debt of about $ 35,000 from his 1994 campaign. State law prohibits candidates from raising money more than two years before a campaign except to retire debts from previous campaigns. <Huckabee> is not up for re-election until 1998.

A 1991 commission opinion said surplus funds could be used by candidates for the "reasonable expense of raising and administering the past debt fund."

<Huckabee> maintains that he legally raised, spent and reported all funds linked to his 1994 campaign organization. He is seeking the seat being given up next year by Sen. David Pryor, D-Ark.

<Huckabee> is the only statewide Republican officeholder.

Also at <Huckabee's> request, the commission is reviewing campaign finance reports from the other six statewide constitutional officers.

Wright said the commission is asking Secretary of State Sharon Priest for documentation of almost $ 6,000 she raised after the election. Priest, who ended her campaign with a small surplus, said she raised the money to pay bills that came in late. She said she has about $ 3,000 in her account and is waiting on direction from the commission on how the money may be spent.

Amanda Nixon White, the Ethics Commission director, said the inquiry into <Huckabee's> reports has identified "six problem areas."

Discussion of those areas was cut off when Eugene Hunt, a commissioner from Pine Bluff, said it would be unfair to <Huckabee> "to give an interim blow-by-blow of what's going on" before the investigation has been completed.

Wright, however, already had complained that <Huckabee> was too vague in explaining bills paid with credit cards. State reporting forms allow candidates to avoid specifics in many instances.

Rita Looney, a Little Rock lawyer who serves on the commission, said candidates should be required to "up their standards" for itemizing expenses.

State law requires candidates to itemize expenses of more than $ 100, but the law does not address how to handle multiple expenditures that are covered in a single payment, such as a credit-card installment.

On the subject of postelection contributions, White recommended that the commission subject contributions to "strict scrutiny" to show they are related to reducing a debt.

"I'm in favor of a law that says thou shalt not raise a nickel after the election," Hunt said.

Expenditures should be itemized rather than lumped into categories such as miscellaneous expenses, White said. She said listing expenditures to credit-card companies, as <Huckabee> often has done, "is not sufficient itemization."

White recommended that the commission encourage candidates who end their campaigns with debts to avoid incurring such additional post-election bills as personnel and office expenses. <Huckabee> maintained a campaign staff member after the November 1994 election.

Norton Wilson of North Little Rock, the Ethics Commission chairman, said the commission should consider requesting legislation "to cover up some of these holes that glare at us."

The Associated Press contributed to this article.

Feb. 12. 1996 3:59PM RICK W NS No. 3791 P. 3/4

Arkansas Democrat Gazette

ARKANSAS' NEWSPAPER LITTLE ROCK, FEBRUARY 2, 1996 80 PAGES 10 SECTIONS 35¢

# Huckabee's '95 fund raising wasn't reasonable, panel says

BY ELIZABETH CALDWELL
Democrat-Gazette Capitol Bureau

Lt. Gov. Mike Huckabee's 1995 fund-raising activities were not "reasonable" based on the size of his 1994 campaign debt, the Arkansas Ethics Commission concluded Thursday.

The commission determined that Huckabee raised $91,825 to pay off a debt of only $16,996. But commissioners stopped short of ruling that Huckabee violated state campaign finance laws.

"You have never declared this to be an official investigation," Randy Wright, the Ethics Commission attorney, told the five commissioners.

Huckabee's campaign account was used to pay off credit-card debts and fund a trip to Hawaii.

"The Huckabee campaign did not focus on paying the debt that was listed," said Eugene Hunt of Pine Bluff, an Ethics Commission member.

Frank Arey of Conway, Huckabee's attorney, told the commis-

See HUCKABEE, Page 10A

## Huckabee

• Continued from Page 1A

sion that the administrative expenses Huckabee incurred after his 1994 re-election campaign were necessary to keep his fund-raising apparatus in place.

Arey said the Huckabee campaign "sought the advice and counsel of the commission throughout the election" and "went to great lengths to follow their counsel as well as the letter and spirit of the rules. We are surprised and disappointed by this new interpretation."

Huckabee could not be reached for comment.

Huckabee won a special election for lieutenant governor in July 1993 to become the first Republican elected to statewide office in Arkansas since November 1980 when Frank White upset then-Gov. Bill Clinton.

Huckabee was elected in November 1994 to a full four-year term. He defeated then-State Sen. Charlie Cole Chaffin, D-Benton.

The lieutenant governor, a Southern Baptist minister and professional speaker from Texarkana, is expected to be the Republican nominee this year for the seat being given up by Sen. David Pryor, D-Ark.

State law prohibits candidates from raising funds during a legislative session, 30 days before a regular session and 30 days after a regular session. The 1995 regular session began Jan. 9 and ended April 7.

The law also prohibits a candidate from raising money more than two years before an election except to retire a debt.

A three-month review of Huckabee's campaign records began when the lieutenant governor asked the Ethics Commission to examine his reports and those of other elected officials. Questions about Huckabee's fund-raising activities had been raised by the media.

A 1991 Ethics Commission opinion said campaign funds can be used for the "reasonable expense of raising and administering the past debt fund." Huckabee has insisted that he raised, spent and reported all funds legally.

"It is as though there were additional debts created to justify additional fund raising," Hunt said.

The commission also completed its review of campaign finance reports filed by Democratic Secretary of State Sharon Priest.

"Unlike we had originally believed, Sharon Priest did not end her campaign in surplus but in debt," a staff report said.

Priest now has a surplus of $3,886 and has asked the commission for advice on how the money can be used. The commission decided to study the issue.

Mike Huckabee

In the Huckabee case, commission members voted unanimously to issue an advisory opinion notifying the lieutenant governor what he did wrong. The opinion's wording will be discussed and voted on at the commission's next meeting.

The commission found that amended reports that Huckabee submitted after the inquiry began were inaccurate, including reported payments on credit cards that had been voided.

"I agree we made some pretty bad bookkeeping mistakes," Arey told commission members.

Huckabee's original report listed a campaign debt of $35,560 from 1994. Ethics Commission staff members determined that Huckabee owed $27,795 at the end of 1994 in addition to $7,300 in reimbursements due to Huckabee and his wife, Janet, for a loan, utility bills and travel expenses.

Some debts were later forgiven bringing the total debt to $16,996. The largest outstanding debt was a $15,000 fee to political consultant Dick Morris of Connecticut.

Arey said Morris kept putting off acceptance of the payment until he forgave the debt Aug. 1. Morris, who is now advising President Clinton, didn't want to accept additional payments from Republican clients, Arey said.

The Ethics Commission investigation found that Huckabee had raised enough funds by May 1995 to pay off the debt but instead used part of the money for travel to political functions. The payments included one for a May 1994 trip to Hawaii. Huckabee was not reimbursed by the campaign for the trip until the following year.

The 1994 campaign account also was used to pay for trips taken by Huckabee in June, July and August 1995.

Amanda Nixon White, director of the Ethics Commission, said Huckabee told her that Republican officials in Washington had promised to pay the $1,500 for his Hawaiian trip to support a GOP candidate in the state but never sent the money.

Huckabee listed large payments to Visa, MasterCard and Discover for travel expenses. Commission staff members were told that Huckabee paid the expenses himself and asked the campaign to reimburse him by writing checks directly to credit-card companies. Credit-card expenses often were not itemized.

Item #17

Post-It™ brand fax transmittal [redacted] No. 3791 # of pages ▸ 4

| To Peter Lundstrum | From Rick Watkins |
|---|---|
| Co. | Co. |
| Dept. | Phone # |
| Fax # | Fax # |

## THE WEEK THAT WAS

FEB. 1-7, 1996

**IT WAS A GOOD WEEK FOR...**

STATE INSURANCE COMMISSIONER LEE DOUGLASS, who said he wanted to see David Hale prosecuted for alleged insurance law violations, no matter what Whitewater Prosecutor Kenneth Starr might think. Douglass, a former prosecutor, properly noted that he raised the issue nearly two years ago and the violations have no relationship to federal charges for which Starr has promised Hale easy treatment. At last, courage. The hot potato now belongs to Prosecutor Mark Stodola.

LITTLE ROCK SCHOOL SUPERINTENDENT HENRY WILLIAMS, in danger of being axed from his current job, who was chosen as a finalist to be superintendent in the larger St. Louis school district. Good for Little Rock, too.

**IT WAS A BAD WEEK FOR...**

PRESIDENT CLINTON, who, a judge decided, may be subpoenaed to testify as a defense witness in the criminal trial of Gov. Jim Guy Tucker and Jim and Susan McDougal. On the other hand, we like Clinton's chances when it's his word against David Hale.

LT. GOV. MIKE HUCKABEE, who wasn't man enough to face the music when the state Ethics Commission found a host of irregularities in his 1994 campaign finance reports. Denied his usual alibi—blaming Democrats—by a bipartisan 5-0 vote, Huckabee went into hiding for four days, then emerged to whine to his favorite journalists that he was a victim of a "vague" law.

The HOT SPRINGS BOARD OF DIRECTORS, which refused to vote on Director Charles Oxford's resolution denouncing proposals to legalize casinos in the Spa. The wimps said they'd rather hear from voters first.

WHITEWATER PROSECUTOR KENNETH STARR, caught red-handed. He had said he didn't try to dissuade state prosecution of David Hale. Documents showed he lied.

SAM DASH, Kenneth Starr's alleged ethics adviser, who peddled a line of bull to the press to cover his own heavy-handed intervention against then David Hale prosecution. No Bar Association rule, despite what Dash said, requires the state to defaulted on a prosecution because the feds don't want a jury to know what a dirtbag their key witness is.

# Huckabee gets a bipartisan scolding

*Ethics panel finds "inaccurate" records, "unreasonable" expenses.*

BY MAX BRANTLEY

"The bottom line is this is pretty lousy bookkeeping."

The speaker was Candi Russell of Fort Smith, a member of the state Ethics Commission, as it reviewed staff findings on Lt. Gov. Mike Huckabee's fund-raising in the months after his November 1994 re-election.

Russell's comment was significant. A one-time Huckabee campaign worker, she joined in two 5-0 commission votes that effectively sent the message that Huckabee had not complied with campaign finance laws. Joining in the vote was Rita Looney, a Little Rock lawyer and Huckabee appointee to the commission, a circumstance that deprived Huckabee of the argument that he was a victim of Democratic partisans.

Because no formal investigation had been undertaken, commission rules precluded a vote on a formal finding of a law violation. But the commission issued two advisory opinions: 1) that Huckabee's finance reports were "incorrect"—full of errors and misstatements; and 2) that he had raised an unreasonable amount, $91,000, to pay a campaign debt that proved to be only about $17,000. Such an effort was hardly necessary. The review showed Huckabee spent only about $1,000 on two fund-raisers, one by the campaign and one by the soft drink industry, that raised far more than necessary to retire the debt, about $40,000.

The law says candidates who end campaigns with debt may raise money "solely" to retire the debt. A commission opinion allows candidates to spend "reasonable" amounts toward administrative costs of debt retirement.

The commission's decision that Huckabee stepped over the line was a political setback for a fiscal conservative who expects to be the Republican nominee for U.S. Senate this year.

The outcome was also embarrassing on account of Huckabee's earlier pronouncements. In a campaign letter, he had bitterly criticized "junkyard journalists" who raised questions about his campaign reports. That letter said that his campaign finance disclosures were more extensive than any candidate's. He and his staff had repeatedly told reporters that he had receipts to support all his expenses (they didn't). He also had told the Ethics Commission that he "firmly believed" all funds had been raised, spent and reported legally. The Ethics Commission firmly disagreed.

*EASY CALL: Ethics Commissioner Eugene Hunt said it didn't take "a real leap" to answer the question of whether Huckabee had spent money unreasonably to retire 1994 campaign debt.*

Huckabee, who had asked the commission to look into his records as a way to combat negative media attention, wasn't wholly cooperative in the investigation. The Ethics Commission was unable to photocopy his records. Staff members were able to take only hand-written notes, a process that effectively stymied prolonged study.

A nine-hour review of the records by commission staff turned up embarrassment enough.

Huckabee charged his campaign $1,970 in 1995 for a trip to Hawaii in 1994. He says the trip, to make a speech in support of another Republican candidate, was supposed to be reimbursed, but he never got the money. It produced nothing in the way of money for debt retirement, in any case.

He charged in 1995, as a 1994 campaign expense, $2,000 for an August trip by him and campaign aide Brenda Turner to Washington. The trip, undertaken in part to explore his Senate prospects and in part to talk to political consultant Dick Morris, also produced no direct 1994 campaign contributions, other than forgiveness of a debt to Morris, which seemingly could have been obtained by telephone.

The Ethics Commission also found that Huckabee used money ostensibly raised to pay off 1994 debt to make trips around Arkansas in early 1995, during the time the Arkansas legislature was in session. This is a time when campaign fund-raising is prohibited by law. Huckabee said he needed to make such appearances, often as Republican Party dinners, to keep up good public relations with people who might give him money later.

Also during the legislative session, Huckabee kept Brenda Turner employed full-time to run a political office in Texarkana, ultimately paying her more than $10,000 for the work. She told investigators that no more than 50 percent of her time could be said to be directly related to retiring campaign debt, the rest was spent on political work such as scheduling Huckabee speaking appearances.

The investigation also found that a reported $4,166 reimbursement of "candidate" expenses in 1995 actually was a cash payment to reimburse his wife, Janet, for miles driven during the 1994, at a rate of 30 cents a mile.

"I'd drive around all day for 30 cents a mile. I'll start right now," said Commissioner Troy Burris. Commissioners Eugene Hunt and Norton Wilson also criticized the rate ("ridiculous" and "unreasonable" were Wilson's words).

It marks the third time the Huckabee family has drawn a cash from campaign funds, a legal though politically problematic practice. In his 1992 campaign for Senate, he paid himself, through a personal communications company, for campaign work. In his 1993 race for lieutenant governor, he paid his wife a salary.

Huckabee's attorney, Frank Arey of Conway, had hoped to make the case that Huckabee had operated no differently than

See page 9

# UNITED STATES SENATE PUBLIC FINANCIAL DISCLOSURE REPORT
# FOR NEW EMPLOYEE AND CANDIDATE REPORTS

| Last Name | First Name and Middle Initial | Date of Employment | Senate Office / Agency in which Employed |
|---|---|---|---|
| Huckabee | Michael D. (Mike) | New Employee Report | |
| **Mailing Address (Number, Street, City, State, and ZIP Code)** | **Telephone No. (Include Area Code)** | **Commencement of Candidacy** | **State** |
| P.O. Box 1557<br>Texarkana, Arkansas 75504 | (501) 772-5344 | Candidate Report 10/2/95 | Arkansas |

## AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS

| | | | |
|---|---|---|---|
| Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than $200 from any reportable source in the reporting period?<br>If yes, Complete and Attach PART II. | YES [X] NO [ ] | Did you hold any reportable positions during the reporting period?<br>If yes, Complete and Attach PART VIII. | YES [X] NO [ ] |
| Did you, your spouse, or dependent child receive unearned or investment income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period?<br>If yes, Complete and Attach PART IIIA and/or IIIB. | YES [X] NO [ ] | Did you have any reportable agreement or arrangement with an outside entity on the filing date?<br>If yes, Complete and Attach PART IX. | YES [ ] NO [X] |
| Did you, your spouse, or dependent child have any reportable liability (more than $10,000) during the reporting period?<br>If yes, Complete and Attach PART VII. | YES [ ] NO [X] | NEW EMPLOYEES ONLY<br>Did you receive compensation of more than $5,000 from a single source in the two prior years?<br>If yes, Complete and Attach PART X. | YES [ ] NO [ ] |

**Each question must be answered and the appropriate PART attached for each "Yes" response.**

File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, D.C. 20510. $200 Penalty for filing more than 30 days after due date.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 6, 104 and 18 U.S.C 1001.)

For Official Use Only - Do Not Write Below This Line

OFFICE OF THE SENATE

| Certification | Signature of Reporting Individual | Date (Month, Day, Year) |
|---|---|---|
| *I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.* | Michael D. Huckabee | 11-1-95 |

For Official Use Only - Do Not Write Below This Line

| | | |
|---|---|---|
| *It is the opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.* | Signature of Reviewing Official | Date (Month, Day, Year) |

8

A

D-6646

Reporting Individual's Name: Mike Huckabee

# PART II. EARNED AND NON-INVESTMENT INCOME

Page Number: 2

Report the source (name and address), type, and amount of earned income to you from any source aggregating $200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregates $1000 or more during the reporting period. No amount needs to be specified for your spouse. Do not report income from employment by the U.S. Government for you or your spouse.

**Individuals not covered by the Honoraria Ban:**
For you and/or your spouse, report honoraria income received which aggregates $200 or more by exact amount, give the date of, and describe the activity (speech, appearance, or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

| | Name of Income Source | Address (City, State) | Type of Income | Amount |
|---|---|---|---|---|
| Examples: | JP Computers | Wash., D.C. | Salary | $15,000 |
| | MCI (Spouse) | Arlington, VA | Salary | Over $1,000 |
| 1 | State Of Arkansas 1994 | State Capitol, Little Rock, AR | Salary | 25,451.52 |
| 2 | State Of Arkansas 1995 | State Capitol, Little Rock, AR | Salary | 24,427.24 |
| 3 | Self-Employed Minister | Texarkana, Arkansas | See Attached | |
| 4 | Self-Employed Public Speaker | Texarkana, Arkansas | See Attached | |
| 5 | Action America, Inc. 1994 | Texarkana, Texas | Salary | 24,215.10 |
| 6 | Action America, Inc. 1995 | Texarkana, Texas | Salary | 12,407.39 |
| 7 | St. Michael's Hosp. (spouse) | Texarkana, Texas | Salary | Over $1000 |
| 8 | | | | |
| 9 | | | | |
| 10 | | | | |
| 11 | | | | |
| 12 | | | | |
| 13 | | | | |
| 14 | | | | |

Previous Editions Cannot Be Used

Revised 3/95

UNITED STATES SENATE PUBLIC FINANCIAL DISCLOSURE REPORT
FOR NEW EMPLOYEE AND CANDIDATE REPORTS

HUCKABEE, MICHAEL D. (MIKE)
ARKANSAS

PART II. EARNED AND NON-INVESTMENT INCOME

| Name Of Income Source | Address | Date | Type Of Income | Amount |
|---|---|---|---|---|
| Westside Baptist Church | Warren, AR | 3-27-94 | Preaching | 300.00 |
| Second Baptist Church | Conway, AR | 3-30-94 | Preaching | 250.00 |
| First Assembly Of God | Conway, AR | 3-30-94 | Preaching | 250.00 |
| Dad, The Family Shepherd | Little Rock, AR | 3-31-94 | Speaking Fee | 2523.90 |
| Leonard St. Baptist Church | Hot Springs, AR | 4-3-94 | Preaching | 257.00 |
| Second Baptist Church | Conway, AR | 4-6-94 | Preaching | 250.00 |
| Evangel Bible Church | Harrison, AR | 4-7-94 | Preaching | 334.00 |
| Citizens For Bill Linton | St. Louis, MO | 4-7-94 | Speaking Fee | 350.00 |
| Arkansas Glass Container Corp. | Jonesboro, AR | 4-8-94 | Speaking Fee | 2500.00 |
| John Brown University | Siloam, AR | 4-12-94 | Speaking Fee | 500.00 |
| Second Baptist Church | Conway, AR | 4-13-94 | Preaching | 250.00 |
| Ashley Co. Baptist Assoc. | Crossett, AR | 4-16-94 | Speaking (Spouse) | 250.00 |
| Emmanuel Baptist Church | Forrest City, AR | 4-17-94 | Preaching | 332.00 |
| Second Baptist Church | Conway, AR | 4-20-94 | Preaching | 250.00 |
| Grace Bible Church | Mena, AR | 4-24-94 | Preaching | 400.00 |
| Louisiana Airport Mgrs. Assoc. | Shreveport, LA | 4-27-94 | Speaking Fee | 500.00 |
| Second Baptist Church | Conway, AR | 4-27-94 | Preaching | 250.00 |
| Second Baptist Church | Conway, AR | 5-4-94 | Preaching | 250.00 |
| Second Baptist Church | Conway, AR | 5-11-94 | Preaching | 250.00 |
| Central Baptist College | Conway, AR | 5-13-94 | Speaking Fee | 200.00 |
| West Virginia Christian Coalition | Charleston, WV | 5-13-94 | Speaking Fee | 500.00 |
| First Assembly Of God | Batesville, AR | 5-15-94 | Preaching | 275.00 |
| Second Baptist Church | Conway, AR | 5-25-94 | Preaching | 250.00 |
| Lodi Leadership Prayer Breakfast | Lodi, CA | 6-1-94 | Speaking Fee | 750.00 |
| First Baptist Church | Lodi, CA | 6-2-94 | Preaching | 250.00 |
| Assembly Of God Church | Pottsville, AR | 6-5-94 | Preaching | 300.00 |
| Second Baptist Church | Conway, AR | 6-8-94 | Preaching | 250.00 |
| Second Baptist Church | Conway, AR | 6-15-94 | Preaching | 250.00 |
| Texans United For Life | Dallas, TX | 6-21-94 | Speaking Fee | 500.00 |
| Second Baptist Church | Conway, AR | 6-22-94 | Preaching | 250.00 |
| Republican Party Of Virginia | Richmond, VA | 6-23-94 | Speaking Fee | 400.00 |
| Second Baptist Church | Conway, AR | 6-29-94 | Preaching | 250.00 |
| First Baptist Church | Pittsburg, TX | 6-29-94 | Preaching | 500.00 |
| University Baptist Church | Fayetteville, AR | 6-29-94 | Preaching | 500.00 |
| Second Baptist Church | Conway, AR | 7-6-94 | Preaching | 250.00 |
| Second Baptist Church | Conway, AR | 7-13-94 | Preaching | 250.00 |
| Second Baptist Church | Conway, AR | 7-20-94 | Preaching | 250.00 |

| | | | | |
|---|---|---|---|---|
| Second Baptist Church | Conway, AR | 7-27-94 | Preaching | 250.00 |
| Olivet Baptist Church | Little Rock, AR | 7-31-94 | Preaching | 281.00 |
| Second Baptist Church | Conway, AR | 8-3-94 | Preaching | 250.00 |
| South Carolina Christian Coalition | Charleston, SC | 8-10-94 | Speaking Fee | 1000.00 |
| Trinity Chapel | Clarksville, AR | 8-15-94 | Preaching | 250.00 |
| First Baptist Church | Pangburn, AR | 8-14-94 | Preaching | 268.00 |
| First Baptist Church | W. Memphis, AR | 8-17-94 | Preaching | 200.00 |
| Geyer Springs Baptist Church | Little Rock, AR | 8-17-94 | Preaching | 300.00 |
| Wyatt Baptist Church | El Dorado, AR | 8-30-94 | Preaching | 767.50 |
| Temple Baptist Church | Springdale, AR | 9-4-94 | Preaching | 500.00 |
| Second Baptist Church | Conway, AR | 9-13-94 | Preaching | 250.00 |
| Second Baptist Church | Conway, AR | 9-20-94 | Preaching | 250.00 |
| Overlake Christian Church | Kirkland, WA | 9-28-94 | Speaking Fee | 1000.00 |
| New Mexico Christian Coalition | Albuquerque, NM | 10-3-94 | Speaking Fee | 1000.00 |
| Second Baptist Church | Conway, AR | 10-5-94 | Preaching | 250.00 |
| Heritage Baptist Temple | Little Rock, AR | 10-9-94 | Preaching | 300.00 |
| Second Baptist Church | Conway, AR | 10-11-94 | Preaching | 250.00 |
| Tulsa County GOP Committee | Tulsa, OK | 10-11-94 | Speaking Fee | 635.56 |
| Second Baptist Church | Conway, AR | 10-19-94 | Preaching | 250.00 |
| Dr. William D. Robinson | Texarkana, AR | 10-19-94 | Preaching (Wedding) | 450.00 |
| Trinity Church Faith Ministries | Hot Springs, AR | 10-23-94 | Preaching | 700.00 |
| Second Baptist Church | Conway, AR | 10-26-94 | Preaching | 250.00 |
| Grace Bible Church | Sherwood, AR | 10-30-94 | Preaching | 200.00 |
| Second Baptist Church | Conway, AR | 11-2-94 | Preaching | 250.00 |
| Second Baptist Church | Conway, AR | 11-3-94 | Preaching | 250.00 |
| Second Baptist Church | Conway, AR | 11-16-94 | Preaching | 250.00 |
| First Baptist Church | Greenbrier, AR | 11-16-94 | Preaching | 140.00 |
| Plymouth Rock Foundation | Marlborough,NH | 11-18-94 | Speaking Fee | 1000.00 |
| Evangel Temple | Ft. Smith, AR | 11-19-94 | Preaching | 400.00 |
| Second Baptist Church | Conway, AR | 11-22-94 | Preaching | 250.00 |
| Second Baptist Church | Conway, AR | 12-7-94 | Preaching | 250.00 |
| Second Baptist Church | Conway, AR | 12-13-94 | Preaching | 50.00 |
| Second Baptist Church | Conway, AR | 12-21-94 | Preaching | 250.00 |
| Second Baptist Church | Conway, AR | 12-26-94 | Preaching | 250.00 |
| Second Baptist Church | Conway, AR | 12-27-94 | Preaching | 250.00 |
| Second Baptist Church | Conway, AR | 1-4-95 | Preaching | 250.00 |
| Second Baptist Church | Conway, AR | 1-11-95 | Preaching | 250.00 |
| Second Baptist Church | Conway, AR | 1-18-95 | Preaching | 250.00 |
| Dad, The Family Shepherd | Little Rock, AR | 2-7-95 | Speaking Fee | 1200.00 |
| Trinity Baptist Church | Searcy, AR | 2-5-95 | Preaching | 275.00 |
| Second Baptist Church | Conway, AR | 2-8-95 | Preaching | 250.00 |
| Bismarck Area GOP Council | Bismarck, AR | 2-9-95 | Speaking Fee | 500.00 |
| The Lincoln Society of Alaska | Anchorage, AK | 2-9-95 | Speaking Fee | 750.00 |
| Virginia Veterinary Association | Richmond, VA | 2-17-95 | Speaking Fee | 617.00 |
| Second Baptist Church | Conway, AR | 3-1-95 | Preaching | 250.00 |
| Second Baptist Church | Conway, AR | 3-15-95 | Preaching | 250.00 |
| Howell County GOP Committee | West Plains, MO | 3-18-95 | Speaking Fee | 1500.00 |
| Second Baptist Church | Conway, AR | 3-22-95 | Preaching | 500.00 |
| Wisconsin Right To Life | Milwaukee, WI | 3-22-95 | Speaking Fee | 1500.00 |
| Second Baptist Church | Conway, AR | 3-22-95 | Preaching | 250.00 |
| Immanuel Baptist Church | Anchorage, AK | 3-29-95 | Preaching | 450.00 |
| Pottsville Assembly Of God | Pottsville, AR | 4-1-95 | Preaching | 300.00 |

| | | | | |
|---|---|---|---|---|
| Focus On The Family | Colorado Springs, CO | 4-4-95 | Speaking Fee | 1000.00 |
| Second Baptist Church | Conway, AR | 4-5-95 | Preaching | 250.00 |
| Grace Christian Academy | Magnolia, AR | 4-7-95 | Speaking Fee | 300.00 |
| First Baptist Church | Marianna, AR | 4-7-95 | Preaching | 250.00 |
| The Great Passion Play | Eureka Springs, AR | 4-14-95 | Preaching | 250.00 |
| Second Baptist Church | Conway, AR | 4-19-95 | Preaching | 250.00 |
| Amigos | Phoenix, AZ | 4-19-95 | Speaking Fee | 1000.00 |
| First Baptist Church | Berryville, AR | 4-24-95 | Preaching | 376.05 |
| Utah Republican Party | Salt Lake City, UT | 5-12-95 | Speaking Fee | 1500.00 |
| Winslow First Assembly Of God | Winslow, AR | 5-14-95 | Preaching | 254.00 |
| First Baptist Church | Crossett, AR | 5-19-95 | Preaching | 690.00 |
| First Baptist Church | McGehee, AR | 5-23-95 | Preaching | 560.00 |
| Lane Associates | Houston, TX | 6-2-95 | Consulting Fee | 5000.00 |
| Beech Street Baptist Church | Texarkana, AR | 6-4-95 | Preaching | 200.00 |
| Life Center, Inc. | Russellville, AR | 6-18-95 | Preaching | 947.36 |
| Two Rivers Baptist Church | Nashville, TN | 6-22-95 | Preaching | 1200.00 |
| First Baptist Church | Collierville, TN | 6-23-95 | Preaching | 400.00 |
| Second Baptist Church | Conway, AR | 6-28-95 | Preaching | 250.00 |
| Tom Wilson (Beech St. FBC) | Texarkana, TX | 6-30-95 | Preaching | 500.00 |
| First Baptist Church | Collierville, TN | 7-5-95 | Preaching | 200.00 |
| First Church Of The Nazarene | Little Rock, AR | 7-9-95 | Preaching | 500.00 |
| Second Baptist Church | Conway, AR | 7-12-95 | Preaching | 250.00 |
| Cornerstone Family Church | Malvern, AR | 7-18-95 | Preaching | 500.00 |
| Elmdale Baptist Church | Springdale, AR | 7-23-95 | Preaching | 250.00 |
| The Bible Church | Little Rock, AR | 8-5-95 | Preaching | 500.00 |
| Second Baptist Church | Conway, AR | 8-11-95 | Preaching | 250.00 |
| Evangel Temple | Ft. Smith, AR | 9-3-95 | Preaching | 360.00 |
| Third Street Baptist Church | Arkadelphia, AR | 9-10-95 | Preaching | 200.00 |
| Second Baptist Church | Conway, AR | 9-12-95 | Preaching | 500.00 |
| Joyce Boyle (Second Baptist) | Morrilton, AR | 9-24-95 | Preaching | 300.00 |
| Second Baptist Church | Conway, AR | 10-4-95 | Preaching | 250.00 |
| First Church Of The Nazarene | Kent, WA | 10-5-95 | Preaching | 250.00 |
| First Church Of The Nazarene | Kent, WA | 10-5-95 | Speaking Fee | 750.00 |
| Geyer Springs FBC | Little Rock, AR | 10-5-95 | Speaking (Spouse) | 300.00 |
| Dad, The Family Shepherd | Little Rock, AR | 10-24-95 | Speaking Fee | 2138.00 |

TOTAL THIS SCHEDULE $61,881.37

Reporting Individual's Name: Mike Huckabee

# PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES



**BLOCK A — Identity of Publicly Traded Assets and Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, for production of income or investment which:

1) had a value exceeding $1,000 at the close of the reporting period; and/or
2) generated over $200 in "unearned" income during the reporting period.

Include on this Part IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**BLOCK B — Valuation of Assets** at close of reporting period. If none, or less than $1001, check the 1st column.

**BLOCK C — Type and Amount of Income**

If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| S, DC or J | Block A | Block B: $1,001 - $15,000 | $50,001 - $100,000 | $250,001 - $500,000 | Over $1,000,000 | Block C: Type of Income: Rent | Capital Gains | Excepted Trust | Other (Specify Type) | Amount of Income: $201 - $1,000 | $2,501 - $5,000 | $15,001 - $50,000 | $100,001 - $1,000,000 | Actual Amount (Required if "Other" Specified) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Ex. | IBM Corp. (stock) | | x | | | | | | | x | | | | |
| Ex. | Keystone Equity Fund (widely diversified) | | | | | | | | | | x | | | |
| 1 | Southern Baptist Convention | | | | | | | | | | | | | |
| 2 | (Annuity Board) Retirement Acct. | | X | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | |

**EXEMPTION TEST:** If you omitted any asset because it meets the three-part test for exemption described in the Instructions, please check here. ☐

Reporting Individual's Name: Mike Huckabee

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number 7

**BLOCK A — Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state), and description of each interest held by you, your spouse, or your dependent child for the production of income or investment in a non public trade or business which:

1) had a value exceeding $1,000 at the close of the reporting period; and/or
2) generated over $200 in income during the reporting period.

Include the above report for each underlying asset which is not incidental to the trade or business. Publicly traded assets held by a non-public entity may be listed on Part IIIA.

**BLOCK B — Valuation of Assets** at close of reporting period. If none, or less than $1001, check the 1st column.

**BLOCK C — Type and amount of Income**

If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| SOC or J | Block A: Identity of Non-Publicly Traded Assets and Unearned Income Sources | None (or less than $1,001) | $1,001 - $15,000 | $50,001 - $100,000 | $250,001 - $500,000 | Over $1,000,000 | Type of Income: Rent | Capital Gains | Excepted Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $2,501 - $5,000 | $15,001 - $50,000 | $100,001 - $1,000,000 | Actual Amount Only if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Ex-amples: | JP Computers, Wash., D.C. (Computer Sales) | | | | | | | | | | | | | | | |
| | Undeveloped land in Dubuque, Iowa | | | | | | | | | | | x | | | | |
| 1 | NONE | | | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | | |

**EXEMPTION TEST:** If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check here. ☐

Previous Editions Cannot Be Used

Revised 2/95

Reporting Individual's Name: Mike Huckabee

# PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT



Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

| | Name of Organization | Address of Organization | Type of Organization | Position Held | From (Mo., Yr.) | To (Mo., Yr.) |
|---|---|---|---|---|---|---|
| Example: | Nat'l Assn. of Rock Collectors | NY, NY | Non-profit education | President | 8/90 | Present |
| Example: | Jones & Smith | Hometown, USA | Law firm | Partner | 7/85 | 11/92 |
| 1 | Ouachita Baptist University | Arkadelphia | University | Trustee | 11/91 | Present |
| 2 | US League For Freedom and Democracy | East Orange, NJ | Non-profit org. (educational) | Chairman | 2/94 | Present |
| 3 | ACTION America, Inc. | Texarkana TX | Non-profit org. (educational) | President | 2/94 | Present |
| 4 | State Of Arkansas | Little Rock, AR | State Government | Lt. Governor | 8/93 | Present |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |
| 8 | | | | | | |
| 9 | | | | | | |
| 10 | | | | | | |
| 11 | | | | | | |
| 12 | | | | | | |
| 13 | | | | | | |
| 14 | | | | | | |
| 15 | | | | | | |

Compensation in excess of $200 from any position must be reported in Part II.



NOV- 1-95 WED 6:29 HEC

3017726439

P.08