



FEDERAL ELECTION COMMISSION

1115 E STREET, N.W.
WASHINGTON, D.C. 20461

THIS IS THE END OF OUR # 847

Date Filed 2/6/79 Calendar No. --- 2

Candidate LPC

70040094801



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

January 5, 1979

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Herbert Englander
Controller
Moss and Company
1850 Westwood Boulevard
Los Angeles, California 90025

Re: MUR 847(78)

Dear Mr. Englander:

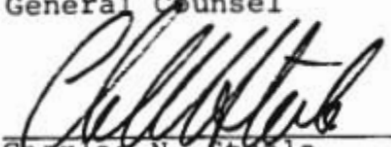
This is in reference to your letter of December 7, 1978 regarding the above captioned matter.

The Commission has considered the materials you submitted and has determined there is no reasonable cause to believe Moss and Company violated the Act. Accordingly, we are closing the file in this matter.

If you have any questions, please contact Marcie Cummings, the staff member assigned to this matter at 202-523-4175.

Sincerely,

William C. Oldaker
General Counsel


Charles N. Steele
Associate General Counsel



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

January 5, 1979

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Karl H. Henry, Treasurer
Julian C. Dixon-Democrat
for Congress
c/o Ms. Patricia Miller
1581 Roxbury Drive
Los Angeles, CA

Re: MUR 847(78)

Dear Mr. Henry:

On September 28, 1978 the Commission notified you that it had reason to believe that your Committee violated 2 U.S.C. §441b by accepting a corporate contribution from Moss and Company.

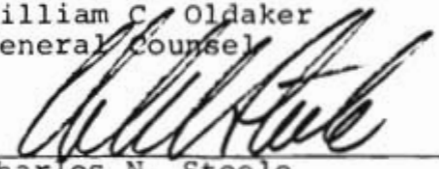
The matter was referred to the Office of the General Counsel which initiated an investigation and learned that the Moss and Company entity which made the contribution is not incorporated.

Accordingly, the Commission has found no reasonable cause to believe that your Committee violated 2 U.S.C. §441b. The Commission intends to close its file in this matter.

If you have any questions, please contact Marcie Cummings, the staff member assigned to this matter at 202-523-4175.

Sincerely,

William C. Oldaker
General Counsel


Charles N. Steele
Associate General Counsel

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Julian C. Dixon-Democrat
for Congress
c/o Ms. Patricia Miller
1581 Roxbury Drive
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On September 28, 1978 the Commission notified you that it had reason to believe that your Committee violated 2 U.S.C. §441b by accepting a corporate contribution from Moss and Company.

The matter was referred to the Office of the General Counsel which initiated an investigation and learned that the Moss and Company entity which made the contribution is not incorporated.

Accordingly, the Commission has found no reasonable cause to believe that your Committee violated 2 U.S.C. §441b. The Commission intends to close its file in this matter.

If you have any questions, please contact Marcie Cummings, the staff member assigned to this matter at 202-523-4175.

Sincerely,

William C. Oldaker
General Counsel

W.C.
11/4/79

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Herbert Englander
Controller
Moss and Company
1850 Westwood Boulevard
Los Angeles, California 90025

Re: MUR 847(78)

Dear Mr. Englander:

This is in reference to your letter of December 7, 1978 regarding the above captioned matter.

The Commission has considered the materials you submitted and has determined there is no reasonable cause to believe Moss and Company violated the Act. Accordingly, we are closing the file in this matter.

If you have any questions, please contact Marcie Cummings, the staff member assigned to this matter at 202-523-4175.

Sincerely,

William C. Oldaker
General Counsel

W.C.
1/4/79

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) MUR 847 (78)
Julian C. Dixon-Democrat)
for Congress Committee, CA.;)
Moss and Company)

CERTIFICATION

I, Marjorie W. Emmons, Secretary to the Federal Election Commission, do hereby certify that on December 27, 1978, the Commission determined by a vote of 5-0 to adopt the following recommendations, as set forth in the General Counsel's Report dated December 18, 1978, regarding the above-captioned matter:

1. Find no reasonable cause to believe that Moss and Company violated 2 U.S.C. §441b in connection with a contribution to Julian C. Dixon-Democrat for Congress.
2. Find no reasonable cause to believe that the Julian C. Dixon-Democrat for Congress Committee violated 2 U.S.C. §441b in its acceptance of a contribution from Moss and Company.
3. Close the file and send the letters attached to the above-named report.

Voting for this determination were Commissioners Aikens, Tiernan, McGarry, Thomson, and Harris.

Attest:

12-27-78
Date

Margaret E. Chaney
for Marjorie W. Emmons
Secretary to the Commission

Signed by the General Counsel: 12-20-78
Received in Office of Commission Secretary: 12-22-78, 10:41
Circulated on 48 hour vote basis: 12-22-78, 1:15

December 22, 1978

MEMORANDUM TO: Marge Emons
FROM: Elissa T. Garr
SUBJECT: MER 847

Please have the attached General Counsel's
Report on MUR 847 distributed to the Commission on
a 48 hour tally basis.

Thank you.

79040094890

RECEIVED
OFFICE OF THE
CLERK OF THE
U.S. HOUSE OF REPRESENTATIVES
BEFORE THE FEDERAL ELECTION COMMISSION
December 18, 1978

78 DEC 22 A10: 41

In the Matter of)
)
Julian C. Dixon-Democrat) MUR 847 (78)
for Congress Committee, CA.;)
Moss and Company)

GENERAL COUNSEL'S REPORT

BACKGROUND

On November 24, 1978, the Commission found reason to believe that Moss and Company (the "Company") violated 2 U.S.C. §441b in connection with a \$250 contribution it made on April 20, 1978 to Julian C. Dixon-Democrat for Congress (the "Committee"). The letter of notification was sent to Moss and Company on November 29, 1978 and the Company responded on December 7, 1978. (Attachment I)

DISCUSSION

According to Mr. Herbert Englander, Controller, ... "the contribution check which was made payable to 'Dixon for Congress' on April 20, 1978 in the amount of \$250.00 was drawn from Moss and Co., a partnership." The check was drawn on the Moss and Company account.

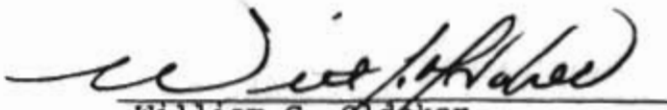
Since the contribution was not corporate, we recommend that the Commission find no reasonable cause to believe that the Company and the Committee violated 2 U.S.C. §441b.

RECOMMENDATION

1. Find no reasonable cause to believe that Moss and Company violated 2 U.S.C. §441b in connection with a contribution to Julian C. Dixon-Democrat for Congress.

2. Find no reasonable cause to believe that the Julian C. Dixon-Democrat for Congress Committee violated 2 U.S.C. §441b in its acceptance of a contribution from Moss and Company.
3. Close the file and send the attached letters.

12/20/78
Date


William C. Oldaker
General Counsel

ATTACHMENTS

1. Letter from Respondent
2. Proposed letters to Respondents



MOSS & COMPANY / Real Estate Investments / Property Management

000004

5909

78 DEC 11 PM 1:12

Attachment 4

MUR 847

Cummings

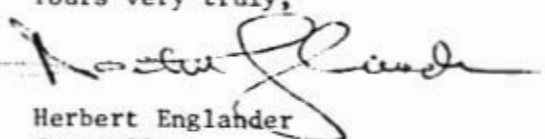
December 7, 1978

William C. Oldaker
General Counsel
Federal Election Commission
1325 K Street N.W.
Washington, D.C. 20463

Dear Mr. Oldaker:

Please be advised that the contrubtion check which was made payable to "Dixon for Congress" on April 20, 1978 in the amount of \$250.00 was drawn from Moss & Co., a partnership. We also have a corporate entity by the name of Walik Associates, Inc. DBA as Moss & Co. The stockholders of Walik Associates are the same as these partners of Moss & Co. I am not aware of any transmittal letter that accompanied the aforementioned check.

Yours very truly,


Herbert Englander
Controller

/s

MY 70. 18.
OCSESSED
BY CNB

075

MAY 10 73

PAY ANY BANK, P.E.G.
FIRST LOS ANGELES BANK
LOS ANGELES, CA
16-3326

PAY TO THE ORDER OF
FIRST LOS ANGELES BANK
LOS ANGELES, CALIF.
FOR DEPOSIT ONLY
JULIAN D. DIXON
DEMOCRAT FOR CONGRESS
CO 67712 65031
671063305



MOSS & COMPANY

CLEARING ACCOUNT
1650 WESTWOOD BLVD.
LOS ANGELES, CALIFORNIA 90025



CHECK NUMBER 040956

DATE 04-20-78

16 3326
1222

PAY EXACTLY *****250 DOLLARS 00 CENTS

PAY EXACTLY \$*****250.00

DIXON FOR CONGRESS

Walter H. Rucker
MOSS & COMPANY
Walter H. Rucker

⑈0094783⑈ ⑈1222⑈3326⑈ 671⑈034635⑈

⑈0000025000⑈

PAY TO
THE
ORDER
OF

56846004002



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

Actualment 12

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Herbert Englander
Controller
Moss and Company
1850 Westwood Boulevard
Los Angeles, California 90025

Re: MUR 847(78)

Dear Mr. Englander:

This is in reference to your letter of December 7, 1978 regarding the above captioned matter.

The Commission has considered the materials you submitted and has determined there is no reasonable cause to believe Moss and Company violated the Act. Accordingly, we are closing the file in this matter.

If you have any questions, please contact Marcie Cummings, the staff member assigned to this matter at 202-523-4175.

Sincerely,

William C. Oldaker
General Counsel



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Karl H. Henry, Treasurer
Julian C. Dixon-Democrat
for Congress
c/o Ms. Patricia Miller
1581 Roxbury Drive
Los Angeles, CA

Re: MUR 847(78)

Dear Mr. Henry:

On September 28, 1978 the Commission notified you that it had reason to believe that your Committee violated 2 U.S.C. §441b by accepting a corporate contribution from Moss and Company.

The matter was referred to the Office of the General Counsel which initiated an investigation and learned that the Moss and Company entity which made the contribution is not incorporated.

Accordingly, the Commission has found no reasonable cause to believe that your Committee violated 2 U.S.C. §441b. The Commission intends to close its file in this matter.

If you have any questions, please contact Marcie Cummings, the staff member assigned to this matter at 202-523-4175.

Sincerely,

William C. Oldaker
General Counsel



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

November 29, 1978

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Herb Englander, Controller
Moss and Company
1850 Westwood Blvd.
Los Angeles, CA 90025

Re: MUR 847(78)

Dear Mr. Englander:

Based on information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission has found reason to believe that Moss and Company may have violated 2 U.S.C. 441b of the Federal Election Campaign Act of 1971, as amended ("the Act") by contributing \$250 on May 17, 1978 to Julian C. Dixon-Democrat for Congress (the Committee). This section of the Act prohibits corporations from contributing to federal political committees.

We have numbered this matter MUR 847.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Additionally, please submit the following information:

1. State if Moss and Company is incorporated and, if so, when it became incorporated. Provide copies of the incorporation papers filed with the Secretary of State in California;
2. (a) State whether there are any entities bearing the name of Moss and Company which are controlled in any way by the owners of the incorporated entity, Moss & Company.

- (b) If so, state its relationship to the incorporated entity.
3. Provide copies of both sides of the check by which the contribution was made to the Julian C. Dixon-Democrat for Congress Committee;
 4. Provide a copy of any cover letter or memo which was sent to the Committee with this check;
 5. (a) Has anyone from the Committee corresponded with you by letter or phone concerning your contribution or corporate status?
- (b) If so, provide copies of any such letters received and/or describe the substance of any such conversations, the dates they occurred and the persons involved.

Please submit this information under oath.

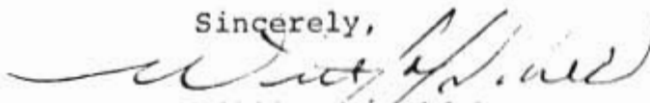
The Commission is under a duty to investigate this matter expeditiously. Therefore, your response should be submitted within ten days after your receipt of this notification.

If you have any questions, please contact Marcie Cummings, the staff member assigned to this matter at 202-523-4175.

This matter will remain confidential in accordance with 2 U.S.C. §437g(a)(3)(B) unless you notify the Commission in writing that you wish the investigation to be made public.

If you intend to be represented by counsel in this matter, please have such counsel so notify us in writing.

Sincerely,



William C. Oldaker
General Counsel

Cummings 847

Complete items 1, 2, and 3.
Add your address in the RETURN TO space on reverse

3811 Apr 1977

RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

1. The following service is requested (check one).		
<input type="checkbox"/>	Show to whom and date delivered	c
<input type="checkbox"/>	Show to whom, date, and address of delivery	c
<input type="checkbox"/>	RESTRICTED DELIVERY	
	Show to whom and date delivered	c
<input type="checkbox"/>	RESTRICTED DELIVERY	
	Show to whom, date, and address of delivery \$	
(CONSULT POSTMASTER FOR FEES)		
2. ARTICLE ADDRESSED TO: Herb Englander, Controller Moss & Company 1050 Westwood Blvd. Los Angeles, CA 90025		
3. ARTICLE DESCRIPTION		
REGISTERED NO	CERTIFIED NO	INSURED NO
	945010	
(Always obtain signature of addressee or agent)		
I have received the article described above		
SIGNATURE <input type="checkbox"/> Addressee <input type="checkbox"/> Authorized agent		
4. DATE OF DELIVERY 12/1/78		
POSTMARK		
5. ADDRESS (Complete only if requested)		
6. UNABLE TO DELIVER BECAUSE		CLERK'S INITIALS

0066601002

7 9 0 4 0 0 9 4 9 0 1

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Herb Englander, Controller
Moss and Company
1850 Westwood Blvd.
Los Angeles, CA 90025

11/29/78

Re: MUR 847(78)

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We have numbered this matter MUR 847.

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1. State if Moss and Company is incorporated and, if so, when it became incorporated. Provide copies of the incorporation papers filed with the Secretary of State in California.
2. (a) State whether there are any entities bearing the name of Moss and Company which are controlled in any way by the owners of the incorporated entity, Moss & Company

ML
11/27/78

- (b) If so, state its relationship to the incorporated entity.
3. Provide copies of both sides of the check by which the contribution was made to the Julian C. Dixon-Democrat for Congress Committee;
 4. Provide a copy of any cover letter or memo which was sent to the Committee with this check;
 5. (a) Has anyone from the Committee corresponded with you by letter or phone concerning your contribution or corporate status?

(b) If so, provide copies of any such letters received and/or describe the substance of any such conversations, the dates they occurred and the persons involved.

Please submit this information under oath.

The Commission is under a duty to investigate this matter expeditiously. Therefore, your response should be submitted within ten days after your receipt of this notification.

If you have any questions, please contact Marcie Cummings, the staff member assigned to this matter at 202-523-4175.

This matter will remain confidential in accordance with 2 U.S.C. §437g(a)(3)(B) unless you notify the Commission in writing that you wish the investigation to be made public.

If you intend to be represented by counsel in this matter, please have such counsel so notify us in writing.

Sincerely,

15/

William C. Oldaker
General Counsel

79040094902

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) MUR 847
Julian C. Dixon)
Democrat for Congress Committee, CA)
Moss & Company)

CERTIFICATION

I, Marjorie W. Emmons, Secretary to the Federal Election Commission, do hereby certify that on November 24, 1978, the Commission determined by a vote of 5-0 to adopt the following recommendations, as set forth in the First General Counsel's Report dated November 21, 1978, regarding the above-captioned matter:

1. Find reason to believe that Moss & Company violated 2 U.S.C. §441b in connection with a contribution to Julian C. Dixon - Democrat for Congress.
2. Send the letter with questions to Moss & Company attached to the above-named report.

Voting for this determination were Commissioners Aikens, Tiernan, McGarry, Thomson, and Harris.

Attest:

11-24-78
Date

for Margaret E. Chaney
Marjorie W. Emmons, Secretary to the Commission

Received in Office of Commission Secretary: 11-21-78, 10:10
Circulated on 48 hour vote basis: 11-21-78, 3:30

November 21, 1978

MEMORANDUM TO: Marge Emmons
FROM: Elissa T. Garr
SUBJECT: MUR 847

Please have the attached First General Counsel's
Report on MUR 847 distributed to the Commission on a 48
hour tally basis.

Thank you.

9040094904

November 21, 1978

MEMORANDUM TO: Marge Emmons
FROM: Elissa T. Garry
SUBJECT: MUR 847

Please have the attached First General Counsel's
Report on MUR 847 distributed to the Commission on a 48
hour tally basis.

Thank you.

4040094904

RECEIVED
OFFICE OF THE
FEDERAL ELECTION COMMISSION
1325 K Street, N.W.
Washington, D.C. 20463

78 NOV 21 AIO: 10

FIRST GENERAL COUNSEL'S REPORT

DATE AND TIME OF TRANSMITTAL
BY OGC TO THE COMMISSION NOV 21 1978

MUR NO. 847
DATE COMPLAINT RECEIVED
BY OGC
STAFF
MEMBER Cummings

SOURCE: INTERNALLY GENERATED

RESPONDENT'S NAME: Julian C. Dixon - Democrat for Congress
Committee, CA; Moss & Company

RELEVANT STATUTE: 2 U.S.C. 441b

INTERNAL REPORTS CHECKED: Ten Day Pre-Primary Report

FEDERAL AGENCIES CHECKED: None

GENERATION OF MATTER

A review of the ten day pre-primary report filed by Julian C. Dixon-Democrat for Congress (the "Committee") revealed a \$250 contribution from an entity which may be incorporated, Moss and Company, in violation of 2 U.S.C. 441b. The matter was referred to the Office of the General Counsel by the Reports Analysis Division on November 7, 1978. (Attachment I).

The Committee was notified of the surface violation on July 20, 1978. When no response was received from the Committee a letter advising that the Commission had reason to believe the Act was violated was mailed on September 19, 1978; the letter was re-mailed on September 28, 1978 after the original mailing was returned by the Post Office.

EVIDENCE

There is some dispute as to whether the contributor is incorporated. On August 1, 1978, Phil Harward of Reports Analysis Division spoke with Pat Miller of the Committee, who stated that according to the Committee's investigation Moss and Company was not incorporated. She also stated that she would send other information to us concerning this contributor.^{1/}

^{1/} Ms. Miller had stated that a letter would be sent to the Commission comparing the partners of Moss & Company to the corporate officers of the corporation, as well as other differences in Moss and Company to another corporation with a similar name. The Committee has not, to date, sent such a letter.

(See Attachment II). On November 1, 1978, a staff member from Reports Analysis contacted the California Secretary of State's Office and learned that Moss & Company was a corporation.

On November 13, 1978 a staff member from the General Counsel's Office contacted, telephonically, Mr. H. Englander, Controller for Moss & Company. According to Mr. Englander Moss & Company is incorporated, but within the Company there are different entities which are not incorporated.

ANALYSIS

In view of the unclear information regarding the contributor's corporate status, and the failure of the Committee to respond fully on this issue after being requested and do so, we believe the Commission ought to find reason to believe that the contributor violated §441b. In this context, the Commission should authorize a letter with questions on the corporate issue to the contributor.

RECOMMENDATION

1. Find reason to believe that Moss & Company violated 2 U.S.C. §441b in connection with a contribution to Julian C. Dixon-Democrat for Congress.
2. Send attached letter with questions to Moss & Company.

ATTACHMENTS

1. Referral from Reports Analysis Division
2. Proposed letter to the Company

REPORTS ANALYSIS REFERRAL SHEET

DATE 11/7/78

ANALYST Ron Krouse RK

TO: Office of General Counsel

TEAM CHIEF Peter Kell, Jr. PKJr.

THROUGH: STAFF DIRECTOR JSF

COMPLIANCE REVIEW Carroll Bowen CB

FROM: ASSISTANT STAFF DIRECTOR FOR REPORTS ANALYSIS JH

Attachment 1

CANDIDATE/COMMITTEE: Julian C. Dixon - Democrat For Congress CA/28

TREASURER: Karl H. Henry
c/o Ms. Patricia Miller
ADDRESS: 1581 Roxbury Drive
Los Angeles, CA

AFFILIATE(S): NONE

ALLEGATION(S): CITE: ATTACHMENT(S)
Committee has received a contribution 2 U.S.C. 441b
from a registered corporation. #2

MANNER IN WHICH REVIEW WAS INITIATED if other than normal review, AND DATE: 7/20/78

ATTACHMENT

REPORTS: All reports within the dates listed below have received initial basic review. For all reports reviewed, see Attachment 1.

PERIOD COVERED FROM 4/1/78 TO 5/22/78
TOTAL RECEIPTS \$ 126,056 TOTAL EXPENDITURES \$ 102,598
CASH ON HAND \$ 35,678 DEBTS \$ 6,000

HISTORY:

RESULTS OF REVIEW: ATTACHMENT

The first Surface Violation letter was sent to the committee on 7/20/78. When no response was received, the RTB letter was mailed to the committee on 9/19/78. It was re-mailed on 9/28/78, after the original mailing was returned by the Post Office. #3 #4

COMMUNICATIONS WITH CANDIDATE/COMMITTEE: ATTACHMENT
Phil Harward of RAD spoke with Pat Miller of the committee on 8/1/78. #5
Ms. Miller said that, according to the committee's investigation, the company was not incorporated.

REASON(S) FOR REFERRAL: ATTACHMENT
The committee has received a corporate contribution in violation of 2 U.S.C. 441b. They have not, to date, responded to Commission inquiries on the contribution, nor have they reported refunding the contribution in question.

OTHER PENDING ACTIONS INITIATED BY RAD: ATTACHMENT
MUR #736 has been previously referred to OGC on the non-disclosure of the principal place of business for contributors to the committee.

OTHER RELEVANT INFORMATION:
Ron Krouse verified with the California Secretary of State that Moss and Co., 1850 Westwood Blvd., L.A., CA 90025 is an active corporation in the state of California in a telephone conversation on 11/1/78. An amendment was received on 10/31/78 that still itemized a contribution from Moss and Co. The company was incorporated on 4/1/77 according to the Cal. Sec'y of State.

FEDERAL ELECTION COMMISSION
COMMITTEE INDEX OF DISCLOSURE DOCUMENTS (C)

DATE 31OCT78
PAGE 1

HOUSE

COMMITTEE	DOCUMENT	RECEIPTS		EXPENDITURES		COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
		PRIMARY	GENERAL	PRIMARY	GENERAL			
JULIAN C. DIXON - DEMOCRAT FOR CONGRESS	1978 STATEMENT OF ORGANIZATION					2MAR78	1	78HSE/130/4173
	REQUEST FOR ADDITIONAL INFORMATION					16MAR78	2	78FEC/060/2950
	STATEMENT OF ORGANIZATION- AMENDMENT					5APR78	3	78HSE/131/3737
	STATEMENT OF ORGANIZATION- AMENDMENT					18AY78	3	78HSE/135/1970
	48 HOUR CONTRIBUTION NOTICE					30MAY78	2	78HSE/137/0939
	48 HOUR CONTRIBUTION NOTICE					30MAY78	2	78HSE/137/1909
	48 HOUR CONTRIBUTION NOTICE					31MAY78	2	78HSE/137/0997
	48 HOUR CONTRIBUTION NOTICE					1JUN78	1	78HSE/137/1539
	48 HOUR CONTRIBUTION NOTICE					2JUN78	2	78HSE/137/1936
	48 HOUR CONTRIBUTION NOTICE					2JUN78	2	78HSE/137/1939
	48 HOUR CONTRIBUTION NOTICE					5JUN78	2	78HSE/137/2063
	48 HOUR CONTRIBUTION NOTICE					5JUN78	1	78HSE/137/2961
	48 HOUR CONTRIBUTION NOTICE					5JUN78	1	78HSE/137/3582
	48 HOUR CONTRIBUTION NOTICE					6JUN78	2	78HSE/137/3544
	48 HOUR CONTRIBUTION NOTICE					6JUN78	1	78HSE/137/3562
	48 HOUR CONTRIBUTION NOTICE					8JUN78	2	78HSE/137/4166
	MISCELLANEOUS TRANSACTION TO F.E.C.					1JUN78 - 31MAY78	11	78HSE/137/4166
	APRIL 10 QUARTERLY	25,254		0.375		1JUN78 - 18MAY78	3	78HSE/137/4166
	REPORT FOR ADDITIONAL INFORMATION					1JUN78 - 18MAY78	3	78HSE/137/4166
	APRIL 10 QUARTERLY - RECORDING	25,254		0.375		1JUN78 - 31MAY78	1	78HSE/137/4166
	APRIL 10 QUARTERLY - RECORDING	25,254		0.375		1JUN78 - 31MAY78	4	78HSE/137/4166
	10 MAY 1978 PRIMARY	126,056		100,598		1JUN78 - 31MAY78	30	78HSE/137/4166
	REQUEST FOR ADDITIONAL INFORMATION					1JUN78 - 31MAY78	3	78FEC/077/3078
	REQUEST FOR ADDITIONAL INFORMATION					1APR78 - 22MAY78	3	78FEC/077/3078
	30 DAY POST PRIMARY	61,367		60,403		23MAY78 - 26JUN78	33	78HSE/140/0511
	JULY 10 QUARTERLY		0		0	27JUN78 - 30JUN78	2	78HSE/140/0511
	OCTOBER 10 QUARTERLY		19,000		14,519	27JUN78 - 30JUN78	19	78HSE/140/0511
	TOTAL	207,499	19,000	261,426	14,519		146	TOTAL PAGES

ALL REPORTS Through The Q2 Have Received Basic Review.

FEC A
 1020
 Nov. 1978
 Federal Election Commission
 11 K Street, N.W.
 Washington, D.C. 20543

ITEMIZED RECEIPTS

(Contributions, Transfers, Contribution In-Kind,
 Other Income, Loans, Refunds)

Supporting Lines 14a, 15a, 15b, 15c, 16a, 17a, and/or 18a
 of FEC FORM 3

Page 19 of 22 for

Line Number 14a

(Use Separate Schedules for
 each numbered line)

Name of Candidate or Committee in Full JULIAN C. DIXON - DEMOCRAT FOR CONGRESS			
Full Name, Mailing Address and ZIP Code Port Advocate 4910 Berch St. Newport Beach, CA 92660	Principal Place of Business Same Louis Marlin - 50% Frank Michelena - 50% Occupation Lessor of law office space	Date (month, day, year) 5/ 16/ 78	Amount of each Receipt this Period 500.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date: \$		
Full Name, Mailing Address and ZIP Code Howard Siegel 2018 Port Provence Newport Beach, CA 92660	Principal Place of Business State Mutual Savings and Loan 4001 MacArthur Blvd. Newport Beach, CA 92660 Occupation President	Date (month, day, year) 5/ 16/ 78	Amount of each Receipt this Period 500.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date: \$		
Full Name, Mailing Address and ZIP Code Lester M. Finkelstein 404 N. Roxbury Dr. Beverly Hills, California 90210	Principal Place of Business Same Occupation Investor	Date (month, day, year) 5/ 16/ 78	Amount of each Receipt this Period 250.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date: \$		
Full Name, Mailing Address and ZIP Code Joseph Lowitz 404 N. Roxbury Dr. Beverly Hills, California 90210	Principal Place of Business Same Occupation Investor	Date (month, day, year) 5/ 16/ 78	Amount of each Receipt this Period 125.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date: \$		
Full Name, Mailing Address and ZIP Code Lawrence Kates PO Box 25991 Los Angeles, California 90025	Principal Place of Business Same Occupation Builder	Date (month, day, year) 5/ 16/ 78	Amount of each Receipt this Period 500.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date: \$		
Full Name, Mailing Address and ZIP Code Paul Kodimer 5318 S. Main Street Los Angeles, California 90037	Principal Place of Business ABC Markets, Inc. Same Occupation President	Date (month, day, year) 5/ 16/ 78	Amount of each Receipt this Period 350.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date: \$		
Full Name, Mailing Address and ZIP Code Moss and Company 1850 Westwood Blvd. Los Angeles, California 90025	Principal Place of Business Same Occupation Realtors	Date (month, day, year) 5/ 17/ 78	Amount of each Receipt this Period 250.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date: \$		
SUBTOTAL of receipts this page (optional)			\$ 2,475.00
TOTAL this period first page this line number only			\$

8/20

In reply please refer to 4SV1/78-67PS



FEDERAL ELECTION COMMISSION

1125 K STREET N.W.
WASHINGTON, D.C. 20463

20 July 1978

Karl H. Henry, Treasurer
Julian C. Dixon-Democrat for Congress
1581 Roxbury Drive
Los Angeles, California 90035

Dear Mr. Henry:

This letter is prompted by the Commission's interest in assisting candidates and committees who wish to comply with the Federal Election Campaign Act, as amended. During review of the 10 Day Pre-Primary Report of Receipts and Expenditures, we noticed an entry indicating that you may have accepted a contribution from a corporation. Corporate contributions are prohibited by the Act, unless the contribution is made by a separate segregated fund established by the corporation. A copy of that portion of your report is attached for your review and clarification.

The Commission recommends that if you have accepted a prohibited contribution that you return the full amount to the donor. The return of the contribution should be reported immediately by letter and should be reflected as a contribution refund on your next report of receipts and expenditures. If the identification of the source of this contribution is incomplete or incorrect on the original report, please submit a statement which would clarify this particular matter for the public record. You may do so by amending your original report by letter.

Please notify the Commission within fifteen (15) days from the date of this letter of the determination made on this matter. If you have any questions concerning this matter, please do not hesitate to contact Phillip Harward (800)424-9530, our Reports Analyst assigned to you. Our local telephone number is 523-4048.

Sincerely,

Orlando B. Potter

Orlando B. Potter
Staff Director

Enclosure
Certified Mail:
Return Receipt Requested



46 Dixon 45V175-67 PS

PS Form 3811, Apr. 1977

RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

1. The following service is requested (check one).
☐ Show to whom and date delivered.
☒ Show to whom, date, and address of delivery.
☐ RESTRICTED DELIVERY.
Show to whom and date delivered.
☐ RESTRICTED DELIVERY.
Show to whom, date, and address of delivery.
(CONSULT POSTMASTER FOR FEES)

2. ARTICLE ADDRESSED TO:

3. ARTICLE DESCRIPTION:
REGISTERED NO. CERTIFIED NO. INSURED NO.
943745
(Always obtain signature of addressee or agent)

I have received the article described above.
SIGNATURE ☐ Addressee ☐ Authorized agent

4. DATE OF DELIVERY
MAY 24 1978

5. ADDRESS (Complete only if requested)
1541 So. R. Rd.

6. UNABLE TO DELIVER BECAUSE:

CLERK'S INITIALS

11/11/78

☆ GPO : 1977 - O-234-337

Ret. from 10
Revised 9/26/78

In reply please refer to 45V2/78-67PS

10/19
10/28

FEDERAL ELECTION COMMISSION

1175 A STREET NW
WASHINGTON, DC 20461

19 September 1978

Earl H. Henry, Treasurer
Julia C. Blum - Democrat for Congress
4441-44th Drive
Los Angeles, California 90035

Revised 9/26/78

4408 Crenshaw Blvd.
Los Angeles, CA 90043

Dear Mr. Henry:

On July 20, 1978, you were notified that certain entries in the 10 Day Pre-Primary Report of Receipts and Expenditures filed by your committee raised problems under 2 U.S.C. 441b.

As of this date, however, we have received no response from you. Your failure to respond gives the Commission reason to believe that you may have committed a violation of 2 U.S.C. 441b.

The Commission intends to take no action against you until you have had a reasonable opportunity to respond to this letter. This response should be mailed to the Commission within fifteen (15) days from the date of this letter.

If you have responded to the above or you require additional information, please contact Phillip Harward, our Reports Analyst assigned to you on our toll free number (800)424-9530. Our local number is 523-4048.

Sincerely,

Joan Atkins
Commissioner

Certified Mail:
Return Receipt Requested



65 Dixon

458218-67 PS

1. SENDER Complete items 1, 2, and 3. Add your address in the RETURN TO space on envelope.		
1. The following service is requested (check one) <input type="checkbox"/> Show to whom and date delivered C <input checked="" type="checkbox"/> Show to whom, date, and address of delivery C <input type="checkbox"/> RESTRICTED DELIVERY Show to whom and date delivered C <input type="checkbox"/> RESTRICTED DELIVERY Show to whom, date, and address of delivery \$ (CONSULT POSTMASTER FOR FEES)		
2. ARTICLE ADDRESSED TO:		
3. ARTICLE DESCRIPTION:		
REGISTERED NO.	CERTIFIED NO.	INSURED NO.
	743467	
(Always obtain signature of addressee or agent)		
I have received the article described above		
SIGNATURE <input checked="" type="checkbox"/> Addressee <input type="checkbox"/> Authorized agent		
4. DATE OF DELIVERY 10-4-78		POSTMARK
5. ADDRESS (Copy rate only if requested)		
6. UNABLE TO DELIVER BECAUSE:		CLERK'S INITIALS

PS Form 3871, Apr 1977

RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

Hewlett

GPO 1977-0-749-505

Dixon SV-1
8/20

MEMORANDUM: FOR FILES

RE: TELECON

FROM: Phillip Harward

DATE: 8/1/78

NAME OF COMMITTEE: Julian C. Dixon - Democrat For Congress CA/28

Attachment II

Pat Miller desired clarification on a discrepancy found in the incorporation dates of a Moss and Company from their ~~RAAT~~. Their response from the California Secretary of State Corporate Division said that Moss and Company did not show a date of incorporation. She will send a letter comparing the partners to the corporate officers as well as other differences in Moss and Company to another corporation with a similar name. She will also state in her letter including the contribution of Moss and Company in Line 14b of her forthcoming amended report. The partner alotments are less than \$100.

Attachments

Row Ki Please call Secty. of State + Sec. of
Moss + Co. at the address given is or is not
a corporation. If it is not, then it is not a
surface violation, but the case must be attributed.

2/

10/31/78

11/1/78 - Moss + Co. At Address given is A CORPORATION.

Attachment II



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Herb Englander, Controller
Moss and Company
1850 Westwood Blvd.
Los Angeles, CA 90025

Re: MUR 847(78)

Dear Mr. Englander:

Based on information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission has found reason to believe that Moss and Company may have violated 2 U.S.C. 441b of the Federal Election Campaign Act of 1971, as amended ("the Act") by contributing \$250 on May 17, 1978 to Julian C. Dixon-Democrat for Congress (the Committee). This section of the Act prohibits corporations from contributing to federal political committees.

We have numbered this matter MUR 847.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Additionally, please submit the following information:

1. State if Moss and Company is incorporated and, if so, when it became incorporated. Provide copies of the incorporation papers filed with the Secretary of State in California;
2. (a) State whether there are any entities bearing the name of Moss and Company which are controlled in any way by the owners of the incorporated entity, Moss & Company.

(b) If so, state its relationship to the incorporated entity.

3. Provide copies of both sides of the check by which the contribution was made to the Julian C. Dixon-Democrat for Congress Committee;
4. Provide a copy of any cover letter or memo which was sent to the Committee with this check;
5. (a) Has anyone from the Committee corresponded with you by letter or phone concerning your contribution or corporate status?

(b) If so, provide copies of any such letters received and/or describe the substance of any such conversations, the dates they occurred and the persons involved.

Please submit this information under oath.

The Commission is under a duty to investigate this matter expeditiously. Therefore, your response should be submitted within ten days after your receipt of this notification.

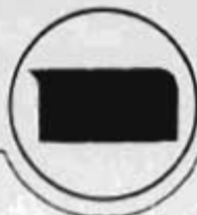
If you have any questions, please contact Marcie Cummings, the staff member assigned to this matter at 202-523-4175.

This matter will remain confidential in accordance with 2 U.S.C. §437g(a)(3)(B) unless you notify the Commission in writing that you wish the investigation to be made public.

If you intend to be represented by counsel in this matter, please have such counsel so notify us in writing.

Sincerely,

William C. Oldaker
General Counsel



MOSS & COMPANY / Real Estate Investments / Property Management

000004

FEDERAL ELECTION COMMISSION

'78 DEC 11 PM 1:12

MUK 847

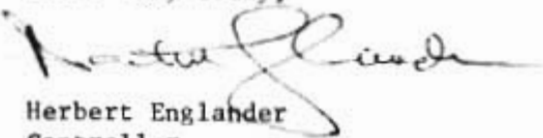
December 7, 1978

William C. Oldaker
General Council
Federal Election Commission
1325 K Street N.W.
Washington, D.C. 20463

Dear Mr. Oldaker:

Please be advised that the contrubtion check which was made payable to "Dixon for Congress" on April 20, 1978 in the amount of \$250.00 was drawn from Moss & Co., a partnership. We also have a corporate entity by the name of Walik Associates, Inc. DBA as Moss & Co. The stockholders of Walik Associates are the same as these partners of Moss & Co. I am not aware of any transmittal letter that accompanied the aforementioned check.

Yours very truly,


Herbert Englander
Controller

/s

1

Q75

MAY 1978

PAY ANY BANK, P.E.G.
FIRST LOS ANGELES BANK
LOS ANGELES, CA
16-3326 16-3326

671063805 6 1 0
COJ 84742
DEMOCRAT FOR CONGRESS
JULIAN C. DYON
FOR CONGRESS ONLY
LOS ANGELES, CALIF. - 4
FIRST LOS ANGELES BANK
PAY TO THE ORDER OF



MOSS & COMPANY

CLEARING ACCOUNT
1850 WESTWOOD BLVD.
LOS ANGELES, CALIFORNIA 90025



DATE **04-20-78**

16 3320
1325

CHECK NUMBER **040956**

PAY EXACTLY

*****250 DOLLARS 00 CENTS

PAY EXACTLY \$*****250.00

MOSS & COMPANY

DIXON FOR CONGRESS

TO
ORDER
OF

⑆0094783⑆ ⑆1222⑆3325⑆ 671⑆034635⑆

⑆0000025000⑆

01646004002



1 0 0 9 9 2 0
MOSS & COMPANY

Real Estate Investments Property Management

1850 WESTWOOD BOULEVARD LOS ANGELES, CALIFORNIA 90025

William C. Oldaker
General Council
Federal Election Commission
1325 K Street N.W.
Washington, D.C. 20463

76 DEC 11 PM 1:12



REPORTS ANALYSIS REFERRAL SHEET

DATE 11/7/78 ANALYST Ron Krouse R/K
TO: Office of General Counsel TEAM CHIEF Peter Kell, Jr. JPK
THROUGH: STAFF DIRECTOR JBR COMPLIANCE REVIEW Carroll Bowen CB
FROM: ASSISTANT STAFF DIRECTOR FOR REPORTS ANALYSIS JH

CANDIDATE/COMMITTEE: Julian C. Dixon - Democrat For Congress CA/28

TREASURER: Karl H. Henry
c/o Ms. Patricia Miller
ADDRESS: 1581 Roxbury Drive
Los Angeles, CA

AFFILIATE(S): NONE

ALLEGATION(S): Committee has received a contribution from a registered corporation. CITE: 2 U.S.C. 441b ATTACHMENT(S): #2

MANNER IN WHICH REVIEW WAS INITIATED if other than normal review, AND DATE: 7/20/78

ATTACHMENT

REPORTS: All reports within the dates listed below have received initial basic review. For all reports reviewed, see Attachment 1.

PERIOD COVERED FROM 4/1/78 TO 5/22/78
TOTAL RECEIPTS \$ 126,056 TOTAL EXPENDITURES \$ 102,598
CASH ON HAND \$ 35,678 DEBTS \$ 6,000

HISTORY:

RESULTS OF REVIEW: ATTACHMENT

The first Surface Violation letter was sent to the committee on 7/20/78. When no response was received, the RTB letter was mailed to the committee on 9/19/78. It was re-mailed on 9/28/78, after the original mailing was returned by the Post Office. #3 #4

COMMUNICATIONS WITH CANDIDATE/COMMITTEE: ATTACHMENT
Phil Harward of RAD spoke with Pat Miller of the committee on 8/1/78. #5
Ms. Miller said that, according to the committee's investigation, the company was not incorporated.

REASON(S) FOR REFERRAL: ATTACHMENT

The committee has received a corporate contribution in violation of 2 U.S.C. 441b. They have not, to date, responded to Commission inquiries on the contribution, nor have they reported refunding the contribution in question.

OTHER PENDING ACTIONS INITIATED BY RAD: ATTACHMENT

MJR #736 has been previously referred to OGC on the non-disclosure of the principal place of business for contributors to the committee.

OTHER RELEVANT INFORMATION:

Ron Krouse verified with the California Secretary of State that Moss and Co., 1850 Westwood Blvd., L.A., CA 90025 is an active corporation in the state of California in a telephone conversation on 11/1/78. An amendment was received on 10/31/78 that still itemized a contribution from Moss and Co. The company was incorporated on 4/1/77 according to the Cal. Sec'y of State.

7 9 0 4 0 0 9 4 9 2 2

FEDERAL ELECTION COMMISSION
COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)DATE 310C178
PAGE 1

HOUSE

COMMITTEE	DOCUMENT	RECEIPTS		EXPENDITURES		COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
		PRIMARY	GENERAL	PRIMARY	GENERAL			
JULIAN C. JAMES - SENATOR FOR CONGRESS	1976-1977 DISCLOSURE INFORMATION							101 C00088664
	DISCLOSURE INFORMATION			4MAR78			1	78HSE/130/4173
	DISCLOSURE INFORMATION AMENDMENT			15MAR78			2	78FEC/068/2950
	DISCLOSURE INFORMATION AMENDMENT			3APR78			3	78HSE/131/3737
	DISCLOSURE INFORMATION AMENDMENT			15MAY78			3	78HSE/135/1970
	40 HOUR CONTRIBUTION NOTICE			30MAY78			2	78HSE/137/0939
	40 HOUR CONTRIBUTION NOTICE			30MAY78			2	78HSE/137/1909
	40 HOUR CONTRIBUTION NOTICE			31MAY78			2	78HSE/137/0927
	40 HOUR CONTRIBUTION NOTICE			1JUN78			1	78HSE/137/1537
	40 HOUR CONTRIBUTION NOTICE			2JUN78			2	78HSE/137/1936
	40 HOUR CONTRIBUTION NOTICE			2JUN78			2	78HSE/137/1939
	40 HOUR CONTRIBUTION NOTICE			5JUN78			2	78HSE/137/2363
	40 HOUR CONTRIBUTION NOTICE			5JUN78			1	78HSE/137/2961
	40 HOUR CONTRIBUTION NOTICE			5JUN78			1	78HSE/137/3582
	40 HOUR CONTRIBUTION NOTICE			6JUN78			2	78HSE/137/3534
	40 HOUR CONTRIBUTION NOTICE			6JUN78			1	78HSE/137/3562
	40 HOUR CONTRIBUTION NOTICE			8JUN78			2	78HSE/137/4166
	DISCLOSURE TRANSACTION TO F.E.C.			1JAN78 - 31MAR78			11	78HSE/135/4004
	DISCLOSURE TRANSACTION TO F.E.C.			1JAN78 - 1MAR78			3	78FEC/074/0120
	DISCLOSURE TRANSACTION AMENDMENT			1JAN78 - 31MAR78			1	78HSE/135/2122
	DISCLOSURE TRANSACTION AMENDMENT			1JAN78 - 31MAR78			4	78HSE/138/0855
	DISCLOSURE TRANSACTION			1APR78 - 22MAY78			38	78HSE/137/0658
	DISCLOSURE TRANSACTION INFORMATION			1APR78 - 22MAY78			3	78FEC/077/3078
	DISCLOSURE TRANSACTION INFORMATION			1APR78 - 22MAY78			3	78FEC/084/5150
	DISCLOSURE TRANSACTION			23MAY78 - 26JUN78			33	78HSE/140/0505
	DISCLOSURE TRANSACTION			0 27JUN78 - 30JUN78			2	78HSE/141/3976
	DISCLOSURE TRANSACTION			0 27JUN78 - 30SEP78			19	78HSE/149/0579
	DISCLOSURE TRANSACTION						146	TOTAL PAGES
	TOTAL	267,399	19,038	201,426	14,518			

ALL REPORTS Through The Q2 Have Received Basic Review
NO

ITEMIZED RECEIPTS

(Contributions, Transfers, Contribution In-Kind,
Other Income, Loans, Refunds)

Supporting Lines 14a, 15a, 15b, 15c, 16a, 17a, and/or 18a
of FEC FORM 3

Page 19 of 22 for
Line Number 14a

(Use Separate Schedules for
each numbered line)

Name of Candidate or Committee in Full

JULIAN C. DIXON - DEMOCRAT FOR CONGRESS

Full Name, Mailing Address and ZIP Code	Principal Place of Business	Date (month, day, year)	Amount of each Receipt this Period
Port Advocate 4910 Berch St. Newport Beach, CA 92660	Same Louis Marlin - 50% Frank Michelena - 50% Occupation Lessor of law office space	5/16/78	500.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date: \$		
Full Name, Mailing Address and ZIP Code Howard Siegel 2018 Port Provence Newport Beach, CA 92660	Principal Place of Business State Mutual Savings and Loan 4001 MacArthur Blvd. Newport Beach, CA 92660 Occupation President	5/16/78	500.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date: \$		
Full Name, Mailing Address and ZIP Code Lester M. Finkelstein 404 N. Roxbury Dr. Beverly Hills, California 90210	Principal Place of Business Same Occupation Investor	5/16/78	250.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date: \$		
Full Name, Mailing Address and ZIP Code Joseph Lowitz 404 No. Roxbury Dr. Beverly Hills, California 90210	Principal Place of Business Same Occupation Investor	5/16/78	125.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date: \$		
Full Name, Mailing Address and ZIP Code Lawrence Kates PO Box 25991 Los Angeles, California 90025	Principal Place of Business Same Occupation Builder	5/16/78	500.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date: \$		
Full Name, Mailing Address and ZIP Code Paul Kodimer 5318 S. Main Street Los Angeles, California 90037	Principal Place of Business ABC Markets, Inc. Same Occupation President	5/16/78	350.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date: \$		
Full Name, Mailing Address and ZIP Code Moss and Company 1850 Westwood Blvd. Los Angeles, California 90025	Principal Place of Business Same Occupation Realtors	5/17/78	250.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date: \$		
TOTAL of receipts this page (optional)			\$ 2,475.00
TOTAL of receipts this page (required)			\$

8/20

In reply please refer to 4SV1/78-67PS



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

20 July 1978

Karl H. Henry, Treasurer
Julian C. Dixon-Democrat for Congress
1581 Roxbury Drive
Los Angeles, California 90035

Dear Mr. Henry:

This letter is prompted by the Commission's interest in assisting candidates and committees who wish to comply with the Federal Election Campaign Act, as amended. During review of the 10 Day Pre-Primary Report of Receipts and Expenditures, we noticed an entry indicating that you may have accepted a contribution from a corporation. Corporate contributions are prohibited by the Act, unless the contribution is made by a separate segregated fund established by the corporation. A copy of that portion of your report is attached for your review and clarification.

The Commission recommends that if you have accepted a prohibited contribution that you return the full amount to the donor. The return of the contribution should be reported immediately by letter and should be reflected as a contribution refund on your next report of receipts and expenditures. If the identification of the source of this contribution is incomplete or incorrect on the original report, please submit a statement which would clarify this particular matter for the public record. You may do so by amending your original report by letter.

Please notify the Commission within fifteen (15) days from the date of this letter of the determination made on this matter. If you have any questions concerning this matter, please do not hesitate to contact Phillip Harvard (800)424-9530, our Reports Analyst assigned to you. Our local telephone number is 523-4048.

Sincerely,

Orlando B. Potter

Orlando B. Potter
Staff Director

Enclosure
Certified Mail:
Return Receipt Requested



86 Dixon 45V175-67 PS

PS Form 3811, Apr. 1977

RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

1. The following service is requested (check one).
☐ Show to whom and date delivered
☒ Show to whom, date, and address of delivery
☒ RESTRICTED DELIVERY
Show to whom and date delivered
☐ RESTRICTED DELIVERY
Show to whom, date, and address of delivery \$
(CONSULT POSTMASTER FOR FEES)

2. ARTICLE ADDRESSED TO:

3. ARTICLE DESCRIPTION:
REGISTERED NO. CERTIFIED NO. INSURED NO.
943745
(Always obtain signature of addressee or agent)
I have received the article described above.
SIGNATURE ☐ Addressee ☐ Authorized agent

4. DATE OF DELIVERY
JUL 6 1978

5. ADDRESS (Complete only if requested)
1011 So. 2nd St.
Tulsa, Okla. 74106

6. UNABLE TO DELIVER BECAUSE:
CLERK'S INITIALS

U.S. GPO: 1977 O-234-337

10/1/78

52676001067

Ret. from P.O.
Received 9/25/78

In reply please refer to 4SV2/78-67PS

10/19
10/28

FEDERAL ELECTION COMMISSION

105 A STREET NW
WASHINGTON, DC. 20463

19 September 1978

Earl H. Henry, Treasurer
Julius C. Bladen - Democrat for Congress
4408 Crenshaw Blvd.
Los Angeles, California 90035

Received 9/25/78

4408 Crenshaw Blvd.
Los Angeles, CA 90043

Dear Mr. Henry:

On July 20, 1978, you were notified that certain entries in the 10 Day Pre-Primary Report of Receipts and Expenditures filed by your committee raised problems under 2 U.S.C. 441b.

As of this date, however, we have received no response from you. Your failure to respond gives the Commission reason to believe that you may have committed a violation of 2 U.S.C. 441b.

The Commission intends to take no action against you until you have had a reasonable opportunity to respond to this letter. This response should be mailed to the Commission within fifteen (15) days from the date of this letter.

If you have responded to the above or you require additional information, please contact Phillip Harward, our Reports Analyst assigned to you on our toll free number (800)424-9530. Our local number is 523-4048.

Sincerely,

Joan Aiken
Commissioner

Certified Mail:
Return Receipt Requested

7004094927

616 Dixon 45N218-67 PS

PS Form 3801, Nov 1977 RETURN RECEIPT REGISTERED, INSURED AND CERTIFIED MAIL

1. SENDER Complete items 1, 2, and 3. Add your address in the RETURN TO space on reverse.

1. The following service is requested (check one):
☐ Show to whom and date delivered _____ C
☒ Show to whom, date, and address of delivery _____ C
☐ RESTRICTED DELIVERY Show to whom and date delivered _____ C
☐ RESTRICTED DELIVERY Show to whom, date, and address of delivery \$ _____ (CONSULT POSTMASTER FOR FEES)

2. ARTICLE ADDRESSED TO _____

3. ARTICLE DESCRIPTION
 REGISTERED NO. CERTIFIED NO. INSURED NO.
 _____ 743467 _____
 (Always obtain signature of addressee or agent)

I have received the article described above.
 SIGNATURE ☐ Addressee ☐ Authorized agent

 DATE OF DELIVERY 10-4-78 POSTMARK _____

5. ADDRESS (Complete only if requested) _____

6. UNABLE TO DELIVER BECAUSE _____ CITE U.S. INITIALS _____

45-117 2000 1317 0 244300

Dixon SV-1
8/20

MEMORANDUM: FOR FILES

RE: TELECON

FROM: Phillip Harward

DATE: 8/1/78

NAME OF COMMITTEE: Julian C. Dixon - Democrat For Congress CA/28

SV
Pat Miller desired clarification on a discrepancy found in the incorporation dates of a Moss and Company from their ~~REAL~~. Their response from the California Secretary of State Corporate Division said that Moss and Company did not show a date of incorporation. She will send a letter comparing the partners to the corporate officers as well as other differences in Moss and Company to another corporation with a similar name. She will also state in her letter including the contribution of Moss and Company in Line 14b of her forthcoming amended report. The partner alotments are less than \$100.

Alotments

200100928
Ron K: Please call Sec'y of State + Sec. of
Moss + Co. at the address given is a is has
a corporation. If it is not, then it is not a
Surface violation, has the case must be attributed.

2/

10/31/78

11/1/78. Moss + Co. At Address given is A CORPORATION.



FEDERAL ELECTION COMMISSION

105 K STREET NW
WASHINGTON, D.C. 20461

THIS IS THE BEGINNING OF MUR #

847

Date Filmed

2/6/79

Camera No. --- 2

Camera No.

bpc

700100920



FEDERAL ELECTION COMMISSION

1325 K STREET NW
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 847

Date Filmed 4/25/79 Camera No. --- 2

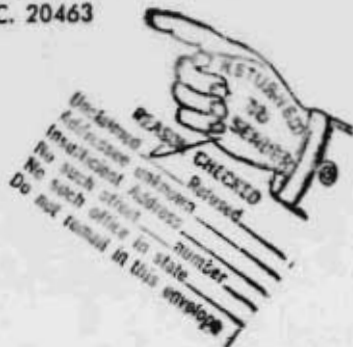
Cameraman SPC

79040120107

FEDERAL ELECTION COMMISSION

1225 K STREET N.W.
WASHINGTON, D.C. 20463

POSTAGE AND FEES PAID



Mr. Karl H. Henry, Treasurer
Julian C. Dixon-Democrat
for Congress
c/o Ms. Patricia Miller
1581 Roxbury Drive
Los Angeles, CA.

UL NM
NAME 11 79
1st Notice JAN 25 1979
2nd Notice 1/25/79
Return 1/30/79
CERTIFIED
943472

792 10120109

Cummings

MUP-847

PS Form 3811, Apr. 1977

RETURN RECEIPT REGISTERED, INSURED AND CERTIFIED MAIL

● SENDER. Complete items 1, 2, and 3. Add your address in the "RETURN TO" space on reverse.								
1. The following service is requested (check one). <input type="checkbox"/> Show to whom and date delivered c <input checked="" type="checkbox"/> Show to whom, date, and address of delivery c <input type="checkbox"/> RESTRICTED DELIVERY Show to whom and date delivered c <input type="checkbox"/> RESTRICTED DELIVERY Show to whom, date, and address of delivery c (CONSULT POSTMASTER FOR FEES)								
2. ARTICLE ADDRESSED TO <i>Karl H. Henry, Treasurer</i>								
3. ARTICLE DESCRIPTION <table border="1"> <tr> <td>REGISTERED NO.</td> <td>CERTIFIED NO.</td> <td>INSURED NO.</td> </tr> <tr> <td></td> <td>743922</td> <td></td> </tr> </table>			REGISTERED NO.	CERTIFIED NO.	INSURED NO.		743922	
REGISTERED NO.	CERTIFIED NO.	INSURED NO.						
	743922							
(Always obtain signature of addressee or agent)								
I have received the article described above. SIGNATURE <input checked="" type="checkbox"/> Addressee <input type="checkbox"/> Authorized agent								
4. DATE OF DELIVERY		POSTMARK						
5. ADDRESS (Complete only if requested)								
6. UNABLE TO DELIVER BECAUSE DECLAINED		CLERK'S INITIALS <i>[Signature]</i>						

☆GPO 1977-0-246-595



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

January 5, 1979

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Karl H. Henry, Treasurer
Julian C. Dixon-Democrat
for Congress
c/o Ms. Patricia Miller
1581 Roxbury Drive
Los Angeles, CA

Re: MUR 847(78)

Dear Mr. Henry:

On September 28, 1978 the Commission notified you that it had reason to believe that your Committee violated 2 U.S.C. §441b by accepting a corporate contribution from Moss and Company.

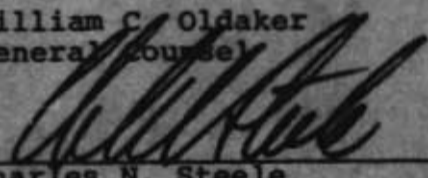
The matter was referred to the Office of the General Counsel which initiated an investigation and learned that the Moss and Company entity which made the contribution is not incorporated.

Accordingly, the Commission has found no reasonable cause to believe that your Committee violated 2 U.S.C. §441b. The Commission intends to close its file in this matter.

If you have any questions, please contact Marcie Cummings, the staff member assigned to this matter at 202-523-4175.

Sincerely,

William C. Oldaker
General Counsel


Charles N. Steele
Associate General Counsel

79040120110



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

January 5, 1979

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Herbert Englander
Controller
Moss and Company
1850 Westwood Boulevard
Los Angeles, California 90025

Re: MUR 847(78)

Dear Mr. Englander:

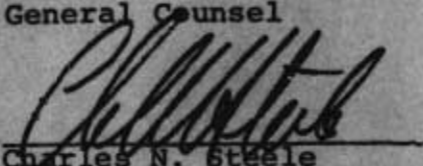
This is in reference to your letter of December 7, 1978 regarding the above captioned matter.

The Commission has considered the materials you submitted and has determined there is no reasonable cause to believe Moss and Company violated the Act. Accordingly, we are closing the file in this matter.

If you have any questions, please contact Marcie Cummings, the staff member assigned to this matter at 202-523-4175.

Sincerely,

William C. Oldaker
General Counsel



Charles N. Steele
Associate General Counsel

79040120112

Cummings MUR-847

PS Form 3811, Apr 1977 RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

● SENDER: Complete items 1, 2, and 3.
Add your address in the "RETURN TO" space on reverse.

1. The following service is requested (check one):
☐ Show to whom and date delivered. _____
☒ Show to whom, date, and address of delivery. _____
☐ RESTRICTED DELIVERY
 Show to whom and date delivered. _____
☐ RESTRICTED DELIVERY
 Show to whom, date, and address of delivery. _____
 (CONSULT POSTMASTER FOR FEES)

2. ARTICLE ADDRESSED TO:
 Herbert Englander
 1850 Westwood Blvd.
 Los Angeles, CA. 90025

3. ARTICLE DESCRIPTION:
 REGISTERED NO. _____ CERTIFIED NO. 943471 INSURED NO. _____
 (Always obtain signature of addressee or agent)

I have received the article described above.
 SIGNATURE ☐ Addressee ☒ Authorized agent
 Paula Peria

4. DATE OF DELIVERY 1-8/79 POSTMARK 1979

5. ADDRESS (Complete only if requested)

6. UNABLE TO DELIVER BECAUSE: _____ CLERK'S INITIALS _____

☆GPO: 1977-0-249-585



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

January 5, 1979

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Karl H. Henry, Treasurer
Julian C. Dixon-Democrat
for Congress
c/o Ms. Patricia Miller
1581 Roxbury Drive
Los Angeles, CA

Re: MUR 847(78)

Dear Mr. Henry:

On September 28, 1978 the Commission notified you that it had reason to believe that your Committee violated 2 U.S.C. §441b by accepting a corporate contribution from Moss and Company.

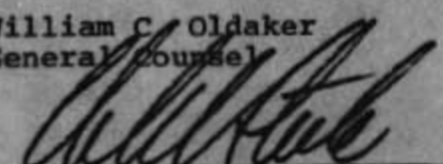
The matter was referred to the Office of the General Counsel which initiated an investigation and learned that the Moss and Company entity which made the contribution is not incorporated.

Accordingly, the Commission has found no reasonable cause to believe that your Committee violated 2 U.S.C. §441b. The Commission intends to close its file in this matter.

If you have any questions, please contact Marcie Cummings, the staff member assigned to this matter at 202-523-4175.

Sincerely,

William C. Oldaker
General Counsel


Charles N. Steele
Associate General Counsel

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Karl H. Henry, Treasurer
Julian C. Dixon-Democrat
for Congress
c/o Ms. Patricia Miller
1581 Roxbury Drive
Los Angeles, CA

Re: MUR 847(78)

Dear Mr. Henry:

On September 28, 1978 the Commission notified you that it had reason to believe that your Committee violated 2 U.S.C. 5441b by accepting a corporate contribution from Moss and Company.

The matter was referred to the Office of the General Counsel which initiated an investigation and learned that the Moss and Company entity which made the contribution is not incorporated.

Accordingly, the Commission has found no reasonable cause to believe that your Committee violated 2 U.S.C. 5441b. The Commission intends to close its file in this matter.

If you have any questions, please contact Marcie Cummings, the staff member assigned to this matter at 202-523-4175.

Sincerely,

William C. Oldaker
General Counsel

W.C.
1/4/79

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Herbert Englander
Controller
Moss and Company
1850 Westwood Boulevard
Los Angeles, California 90025

Re: MUR 847(78)

Dear Mr. Englander:

This is in reference to your letter of December 7, 1978 regarding the above captioned matter.

The Commission has considered the materials you submitted and has determined there is no reasonable cause to believe Moss and Company violated the Act. Accordingly, we are closing the file in this matter.

If you have any questions, please contact Marcie Cummings, the staff member assigned to this matter at 202-523-4175.

Sincerely,

William C. Oldaker
General Counsel

W.C.
1/4/79

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) MUR 847 (78)
Julian C. Dixon-Democrat)
for Congress Committee, CA.;)
Moss and Company)

CERTIFICATION

I, Marjorie W. Emmons, Secretary to the Federal Election Commission, do hereby certify that on December 27, 1978, the Commission determined by a vote of 5-0 to adopt the following recommendations, as set forth in the General Counsel's Report dated December 18, 1978, regarding the above-captioned matter:

1. Find no reasonable cause to believe that Moss and Company violated 2 U.S.C. §441b in connection with a contribution to Julian C. Dixon-Democrat for Congress.
2. Find no reasonable cause to believe that the Julian C. Dixon-Democrat for Congress Committee violated 2 U.S.C. §441b in its acceptance of a contribution from Moss and Company.
3. Close the file and send the letters attached to the above-named report.

Voting for this determination were Commissioners Aikens, Tiernan, McGarry, Thomson, and Harris.

Attest:

12-27-78
Date

Margaret E. Chaney
for Marjorie W. Emmons
Secretary to the Commission

Signed by the General Counsel: 12-20-78
Received in Office of Commission Secretary: 12-22-78, 10:41
Circulated on 48 hour vote basis: 12-22-78, 1:15

79848926893

December 22, 1978

MEMORANDUM TO: Marge Emmons
FROM: Elissa T. Garr
SUBJECT: MUR 847

Please have the attached General Counsel's
Report on MUR 847 distributed to the Commission on
a 48 hour tally basis.

Thank you.

77904809248907

RECEIVED
OFFICE OF THE
BEFORE THE FEDERAL ELECTION COMMISSION
December 18, 1978

78 DEC 22 AIO: 41

In the Matter of)

Julian C. Dixon-Democrat)
for Congress Committee, CA.;)
Moss and Company)

MUR 847 (78)

GENERAL COUNSEL'S REPORT

BACKGROUND

On November 24, 1978, the Commission found reason to believe that Moss and Company (the "Company") violated 2 U.S.C. §441b in connection with a \$250 contribution it made on April 20, 1978 to Julian C. Dixon-Democrat for Congress (the "Committee"). The letter of notification was sent to Moss and Company on November 29, 1978 and the Company responded on December 7, 1978. (Attachment I)

DISCUSSION

According to Mr. Herbert Englander, Controller, ... "the contribution check which was made payable to 'Dixon for Congress' on April 20, 1978 in the amount of \$250.00 was drawn from Moss and Co., a partnership." The check was drawn on the Moss and Company account.

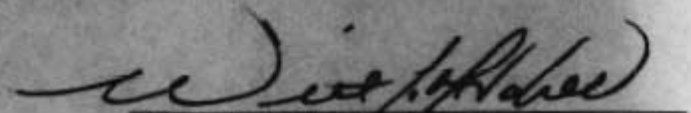
Since the contribution was not corporate, we recommend that the Commission find no reasonable cause to believe that the Company and the Committee violated 2 U.S.C. §441b.

RECOMMENDATION

1. Find no reasonable cause to believe that Moss and Company violated 2 U.S.C. §441b in connection with a contribution to Julian C. Dixon-Democrat for Congress.

7799004000192408113

2. Find no reasonable cause to believe that the Julian C. Dixon-Democrat for Congress Committee violated 2 U.S.C. §441b in its acceptance of a contribution from Moss and Company.
3. Close the file and send the attached letters.



William C. Oldaker
General Counsel

12/20/78
Date

ATTACHMENTS

1. Letter from Respondent
2. Proposed letters to Respondents

79040094892
79040120119



MOSS & COMPANY / Real Estate Investments / Property Management

78 DEC 11 PM 1:12

Handwritten signature/initials

MUK847

Cummings

December 7, 1978

William C. Oldaker
General Council
Federal Election Commission
1325 K Street N.W.
Washington, D.C. 20463

Dear Mr. Oldaker:

Please be advised that the contrubtion check which was made payable to "Dixon for Congress" on April 20, 1978 in the amount of \$250.00 was drawn from Moss & Co., a partnership. We also have a corporate entity by the name of Walik Associates, Inc. DBA as Moss & Co. The stockholders of Walik Associates are the same as these partners of Moss & Co. I am not aware of any transmittal letter that accompanied the aforementioned check.

Yours very truly,

Herbert Englander
Controller

/s

PAY TO THE ORDER OF
FIRST LOS ANGELES BANK
LOS ANGELES, CALIF.
FOR DEPOSIT ONLY
JULIAN D. DIXON
DEMOCRAT FOR CONGRESS

COMMITTEE
671063805 65037

PAY ANY BANK, P.E.G.
FIRST LOS ANGELES BANK
LOS ANGELES, CA.
16-3326 16-3326

MAY 13 78

075

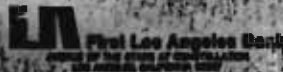
HY 18
OCESSED
BY CNB

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529140120121



MOSS & COMPANY

CLEARING ACCOUNT
1850 WESTWOOD BLVD.
LOS ANGELES, CALIFORNIA 90025



CHECK NUMBER: 040956

DATE: 04-20-78

16-3328
1222

PAY EXACTLY

*****250 DOLLARS 00 CENTS

PAY EXACTLY \$*****250.00

PAY TO
THE
ORDER
OF

DIXON FOR CONGRESS

MOSS & COMPANY

[Signature]
[Signature]

⑈009783⑈ ⑈1222⑈3326⑈ 671⑈034635⑈

⑈0000025000⑈

79040094895
79040120122



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

Askelund

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Herbert Englander
Controller
Moss and Company
1850 Westwood Boulevard
Los Angeles, California 90025

Re: MUR 847(78)

Dear Mr. Englander:

This is in reference to your letter of December 7, 1978 regarding the above captioned matter.

The Commission has considered the materials you submitted and has determined there is no reasonable cause to believe Moss and Company violated the Act. Accordingly, we are closing the file in this matter.

If you have any questions, please contact Marcie Cummings, the staff member assigned to this matter at 202-523-4175.

Sincerely,

William C. Oldaker
General Counsel

79040120123



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Karl H. Henry, Treasurer
Julian C. Dixon-Democrat
for Congress
c/o Ms. Patricia Miller
1581 Roxbury Drive
Los Angeles, CA

Re: MUR 847(78)

Dear Mr. Henry:

On September 28, 1978 the Commission notified you that it had reason to believe that your Committee violated 2 U.S.C. §441b by accepting a corporate contribution from Moss and Company.

The matter was referred to the Office of the General Counsel which initiated an investigation and learned that the Moss and Company entity which made the contribution is not incorporated.

Accordingly, the Commission has found no reasonable cause to believe that your Committee violated 2 U.S.C. §441b. The Commission intends to close its file in this matter.

If you have any questions, please contact Marcie Cummings, the staff member assigned to this matter at 202-523-4175.

Sincerely,

William C. Oldaker
General Counsel

79040120124



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

November 29, 1978

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Herb Englander, Controller
Moss and Company
1850 Westwood Blvd.
Los Angeles, CA 90025

Re: MUR 847(78)

Dear Mr. Englander:

Based on information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission has found reason to believe that Moss and Company may have violated 2 U.S.C. 441b of the Federal Election Campaign Act of 1971, as amended ("the Act") by contributing \$250 on May 17, 1978 to Julian C. Dixon-Democrat for Congress (the Committee). This section of the Act prohibits corporations from contributing to federal political committees.

We have numbered this matter MUR 847.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Additionally, please submit the following information:

1. State if Moss and Company is incorporated and, if so, when it became incorporated. Provide copies of the incorporation papers filed with the Secretary of State in California;
2. (a) State whether there are any entities bearing the name of Moss and Company which are controlled in any way by the owners of the incorporated entity, Moss & Company.

79040094898
79040120125

- (b) If so, state its relationship to the incorporated entity.
3. Provide copies of both sides of the check by which the contribution was made to the Julian C. Dixon-Democrat for Congress Committee;
 4. Provide a copy of any cover letter or memo which was sent to the Committee with this check;
 5. (a) Has anyone from the Committee corresponded with you by letter or phone concerning your contribution or corporate status?

(b) If so, provide copies of any such letters received and/or describe the substance of any such conversations, the dates they occurred and the persons involved.

Please submit this information under oath.

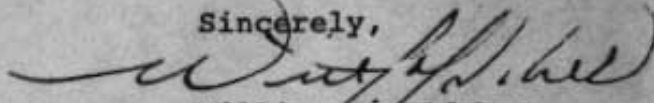
The Commission is under a duty to investigate this matter expeditiously. Therefore, your response should be submitted within ten days after your receipt of this notification.

If you have any questions, please contact Marcie Cummings, the staff member assigned to this matter at 202-523-4175.

This matter will remain confidential in accordance with 2 U.S.C. §437g(a)(3)(B) unless you notify the Commission in writing that you wish the investigation to be made public.

If you intend to be represented by counsel in this matter, please have such counsel so notify us in writing.

Sincerely,



William C. Oldaker
General Counsel

79040094899
79040120126

79848594900

Cummings 847

3811 Apr 1977 RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

Complete items 1, 2, and 3.
Add your address in the "RETURN TO" space on reverse.

1. The following service is requested (check one).
- ☐ Show to whom and date delivered c
- ☐ Show to whom, date, and address of delivery c
- ☐ RESTRICTED DELIVERY
Show to whom and date delivered c
- ☐ RESTRICTED DELIVERY
Show to whom, date, and address of delivery c
(CONSULT POSTMASTER FOR FEES)

2. ARTICLE ADDRESSED TO:
Herb Englander, Controller
Moss & Company Blvd.
1850 Westwood Blvd.
Los Angeles, CA 90025

3. ARTICLE DESCRIPTION:

REGISTERED NO.	CERTIFIED NO.	INSURED NO.
	943016	

(Always obtain signature of addressee or agent)

I have received the article described above.

SIGNATURE ☐ Addressee ☐ Authorized agent

4. DATE OF DELIVERY 12/1/79 POSTMARK

5. ADDRESS (Complete only if requested)

6. UNABLE TO DELIVER BECAUSE:

CLERK'S INITIALS

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Herb Englander, Controller
Moss and Company
1850 Westwood Blvd.
Los Angeles, CA 90025

11/29/78

Re: MUR 847(78)

Dear Mr. Englander:

Based on information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission has found reason to believe that Moss and Company may have violated 2 U.S.C. 441b of the Federal Election Campaign Act of 1971, as amended ("the Act") by contributing \$250 on May 17, 1978 to Julian C. Dixon-Democrat for Congress (the Committee). This section of the Act prohibits corporations from contributing to federal political committees.

We have numbered this matter MUR 847.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Additionally, please submit the following information:

1. State if Moss and Company is incorporated and, if so, when it became incorporated. Provide copies of the incorporation papers filed with the Secretary of State in California;
2. (a) State whether there are any entities bearing the name of Moss and Company which are controlled in any way by the owners of the incorporated entity, Moss & Company

11/27/78

- (b) If so, state its relationship to the incorporated entity.
3. Provide copies of both sides of the check by which the contribution was made to the Julian C. Dixon-Democrat for Congress Committee;
 4. Provide a copy of any cover letter or memo which was sent to the Committee with this check;
 5. (a) Has anyone from the Committee corresponded with you by letter or phone concerning your contribution or corporate status?

(b) If so, provide copies of any such letters received and/or describe the substance of any such conversations, the dates they occurred and the persons involved.

Please submit this information under oath.

The Commission is under a duty to investigate this matter expeditiously. Therefore, your response should be submitted within ten days after your receipt of this notification.

If you have any questions, please contact Marcie Cummings, the staff member assigned to this matter at 202-523-4175.

This matter will remain confidential in accordance with 2 U.S.C. 5437g(a)(3)(B) unless you notify the Commission in writing that you wish the investigation to be made public.

If you intend to be represented by counsel in this matter, please have such counsel so notify us in writing.

Sincerely,

/s/

William C. Oldaker
General Counsel

79040094902
79040120129

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) MUR 847
Julian C. Dixon)
Democrat for Congress Committee, CA)
Moss & Company)

CERTIFICATION

I, Marjorie W. Emmons, Secretary to the Federal Election Commission, do hereby certify that on November 24, 1978, the Commission determined by a vote of 5-0 to adopt the following recommendations, as set forth in the First General Counsel's Report dated November 21, 1978, regarding the above-captioned matter:

1. Find reason to believe that Moss & Company violated 2 U.S.C. §441b in connection with a contribution to Julian C. Dixon - Democrat for Congress.
2. Send the letter with questions to Moss & Company attached to the above-named report.

Voting for this determination were Commissioners Aikens, Tiernan, McGarry, Thomson, and Harris.

Attest:

11-24-78
Date

for Margaret E. Chaney
Marjorie W. Emmons, Secretary to the Commission

Received in Office of Commission Secretary: 11-21-78, 10:10
Circulated on 48 hour vote basis: 11-21-78, 3:30

79040094903
79040120130

November 21, 1978

MEMORANDUM TO: Marge Emmons
FROM: Elissa T. Garr
SUBJECT: MUR 847

Please have the attached First General Counsel's
Report on MUR 847 distributed to the Commission on a 48
hour tally basis.

Thank you.

79040120134904

RECEIVED
OFFICE OF THE
COMMISSIONER OF THE
FEDERAL ELECTION COMMISSION
1325 K Street, N.W.
Washington, D.C. 20463

78 NOV 21 A10: 10

FIRST GENERAL COUNSEL'S REPORT

DATE AND TIME OF TRANSMITTAL
BY OGC TO THE COMMISSION NOV 21 1978

MUR NO. 847
DATE COMPLAINT RECEIVED
BY OGC
STAFF
MEMBER Cummings

SOURCE: INTERNALLY GENERATED

RESPONDENT'S NAME: Julian C. Dixon - Democrat for Congress
Committee, CA; Moss & Company

RELEVANT STATUTE: 2 U.S.C. 441b

INTERNAL REPORTS CHECKED: Ten Day Pre-Primary Report

FEDERAL AGENCIES CHECKED: None

GENERATION OF MATTER

A review of the ten day pre-primary report filed by Julian C. Dixon-Democrat for Congress (the "Committee") revealed a \$250 contribution from an entity which may be incorporated, Moss and Company, in violation of 2 U.S.C. 441b. The matter was referred to the Office of the General Counsel by the Reports Analysis Division on November 7, 1978. (Attachment I).

The Committee was notified of the surface violation on July 20, 1978. When no response was received from the Committee a letter advising that the Commission had reason to believe the Act was violated was mailed on September 19, 1978; the letter was re-mailed on September 28, 1978 after the original mailing was returned by the Post Office.

EVIDENCE

There is some dispute as to whether the contributor is incorporated. On August 1, 1978, Phil Harward of Reports Analysis Division spoke with Pat Miller of the Committee, who stated that according to the Committee's investigation Moss and Company was not incorporated. She also stated that she would send other information to us concerning this contributor.1/

1/ Ms. Miller had stated that a letter would be sent to the Commission comparing the partners of Moss & Company to the corporate officers of the corporation, as well as other differences in Moss and Company to another corporation with a similar name. The Committee has not, to date, sent such a letter.

(See Attachment II). On November 1, 1978, a staff member from Reports Analysis contacted the California Secretary of State's Office and learned that Moss & Company was a corporation.

On November 13, 1978 a staff member from the General Counsel's Office contacted, telephonically, Mr. H. Englander, Controller for Moss & Company. According to Mr. Englander Moss & Company is incorporated, but within the Company there are different entities which are not incorporated.

ANALYSIS

In view of the unclear information regarding the contributor's corporate status, and the failure of the Committee to respond fully on this issue after being requested and do so, we believe the Commission ought to find reason to believe that the contributor violated §441b. In this context, the Commission should authorize a letter with questions on the corporate issue to the contributor.

RECOMMENDATION

1. Find reason to believe that Moss & Company violated 2 U.S.C. §441b in connection with a contribution to Julian C. Dixon-Democrat for Congress.
2. Send attached letter with questions to Moss & Company.

ATTACHMENTS

1. Referral from Reports Analysis Division
2. Proposed letter to the Company

DATE 11/7/78

ANALYST Ron Krouse RK

TO: Office of General Counsel

TEAM CHIEF Peter Kell, Jr. PKJ

THROUGH: STAFF DIRECTOR JS

COMPLIANCE REVIEW Carroll Bowen CB

FROM: ASSISTANT STAFF DIRECTOR FOR REPORTS ANALYSIS JH

Attachment 1

CANDIDATE/COMMITTEE: Julian C. Dixon - Democrat For Congress CA/28

TREASURER: Karl H. Henry
c/o Ms. Patricia Miller
ADDRESS: 1581 Roxbury Drive
Los Angeles, CA

AFFILIATE(S): NONE

ALLEGATION(S): CITE: ATTACHMENT(S)
Committee has received a contribution 2 U.S.C. 441b
from a registered corporation. #2

MANNER IN WHICH REVIEW WAS INITIATED if other than normal review, AND DATE: 7/20/78

ATTACHMENT

REPORTS: All reports within the dates listed below have received initial basic review. For all reports reviewed, see Attachment 1.

PERIOD COVERED FROM 4/1/78 TO 5/22/78
TOTAL RECEIPTS \$ 126,056 TOTAL EXPENDITURES \$ 102,598
CASH ON HAND \$ 35,678 DEBTS \$ 6,000

HISTORY:

RESULTS OF REVIEW: ATTACHMENT

The first Surface Violation letter was sent to the committee on 7/20/78. When no response was received, the RTB letter was mailed to the committee on 9/19/78. It was re-mailed on 9/28/78, after the original mailing was returned by the Post Office. #3 #4

COMMUNICATIONS WITH CANDIDATE/COMMITTEE: ATTACHMENT

Phil Harward of RAD spoke with Pat Miller of the committee on 8/1/78. Ms. Miller said that, according to the committee's investigation, the company was not incorporated. #5

REASON(S) FOR REFERRAL: ATTACHMENT

The committee has received a corporate contribution in violation of 2 U.S.C. 441b. They have not, to date, responded to Commission inquiries on the contribution, nor have they reported refunding the contribution in question.

OTHER PENDING ACTIONS INITIATED BY RAD: ATTACHMENT

MJR #736 has been previously referred to OGC on the non-disclosure of the principal place of business for contributors to the committee.

OTHER RELEVANT INFORMATION:

Ron Krouse verified with the California Secretary of State that Moss and Co., 1850 Westwood Blvd., L.A., CA 90025 is an active corporation in the state of California in a telephone conversation on 11/1/78. An amendment was received on 10/31/78 that still itemized a contribution from Moss and Co. The company was incorporated on 4/1/77 according to the Cal. Sec'y of State.

79040120135

FEDERAL ELECTION COMMISSION
COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)DATE 31OCT78
PAGE 1

HOUSE

COMMITTEE	DOCUMENT	RECEIPTS		EXPENDITURES		COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
		PRIMARY	GENERAL	PRIMARY	GENERAL			
JULIAN C. DIXON - DEMOCRAT FOR CONGRESS								ID# C00088666
	1978 STATEMENT OF ORGANIZATION					6MAR78	1	78HSE/130/4173
	REQUEST FOR ADDITIONAL INFORMATION					16MAR78	2	78FEC/068/2950
	STATEMENT OF ORGANIZATION- AMENDMENT					3APR78	3	78HSE/131/3737
	STATEMENT OF ORGANIZATION- AMENDMENT					1MAY78	3	78HSE/135/1970
	48 HOUR CONTRIBUTION NOTICE					30MAY78	2	78HSE/137/0939
	48 HOUR CONTRIBUTION NOTICE					30MAY78	2	78HSE/137/1909
	48 HOUR CONTRIBUTION NOTICE					31MAY78	2	78HSE/137/0997
	48 HOUR CONTRIBUTION NOTICE					1JUN78	1	78HSE/137/1539
	48 HOUR CONTRIBUTION NOTICE					2JUN78	2	78HSE/137/1936
	48 HOUR CONTRIBUTION NOTICE					2JUN78	2	78HSE/137/1939
	48 HOUR CONTRIBUTION NOTICE					5JUN78	2	78HSE/137/2363
	48 HOUR CONTRIBUTION NOTICE					5JUN78	1	78HSE/137/2961
	48 HOUR CONTRIBUTION NOTICE					5JUN78	1	78HSE/137/3532
	48 HOUR CONTRIBUTION NOTICE					6JUN78	2	78HSE/137/3544
	48 HOUR CONTRIBUTION NOTICE					6JUN78	1	78HSE/137/3562
	MISCELLANEOUS TRANSACTION TO F.E.C.					8JUN78	2	78HSE/137/4166
	APRIL 10 QUARTERLY	25,256		8,375		1JAN78 - 31MAR78	11	78HSE/133/4804
	REQUEST FOR ADDITIONAL INFORMATION					1JAN78 - 1MAR78	3	78FEC/074/6120
	APRIL 10 QUARTERLY - AMENDMENT	25,256		8,375		1JAN78 - 31MAR78	1	78HSE/135/2122
	APRIL 10 QUARTERLY - AMENDMENT	20,076		8,375		1JAN78 - 31MAR78	4	78HSE/136/0855
	10 DAY PRE-PRIMARY	126,056		102,598		1APR78 - 22MAY78	30	78HSE/137/0658
	REQUEST FOR ADDITIONAL INFORMATION					1APR78 - 22MAY78	3	78FEC/077/3098
	REQUEST FOR ADDITIONAL INFORMATION					1APR78 - 22MAY78	3	78FEC/084/5150
	30 DAY POST-PRIMARY	61,367		90,453		23MAY78 - 26JUN78	33	78HSE/140/0505
	JULY 10 QUARTERLY		0		0	27JUN78 - 30JUN78	2	78HSE/141/3976
	OCTOBER 10 QUARTERLY		19,838		14,518	27JUN78 - 30SEP78	19	78HSE/149/0579
	TOTAL	207,499	19,838	201,426	14,518		146	TOTAL PAGES

ALL REPORTS. Through The Q2 Have Received Basic Review.

NO

ITEMIZED RECEIPTS

(Contributions, Transfers, Contribution In-Kind,
 Other Income, Loans, Refunds)

Supporting Lines 14a, 15a, 15b, 15c, 16a, 17a, and/or 18a
 of FEC FORM 3

Page 19 of 22 for

Line Number 14a

(See Separate Schedules for
 each itemized line)

Name of Candidate or Committee in Full

JULIAN C. DIXON - DEMOCRAT FOR CONGRESS

Full Name, Mailing Address and ZIP Code	Principal Place of Business	Date (month, day, year)	Amount of each Receipt this Period
Port Advocate 4910 Berch St. Newport Beach, CA 92660	Same Louis Marlin - 50% Frank Michelena - 50% Occupation Lessor of law office space	5/ 16/ 78	500.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other			
Howard Siegel 2018 Port Provence Newport Beach, CA 92660	State Mutual Savings and Loan 4001 MacArthur Blvd. Newport Beach, CA 92660 Occupation President	5/ 16/ 78	500.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other			
Lester M. Finkelstein 404 N. Roxbury Dr. Beverly Hills, California 90210	Same Occupation Investor	5/ 16/ 78	250.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other			
Joseph Lowitz 404 N. Roxbury Dr. Beverly Hills, California 90210	Same Occupation Investor	5/ 16/ 78	125.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other			
Lawrence Kates PO Box 25991 Los Angeles, California 90025	Same Occupation Builder	5/ 16/ 78	500.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other			
Paul Kodimer 5318 S. Main Street Los Angeles, California 90037	ABC Markets, Inc. Same Occupation President	5/ 16/ 78	350.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other			
Moss and Company 1850 Westwood Blvd. Los Angeles, California 90025	Same Occupation Realtors	5/ 17/ 78	250.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other			
SUBTOTAL of receipts this page (optional)			\$ 2,475.00
TOTAL (this period first page this line number only)			\$

8/20

In reply please refer to 45V1/78-67P5



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

20 July 1978

Karl H. Henry, Treasurer
Julian C. Dixon-Democrat for Congress
1581 Roxbury Drive
Los Angeles, California 90035

Dear Mr. Henry:

This letter is prompted by the Commission's interest in assisting candidates and committees who wish to comply with the Federal Election Campaign Act, as amended. During review of the 10 Day Pre-Primary Report of Receipts and Expenditures, we noticed an entry indicating that you may have accepted a contribution from a corporation. Corporate contributions are prohibited by the Act, unless the contribution is made by a separate segregated fund established by the corporation. A copy of that portion of your report is attached for your review and clarification.

The Commission recommends that if you have accepted a prohibited contribution that you return the full amount to the donor. The return of the contribution should be reported immediately by letter and should be reflected as a contribution refund on your next report of receipts and expenditures. If the identification of the source of this contribution is incomplete or incorrect on the original report, please submit a statement which would clarify this particular matter for the public record. You may do so by amending your original report by letter.

Please notify the Commission within fifteen (15) days from the date of this letter of the determination made on this matter. If you have any questions concerning this matter, please do not hesitate to contact Phillip Harward (800)424-9530, our Reports Analyst assigned to you. Our local telephone number is 523-4048.

Sincerely,

A handwritten signature in cursive script that reads "Orlando B. Potter".

Orlando B. Potter
Staff Director

Enclosure
Certified Mail:
Return Receipt Requested



79040120138

PS Form 3811, Apr. 1977

RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

\$6 Dixon **45V178-67 PS**

1. The following service is requested (check one):

☐ Show to whom and date delivered.....\$

☒ Show to whom, date, and address of delivery.....\$

☐ RESTRICTED DELIVERY

Show to whom and date delivered.....\$

☐ RESTRICTED DELIVERY.

Show to whom, date, and address of delivery. \$

(CONSULT POSTMASTER FOR FEES)

2. ARTICLE ADDRESSED TO:

3. ARTICLE DESCRIPTION:

REGISTERED NO. CERTIFIED NO. INSURED NO.

943748

(Always obtain signature of addressee or agent).

I have received the article described above.

SIGNATURE ☐ Addressee ☐ Authorized agent

Christine Mc Don

4. DATE OF DELIVERY

JUL 24 1976

5. ADDRESS (Complete only if requested)

1581 8d R. huc

6. UNABLE TO DELIVER BECAUSE:

CLERK'S INITIALS

☆ GPO : 1977-O-234-337

Henry

Rec. from P.O.
Received 9/25/78

In reply please refer to 45V2/78-67PS

10/19
10/28



FEDERAL ELECTION COMMISSION

1055 NINTH NW
WASHINGTON, D.C. 20543

19 September 1978

Earl H. Henry, Treasurer
Julian C. Dixon - Democrat for Congress
4414 W. 4th Street
Los Angeles, California 90035

Received 9/25/78

4908 Crenshaw Blvd.
Los Angeles, CA 90043

Dear Mr. Henry:

On July 20, 1978, you were notified that certain entries in the 10 Day Pre-Primary Report of Receipts and Expenditures filed by your committee raised problems under 2 U.S.C. 441b.

As of this date, however, we have received no response from you. Your failure to respond gives the Commission reason to believe that you may have committed a violation of 2 U.S.C. 441b.

The Commission intends to take no action against you until you have had a reasonable opportunity to respond to this letter. This response should be mailed to the Commission within fifteen (15) days from the date of this letter.

If you have responded to the above or you require additional information, please contact Phillip Harward, our Reports Analyst assigned to you on our toll free number (800)424-9530. Our local number is 523-4048.

Sincerely,

Joan Aikens

Commissioner

Certified Mail:
Return Receipt Requested



79040120140

66 Dixon

4SV218-67 PS

1. The following service is requested (check one). <input type="checkbox"/> Show to whom and date delivered. c <input checked="" type="checkbox"/> Show to whom, date, and address of delivery. c <input type="checkbox"/> RESTRICTED DELIVERY Show to whom and date delivered. c <input type="checkbox"/> RESTRICTED DELIVERY Show to whom, date, and address of delivery. \$ ____ (CONSULT POSTMASTER FOR FEES)		
2. ARTICLE ADDRESSED TO:		
3. ARTICLE DESCRIPTION:		
REGISTERED NO.	CERTIFIED NO.	INSURED NO.
	743407	
(Always obtain signature of addressee or agent)		
I have received the article described above.		
SIGNATURE <input type="checkbox"/> Addressee <input type="checkbox"/> Authorized agent		
4. DATE OF DELIVERY 10-4-78		POSTMARK
5. ADDRESS (Complete only if requested)		
6. UNABLE TO DELIVER BECAUSE:		CLERK'S INITIALS

PS Form 3811, Apr. 1977

RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

Henry

☆GPO: 1977-0-248505

Dixon SV-1
8/30

MEMORANDUM: FOR FILES

RE: TELECON

FROM: Phillip Harward

DATE: 8/1/78

NAME OF COMMITTEE: Julian C. Dixon - Democrat For Congress CA/28

Attachment II

79040120141

Pat Miller desired clarification on a discrepancy found in the incorporation dates of a Moss and Company from their RHA. Their response from the California Secretary of State Corporate Division said that Moss and Company did not show a date of incorporation. She will send a letter comparing the partners to the corporate officers as well as other differences in Moss and Company to another corporation with a similar name. She will also state in her letter including the contribution of Moss and Company in Line 14b of her forthcoming amended report. The partner alotments are less than \$100.

Notments

Re: K: Please call Secty. of State + Sec. of
Moss + Co. at the address given is or is not
a corporation. If it is not, then it is not a
surface violation, but the case must be attributed.

2/

10/31/78

11/1/78 - Moss + Co. at address given is a corporation.



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

Attachment II

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Herb Englander, Controller
Moss and Company
1850 Westwood Blvd.
Los Angeles, CA 90025

Re: MUR 847(78)

Dear Mr. Englander:

Based on information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission has found reason to believe that Moss and Company may have violated 2 U.S.C. 441b of the Federal Election Campaign Act of 1971, as amended ("the Act") by contributing \$250 on May 17, 1978 to Julian C. Dixon-Democrat for Congress (the Committee). This section of the Act prohibits corporations from contributing to federal political committees.

We have numbered this matter MUR 847.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Additionally, please submit the following information:

1. State if Moss and Company is incorporated and, if so, when it became incorporated. Provide copies of the incorporation papers filed with the Secretary of State in California;
2. (a) State whether there are any entities bearing the name of Moss and Company which are controlled in any way by the owners of the incorporated entity, Moss & Company.

79040024915
79040120142

(b) If so, state its relationship to the incorporated entity.

3. Provide copies of both sides of the check by which the contribution was made to the Julian C. Dixon-Democrat for Congress Committee;
4. Provide a copy of any cover letter or memo which was sent to the Committee with this check;
5. (a) Has anyone from the Committee corresponded with you by letter or phone concerning your contribution or corporate status?

(b) If so, provide copies of any such letters received and/or describe the substance of any such conversations, the dates they occurred and the persons involved.

Please submit this information under oath.

The Commission is under a duty to investigate this matter expeditiously. Therefore, your response should be submitted within ten days after your receipt of this notification.

If you have any questions, please contact Marcie Cummings, the staff member assigned to this matter at 202-523-4175.

This matter will remain confidential in accordance with 2 U.S.C. §437g(a)(3)(B) unless you notify the Commission in writing that you wish the investigation to be made public.

If you intend to be represented by counsel in this matter, please have such counsel so notify us in writing.

Sincerely,

William C. Oldaker
General Counsel

79040094915
79040120143



MOSS & COMPANY / Real Estate Investments / Property Management

78 DEC 11 PM 1:12

MUR847

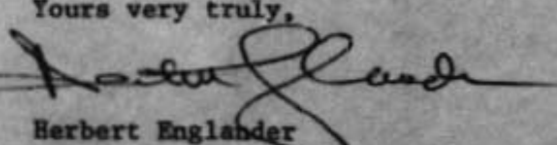
December 7, 1978

William C. Oldaker
General Council
Federal Election Commission
1325 K Street N.W.
Washington, D.C. 20463

Dear Mr. Oldaker:

Please be advised that the contrubtion check which was made payable to "Dixon for Congress" on April 20, 1978 in the amount of \$250.00 was drawn from Moss & Co., a partnership. We also have a corporate entity by the name of Walik Associates, Inc. DBA as Moss & Co. The stockholders of Walik Associates are the same as these partners of Moss & Co. I am not aware of any transmittal letter that accompanied the aforementioned check.

Yours very truly,


Herbert Englander
Controller

/s

PAY TO THE ORDER OF
FIRST LOS ANGELES BANK
LOS ANGELES, CALIF.
FOR DEPOSIT ONLY
JULIAN G. DIXON
DEMOCRAT FOR CONGRESS
COMM. OFFICE
671063605 65037

PAY ANY BANK, P.O.
FIRST LOS ANGELES BANK
LOS ANGELES, CA
16-3326 16-3326

MAY 13 78 570

HY 78 18
ACCESSED
BY CNB

7 9 0 4 0 0 9 4 9 1 8
7 9 0 4 0 1 2 0 1 4 5



MOSS & COMPANY

CLEARING ACCOUNT
1850 WESTWOOD BLVD.
LOS ANGELES, CALIFORNIA 90025



First Los Angeles Bank
MEMBER FDIC

CHECK NUMBER 040956

DATE 04-20-78

18-3326
1322

PAY EXACTLY

*****250

DOLLARS 00 CENTS

PAY
EXACTLY

*****250.00

MOSS & COMPANY

DIXON FOR CONGRESS

TO
ORDER
OF

Walter D. Dixon
J. P. Jones

⑆0094783⑆ ⑆1222-3326⑆ 671-034635⑆

⑆0000025000⑆

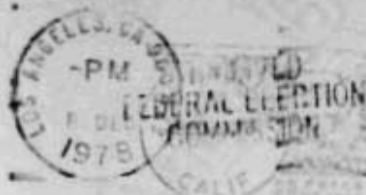
7 9 0 4 0 0 9 4 9 1 9
7 9 0 4 0 1 2 0 1 4 6

MOSS & COMPANY
Real Estate Investments Property Management

1850 WESTWOOD BOULEVARD / LOS ANGELES, CALIFORNIA 90025

79040120147

William C. Oldaker
General Council
Federal Election Commission
1325 K Street N.W.
Washington, D.C. 20463



'78 DEC 11 PM 1:12

DATE 11/7/78 ANALYST Ron Krouse *RK*
TO: Office of General Counsel TEAM CHIEF Peter Kell, Jr. *GPK*
THROUGH: STAFF DIRECTOR *JSR* COMPLIANCE REVIEW Carroll Bowen *CB*
FROM: ASSISTANT STAFF DIRECTOR FOR REPORTS ANALYSIS *AK*

CANDIDATE/COMMITTEE: Julian C. Dixon - Democrat For Congress CA/28

TREASURER: Karl H. Henry
c/o Ms. Patricia Miller
ADDRESS: 1581 Roxbury Drive
Los Angeles, CA

AFFILIATE(S): NONE

ALLEGATION(S): CITE: ATTACHMENT(S)
Committee has received a contribution 2 U.S.C. 441b #2
from a registered corporation.

MANNER IN WHICH REVIEW WAS INITIATED if other than normal review, AND DATE: 7/20/78
ATTACHMENT

REPORTS: All reports within the dates listed below have received initial basic review. For all reports reviewed, see Attachment 1.

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CASH ON HAND \$ 35,678 DEBTS \$ 6,000

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MUR #736 has been previously referred to OGC on the non-disclosure
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still itemized a contribution from Moss and Co. The company was incorporated on 4/1/77
according to the Cal. Sec'y of State.

7 9 0 4 0 0 9 4 9 2 2
7 9 0 4 0 1 2 0 1 4 9

FEDERAL ELECTION COMMISSION
COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)

DATE 31OCT78
PAGE 1

HOUSE

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	48 HOUR CONTRIBUTION NOTICE					31MAY78	2	78HSE/137/0997
	48 HOUR CONTRIBUTION NOTICE					1JUN78	1	78HSE/137/1539
	48 HOUR CONTRIBUTION NOTICE					2JUN78	2	78HSE/137/1936
	48 HOUR CONTRIBUTION NOTICE					2JUN78	2	78HSE/137/1939
	48 HOUR CONTRIBUTION NOTICE					5JUN78	2	78HSE/137/2863
	48 HOUR CONTRIBUTION NOTICE					5JUN78	1	78HSE/137/2961
	48 HOUR CONTRIBUTION NOTICE					5JUN78	1	78HSE/137/3502
	48 HOUR CONTRIBUTION NOTICE					6JUN78	2	78HSE/137/3544
	48 HOUR CONTRIBUTION NOTICE					6JUN78	1	78HSE/137/3562
	MISCELLANEOUS TRANSACTION TO F.E.C.					8JUN78	2	78HSE/137/4166
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	REQUEST FOR ADDITIONAL INFORMATION					1JAN78 - 1MAR78	3	78FEC/074/0120
	APRIL 10 QUARTERLY - AMENDMENT	25,256		8,375		1JAN78 - 31MAR78	1	78HSE/135/2162
	APRIL 10 QUARTERLY - AMENDMENT	20,076		8,375		1JAN78 - 31MAR78	4	78HSE/136/0855
	10 DAY PRE-PRIMARY	126,056		102,598		1APR78 - 22MAY78	30	78HSE/137/0658
	REQUEST FOR ADDITIONAL INFORMATION					1APR78 - 22MAY78	3	78FEC/077/3098
	REQUEST FOR ADDITIONAL INFORMATION					1APR78 - 22MAY78	3	78FEC/084/5150
	30 DAY POST-PRIMARY	61,367		90,453		23MAY78 - 26JUN78	33	78HSE/140/0585
	JULY 10 QUARTERLY		0		0	27JUN78 - 30JUN78	2	78HSE/141/3976
	OCTOBER 10 QUARTERLY		19,838		14,518	27JUN78 - 30SEP78	19	78HSE/149/0579
	TOTAL	207,499	19,838	201,426	14,518		146	TOTAL PAGES

ALL REPORTS Through The Q2 Have Received Basic Review
NO

ITEMIZED RECEIPTS

(Contributions, Transfers, Contribution In-Kind,
 Other Income, Loans, Refunds)

Supporting Lines 14a, 15a, 15b, 15c, 16a, 17a, and/or 18a
 of FEC FORM 3

Page 19 of 22 for
 Line Number 14a

(Use Separate Schedules for
 such as interest, etc.)

Name of Candidate or Committee in Full

JULIAN C. DIXON - DEMOCRAT FOR CONGRESS

Full Name, Mailing Address and ZIP Code

Port Advocate
 4910 Berch St.
 Newport Beach, CA 92660

Principal Place of Business

Same
 Louis Harlin - 50%
 Frank Michelena - 50%
 Occupation
 Lessor of law office space

Date (month, day, year)

5/
 16/
 78

Amount of each Receipt this Period

500.00

Receipt for:

☒ Primary ☐ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year-To-Date . . . \$

Full Name, Mailing Address and ZIP Code

Howard Siegel
 2018 Port Provence
 Newport Beach, CA 92660

Principal Place of Business

State Mutual Savings and Loan
 4001 MacArthur Blvd.
 Newport Beach, CA 92660
 Occupation

Date (month, day, year)

5/
 16/
 78

Amount of each Receipt this Period

500.00

Receipt for:

☒ Primary ☐ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year-To-Date . . . \$

Full Name, Mailing Address and ZIP Code

Lester M. Finkelstein
 404 N. Roxbury Dr.
 Beverly Hills, California 90210

Principal Place of Business

Same

Date (month, day, year)

5/
 16/
 78

Amount of each Receipt this Period

250.00

Receipt for:

☒ Primary ☐ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year-To-Date . . . \$

Full Name, Mailing Address and ZIP Code

Joseph Lowitz
 404 N. Roxbury Dr.
 Beverly Hills, California 90210

Principal Place of Business

Same

Date (month, day, year)

5/
 16/
 78

Amount of each Receipt this Period

125.00

Receipt for:

☒ Primary ☐ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year-To-Date . . . \$

Full Name, Mailing Address and ZIP Code

Lawrence Kates
 PO Box 25991
 Los Angeles, California 90025

Principal Place of Business

Same

Date (month, day, year)

5/
 16/
 78

Amount of each Receipt this Period

500.00

Receipt for:

☒ Primary ☐ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year-To-Date . . . \$

Full Name, Mailing Address and ZIP Code

Paul Kodimer
 5318 S. Main Street
 Los Angeles, California 90037

Principal Place of Business

ABC Markets, Inc.
 Same

Date (month, day, year)

5/
 16/
 78

Amount of each Receipt this Period

350.00

Receipt for:

☒ Primary ☐ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year-To-Date . . . \$

Full Name, Mailing Address and ZIP Code

[Redacted]
 1850 Westwood Blvd.
 Los Angeles, California 90025

Principal Place of Business

Same

Date (month, day, year)

5/
 17/
 78

Amount of each Receipt this Period

250.00

Receipt for:

☒ Primary ☐ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year-To-Date . . . \$

Full Name, Mailing Address and ZIP Code

SUBTOTAL of receipts this page (optional) . . .

\$ 2,475.00

TOTAL (this period first page this line number only) . . .

\$

8/20

In reply please refer to 4SV1/78-67PS



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

20 July 1978

Karl H. Henry, Treasurer
Julian C. Dixon-Democrat for Congress
1581 Roxbury Drive
Los Angeles, California 90035

Dear Mr. Henry:

This letter is prompted by the Commission's interest in assisting candidates and committees who wish to comply with the Federal Election Campaign Act, as amended. During review of the 10 Day Pre-Primary Report of Receipts and Expenditures, we noticed an entry indicating that you may have accepted a contribution from a corporation. Corporate contributions are prohibited by the Act, unless the contribution is made by a separate segregated fund established by the corporation. A copy of that portion of your report is attached for your review and clarification.

The Commission recommends that if you have accepted a prohibited contribution that you return the full amount to the donor. The return of the contribution should be reported immediately by letter and should be reflected as a contribution refund on your next report of receipts and expenditures. If the identification of the source of this contribution is incomplete or incorrect on the original report, please submit a statement which would clarify this particular matter for the public record. You may do so by amending your original report by letter.

Please notify the Commission within fifteen (15) days from the date of this letter of the determination made on this matter. If you have any questions concerning this matter, please do not hesitate to contact Phillip Harward (800)424-9530, our Reports Analyst assigned to you. Our local telephone number is 523-4048.

Sincerely,

Orlando B. Potter

Orlando B. Potter
Staff Director

Enclosure
Certified Mail:
Return Receipt Requested



79040094925
79040120152

PS Form 3811, Apr. 1977

RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

46 Dixon 45V1/75-67 PS

● SENDER: Complete items 1, 2, and 3.
Add your address in the "RETURN TO" space on reverse.

1. The following service is requested (check one).
☐ Show to whom and date delivered. \$
☒ Show to whom, date, and address of delivery. \$
☐ RESTRICTED DELIVERY
 Show to whom and date delivered. \$
☐ RESTRICTED DELIVERY.
 Show to whom, date, and address of delivery. \$
 (CONSULT POSTMASTER FOR FEES)

2. ARTICLE ADDRESSED TO:

3. ARTICLE DESCRIPTION:
 REGISTERED NO. CERTIFIED NO. INSURED NO.
 94374
 (Always obtain signature of addressee or agent).

I have received the article described above.
 SIGNATURE ☐ Addressee ☐ Authorized agent
 Christine McDaniel

4. DATE OF DELIVERY
 JUL 24 1976

5. ADDRESS (Complete only if requested)
 1581 80 R. K. Hwy

6. UNABLE TO DELIVER BECAUSE:

CLERK'S INITIALS

Henry

★ GPO : 1977-O-234-337

Rel. from P.O.
Remailed 9/25/78

In reply please refer to 48V2/78-67PS

10/19
10/28



FEDERAL ELECTION COMMISSION

1155 A STREET NW
WASHINGTON, D.C. 20463

19 September 1978

Earl H. Henry, Treasurer
Julian C. Dixon - Democrat for Congress
4541 Roxbury Drive
Los Angeles, California 90035

Remailed 9/25 To:

4908 Crenshaw Blvd.
Los Angeles, CA 90043

5 Dear Mr. Henry:

2 — On July 20, 1978, you were notified that certain entries in the
9 10 Day Pre-Primary Report of Receipts and Expenditures filed by your
0 Committee raised problems under 2 U.S.C. 441b.

4 As of this date, however, we have received no response from you.
2 Your failure to respond gives the Commission reason to believe that
0 you may have committed a violation of 2 U.S.C. 441b.

0 The Commission intends to take no action against you until you
4 have had a reasonable opportunity to respond to this letter. This
6 response should be mailed to the Commission within fifteen (15) days
9 from the date of this letter.

7 If you have responded to the above or you require additional
9 information, please contact Phillip Harward, our Reports Analyst
7 assigned to you on our toll free number (800)424-9530. Our local
number is 523-4048.

Sincerely,

Joan Becker
Commissioner

Certified Mail:
Return Receipt Requested



79040094927
79040120154

615 Dixon 4SV218-67 P5

PS Form 3811, Apr. 1977 RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

● SENDER: Complete items 1, 2, and 3. Add your address in the "RETURN TO" space on reverse.

1. The following service is requested (check one).
☐ Show to whom and date delivered.....c
☒ Show to whom, date, and address of delivery.....c
☐ RESTRICTED DELIVERY Show to whom and date delivered.....c
☐ RESTRICTED DELIVERY Show to whom, date, and address of delivery \$.....
 (CONSULT POSTMASTER FOR FEES)

2. ARTICLE ADDRESSED TO:

3. ARTICLE DESCRIPTION:
 REGISTERED NO. CERTIFIED NO. INSURED NO.
 943407
 (Always obtain signature of addressee or agent)

I have received the article described above.
 SIGNATURE ☐ Addressee ☐ Authorized agent
 X *Dickie Branda*

DATE OF DELIVERY 10-4-78 POSTMARK

5. ADDRESS (Complete only if requested)

6. UNABLE TO DELIVER BECAUSE: CLERK'S INITIALS

Hewlett

©GPO: 1977-0-249505

Dixon SV-1
8/60

MEMORANDUM: FOR FILES

RE: TELECON

FROM: Phillip Harward

DATE: 8/1/78

NAME OF COMMITTEE: Julian C. Dixon - Democrat For Congress CA/28

79040094928
79040120135

Pat Miller desired clarification on a discrepancy found in the incorporation dates of a Moss and Company from their ~~RAT~~. Their response from the California Secretary of State Corporate Division said that Moss and Company did not show a date of incorporation. She will send a letter comparing the partners to the corporate officers as well as other differences in Moss and Company to another corporation with a similar name. She will also state in her letter including the contribution of Moss and Company in Line 14b of her forthcoming amended report. The partner alotments are less than \$100.

Alotments

Ron K: Please call Secty. of State + Sec. if
Moss + Co. at the address given is or is not
a corporation. If it is not, there is not a
surface violation, but the const. must be attributed.

2/
10/31/78
11/1/78- Moss + Co. at address given is a corporation.



FEDERAL ELECTION COMMISSION

1125 K STREET N.W.
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR #

847

Date Filmed

4/25/79

Camera No. --- 2

Cameraman

BPC