

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

APR 18 1997

DATE: _____

ANALYST: Peter Kell Jr.

- I. COMMITTEE: Dick Lane for Congress Committee
(C00315473)
Dick Lane, Treasurer
1085-96 Tasman Drive
Sunnyvale, CA 94089*
- II. RELEVANT STATUTE: 2 U.S.C. §434(a)(2)(A)(i) & (iii)
11 CFR §104.5(a)(1)(i) & (iii)
- III. BACKGROUND:

Failure to File the 1996 October Quarterly and 12 Day Pre-General Reports by Election Day

The Dick Lane for Congress Committee ("the Committee") failed to file the 1996 October Quarterly and 12 Day Pre-General Reports of Receipts and Disbursements as of Election Day, November 5, 1996. The Committee was notified on December 29, 1995 and September 20, 1996 that the 1996 October Quarterly Report was due on October 15, 1996 (Attachments 2 and 3). In addition, the Committee was notified on December 29, 1995 and September 30, 1996 that the 1996 12 Day Pre-General Report was due on October 24, 1996 (Attachments 2 and 4).

Nonfiler Notices were sent via mailgram on October 22, 1996 for failure to file the October Quarterly Report and on October 25, 1996 for failure to file the 12 Day Pre-General Report (Attachments 5 and 6). The Nonfiler Notices informed the Committee that failure to file the reports within four (4) business days might result in publication, audit, or legal enforcement action. The name of the

* Notices were sent to the previous address of record.

DICK LANE FOR CONGRESS COMMITTEE
REPORTS ANALYSIS OGC REFERRAL
PAGE 2

Committee was published on October 30, 1996 for failure to file the October Quarterly Report and on November 1, 1996 for failure to file the 12 Day Pre-General Report (Attachments 7 and 8).

The 1996 October Quarterly and 12 Day Pre-General Reports were received by the Commission on November 6, 1996 (Attachments 9 and 10).

99-04-394-0975

1995-1996

CANDIDATE INDEX OF SUPPORTING DOCUMENTS - (E)

PAGE 1

CANDIDATE/COMMITTEE/DOCUMENT	RECEIPTS		DISBURSEMENTS		# OF PAGES	MICROFILM LOCATION TYPE OF FILER
	OFFICE SOUGHT/ PARTY	PRIMARY GENERAL	PRIMARY GENERAL	COVERAGE DATES		

LANE, DICK	HOUSE 15 DEMOCRATIC PARTY		CALIFORNIA	1996 ELECTION	ID# 194016056	
1. STATEMENT OF CANDIDATE						
1996 STATEMENT OF CANDIDATE				15MAR96	2	96HSE/596/1949
2. PRINCIPAL CAMPAIGN COMMITTEE						
DICK LANE FOR CONGRESS COMMITTEE				ID #C00315473	HOUSE	
1996 STATEMENT OF ORGANIZATION				15MAR96	2	96HSE/596/1949
STATEMENT OF ORGANIZATION - AMENDMENT				16JUL96	2	96HSE/611/4111
APRIL QUARTERLY		18,582	18,487	29DEC95 - 31MAR96	7	96HSE/598/2167
APRIL QUARTERLY - AMENDMENT		-	-	29DEC95 - 31MAR96	2	96HSE/606/5497
REQUEST FOR ADDITIONAL INFORMATION				29DEC95 - 31MAR96	2	96FEC/048/2777
REQUEST FOR ADDITIONAL INFORMATION 2ND				29DEC95 - 31MAR96	3	96FEC/053/3108
JULY QUARTERLY		6,848	3,493	1APR96 - 30JUN96	10	96HSE/611/4113
JULY QUARTERLY - AMENDMENT		6,848	3,493	1APR96 - 30JUN96	11	96HSE/621/4985
REQUEST FOR ADDITIONAL INFORMATION				1APR96 - 30JUN96	3	96FEC/072/0336
REQUEST FOR ADDITIONAL INFORMATION 2ND				1APR96 - 30JUN96	4	96FEC/077/1755
OCTOBER QUARTERLY		24,125	16,311	1JUL96 - 30SEP96	10	96FEC/126/3123
NOTICE OF FAILURE TO FILE				1JUL96 - 30SEP96	2	96FEC/121/3640
REQUEST FOR ADDITIONAL INFORMATION				1JUL96 - 30SEP96	4	96FEC/159/4147
REQUEST FOR ADDITIONAL INFORMATION 2ND				1JUL96 - 30SEP96	4	97FEC/164/3379
PRE-GENERAL		12,278	19,603	1OCT96 - 16OCT96	9	96FEC/126/3133
NOTICE OF FAILURE TO FILE				1OCT96 - 16OCT96	2	96FEC/123/4129
REQUEST FOR ADDITIONAL INFORMATION				1OCT96 - 16OCT96	4	96FEC/159/4151
REQUEST FOR ADDITIONAL INFORMATION 2ND				1OCT96 - 16OCT96	4	97FEC/164/3383
POST-GENERAL		3,147	6,695	17OCT96 - 2NOV96	7	96FEC/126/3142
POST-GENERAL - AMENDMENT		3,172	6,835	17OCT96 - 25NOV96	8	97FEC/175/2231
REQUEST FOR ADDITIONAL INFORMATION				17OCT96 - 2NOV96	3	96FEC/159/4155
REQUEST FOR ADDITIONAL INFORMATION 2ND				17OCT96 - 2NOV96	4	97FEC/164/3307
NOTICE OF FAILURE TO FILE				17OCT96 - 25NOV96	1	96FEC/163/4546
YEAR-END		-		3 26NOV96 - 31DEC96	4	97FEC/177/3490
REQUEST FOR ADDITIONAL INFORMATION				26NOV96 - 31DEC96	2	97FEC/193/2708
1997 MISCELLANEOUS NOTICE FROM FEC				28FEB97	3	97FEC/185/2960
TOTAL		18,582	46,415	18,487	46,245	117 TOTAL PAGES
3. AUTHORIZED COMMITTEES						
4. JOINT FUNDRAISING COMMITTEES AUTHORIZED BY THE CAMPAIGN						

All reports have been reviewed.

Cash on Hand as of 12/31/96: \$263.98

Debts and obligations owed to the Committee as of 12/31/96: \$0

Debts and Obligations owed by the Committee as of 12/31/96: \$44,382.83

YEAR-END REPORT NOTICE

FEDERAL ELECTION COMMISSION

CONGRESSIONAL COMMITTEES

December 29, 1995

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	07/01/95 - 12/31/95	01/31/96	01/31/96

WHO MUST FILE

All principal campaign committees of congressional candidates must file a Year-End Report on January 31, 1996.

LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered and, if applicable, before the individual became a candidate.

**Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FOR INFORMATION, CALL: 800/424-9530 or 202/219-3420

(over)

CONGRESSIONAL COMMITTEES

YEAR-END

1996 FILING SCHEDULE

QUARTERLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT.	FILING DATE
		MAILING DATE**	
April Quarterly	01/01/96 - 03/31/96	04/15/96	04/15/96
July Quarterly	04/01/96 - 06/30/96	07/15/96	07/15/96
October Quarterly	07/01/96 - 09/30/96	10/15/96	10/15/96
Pre-General	10/01/96 - 10/16/96	10/21/96	10/24/96
-----48 Hour Notices-----			
Post-General	10/17/96 - 11/25/96	12/05/96	12/05/96
Year-End	11/26/96 - 12/31/96	01/31/97	01/31/97

Congressional committees active in 1996 elections must file on a quarterly schedule. In addition, a committee whose candidate is active in a 1996 state primary, nominating convention or runoff election must also file a pre-election report prior to the primary, nominating convention or runoff. The campaign committee of a candidate who participates in the general election must file pre- and post-general election reports. Separate notices will be sent to explain these additional reporting obligations.

SEMIANNUAL FILERS

REPORT	REPORTING PERIOD*	REG./CERT.	FILING DATE
		MAILING DATE**	
Mid-Year	01/01/96 - 06/30/96	07/31/96	07/31/96
Year-End	07/01/96 - 12/31/96	01/31/97	01/31/97

Congressional committees not active in 1996 elections (i.e., committees authorized by candidates seeking election in future years or committees which were active in previous elections and have outstanding debts) should continue to file reports on a semiannual schedule. A separate notice will be sent explaining these reporting obligations.

48 HOUR NOTICE ON CONTRIBUTIONS

Notices are required if the committee receives contributions (including contributions and loans from the candidate's personal funds; and endorsements or guarantees of bank loans) of \$1,000 or more, during the period of October 17 through November 2. The notices must reach the appropriate federal and state filing offices within 48 hours of the committee's receipt of the contribution(s).

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered and, if applicable, before the individual became a candidate.

**Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

QUARTERLY REPORT NOTICE

FEDERAL ELECTION COMMISSION

CONGRESSIONAL COMMITTEES

September 20, 1996

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
October Quarterly	07/01/96 - 09/30/96	10/15/96	10/15/96

WHO MUST FILE

Principal campaign committees of congressional candidates who seek election in 1996 must file an October Quarterly Report. These committees must continue to file quarterly reports throughout 1996, regardless of the primary outcome, unless they file a valid termination report. Such committees must also file a Pre-Election Report for each election in which the candidate seeks nomination or election. If the campaign has an authorized committee(s), in addition to the principal campaign committee, the principal campaign committee must also file a consolidated report on Form 32 and attach the report(s) of the authorized committee(s).

WHO NEED NOT FILE

Principal campaign committees of candidates not active in the 1996 elections (i.e., committees active in past or future elections) should not file the October Quarterly Report; instead, they report semiannually. The next semiannual report is due January 31, 1997.

WHERE TO FILE

Due to recent legislative changes, U.S. House candidates should file with the Federal Election Commission, rather than with the Clerk of the House of Representatives. Senate candidates should continue to file with the Secretary of the Senate. Committees should consult the back of Forms 3 and 6 for both addresses.

LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered and, if applicable, before the individual became a candidate.

**Reports sent registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

CONGRESSIONAL COMMITTEES**OCTOBER QUARTERLY****48 HOUR NOTICES ON CONTRIBUTIONS**

Notices are required if the committee receives contributions (including in-kind gifts or advances of goods or services; loans from the candidate or other non-bank sources; and guarantees or endorsements of loans to the candidate or committee) of \$1,000 or more, after the 20th day, but more than 48 hours before the day of the election.

The notices must reach the appropriate federal and state filing offices within 48 hours of the committee's receipt of the contribution(s). U.S. House candidates faxing 48-hour notices should transmit them to the FEC at 202/219-0174. Senate candidates should transmit them to the Secretary of the Senate at 202/224-1851. Note that 48-hour notices are the only FEC documents that may be faxed.

COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

FOR INFORMATION, Call: 800/424-9530 or 202/219-3420

GENERAL ELECTION REPORT NOTICE

FEDERAL ELECTION COMMISSION

CONGRESSIONAL COMMITTEES

September 30, 1996

1996 GENERAL ELECTION CANDIDATE COMMITTEES

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Pre-General	10/01/96 - 10/16/96	10/21/96	10/24/96
	----48 Hour Notices----		
Post-General	10/17/96 - 11/25/96	12/05/96	12/05/96

WHO MUST FILE

All 1996 general election principal campaign committees of congressional candidates (including unopposed candidates) who seek election in the November 5, 1996, General Election must file the Pre- and Post-General Election Reports. If the campaign has an authorized committee(s), in addition to the principal campaign committee, the principal campaign committee must also file a consolidated report on Form 32 and attach the report(s) of the authorized committee(s).

48 HOUR NOTICES ON CONTRIBUTIONS

Notices are required if the committee receives contributions (including in-kind gifts or advances of goods or services; loans from the candidate or other non-bank sources; and guarantees or endorsements of loans to the candidate or committee) of \$1,000 or more, during the period October 17 through November 2.

The notices must reach the appropriate federal and state filing offices within 48 hours of the committee's receipt of the contribution(s). U.S. House candidates faxing 48-hour notices should transmit them to the FEC at 202/219-0174. Senate candidates should transmit them to the Secretary of the Senate at 202/224-1851. Note that 48-hour notices are the only FEC documents that may be faxed.

LABEL

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*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered and, if applicable, before the individual became a candidate.

**Reports sent registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FOR INFORMATION, CALL: 800/424-9630 or 202/219-3420

RQ-7

(This is a copy of the text of a mailgram sent to the committee listed below on October 22, 1996.)

Dick Lane, Treasurer
Dick Lane for Congress Committee
P.O. Box 2368
Santa Clara, CA 95055

Identification Number: C00315473

Reference: October Quarterly Report (7/1/96-9/30/96)

It has come to the attention of the Federal Election Commission that you may have failed to file the October Quarterly Report of receipts and expenditures as required by the Federal Election Campaign Act, as amended. You were previously notified of the due date for this report. You will be allowed four (4) business days from the date of this notice to file the October Quarterly Report. The report should be filed with the Federal Election Commission, 999 E Street NW, Washington, DC 20463 for House candidates, or the Secretary of the Senate, 232 Hart Senate Office Building, Washington, DC 20510, for Senate candidates. A copy of the report should also be filed with the appropriate Secretary of State or equivalent state officer.

If you have filed the report timely by certified or registered mail, please notify us immediately, in writing, of the certified or registered number and the date that the report was sent. The failure to file this report may result in publication, audit or legal enforcement action.

If you have any questions regarding this matter, please contact the Reports Analysis Division on our toll free number (800) 424-9530 or on our local number (202) 219-3580.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

RQ-7

(This is a copy of the text of a mailgram sent to the committee listed below on October 25, 1996.)

Dick Lane, Treasurer
Dick Lane for Congress Committee
P.O. Box 2368
Santa Clara, CA 95055

Identification Number: C00315473

Reference: 12 DAY PRE-GENERAL REPORT (10/1/96-10/16/96)

It has come to the attention of the Federal Election Commission that you may have failed to file the 12 Day Pre-General Report of receipts and expenditures as required by the Federal Election Campaign Act. You were previously notified of the due date for this report.

You will be allowed four (4) business days from the date of this notice to file the 12 Day Pre-General Report. The report should be filed with the Federal Election Commission, 999 E Street NW, Washington, DC 20463 for House candidates, or the Secretary of the Senate, Office of Public Records, 232 Hart Senate Office Building, Washington, DC 20510, for Senate candidates. A copy of the report should also be filed with the appropriate Secretary of State or equivalent state officer.

If you have filed the report timely by certified or registered mail, please notify us immediately, in writing, of the certified or registered number and the date that the report was sent. The failure to file this report may result in publication, audit or legal enforcement action.

If you have any questions regarding this matter, please contact the Reports Analysis Division on our toll free number (800) 424-9530 or on our local number (202) 219-3580.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

FEDERAL ELECTION COMMISSION



Press Office -

999 E Street, N.W., Washington, D.C. 20463

Phone: 202-218-4155 Toll Free 800-424-9530

FOR IMMEDIATE RELEASE:
OCTOBER 30, 1996

CONTACT: KELLY HUFF
RON HARRIS
SHARON SNYDER
IAN STIRTON

CAMPAIGNS CITED FOR FAILURE TO FILE OCTOBER QUARTERLY REPORT

WASHINGTON -- The Federal Election Commission today cited 11 campaign committees for failure to file the required October Quarterly financial disclosure report.

As of 5 p.m., October 29, 1996, disclosure reports from the following committees had not been filed:

CA/05...BOB DINSMORE FOR CONGRESS...BRIAN M. PROBST, TREAS...ROBERT SUNDERLAND DINSMORE...REP
CA/15...DICK LANE FOR CONGRESS COMMITTEE...DICK LANE, TREAS...DICK LANE...DEM ☐ CC
CA/27...ELIZABETH MICHAEL FOR CONGRESS...JOAN HUGHES, TREAS...ELIZABETH MICHAEL...LIB
FL/21...LINCOLN DIAZ-BALART FOR CONGRESS COMMITTEE...AYUBAN TOMAS, CPA, TREAS...LINCOLN DIAZ-BALART...REP
IL/07...CITIZENS FOR RANDY BOROW...JENNILYN J. WALTON, TREAS...RANDY BOROW...REP
MS/04...ANTOINE FOR CONGRESS...DONNA PERKINS, TREAS...KEVIN ANTOINE...DEM
NM/01...JACK URRICH FOR CONGRESS...ARRAN TESSLER, TREAS...JOHN A. URRICH...GREEN
PA/01...FOGLIETTA FOR CONGRESS...MICHAEL FOGLIETTA, TREAS...THOMAS M. FOGLIETTA...DEM
*VA/07...FRIENDS FOR JIM McDERMOTT...JERALD E. FARLEY, TREAS...JAMES A. McDERMOTT...DEM

Reports were due October 15, 1996, and should have included financial activity for the period July 1, 1996, or later for those involved in late primaries, through September 30, 1996. If sent by certified or registered mail, the reports should have been postmarked by midnight October 15.

Committees were notified of their filing requirements on September 20. Those committees which did not file on the due date were notified on October 22 that reports had not been received and that their names would be published if they did not respond within four business days.

The Commission publishes only the names of those committees which have been designated by candidates as their principal campaign committees. Other political committees supporting Senate and House candidates (those which are not authorized units of a candidate's campaign) file either quarterly or monthly reports. Those committees are not published by the FEC.

Further Commission action against non-filers and late filers is decided on a case-by-case basis. Federal law gives the FEC broad authority to initiate enforcement actions, including the imposition of civil penalties (\$5,000 for "any violation" and \$10,000 for any "knowing and willful" violation.)

*The October Quarterly report has been filed. However, the FEC received the disclosure report after the October 29, 1996 cutoff date.

FEDERAL ELECTION COMMISSION

Attachment 8
Page 1 of 2



Press Office
999 E Street, N.W., Washington, D.C. 20463
Phone: 202-219-4155 Toll Free 800-424-9530

FOR IMMEDIATE RELEASE:
NOVEMBER 1, 1996

CONTACT: KELLY HUFF
RON HARRIS
SHARON SNYDER
IAN STIRTON

CAMPAIGNS CITED FOR FAILURE TO FILE COMPLETE PRE-GENERAL REPORTS

WASHINGTON -- The Federal Election Commission today cited 34 campaign committees for failure to file the required Pre-General financial disclosure report.

As of 5 p.m., October 31, 1996, disclosure reports from the following committees had not been filed:

AL/01...VOMACK FOR CONGRESS...ROBERT D. STILL, TREAS...DON VOMACK...DEM
*AL/04...ROBERT T. (BOB) WILSON JR. FOR CONGRESS...CHARLES RANDALL WATTS, TREAS...
ROBERT T. WILSON, JR....DEM
CA/05...BOB DINSMORE FOR CONGRESS...BRIAN M. PROBST, TREAS...ROBERT SUNDERLAND
DINSMORE...REP
[CA/15...DICK LANE FOR CONGRESS COMMITTEE...DICK LANE, TREAS...DICK LANE...DEM] GC
*CA/15...FRIENDS OF VALLY SHARPE-GEISLER...BARBARA SHARPE, TREAS...VALLY
SHARPE-GEISLER...REFORM
CA/27...ELIZABETH MICHAEL FOR CONGRESS...JOAN HUGHES, TREAS...ELIZABETH
MICHAEL...LIBERAL
CA/43...KIMBROUGH FOR CONGRESS COMMITTEE...PAMELA SEED KIMBROUGH, TREAS...GUY
CAMPBELL KIMBROUGH...DEM
DE/00...DENNIS WILLIAMS FOR CONGRESS...MATTHEW T. LAPINSKI, TREAS...DENNIS EDWARD
WILLIAMS...DEM
FL/12...MIKE CANADY FOR CONGRESS COMMITTEE...ROBERT H. CRIZZARD, TREAS...MICHEL L.
CANADY...DEM
FL/21...LINCOLN DIAZ-BALART FOR CONGRESS COMMITTEE...AYUDAN TOMAS, CPA,
TREAS...LINCOLN DIAZ-BALART...REP
FL/23...CAMPAIGN TO ELECT ROBERT PAUL BROWN US HOUSE...PATRICIA M. BROWN, TREAS
...ROBERT PAUL BROWN...REP
ID/02...SEIDL FOR CONGRESS CAMPAIGN...MARSHA WOODS, TREAS...JOHN D. STREIDL...DEM
IL/03...NALEPA '96...CAROL BRIAN, TREAS...JAMES T. "JIM" NALEPA...REP
IL/07...CITIZENS FOR RANDY BOROW...JENNILYN J. WALTON, TREAS...RANDY BOROW...REP
IL/08...HULL FOR CONGRESS...JOYCE K. NORDEAUS, TREAS...ELIZABETH ANNE HULL...DEM
IN/05...DOUG CLARK FOR CONGRESS...DOUGLAS L. CLARK, TREAS...DOUGLAS L. CLARK...DEM
MD/08...COMMITTEE TO ELECT BARBARA ROBSON FOR U S CONGRESS...BOB COHN, TREAS...
BARBARA ROBSON...OTHER
*NH/04...VOLUNTEERS FOR VENTO...JOHN J. COSTELLO, TREAS...BRUCE F. VENTO...DFL
MO/01...CLAY CAMPAIGN COMMITTEE...PEARLIE I. EVANS, TREAS...WILLIAM L. CLAY, SR.
...DEM
MO/01...O'SULLIVAN FOR CONGRESS COMMITTEE...STEVEN G. REYNOLDS, CPA, TREAS...DAN
O'SULLIVAN...REP

-more-

NJ/05...BILL AUER FOR CONGRESS...ALFRED J. MURPHY, JR., TREAS...BILL AUER...DEM
 **NJ/11...EVANGEL/CONGRESS '96...CHRIS EVANGEL, TREAS...CHRIS EVANGEL...DEM
 NM/01...JACK URRICH FOR CONGRESS...ARZAN TESSLER, TREAS...JOHN A. URRICH...GREEN
 *NY/19...PEOPLE FOR DIOGUARDI...SUSAN FITZPATRICK, TREAS...JOSEPH J.
 DIOGUARDI...CONSERVATIVE
 OH/18...ROBERT BURCH FOR CONGRESS COMMITTEE...MARILYN PIZZINI, TREAS...ROBERT L.
 BURCH...DEM
 ***OR/05...FRIENDS OF JIM BUNN...JIM HALL, TREAS...JAMES LEE BUNN...REP
 PA/12...CHOBY '96...WILLIAM (BILL) CHOBY, TREAS...WILLIAM CHOBY...REP
 *PA/14...RAVOTTI FOR CONGRESS COMMITTEE...THOMAS R. OZENREITER, TREAS...
 WILLIAM A. RAVOTTI...REP
 PR/00...COMITE AMIGOS DE CELESTE BENITEZ...SAMUEL T. CESPEDES, JR., TREAS...
 CELESTE BENITEZ...OTH
 TN/09...COMMITTEE FOR TRUTH AND JUSTICE...JOHNNY E. KELLY, TREAS...JOHNNY E.
 KELLY...IND
 ***TX/09...FRIENDS OF STEVE STOCKMAN...JOHN HART, TREAS...STEVE STOCKMAN...REP
 TX/15...FRIENDS OF TOM HAUGHEY...JIM CARPENTER, TREAS...THOMAS BRACE HAUGHEY...REP
 TX/23...COMMITTEE TO ELECT CHARLES P. JONES...LOURDES B. RODRIGUEZ, TREAS...
 CHARLES P. JONES...DEM
 VA/09...ROBERTS FOR CONGRESS CAMPAIGN...ELIZABETH L. ROBERTS, TREAS...THOMAS INGHAM
 "TOM" ROBERTS...OTHER

Reports were due October 24, 1996, and should have included financial activity for the period October 1, 1996, through October 16, 1996. If sent by certified or registered mail, the reports should have been postmarked by midnight October 21, 1996.

Committees were notified of their filing requirements on September 30, 1996. Those committees which did not file on the due date were notified on October 25, 1996, that reports had not been received and that their names would be published if they did not respond within four business days.

The Commission publishes only the names of those committees which have been designated by candidates as their principal campaign committees. Other political committees supporting Senate and House candidates (those which are not authorized units of a candidate's campaign) file either quarterly or monthly reports. Those committees are not published by the FEC.

Further Commission action against non-filers and late filers is decided on a case-by-case basis. Federal law gives the FEC broad authority to initiate enforcement actions, including the imposition of civil penalties (\$5,000 for "any violation" and \$10,000 for any "knowing and willful" violation.)

The next regularly scheduled disclosure report for congressional committees will be the 30 Day Post-General report due December 5, 1996. It will cover activity from October 17 through November 25.

- * Pre-General report has been filed, but it was received after the publication cutoff date.
- ** A Pre-General report was filed, but the report only disclosed financial activity through October 7, 1996.
- *** Summary and Detailed Summary Pages were submitted disclosing financial activity without supporting schedules

REPORT OF RECEIPTS AND DISBURSEMENTS

Attachment 9

For ~~State~~ ~~Local~~ Committee
(Summary Page)RECEIVED
FEDERAL ELECTION
COMMISSION
MAIL ROOM

Nov 6 11 35 AM '96

USE FEC MAILING LABEL
OR
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Dick Lane for Congress Committee		2. FEC IDENTIFICATION NUMBER 000315473
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported. P.O. Box 2368		
CITY, STATE and ZIP CODE Santa Clara, CA 95055	STATE/DISTRICT CA 15	
		3. IS THIS REPORT AN AMENDMENT? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO

4. TYPE OF REPORT

- ☐ April 15 Quarterly Report ☐ 12-Day Pre-Election Report for the _____ (Type of Election)
election on _____ in the State of _____
- ☐ July 15 Quarterly Report
- ☒ October 15 Quarterly Report ☐ 30-Day Post-Election Report for the _____ (Type of Election)
election on _____ in the State of _____
- ☐ January 31 Year End Report
- ☐ July 31 Mid-Year Report (Non-election Year Only) ☐ Termination Report

This report contains
activity for

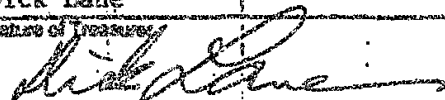
- ☐
- Primary Election
- ☒
- General Election
- ☐
- Special Election
- ☐
- Runoff Election

SUMMARY

5. Covering Period <u>7/1/96</u> through <u>9/30/96</u>	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(a))	8,125.00	15,173.96
(b) Total Contribution Refunds (from Line 20(d))		
(c) Net Contributions (other than loans) (subtract Line 6(b) from 6(a))	8,125.00	15,173.96
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)	16,311.93	38,292.81
(b) Total Offsets to Operating Expenditures (from Line 14)		
(c) Net Operating Expenditures (subtract Line 7(b) from 7(a))	16,311.93	38,292.81
8. Cash on Hand at Close of Reporting Period (from Line 27)	3,450.91	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)		
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	34,382.83	

For further information
contact:
Federal Election Commission
699 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Dick Lane	Date 10/15/96
Signature of Treasurer 	

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

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FEBATT11

FEC FORM 3
(revised 4/97)

REPORT OF RECEIPTS AND DISBURSEMENTSFor An Authorized Committee
(Summary Page)RECEIVED
FEDERAL ELECTION
COMMISSION
MAIL ROOM

Nov 6 11 35 AM '96

USE FEC MAILING LABEL
OR
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Dick Lane for Congress Committee		2. FEC IDENTIFICATION NUMBER 000315473
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported. P.O. Box 2368		3. IS THIS REPORT AN AMENDMENT? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
CITY, STATE and ZIP CODE Santa Clara, CA 95055	STATE/DISTRICT CA 15	

4. TYPE OF REPORT

- ☐ April 15 Quarterly Report ☒ 12-Day Pre-Election Report for the General (Type of Election)
election on 11/5/96 in the State of California
- ☐ July 15 Quarterly Report
- ☐ October 15 Quarterly Report ☐ 30-Day Post-Election Report for the _____ (Type of Election)
election on _____ in the State of _____
- ☐ January 31 Year End Report
- ☐ July 31 Mid-Year Report (Non-election Year Only) ☐ Termination Report

This report contains
activity for

- ☐
- Primary Election
- ☒
- General Election
- ☐
- Special Election
- ☐
- Runoff Election

SUMMARY

5. Covering Period <u>10/1/96</u> through <u>10/16/96</u>	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(c))	2,270.00	16,443.96
(b) Total Contribution Refunds (from Line 20(d))		
(c) Net Contributions (other than loans) (subtract Line 8(b) from 8(a))	2,270.00	16,443.96
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)	19,603.89	57,896.70
(b) Total Offsets to Operating Expenditures (from Line 14)		
(c) Net Operating Expenditures (subtract Line 7(b) from 7(a))	19,603.89	57,896.70
8. Cash on Hand at Close of Reporting Period (from Line 27)	3,930.09	
9. Debts and Obligations Owed TO the Committee (Summarize all on Schedule C and/or Schedule D)		
10. Debts and Obligations Owed BY the Committee (Summarize all on Schedule C and/or Schedule D)	44,382.83	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Dick LANE

Signature of Treasurer

Date

10/17/96

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

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FEC FORM 3

FEC FORM 3
(revised 4/87)