

FEDERAL ELECTION COMMISSION

1015 K STREET, N.W.
WASHINGTON, D.C. 20541

THIS IS THE END OF TUE 408 merged into 639

Date Filmed 9/10/80 Camera No. — 2

Cameraman LRC

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FEDERAL ELECTION COMMISSION

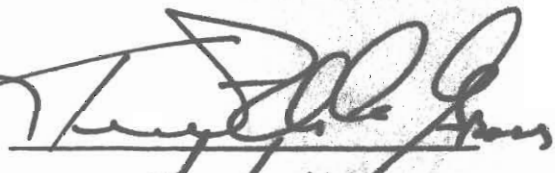
THU 408 + 635

The above-described material was removed from this file pursuant to the following exemption provided in the Freedom of Information Act, 5 U.S.C. Section 552(b):

- | | |
|--|---|
| <input type="checkbox"/> (1) Classified Information | <input checked="" type="checkbox"/> (6) Personal privacy |
| <input type="checkbox"/> (2) Internal rules and practices | <input type="checkbox"/> (7) Investigatory files |
| <input type="checkbox"/> (3) Exempted by other statute | <input type="checkbox"/> (8) Banking Information |
| <input type="checkbox"/> (4) Trade secrets and commercial or financial information | <input type="checkbox"/> (9) Well Information (geographic or geophysical) |
| <input type="checkbox"/> (5) Internal Documents | |

Signed

date


8/13/82

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of

Kentucky Educators Public Affairs
Council (KEPAC)
National Education Association
Political Action Committee
(NEA-PAC)

MUR 408

CERTIFICATION

I, Marjorie W. Emmons, Secretary to the Federal Election Commission, do hereby certify that on March 27, 1979, the Commission approved by a vote of 6-0 the recommendation, as set forth in the General Counsel's Memorandum dated March 23, 1979, that MUR 408 be merged into MUR 639.

Attest:

3/28/79

Date

Marjorie W. Emmons

Marjorie W. Emmons
Secretary to the Commission

Received in Office of Commission Secretary:
Circulated on 48 hour vote basis:

Friday, 3-23-79, 4:54
Monday, 3-26-79, 12:00

FEDERAL ELECTION COMMISSION

U.S. DEPARTMENT OF JUSTICE
WASHINGTON, D.C. 20543

MEMORANDUM

TO: The Commission

FROM: William C. Oldaker,
General Counsel

SUBJECT: MUR 408

DATE: March 23, 1979

MUR 408 is an open MUR. It stems from a complaint from Philip A. Thompson, Treasurer of the Kentucky Business Political Action Committee charging the Kentucky Educators Public Affairs Council ("KEPAC") and the Kentucky Education Association ("KEA") with certain violations of the Federal Election Campaign Act of 1971. KEA and KEPAC are also respondents in MUR 639 which arose from a complaint as well. In fact, the complaint in 639 is virtually identical to that of MUR 408 as to the allegations and the facts supporting those allegations.

Inasmuch as the only real difference between MUR 408 and MUR 639 is the name of the complainant, and since the complainant in MUR 408 has not followed through on the complaint, the General Counsel's Office recommends that MUR 408 be merged into MUR 639.



30040205237

JOHN T. BAKER
M. BRADY
RICHARD L. HARTMAN

RATNALL W. JONES
ANTHONY D. JONES
JOHN E. JONES
WILLIAM E. JONES
RONALD L. JONES
BARRY D. JONES
RICHARD A. JONES
JOHN D. JONES
BENJAMIN J. JONES
MICHAEL E. JONES
DONALD F. JONES
CHARLES L. JONES
JACK E. JONES
LEE C. JONES

September 17, 1977

772791

JOHN T. BAKER
M. BRADY
RICHARD L. HARTMAN

BARNETT & ALAGIA
1700 BROADWAY STREET, N.W.
WASHINGTON, D.C. 20036
(202) 725-0572
JAMES E. ALAGIA
OF COUNSEL
WASHINGTON OFFICE

Mr. David Spiegel
Federal Election Commission
1325 K Street N.W.
Washington, D.C. 20463

RE: MUR 408 (77)

Dear Mr. Spiegel:

This is in response to Mr. Oldaker's letter of September 12, 1977.

Pursuant to his request, we are enclosing two (2) items for evidentiary consideration. First, we are enclosing the campaign expenditure and contribution reports of the Kentucky Educators Public Affairs Council which we believe indicates that the committee is involved in federal elections. Second, we are enclosing a copy of a transcript of a hearing before the Kentucky Registry of Election Finance in which KEPAC admits making federal contributions and utilizing collection techniques prohibited by federal law.

We appreciate your attention to this matter. If we can be of additional assistance, please do not hesitate to call.

Very truly yours,

Barnett & Alagia

Ronald L. Gaffney
Ronald L. Gaffney

RLG:rg
Enclosures

KENTUCKY DEPARTMENT OF ELECTION FINANCE
310 West Liberty Street, Louisville, Kentucky



NOTICE OF ORGANIZATION OF CAMPAIGN COMMITTEE

To: Kentucky Registry of Election Finance

You are hereby notified that a campaign committee will be (has been) organized for the purpose of supporting the candidacy of:

CANDIDATES TO BE DETERMINED LATER
for the Office of _____

Name of Committee KENTUCKY EDUCATORS PUBLIC AFFAIRS COUNCIL

The names, addresses and positions of the officers of the Committee are:

Name	Address (Street, City, Zip Code)	Position
<u>Miss Anna Ruth Hille</u> (Mr., Mrs. or Miss)	<u>44 Marian Drive, Ft. Mitchell, Ky. 41017</u>	<u>Chairman</u>
<u>Mr. Lyndle Barnes</u> (Mr., Mrs. or Miss)	<u>2260 Bradford Dr., Louisville, Ky., 40218</u>	<u>Treasurer</u>
<u>Mr. Preston Young, Vice Chairman - 7412 Ridan Way, Louisville 40214 (Other Officers)</u>		

(If more space is needed, use additional sheet and attach)

Date August 20, 1973

Chairman Anna Ruth Hille

Treasurer Lyndle Barnes

Name of Committee Ky. Educators Public Affairs Council

Post Office Box 1089

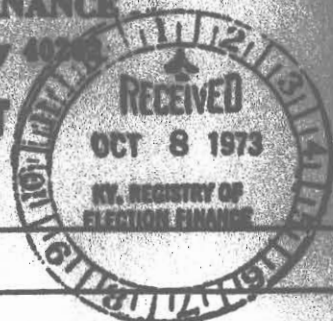
Louisville, Ky. 40201

80040205238

KENTUCKY REGISTRY OF ELECTION FINANCE

310 West Liberty, Room 400, Louisville, Kentucky 40202

CAMPAIGN COMMITTEE'S REPORT



Name of Committee Ky. Educators Public Affairs Council
 Name of Treasurer Mr. Lyndle Barnes
(Mr., Mrs., or Miss)
 Address of Treasurer P. O. Box 1089, Louisville, Kentucky 40201
(Number and Street or Rural Route) (City) (County) (Zip Code)
 TO BE DETERMINED LATER Political Party
(Candidate Supported by Committee)

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$500 must include date, name of contributor, address and amount. Sales of tickets to any individual, corporation, organization or association exceeding \$500 shall be reported, including the date of purchase, the name and address of the purchaser and the amount. All money spent should be included in expenditures. Expenditures over \$50 must include date, name and address of each person to whom made, purpose and amount. Reports are due 30 days and 10 days before an election and within 30 days after an election, except reports of city and county candidates are due 10 days before and within 30 days after. "Election" means any primary, regular or special election.

CONTRIBUTIONS AND RECEIPTS

Contributions, Receipts and Sales Over \$500

Date	Contributor or Purchaser	Address (St. No. & City)	Amount
NONE			
(If more space is needed, attach additional sheets)			00
TOTAL OVER \$500			00

Contributions and Receipts \$500 or Less

Number of contributors	Amount
2,691	Cash \$9,017.00
Loans or other things of value. Describe	NONE
TOTAL \$500 OR LESS \$9,017.00	
TOTAL CONTRIBUTIONS AND RECEIPTS \$9,017.00	

EXPENDITURES

Expenditures Over \$50

Date	To Whom Made	Address (St. No. & City)	Purpose	Amount
8-21-73	David Baker	Route 1, Box 235, Shelbyana, Ky.	Meeting Exp.	\$51.08
9-10-73	Moore Bus. Forms	P. O. Box 6690, Chicago, Ill.	Contribu. Cards	70.06
9-13-73	Comm. for Dem. Legis.,	P. O. Box 694, Frankfort, Ky.	10 tickets	1,000.00
(Friars Club Dinner)				
9-17-73	Ky. Ed. Assoc.	101 West Walnut St., Louisville	Xerox copies & postage	57.52
9-28-73	Jeff. Co. PAC	P.O. Box 23413, Louisville 40223	1/2 contributions	\$193.91

(If more space is needed, attach additional sheets)

TOTAL EXPENDITURES OVER \$50 \$1,372.57

For expenditures of less than \$50 continue on back of page.

923500040000

11/1/73

Date	Purpose of Expenditure	Amount
8-21-73	Larva copies	\$ 1.68
8-21-73	Meeting expense (Wayne Harvey)	50.10
8-21-73	Meeting expense (Richard Shaggs)	29.00
9-10-73	Meeting expense (Billie Jean McDade)	33.65
9-10-73	Index guides- supplies	33.10
9-10-73	Mailing expense	24.42
9-11-73	Post office meter parking	5.00

(If more space is needed, attach additional sheets)

EXPENDITURES \$50 OR LESS TOTAL \$156.95 ✓

TOTAL EXPENDITURES \$1,529.52

I hereby certify that the above and foregoing statement for the period 8-20-73 to 10-8-73
 is true and correct. Dated at Louisville, Kentucky this 8th day of October,
 (Place) (Month)
973

By Lundell Barnes
 (Treasurer)

For Ky. Educators Public Affairs Council
 (Name of Committee)

319 West Liberty, Room 409, Louisville Kentucky 40202

202

RECEIVED
OCT 29 1973
KY. SECRETARY OF
ELECTION FINANCE

40234

Name of Treasurer Mr. Lyndle Barnes
(Mr., Mrs., or Miss)

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$500 must include date, name of contributor, address and amount. Sales of tickets to any individual, corporation, organization or association exceeding \$500 shall be reported, including the date of purchase, the name and address of the purchaser and the amount. All money spent should be included in expenditures. Expenditures over \$50 must include date, name and address of each person to whom made, purpose and amount. Reports are due 30 days and 10 days before an election and within 30 days after an election, except reports of city and county candidates are due 10 days before and within 30 days after. "Election" means any primary, regular or special election.

Contributions, Receipts and Sales Over \$500

(If more space is needed, attach additional sheets)

TOTAL OVER \$500 _____

Amount

Loans or other things of value. Describe NONE

TOTAL \$500 OR LESS \$1,441.59'

TOTAL CONTRIBUTIONS AND RECEIPTS \$1,441.59

Expenditures Over \$50

Date	To Whom Made	Address (St. No. & City)	Purpose	Amount
10/9/73	KEA	101 W. Walnut St., Louisville	Data Processing	\$191.45
10/9/73	Bill Willoughby, Route 1, Richmond, Ky.		Campaign contribution - Madison Co. PAC	100.00
10/17/73	Bill Paxton	Henson Bldg., Central City,	Campaign contribution - Central City PAC	100.00
10/17/73	Nelson Allen	113 Gesling Rd., Russell	Campaign contribution - Russell PAC	100.00

(Attached sheet) (If more space is needed, attach additional sheets)

TOTAL EXPENDITURES OVER \$50 691.45

For expenditures of less than \$50 continue on back of page.

Date	Particulars	Amount
10/9/73	Office supplies	\$20.42
10/17/73	Belmont Allen - Campaign contribution - Boyd Co. PAC	50.00
10/22/73	Bobby Richardson - Committee for Annual Sessions - Contribution from Caverna PAC	35.00
10/23/73	Postage for mailings	34.06

EXPENDITURES \$50 OR LESS TOTAL \$139.48

TOTAL EXPENDITURES \$830.93 ✓

I hereby certify that the above and foregoing statement for the period 10/8/73 to 10/29/73
is true and correct. Dated at Louisville, Kentucky this 29th day of October
(Place) (Month)
19 73

By Lynell Barnes
(Treasurer)

For Ky. Educators Public Affairs Council
(Name of Committee)

10/25/73

Expenditures Over \$20

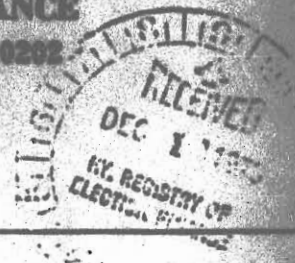
Date	To Whom Made	Address (St. , No. & City)	Purpose	Amount
10/25/73	Edward Whitfield	204 Ridgehill, Hopkinsville	Campaign Contribution - \$200.00 Christian Co. PAC	

00040205243

KENTUCKY REGISTRY OF ELECTION FINANCE

310 West Liberty, Room 400, Louisville, Kentucky 40202

CAMPAIGN COMMITTEE'S REPORT



Name of Committee KY. EDUCATORS PUBLIC AFFAIRS COUNCIL
 Name of Treasurer MR. LYNDEE BARNES
(Mr., Mrs., or Miss)
 Address of Treasurer P. O. BOX 1089, LOUISVILLE, KENTUCKY 40201
(Number and Street or Rural Route) (City) (County) (Zip Code)
VARIOUS Political Party
(Candidate Supported by Committee)

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$500 must include date, name of contributor, address and amount. Sales of tickets to any individual, corporation, organization or association exceeding \$500 shall be reported, including the date of purchase, the name and address of the purchaser and the amount. All money spent should be included in expenditures. Expenditures over \$50 must include date, name and address of each person to whom made, purpose and amount. Reports are due 30 days and 10 days before an election and within 30 days after an election, except reports of city and county candidates are due 10 days before and within 30 days after. "Election" means any primary, regular or special election.

CONTRIBUTIONS AND RECEIPTS

Contributions, Receipts and Sales Over \$500

Date	Contributor or Purchaser	Address (St. No. & City)	Amount

(If more space is needed, attach additional sheets)

TOTAL OVER \$500 _____

Contributions and Receipts \$500 or Less

	Amount
Number of contributors <u>308</u>	Cash <u>\$1,540.57</u>
Loans or other things of value. Describe _____	

TOTAL \$500 OR LESS \$1,540.57

TOTAL CONTRIBUTIONS AND RECEIPTS \$1,540.57

EXPENDITURES

Expenditures Over \$50

Date	To Whom Made	Address (St. No. & City)	Purpose	Amount
10/25/73	Edward Whitfield,	204 Ridgehill, Hopkinsville	Donation by Christian Co. PAC	\$200.00
11/5/73	Clyde Middleton,	401 Pike St., Covington	Donation by Kenton Co. PAC	75.00
11/5/73	Phil King,	15 West Southern, Covington	Donation by Kenton Co. PAC	75.00
11/5/73	Phillip Webb,	Piner School, Rich Rd., Morning View	" " "	75.00
11/14/73	Eskew & Gresham,	P. O. Box 22309, Louisville,	Audit of records	200.00

(If more space is needed, attach additional sheets)

TOTAL EXPENDITURES OVER \$50 425.01
625.00 ✓

For expenditures of less than \$50 continue on back of page.

0010005244

HPC

(Continued from preceding page)

Expenditures \$50 or Under

1973

Date

Purpose of Expenditure

Amount

30040205245

(If more space is needed, attach additional sheets)

EXPENDITURES \$50 OR LESS TOTAL _____

TOTAL EXPENDITURES 425.00

I hereby certify that the above and foregoing statement for the period 10/29/73 to 11/29/73
is true and correct. Dated at Louisville, Kentucky this 28th day of November,
1973
(Place) (Month)

By Lyndle Bannister
(Treasurer)

For KY. EDUCATORS PUBLIC AFFAIRS COUNCIL
(Name of Committee)

CAUTION



Kentucky Educators Public Affairs Council

Post Office Box 1000

Louisville, Ky. 40201

April 7, 1975



Kentucky Registry of Election Finance
310 West Liberty
Louisville, Kentucky 40202

Gentlemen:

This is to inform you that Dexter Meyer, 201 Alsab Court, Lexington, Kentucky 40505, is now chairman of the Kentucky Educators Public Affairs Council. He succeeded Preston Young.

I am still the treasurer.

Yours truly,

Lyndle Barnes
Lyndle Barnes
Secretary-Treasurer

LB:jr

KENTUCKY BUREAU OF ELECTION FINANCE
310 West Liberty, Room 714, Louisville, Kentucky 40202
Telephone (502) 354-3521

REPORT OF RECEIPTS AND EXPENDITURES
FOR A
CAMPAIGN COMMITTEE OR POLITICAL ACTION COMMITTEE



Name of Committee KENTUCKY EDUCATORS PUBLIC AFFAIRS COUNCIL

Address P. O. BOX 1080 LOUISVILLE JEFFERSON KENTUCKY
(Number and Street or Rural Route) (City) (County) (Zip Code)

Telephone 582-2273

Supporting SEE ATTACHED SHEET Political Party _____

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$100 must include date, name of contributor, occupation, address and amount. Sales of tickets to any individual, organization or association exceeding \$100 shall be reported, including the date of purchase, the name, address and occupation of the purchaser and the amount. All expenditures authorized, incurred or made should be reported. Expenditures over \$50 must include date, name and address of each person to whom made, purpose and amount. This report is due 32 days and 12 days before an election and within 30 days after an election. "Election" means any primary, special or general election.

TYPE OF REPORT
(Check Appropriate Box)

- | | |
|---|---|
| <input checked="" type="checkbox"/> 32 day Pre-Primary Report | <input type="checkbox"/> 32 day Pre-General Election Report |
| <input type="checkbox"/> 12 day Pre-Primary Report | <input type="checkbox"/> 12 day Pre-General Election Report |
| <input type="checkbox"/> Post-Primary Report | <input type="checkbox"/> Post-General Election Report |
| <input type="checkbox"/> Supplemental Report | <input type="checkbox"/> Termination Report |

Report for the Period From December 21, 1974 Thru April 21, 1975

SECTION A(1) — ITEMIZED RECEIPTS AND CONTRIBUTIONS IN EXCESS OF \$100
(Indicate if ticket purchase, loan, transfer or refund)

Date (month, day, year)	Full Name, Mailing Address, Zip Code and Occupation	Received This Period	Total Received This Election

(If more space is needed, attach additional sheets)

TOTAL ITEMIZED RECEIPTS IN EXCESS OF \$100 THIS PERIOD \$ _____

2

Name of Committee FL. LEGISLATIVE POLICE ACADEMY COUNCIL

SECTION A(2) — CONTRIBUTIONS OF \$100 OR LESS (UNTERMINED)

Number of contributors 2,732

TOTAL CONTRIBUTIONS OF \$100 OR LESS \$ 5,730.21

SECTION A(3) — CONTRIBUTIONS AND DONATIONS IN KIND (If value is in excess of \$100 the date, contributor, address and occupation are required) (Each such donation shall be listed as an expenditure at the same fair market value.)

DESCRIPTION

VALUE

\$ _____

\$ _____

(If more space is needed, attach additional sheets)

TOTAL VALUE-CONTRIBUTIONS IN KIND \$ _____

SECTION A(4) — FUND RAISING EVENTS

Give date, sponsor, type of event, place, tickets sold, total receipts. (Ticket sales in excess of \$100 to an individual or organization are required to be reported under Section A(1). (List expenditures on page 3).

1. _____

2. _____

(If more space is needed, attach additional sheets)

TOTAL RECEIPTS-FUND RAISING EVENTS \$ _____

SECTION B(1) — EXPENDITURES OVER \$50

Date	To Whom Made	Description	Address	Purpose	Amount
1/7/75	Eskeu & Gresham Accountants	P. O. Box 22309	Louisville	Ann. Audit	300.00
1/8/75	Eastern Airlines Travel	Louisville	Trip to Washington, D. C.	meeting	106.73
1/10/75	Johnnie Randall, Educator	- Rt. 3, Catlettsburg	State Comm.	meeting exp.	81.24
1/10/75	Wayne Harvey, Educator	, Box 85, Smithland, Ky.	State Comm.	meeting exp.	84.17
1/10/75	Billie J. McDade, Educator	, 802 No. 25th, Middlesboro	St. Comm.	Meeting	83.00
1/10/75	Harold Collins, Box 365, Hyden, Ky.	(Educator)	State Comm.	Meeting	74.54
2/21/75	Carroll for Governor Comm. Campaign	Frankfort	Dinner tickets		200.00
2/24/75	Holiday Inn South Restaurant	Louisville	Meeting room & meals		97.24
2/24/75	Ada Jobe, P. O. Box 281, Catlettsburg	(Educator)	State Comm.	meeting	84.52
3/14/75	Dan Boone Co. (Novelty dealer)	1097 E. Ky. St., Louisville	1,000 badges		167.81
3/19/75	Ky. Ed. Assoc., 101 W. Walnut St., Louisville	(Educators)	Postage & Zerox copies		54.78
(If more space is needed, attach additional sheets)					
See attached sheet					
TOTAL OF EXPENDITURES IN EXCESS OF \$50 \$ 2,708.74					

SECTION B(2) — EXPENDITURES \$50 OR UNDER

Date	Purpose of Expenditure	Amount
1/7/75	Trips to post office	\$ 8.00
1/8/75	State meeting expense	10.80
1/8/75	State meeting expense	12.00
1/8/75	State meeting expense	34.50
1/8/75	State meeting expense	39.70
1/9/75	Dinner ticket	25.00
1/10/75	Bulk mailing permit	30.00
1/10/75	State meeting expense	12.00
1/13/75	State meeting expense	48.60
1/21/75	Travel expense	30.00
(If more space is needed, attach additional sheets)		
See attached sheet		
TOTAL OF EXPENDITURES \$50 OR UNDER \$ 573.68		

SUMMARY OF REPORTS

Name of Committee KENTUCKY ELECTION PUBLIC AFFAIRS COUNCIL
 Report covering period from January 31, 1974 thru April 30, 1975

SECTION A -- RECEIPTS

1. Itemized receipts in excess of \$100 (A-3, page 1)
2. Unitemized contributions \$100 or less (A-3, page 2)
3. Contributions and donations in kind-value (A-3, page 2)
4. Fund raising events (A-4, page 2)

TOTAL RECEIPTS

Column A --
This Period

Column B --
Total for Election to Date

\$ 5,740.21 ✓	\$ 5,740.21 ✓
\$ 5,740.21 ✓	\$ 5,740.21 ✓
\$ 5,740.21 ✓	\$ 5,740.21 ✓

SECTION B -- EXPENDITURES (From page 3):

1. Itemized expenditures over \$50 (B-1, page 3)
2. Expenditures \$50 or less (B-2, page 3)

TOTAL EXPENDITURES

\$ 2,708.74 ✓	\$ 2,708.74 ✓
\$ 573.68 ✓	\$ 573.68 ✓
\$ 3,282.42 ✓	\$ 3,282.42 ✓

SECTION C -- CASH BALANCES:

Cash on hand at beginning of reporting period
 Add total receipts (Section A above)

SUBTOTAL

Subtract total expenditures (Section B above)

Cash on hand at close of reporting period

Debts at close of reporting period (Attach page describing debts)

\$ 22,447.01
\$ 5,740.21 ✓
\$ 28,187.22 ✓
\$ 3,282.42 ✓
\$ 24,898.80 ✓
\$ 0

List banks, with addresses, in which the Committee deposits funds, rents safety deposit boxes, or maintains funds.
Citizens Fidelity Bank & Trust Co. - Midtown - 250 E. Liberty, Louisville, Ky.

Custodian of books and accounts if different than treasurer. Give full name, address and telephone number

VERIFICATION BY OATH OR AFFIRMATION

I, LYNDLE BARNES, state that this Report of Receipts and Expenditures is true, complete and correct.

STATE OF Kentucky

COUNTY OF Jefferson

SS

Lyndle Barnes
 (Signature of Committee Treasurer or Assistant Treasurer)

Subscribed and sworn to before me by Lyndle Barnes this 24th day of April, 1975

My Commission Expires 1st Commission Expires September 16, 1975

Joan Thomas
 NOTARY PUBLIC, Jefferson COUNTY, KY.

RETURN COMPLETED REPORT AND ATTACHMENTS TO:
 Kentucky Registry of Election Finance
 310 W. Liberty Street, Room 714
 Louisville, Kentucky 40202

00040205250

April 21, 1975

Kentucky Education Public Affairs Council is supporting the following candidates:
(Contributions to Candidates:)

Name	Party	
Granville Littrell Route 1 Albany, Kentucky (Clinton Co.)	Republican	53rd Rep. district
Joe McBride Route 1 Waverly, Kentucky (Union Co.)	Democratic	7th Rep. district
Fred Morgan 2057 Broad St. Paducah, Kentucky (McCracken Co.)	Democratic	3rd Rep. district

More names will be sent in later when it has been determined whom they might be.

00040305251

KY. EDUCATORS PUBLIC AFFAIRS COUNCIL

April 21, 1975

Expenses over \$50.00

<u>Date</u>	<u>To Whom Made</u>	<u>Occupation</u>	<u>Address</u>	<u>Purpose</u>	<u>Amount</u>
3/19/75	Ky. Balfour Co.	Engravers	Louisville	Invitations	\$ 55.34
3/20/75	Dexter Meyer	Educator	201 Alsab Ct., Lexington	Meeting State Comm.	61.09
4/8/75	Central Printing Co., printing, So. Third, Louisville			Letterheads & env.	159.90
4/16/75	Ray Shipp - Joni Agency	Orchestra	Louisville	Reception	275.00
4/21/75	Granville Littrell, Educator, Rt. 1, Albany, Ky.			Contribution and Candidate (Made by Russell Co. PAC)	100.00
4/21/75	John Belt, Educator, Sturgis, Ky.			Contribution for Joe McBride (Made by Union Co. PAC)	100.00
4/21/75	Executive Inn Restaurant		Louisville	Reception	303.30
4/21/75	Dexter Meyer, Educator, 201 Alsab Ct., Lexington			St. Comm. meeting	70.08
4/21/75	Fred Morgan	Candidate	2057 Broad St., Paducah		✓ 250.00
				(Contribution from Paducah PAC)	
				Total	\$ 2,708.74

00040035

EXPENDITURES OF \$50.00 OR MORE

<u>Date</u>	<u>Purpose of Expenditure</u>	<u>Amount</u>
1/22/75	Report - print-out	\$ 7.48
1/31/75	Service Bureau charge	8.85
2/18/75	Service Bureau charge	9.59
2/28/75	Meeting expense	15.81
3/14/75	Trips to Post Office	8.00
3/14/75	Room deposit	34.00
3/24/75	Post office box rent	9.60
4/8/75	Meeting expense	37.18
4/8/75	Expense for workshop Owensboro PAC	35.00
4/21/75	Falls City Theatre Equipment rental on projector	16.00
4/21/75	Bookkeeping overtime	42.00
4/21/75	State Comm. meeting expense - workshop	26.00
4/21/75	Workshop expense	32.91
4/21/75	Workshop expense	<u>40.66</u>
		\$ 573.68

KENTUCKY DEPARTMENT OF ELECTRIC UTILITIES
215 West Liberty, Room 714, Louisville, Kentucky 40202
Telephone (502) 582-5541



REPORT OF RECEIPTS AND EXPENDITURES
FOR A
CAMPAIGN COMMITTEE OR POLITICAL ACTION COMMITTEE

Name of Committee KENTUCKY EDUCATORS PUBLIC AFFAIRS COUNCIL
Address P. O. BOX 1089 LOUISVILLE JEFFERSON KENTUCKY
(Number and Street or Rural Route) (City) (County) (Zip Code)
Telephone 582-2273
Supporting SEE ATTACHED SHEET Political Party

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$100 must include date, name of contributor, occupation, address and amount. Sales of tickets to any individual, organization or association exceeding \$100 shall be reported, including the date of purchase, the name, address and occupation of the purchaser and the amount. All expenditures authorized, incurred or made should be reported. Expenditures over \$50 must include date, name and address of each person to whom made, purpose and amount. This report is due 32 days and 12 days before an election and within 30 days after an election. "Election" means any primary, special or general election.

TYPE OF REPORT
(Check Appropriate Box)

- | | |
|---|---|
| <input type="checkbox"/> 32 day Pre-Primary Report | <input type="checkbox"/> 32 day Pre-General Election Report |
| <input checked="" type="checkbox"/> 12 day Pre-Primary Report | <input type="checkbox"/> 12 day Pre-General Election Report |
| <input type="checkbox"/> Post-Primary Report | <input type="checkbox"/> Post-General Election Report |
| <input type="checkbox"/> Supplemental Report | <input type="checkbox"/> Termination Report |

Report for the Period From April 21, 1975 Thru May 11, 1975

SECTION A(1) — ITEMIZED RECEIPTS AND CONTRIBUTIONS IN EXCESS OF \$100
(Indicate if ticket purchase, loan, transfer or refund)

Date (month, day, year)	Full Name, Mailing Address, Zip Code and Occupation	Received This Period	Total Received This Election

(If more space is needed, attach additional sheets)

TOTAL ITEMIZED RECEIPTS IN EXCESS OF \$100 THIS PERIOD \$ _____

Handwritten initials

Name of Committee Key. B. ...

SECTION A(3) — CONTRIBUTIONS OF \$100 OR LESS (UNTERMINED)

Number of contributors 2,854

TOTAL CONTRIBUTIONS OF \$100 OR LESS \$2,273.63

SECTION A(3) — CONTRIBUTIONS AND DONATIONS IN KIND (If value is in excess of \$100 the date, contributor, address and occupation are required) (Each such donation shall be listed as an expenditure at the same fair market value.)

DESCRIPTION

VALUE

(If more space is needed, attach additional sheets)

TOTAL VALUE CONTRIBUTIONS IN KIND \$

SECTION A(4) — FUND RAISING EVENTS

Give date, sponsor, type of event, place, tickets sold, total receipts. (Ticket sales in excess of \$100 to an individual or organization are required to be reported under Section A(1). (List expenditures on page 3).

1. _____

2. _____

(If more space is needed, attach additional sheets)

TOTAL RECEIPTS-FUND RAISING EVENTS \$

SECTION B(1) — EXPENDITURES OVER \$50

SEE ATTACHED SHEET

Date	To What Paid	Purpose	Amount
------	--------------	---------	--------

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES IN EXCESS OF \$50 \$ 8,286.30

SECTION B(2) — EXPENDITURES \$50 OR UNDER

SEE ATTACHED SHEET

Date	Purpose of Expenditure	Amount
------	------------------------	--------

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES \$50 OR UNDER \$ 251.78

30040205256

Summary of Reports

Name of Committee KENTUCKY ELECTION PUBLIC AFFAIRS COUNCIL

Report covering period from April 13, 1975

thru May 13, 1975

SECTION A — RECEIPTS

1. Itemized receipts in excess of \$100 (A-1, page 1)
2. Unitemized contributions \$100 or less (A-2, page 2)
3. Contributions and donations in kind-value (A-3, page 2)
4. Fund raising events (A-4, page 2)

TOTAL RECEIPTS

Column A —
This Period

Column B —
Total for Elec-
tion to Date

\$		\$	
\$		\$	5740.31
\$	2,273.63 ✓	\$	8,013.84 ✓
\$		\$	
\$	2,273.63 ✓	\$	8,013.84 ✓

SECTION B — EXPENDITURES (From page 3):

1. Itemized expenditures over \$50 (B-1, page 3)
2. Expenditures \$50 or less (B-2, page 3)

TOTAL EXPENDITURES

\$	8,286.30 ✓	\$	10,995.04 ✓
\$	251.78 ✓	\$	825.46 ✓
\$	8,538.08 ✓	\$	11,820.50 ✓

SECTION C — CASH BALANCES:

Cash on hand at beginning of reporting period

Add total receipts (Section A above)

SUBTOTAL

Subtract total expenditures (Section B above)

Cash on hand at close of reporting period

Debts at close of reporting period (Attach page describing debts)

\$	24,898.80 ✓
\$	2,273.63 ✓
\$	27,172.43 ✓
\$	8,538.08 ✓
\$	18,634.35 ✓
\$	0

List banks, with addresses, in which the Committee deposits funds, rents safety deposit boxes, or maintains funds.
Citizens Fidelity Bank & Trust Co. - Middtown - 250 E. Liberty, Louisville, Ky.

Custodian of books and accounts if different than treasurer. Give full name, address and telephone number.

VERIFICATION BY OATH OR AFFIRMATION

I, LYNDLE BARNES, state that this Report of Receipts and Expenditures is true, complete and correct.

STATE OF Kentucky }
COUNTY OF Jefferson } SS

Lyndle Barnes
(Signature of Committee Treasurer or Assistant Treasurer)

Subscribed and sworn to before me by Lyndle Barnes this 13th day of May, 1975

My Commission Expires My Commission Expires September 16, 1975

Joan Thomason
NOTARY PUBLIC, Jefferson COUNTY, KY.

RETURN COMPLETED REPORT AND ATTACHMENTS TO:
Kentucky Registry of Election Finance
310 W. Liberty Street, Room 714
Louisville, Kentucky 40202

May 11, 1975

Kentucky Executive Board of Public Affairs Council is sponsoring the following candidates:

(Contributions to candidates)

Name	Party	
Granville Litterell Route 1 Albany, Kentucky (Clinton Co.)	Republican	53rd Rep. district
Joe McBride Route 1 Waverly, Kentucky (Union Co.)	Democratic	7th Rep. district
Fred Morgan 2057 Broad St. Paducah, Kentucky (McCracken Co.)	Democratic	3rd Rep. district
Jerry Alleyne P. O. Box 692 Frankfort, Kentucky	Democratic	Supt. of Public Instruction
George Harris Box 168 Salem, Kentucky	Democratic	4th Rep. district
Julian Carroll P. O. Box 1975 Frankfort, Kentucky	Democratic	Governor
William Sullivan 178 Versailles Rd. Frankfort, Kentucky	Democratic	Lt. Governor
Tom Ward P. O. Box 99 Lexington, Kentucky	Democratic	Lt. Governor
Jimmy Don Robinson 847 Robin Road Lexington, Kentucky	Democratic	Attorney General
Sam B. Thomas Country Club Dr. Lebanon, Kentucky	Democratic	24th Rep. District

3004005258

KENTUCKY EDUCATORS PUBLIC AFFAIRS COUNCIL

EXPENDITURES OVER \$50.00

Date	To Whom Made	Occupation	Address	Purpose	Amount
4/30/75	Ky. Education Assoc.		101 W. Walnut, Lou.	Xerox, postage	\$109.56
5/1/75	Ada R. Jobe	Educator	P. O. Box 261, Catlettsburg, Ky.	Meeting exp.	\$138.37
5/1/75	Harold Collins	Educator	Box 1319, Hyden	Meeting exp.	97.87
5/1/75	Richard Skaggs	Educator	Route 1, Utica, Ky.	Meeting exp.	67.73
5/1/75	Wayne Harvey	Educator	Box 85, Smithland	Meeting exp.	92.06
5/5/75	Wayne Harvey	Educator	Box 85, Smithland	Meeting exp.	90.58
5/5/75	Jerry Alleyne (McCracken Co. PAC)	Candidate	P. O. Box 692 Frankfort, Ky.	Contribution	100.00 ²
5/5/75	Fred Morgan (McCracken Co. PAC)	Candidate	2057 Broad St. Paducah, Ky.	Contribution	100.00
5/5/75	George Harris (McCracken Co. PAC)	Candidate	Box 168, Salem	Contribution	100.00
5/6/75	Preston Young	Educator	7412 Ridan Way Louisville 40214	Meeting exp.	185.00
5/7/75	Julian Carroll	Candidate	P. O. Box 1975 Frankfort, Ky.	Contribution	2,000.00
5/7/75	William Sullivan	Candidate	178 Versailles Rd. Frankfort, Ky.	Contribution	1,500.00 <i>checked</i>
5/7/75	Jerry Alleyne	Candidate	P. O. Box 692 Frankfort, Ky.	Contribution	1,500.00 ²
5/7/75	Jimmy Don Robinson <i>Committee</i>	Candidate	847 Robin Road Lexington 40502	Contribution	500.00
5/5/75	Sam B. Thomas (Caverna PAC)	Candidate	Country Club Dr. Lebanon, Ky.	Contribution	50.00
5/5/75	Dexter Meyer	Educator	201 Alsab Ct. Lexington, 40502	Meeting exp.	155.13
5/7/75	Tom Ward	Candidate	P. O. Box 99 Lexington, Ky.	Contribution	1,500.00 ²
				Total	\$8,286.30

9
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May 11, 1975

KENTUCKY EDUCATORS PUBLIC AFFAIRS COUNCIL

EXPENDITURES OF \$50.00 OR UNDER

<u>Date</u>	<u>Purpose of Expenditures</u>	<u>Amount</u>
4/24/75	Registered mail	\$ 3.90
4/24/75	Workshop expense	27.97
4/24/75	State Committee meeting expense	29.68
5/1/75	State Committee meeting expense	17.50
5/1/75	State committee meeting expense	18.29
5/1/75	State Committee meeting expense	18.10
5/1/75	State endorsement committee expense	3.15
5/1/75	State endorsement committee expense	23.86
5/1/75	State endorsement committee expense	31.73
5/1/75	State Endorsement committee expense	34.92
5/5/75	State endorsement committee expense	<u>42.68</u>
	Total	\$251.78

00040205260

KENTUCKY REGISTRY OF ELECTRIC FINANCE
210 West Liberty, Room 714, Louisville, Kentucky 40202
Telephone (502) 594-2221

REPORT OF RECEIPTS AND EXPENDITURES
FOR A
CAMPAIGN COMMITTEE OR POLITICAL ACTION COMMITTEE



Name of Committee KENTUCKY EDUCATORS PUBLIC AFFAIRS COUNCIL

Address P. O. BOX 1089 LOUISVILLE JEFFERSON KENTUCKY
(Number and Street or Rural Route) (City) (County) (Zip Code)

Telephone 582-2273

Supporting SEE ATTACHED SHEET Political Party

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$100 must include date, name of contributor, occupation, address and amount. Sales of tickets to any individual, organization or association exceeding \$100 shall be reported, including the date of purchase, the name, address and occupation of the purchaser and the amount. All expenditures authorized, incurred or made should be reported. Expenditures over \$50 must include date, name and address of each person to whom made, purpose and amount. This report is due 32 days and 12 days before an election and within 30 days after an election. "Election" means any primary, special or general election.

TYPE OF REPORT
(Check Appropriate Box)

- | | |
|---|---|
| <input type="checkbox"/> 32 day Pre-Primary Report | <input type="checkbox"/> 32 day Pre-General Election Report |
| <input type="checkbox"/> 12 day Pre-Primary Report | <input type="checkbox"/> 12 day Pre-General Election Report |
| <input checked="" type="checkbox"/> Post-Primary Report | <input type="checkbox"/> Post-General Election Report |
| <input type="checkbox"/> Supplemental Report | <input type="checkbox"/> Termination Report |

Report for the Period From May 11, 1975 Thru June 22, 1975

SECTION A(1) — ITEMIZED RECEIPTS AND CONTRIBUTIONS IN EXCESS OF \$100
(Indicate if ticket purchase, loan, transfer or refund)

Date (month, day, year)	Full Name, Mailing Address, Zip Code and Occupation	Received This Period	Total Received This Election

(If more space is needed, attach additional sheets)

TOTAL ITEMIZED RECEIPTS IN EXCESS OF \$100 THIS PERIOD \$

Name of Organization N.Y. State Bar Association

SECTION A(2) — CONTRIBUTIONS OF \$100 OR LESS (CONTINUED)

Number of contributors 2,630

TOTAL CONTRIBUTIONS OF \$100 OR LESS \$ 1,806.86

SECTION A(3) — CONTRIBUTIONS AND DONATIONS IN KIND (If value is in excess of \$100 the date, contributor, address and occupation are required) (Each such donation shall be listed as an expenditure at the same fair market value.)

DESCRIPTION

VALUE

(If more space is needed, attach additional sheets)

TOTAL VALUE-CONTRIBUTIONS IN KIND

\$ _____

SECTION A(4) — FUND RAISING EVENTS

Give date, sponsor, type of event, place, tickets sold, total receipts. (Ticket sales in excess of \$100 to an individual or organization are required to be reported under Section A(1). (List expenditures on page 3).

1. _____

2. _____

(If more space is needed, attach additional sheets)

TOTAL RECEIPTS-FUND RAISING EVENTS \$ _____

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES IN EXCESS OF \$50 \$**SECTION B(2) — EXPENDITURES \$50 OR UNDER**

SEE ATTACHED SHEET

Date _____

Purpose of Expenditure

Amount

2

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES \$50 OR UNDER \$.

SUMMARY OF REPORTS

Name of Committee KY. KENTUCKY ELECTION AFFAIRS COUNCIL

Report covering period from May 1, 1975

thru June 30, 1975

SECTION A — RECEIPTS

1. Itemized receipts in excess of \$100 (A-1, page 1)
2. Unitemized contributions \$100 or less (A-2, page 2)
3. Contributions and donations in kind-value (A-3, page 2)
4. Fund raising events (A-4, page 2)

TOTAL RECEIPTS

Column A —
This Period

Column B —
Total for Reporting Period

\$ 1,806.86	\$ 9,520.70
\$ 1,806.86	\$ 9,520.70
\$ 1,806.86	\$ 9,520.70
\$ 1,806.86	\$ 9,520.70
\$ 1,806.86	\$ 9,520.70
\$ 1,806.86	\$ 9,520.70
\$ 1,806.86	\$ 9,520.70
\$ 1,806.86	\$ 9,520.70
\$ 1,806.86	\$ 9,520.70
\$ 1,806.86	\$ 9,520.70

SECTION B — EXPENDITURES (From page 3):

1. Itemized expenditures over \$50 (B-1, page 3)
2. Expenditures \$50 or less (B-2, page 3)

TOTAL EXPENDITURES

\$ 1,272.13	\$ 12,267.17
\$ 528.47	\$ 1,353.93
\$ 1,800.60	\$ 13,621.10
\$ 1,800.60	\$ 13,621.10
\$ 1,800.60	\$ 13,621.10
\$ 1,800.60	\$ 13,621.10
\$ 1,800.60	\$ 13,621.10
\$ 1,800.60	\$ 13,621.10
\$ 1,800.60	\$ 13,621.10
\$ 1,800.60	\$ 13,621.10

SECTION C — CASH BALANCES:

Cash on hand at beginning of reporting period

Add total receipts (Section A above)

SUBTOTAL

Subtract total expenditures (Section B above)

Cash on hand at close of reporting period

Debts at close of reporting period (Attach page describing debts)

\$ 18,634.35
\$ 1,806.86
\$ 20,441.21
\$ 1,800.60
\$ 18,640.61
\$ 0

List banks, with addresses, in which the Committee deposits funds, rents safety deposit boxes, or maintains funds.
Citizens Fidelity Bank & Trust Co. - Midtown - 250 E. Liberty, Louisville

Custodian of books and accounts if different than treasurer. Give full name, address and telephone number.

VERIFICATION BY OATH OR AFFIRMATION

I, LYNDLE BARNES, state that this Report of Receipts and Expenditures is true, complete and correct.

STATE OF Kentucky
COUNTY OF Jefferson } SS

(Signature of Committee Treasurer or Assistant Treasurer)

Subscribed and sworn to before me by Lyndle Barnes this 23rd day of June, 19 75

My Commission Expires _____

My commission expires Sept. 7, 1975

NOTARY PUBLIC, _____ COUNTY, KY.

RETURN COMPLETED REPORT AND ATTACHMENTS TO:
Kentucky Registry of Election Finance
310 W. Liberty Street, Room 714
Louisville, Kentucky 40202

EXPENDITURES - OVER \$50

<u>Date</u>	<u>To Whom Made</u>	<u>Occupation</u>	<u>Address</u>	<u>Purpose</u>	<u>Amount</u>
5/13/75	Pat McGlister (Trigg Co. PAC)	Candidate	Pembroke, Ky.	Contribution	\$100.00
5/16/75	Al Bennett (Jeff.-PAC)	Candidate	3518 Stanton Ln. Louisville	Contribution	200.00
5/16/75	Bill Quinlan (Jeff.-PAC)	Candidate	8214 Seaforth Louisville	Contribution	100.00
5/15/75	Belinda Forrest (Warren Co. PAC)	Educator	Media Ave., Bowling Green	Postage	70.00
5/21/75	Preston Young (Jeff.-PAC)	Educator	7412 Riden Louisville	Poll workers	257.35
5/22/75	Kentuckians for Alleyne - Educators (Madison Co. PAC) For Candidate		P. O. Box 572 Georgetown, Ky.	Contributions	215.00
5/28/75	Ky. Ed. Association	Educators	101 West Walnut Louisville	Xerox & postage	144.58
6/20/75	Richmond Daily Register News (Madison Co. PAC)	News	Richmond, Ky.	Ad for Alleyne	115.20
6/20/75	PostMaster	Mail	Post Office Louisville	Box rental	70.00
					\$1,272.13

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EXPENDITURES \$50 OR UNDER

<u>Date</u>	<u>Purpose of Expenditure</u>	<u>Amount</u>
5/13/75	Post office trips	\$ 8.10
5/13/75	Contribution George Harris - Livingston Co. PAC	50.00
5/13/75	Telegram	6.15
5/13/75	Edward Overby - contribution - Trigg Co. PAC	50.00
5/13/75	Ramsey Morris - contribution - Trigg Co. PAC	50.00
5/15/75	Jerry Alleyne - contribution - Russell Co. PAC	50.00
5/16/75	Dick Chandler - contribution - Jeff-PAC	50.00
5/19/75	Postage - registered mail	11.70
5/19/75	State Committee meeting	35.15
5/21/75	Fulton City PAC - meeting	6.80
5/22/75	Tickets - Richard Skaggs - for Sullivan barbeque	20.00
5/23/75	Stamps - Madison Co. PAC	3.70
5/23/75	Reception refreshments - Madison Co. PAC	20.00
5/23/75	Reception expenses - Madison Co. PAC	12.67
5/28/75	Postage - Pulaski Co. PAC	34.88
5/28/75	Meade Co. PAC mailing	12.50
5/30/75	Postage - Paducah PAC	35.00
6/5/75	Newspaper ad - Fulton Co. PAC	15.02
6/20/75	Madison Co. PAC - newspaper ad for candidate	46.80
6/20/75	D & J Photography	10.00
		<hr/> \$528.47

0040305266

Kentucky Senators Public Affairs Council is supporting the following candidates:
(Contributions to Candidates)

Name	Party	
Edward Overmyer Route 7, 300 Camelot Dr. Murray, Ky. 42071	Democratic	5th Rep. District
Ramsey Morris Route 2 Hopkinsville, Ky. 42240	Democratic	8th Rep. District
George Harris Box 168 Salem, Ky. 42078	Democratic	4th Rep. District
Jerry Alleyne P. O. Box 692 Frankfort, Ky. 40601	Democratic	State Supt. of Public Instruc.
Dick Chandler 1471 Bluegrass Ave. Louisville, Ky. 40215	Democratic	38th Rep. Dist.
Pat McGuiston Pembroke, Ky.	Democratic	3rd Senatorial District
Al Bennett 3518 Blanton Lane Louisville, Ky. 40214	Democratic	29th Rep. District
Bill Quinlan 8214 Seaforth Dr. Louisville, Ky. 40258	Democratic	7th Senatorial District

00040005267

Kentucky Senators Public Schools and the following candidates:

(Contributions to candidates)

Name	Party	Office
Granville Littrell Route 1 Albany, Kentucky (Clinton Co.)	Republican	53rd Rep. district
Joe McHride Route 1 Waverly, Kentucky (Union Co.)	Democratic	7th Rep. district
Fred Morgan 2057 Broad St. Paducah, Kentucky (McCracken Co.)	Democratic	3rd Rep. district
Jerry Alleyne P. O. Box 692 Frankfort, Kentucky	Democratic	Supt. of Public Instruction
George Harris Box 168 Salem, Kentucky	Democratic	5th Rep. district
Julian Carroll P. O. Box 1975 Frankfort, Kentucky	Democratic	Governor
William Sullivan 178 Versailles Rd. Frankfort, Kentucky	Democratic	Lt. Governor
Tom Ward P. O. Box 99 Lexington, Kentucky	Democratic	Lt. Governor
Jimmy Don Robinson 847 Robin Road Lexington, Kentucky	Democratic	Attorney General
Sam B. Thomas Country Club Dr. Lebanon, Kentucky	Democratic	24th Rep. District

00040205268

KENTUCKY REGISTRY OF ELECTION FINANCE
215 West Liberty, Room 714, Louisville, Kentucky 40202
Telephone (502) 584-5281



REPORT OF RECEIPTS AND EXPENDITURES
FOR A
CAMPAIGN COMMITTEE OR POLITICAL ACTION COMMITTEE

Name of Committee KY. EDUCATORS PUBLIC AFFAIRS COUNCIL

Address P. O. BOX 1089 LOUISVILLE JEFFERSON 40201
(Number and Street or Rural Route) (City) (County) (Zip Code)

Telephone 582-2273

Supporting SEE ATTACHED SHEET Political Party _____

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$100 must include date, name of contributor, occupation, address and amount. Sales of tickets to any individual, organization or association exceeding \$100 shall be reported, including the date of purchase, the name, address and occupation of the purchaser and the amount. All expenditures authorized, incurred or made should be reported. Expenditures over \$50 must include date, name and address of each person to whom made, purpose and amount. This report is due 32 days and 12 days before an election and within 30 days after an election. "Election" means any primary, special or general election.

TYPE OF REPORT
(Check Appropriate Box)

- | | |
|--|--|
| <input type="checkbox"/> 32 day Pre-Primary Report | <input checked="" type="checkbox"/> 32 day Pre-General Election Report |
| <input type="checkbox"/> 12 day Pre-Primary Report | <input type="checkbox"/> 12 day Pre-General Election Report |
| <input type="checkbox"/> Post-Primary Report | <input type="checkbox"/> Post-General Election Report |
| <input type="checkbox"/> Supplemental Report | <input type="checkbox"/> Termination Report |

Report for the Period From June 23, 1975 Thru September 29, 1975

SECTION A(1) — ITEMIZED RECEIPTS AND CONTRIBUTIONS IN EXCESS OF \$100
(Indicate if ticket purchase, loan, transfer or refund)

Date (month, day, year)	Full Name, Mailing Address, Zip Code and Occupation	Received This Period	Total Received This Election

(If more space is needed, attach additional sheets)

TOTAL ITEMIZED RECEIPTS IN EXCESS OF \$100 THIS PERIOD \$ _____

55

Name of Committee ST. EDWARDS FIDELITY AFFAIRS COUNCIL

SECTION A(3) — CONTRIBUTIONS OF \$100 OR LESS (UNIVERSITY)

Number of contributors 2,725

TOTAL CONTRIBUTIONS OF \$100 OR LESS \$ 3,346.05

SECTION A(3) — CONTRIBUTIONS AND DONATIONS IN KIND (If value is in excess of \$100 the date, contributor, address and occupation are required) (Each such donation shall be listed as an expenditure at the same fair market value.)

DESCRIPTION

VALUE

\$ _____

\$ _____

(If more space is needed, attach additional sheets)

TOTAL VALUE CONTRIBUTIONS IN KIND \$ _____

SECTION A(4) — FUND RAISING EVENTS

Give date, sponsor, type of event, place, tickets sold, total receipts. (Ticket sales in excess of \$100 to an individual or organization are required to be reported under Section A(1). (List expenditures on page 8).

1. _____

2. _____

(If more space is needed, attach additional sheets)

TOTAL RECEIPTS-FUND RAISING EVENTS \$ _____

0040205271

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES IN EXCESS OF \$50 \$.**SECTION B(2) — EXPENDITURES \$50 OR UNDER**

SEE ATTACHED SHEET

Date	Purpose of Expenditure	Amount
------	------------------------	--------

1

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES \$50 OR UNDER \$.

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES IN EXCESS OF \$50 \$**SECTION B(2) — EXPENDITURES \$50 OR UNDER**

SEE ATTACHED SHEET

Date

Purpose of Expenditure

Amount

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES \$50 OR UNDER \$.

SUMMARY OF REPORTS

Name of Committee KY. EDUCATORS PUBLIC AFFAIRS COUNCIL

Report covering period from June 23, 1975 thru September 19, 1975

SECTION A — RECEIPTS

1. Itemized receipts in excess of \$100 (A-1, page 1)
2. Unitemized contributions \$100 or less (A-2, page 2)
3. Contributions and donations in kind-value (A-3, page 2)
4. Fund raising events (A-4, page 2)

TOTAL RECEIPTS

Column A —
This Period

Column B —
Total for Election to Date

\$ 3,346.05 ✓	\$ 3,346.05
\$ 3,346.05 ✓	\$ 3,346.05

SECTION B — EXPENDITURES (From page 3):

1. Itemized expenditures over \$50 (B-1, page 3)
2. Expenditures \$50 or less (B-2, page 3)

TOTAL EXPENDITURES

\$ 2,738.22 ✓	\$ 2,738.22
\$ 378.85 ✓	\$ 378.85
\$ 3,117.07 ✓	\$ 3,117.07

SECTION C — CASH BALANCES:

Cash on hand at beginning of reporting period
Add total receipts (Section A above)

SUBTOTAL

Subtract total expenditures (Section B above)

Cash on hand at close of reporting period

Debts at close of reporting period (Attach page describing debts)

\$ 18,640.61 ✓
\$ 3,346.05 ✓
\$ 21,986.66 ✓
\$ 3,117.07 ✓
\$ 18,869.59 ✓
\$ 0

List banks, with addresses, in which the Committee deposits funds, rents safety deposit boxes, or maintains funds.
Citizens Fidelity Bank & Trust Co. - Midtown - 250 E. Liberty

Custodian of books and accounts if different than treasurer. Give full name, address and telephone number.

VERIFICATION BY OATH OR AFFIRMATION

I, LYNDLE BARNES, state that this Report of Receipts and Expenditures is true, complete and correct.

STATE OF KENTUCKY

COUNTY OF JEFFERSON

} SS

Lyndle Barnes
(Signature of Committee Treasurer or Assistant Treasurer)

Subscribed and sworn to before me by Lyndle Barnes this 29th day of Sept, 1975.

My Commission Expires July 28, 1979

Robert J. Lane
NOTARY PUBLIC, COUNTY, KY.

RETURN COMPLETED REPORT AND ATTACHMENTS TO:

Kentucky Registry of Election Finance
310 W. Liberty Street, Room 714
Louisville, Kentucky 40202

30040205273

September 28, 1975

Expenditures over \$50.00

<u>Date</u>	<u>To Whom Made</u>	<u>Occupation</u>	<u>Address</u>	<u>Purpose</u>	<u>Amount</u>
June 30	KEA		101 W. Walnut	Xerox, postage	\$67.14
July 24	KEA		101 W. Walnut	Computer	292.25
Aug. 12	Honey Trio	Band	Louisville	Reception	150.00
Aug. 21	Richard Skaggs	Educator	Rt. 2, Utica	Meeting	73.13
Aug. 25	Joe Williams	Educator	Box 37, Stearns,	Meeting	50.40
Aug. 28	Democrats United/75	Fund-raising	Frankfort	Tickets	
				Carroll reception	600.00
Aug. 28	Harold Collins	Educator	Box 365, Hyden	Meeting	84.30
Aug. 28	Billie Jean McDade	Educator	Middlesboro	Meeting	87.29
Aug. 28	Dexter Meyer	Educator	201 Alsab Ct., Lexington, Ky.	Meeting	99.31
Aug. 29	James Sproul	Educator	Flat Lick, Ky.	Meeting	75.03
Aug. 29	Executive West	Restaurant	Louisville	Meeting	125.80
Sept. 3	Ada Rose Jobe	Educator	P. O. Box 281, Catlettsburg	Meeting	81.76
Sept. 5	Wayne Harvey	Educator	Box 85, Smithland, Ky.	Meeting	93.40
Sept. 10	KEA		101 W. Walnut	Xerox, postage	340.48
Sept. 10	KEA		101 W. Walnut	Programming	227.50
Sept. 22	Middlesboro PAC			Contribution to candidate - Denver Knuckles	219.76
Sept. 23	Ada Rose Jobe	Educator	P. O. Box 281, Catlettsburg	Meeting	70.67
					<u>\$2,738.22</u>

00040305274

EXPENDITURES \$50 OR UNDER

<u>Date</u>	<u>Purpose of Expenditure</u>	<u>Amount</u>
June 25	Registered mail	\$13.00
July 2	Labels	49.13
July 17	Postage	15.82
July 24	Service charge for cash print-out	6.64
Aug. 4	Money for NEA-PAC	2.00
Aug. 25	Meeting expense	25.00
Aug. 25	Meeting expense	36.10
Aug. 28	Xerox	16.46
Sept. 3	Meeting expense	24.72
Sept. 10	Overtime on books	10.00
Sept. 10	Post office trips	16.00
Sept. 12	Meeting expense	13.43
Sept. 18	Banquet	30.00
Sept. 19	Plate & flat	7.61
Sept. 19	Salary - office help	28.97
Sept. 22	Richard Skaggs - tickets	30.00
Sept. 29	KEA	25.00
Sept. 29	Office help	28.97
		<u>\$378.85</u>

300402052215

September 25, 1975

The Kentucky Education Public Affairs Council is supporting the following candidates:

Name	Party	
Richard Weisenberger	Democratic	1st Senatorial Dist.
Pat McCuiston	Democratic	3rd Senatorial Dist.
William Gentry	Democratic	14th Senatorial Dist.
Denver Knuckles	Republican	17th Senatorial Dist.
H. Ramsey Morris, Jr.	Democratic	8th House Dist.
James E. Bruce	Democratic	9th House Dist.
Don Blandford	Democratic	14th House Dist.
Joe McBride	Democratic	7th House Dist.
Eugene Doss	Democratic	15th House Dist.
Charles Wible	Democratic	13th House Dist.
Sam Thomas	Democratic	24th House Dist.
Terry Mann	Democratic	67th House Dist.
Wm. Donnermeyer	Democratic	68th House Dist.
Arthur Schmidt	Republican	69th House Dist.
Fred Morgan	Democratic	3rd House Dist.
Julian Carroll	Democratic	Governor

Others may be added at a later date.

KENTUCKY REGISTRY OF ELECTION FINANCE
310 West Liberty, Room 714, Louisville, Kentucky 40202
Telephone (502) 582-2277

REPORT OF RECEIPTS AND EXPENDITURES
FOR A
CAMPAIGN COMMITTEE OR POLITICAL ACTION COMMITTEE



Name of Committee KENTUCKY EDUCATORS PUBLIC AFFAIRS COUNCIL
Address P. O. BOX 1089 LOUISVILLE KY. 40201
(Number and Street or Rural Route) (City) (County) (Zip Code)
Telephone 582-2275
Supporting SEE ATTACHED SHEETS Political Party _____

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$100 must include date, name of contributor, occupation, address and amount. Sales of tickets to any individual, organization or association exceeding \$100 shall be reported, including the date of purchase, the name, address and occupation of the purchaser and the amount. All expenditures authorized, incurred or made should be reported. Expenditures over \$50 must include date, name and address of each person to whom made, purpose and amount. This report is due 32 days and 12 days before an election and within 30 days after an election. "Election" means any primary, special or general election.

TYPE OF REPORT
(Check Appropriate Box)

- | | |
|--|--|
| <input type="checkbox"/> 32 day Pre-Primary Report | <input type="checkbox"/> 32 day Pre-General Election Report |
| <input type="checkbox"/> 12 day Pre-Primary Report | <input type="checkbox"/> 12 day Pre-General Election Report |
| <input type="checkbox"/> Post-Primary Report | <input checked="" type="checkbox"/> Post-General Election Report |
| <input type="checkbox"/> Supplemental Report | <input type="checkbox"/> Termination Report |

Report for the Period From October 19, 1975 Thru November 30, 1975

SECTION A(1) — ITEMIZED RECEIPTS AND CONTRIBUTIONS IN EXCESS OF \$100
(Indicate if ticket purchase, loan, transfer or refund)

Date (month, day, year)	Full Name, Mailing Address, Zip Code and Occupation	Received This Period	Total Received This Election

(If more space is needed, attach additional sheets)

TOTAL ITEMIZED RECEIPTS IN EXCESS OF \$100 THIS PERIOD \$ _____

Ly

Name of Committee EL. BUCKNER FUND RAISING COUNCIL

SECTION A(3) — CONTRIBUTIONS OF \$100 OR LESS (UNITEMIZED)

Number of contributors 199

TOTAL CONTRIBUTIONS OF \$100 OR LESS \$ 1,363.57

SECTION A(3) — CONTRIBUTIONS AND DONATIONS IN KIND (If value is in excess of \$100 the date, contributor, address and occupation are required) (Each such donation shall be listed as an expenditure at the same fair market value.)

DESCRIPTION

VALUE

\$ _____

\$ _____

(If more space is needed, attach additional sheets)

TOTAL VALUE-CONTRIBUTIONS IN KIND

\$ _____

SECTION A(4) — FUND RAISING EVENTS

Give date, sponsor, type of event, place, tickets sold, total receipts. (Ticket sales in excess of \$100 to an individual or organization are required to be reported under Section A(1). (List expenditures on page 3).

1. _____

2. _____

(If more space is needed, attach additional sheets)

TOTAL RECEIPTS-FUND RAISING EVENTS \$ _____

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES IN EXCESS OF \$50 \$6,252.09**SECTION B(2) — EXPENDITURES \$50 OR UNDER**

SEE ATTACHED SHEET

Date _____

Purpose of Expenditure

Amount

2

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES \$50 OR UNDER \$ 1,123.12

SUMMARY OF REPORTS

Name of Committee KY. EDUCATION POLICY AFFAIRS COUNCIL

Report covering period from October 1, 1975 thru November 30, 1975

	Column A — This Period	Column B — Total for Elec- tion to Date
SECTION A — RECEIPTS		
1. Itemized receipts in excess of \$100 (A-1, page 1)	\$ 1,368.57 ✓	\$ 4,851.75 ✓
2. Unitemized contributions \$100 or less (A-2, page 2)		
3. Contributions and donations in kind-value (A-3, page 2)		
4. Fund raising events (A-4, page 2)		
TOTAL RECEIPTS	\$ 1,368.57 ✓	\$ 4,851.75 ✓
SECTION B — EXPENDITURES (From page 3):		
1. Itemized expenditures over \$50 (B-1, page 3)	\$ 6,252.09 ✓	\$ 15,902.57 ✓
2. Expenditures \$50 or less (B-2, page 3)	\$ 1,123.12 ✓	\$ 1,633.11 ✓
TOTAL EXPENDITURES	\$ 7,375.21 ✓	\$ 15,535.68 ✓
SECTION C — CASH BALANCES:		
Cash on hand at beginning of reporting period	\$ 13,963.32 ✓	
Add total receipts (Section A above)	\$ 1,368.57 ✓	
	SUBTOTAL \$ 15,331.89 ✓	
Subtract total expenditures (Section B above)	\$ 7,375.21 ✓	
Cash on hand at close of reporting period	\$ 7,956.68 ✓	
Debts at close of reporting period (Attach page describing debts)	\$ 0	

List banks, with addresses, in which the Committee deposits funds, rents safety deposit boxes, or maintains funds.
Citizens Fidelity - Midtown office - 250 E. Liberty

Custodian of books and accounts if different than treasurer. Give full name, address and telephone number

VERIFICATION BY OATH OR AFFIRMATION

I, LYNDLE BARNES, state that this Report of Receipts and Expenditures is true, complete and correct.

STATE OF KENTUCKY
COUNTY OF JEFFERSON } SS

Lyndle Barnes
(Signature of Committee Treasurer or Assistant Treasurer)

Subscribed and sworn to before me by _____ this 3rd day of Dec., 1975

My Commission expires Jan. 5, 1977

My Commission Expires _____

Susan Gledhill
NOTARY PUBLIC Atty at Law COUNTY, KY.

RETURN COMPLETED REPORT AND ATTACHMENTS TO:
Kentucky Registry of Election Finance
310 W. Liberty Street, Room 714
Louisville, Kentucky 40202

KENTUCKY EDUCATORS PUBLIC AFFAIRS COUNCIL

December 4, 1975

EXPENDITURES OVER \$50.00

DATE	TO WHOM MADE	OCCUPATION	ADDRESS	PURPOSE	AMOUNT
10/20/75	Preston Young	Educator	7412 Ridan Way Louisville, Ky.	Meeting exp.	\$80.00
10/21/75	Newcom Printing	Printers	Elizabethtown	Stationery (Bullitt Co. PAC)	51.10
10/21/75	Julian Carroll	Governor	Frankfort	Contribution	1,256.31
10/22/75	Richard Chandler	Legislator	Louisville	Contribution (Jeff-PAC & KEPAC)	250.00
10/22/75	Jimmy White	Legislator	Barbourville	Contribution	100.00
10/22/75	Mark O'Brien	Legislator	Louisville	Contribution (Jeff-PAC)	100.00
10/24/75	Joe Wright	Legislator	Harned	Contribution	100.00
10/24/75	Dan Moore	Candidate	Lexington	Contribution	100.00
10/24/75	Don Stephens	Legislator	Lexington	Contribution	100.00
10/24/75	Phil Webb (Kenton)	Candidate	Morning View	Contribution	200.00
10/24/75	Denver Knuckles	Candidate	Middlesboro	Contribution	100.00
10/24/75	KEA News	Newspaper	Louisville	Ad	384.16
10/24/75	Steve Hankins	Educator	Warsaw	Gallatin PAC (poll exp.)	100.00
10/29/75	Internal Revenue	Tax collec.	Memphis	Income tax	158.41
11/3/75	Preston Young	Educator	Louisville	Precinct workers (Jeff-PAC)	180.00
11/3/75	Eugene Doss	Legislator	Central City	Contribution (Central City PAC)	100.00
11/3/75	Eugene Doss	Legislator	Central	Contribution (Muhlenberg Co. PAC)	400.00
11/6/75	Mike Moloney	Legislator	Lexington	Contribution	100.00
11/11/75	Louis DeFalaise	Legislator	Ft. Mitchell	Contribution (Kenton Co. PAC)	75.00
11/11/75	Gary Edmondson	Candidate	Ft. Wright	Contribution (Kenton Co. PAC)	75.00
11/12/75	Dan Moore	Candidate	Lexington	Contribution (FAC-PAC & KEPAC)	600.00
11/12/75	Mike Moloney	Legislator	Lexington	Contribution (FAC-PAC)	100.00
11/12/75	Don Stephens	Legislator	Lexington	Contribution (FAC-PAC)	100.00
11/12/75	Steve Beshear	Legislator	Lexington	Contribution (FAC-PAC)	200.00
11/14/75	KEA	Educators	101 W. Walnut	List of members	82.49
11/21/75	Sam Thomas	Legislator	Lebanon	Contribution (Marion Co. PAC)	150.00
11/24/75	Hayes, Foster & Utley	Insurance	Louisville	Fidelity bond	51.50

STATE OF OHIO PUBLIC AFFAIRS DIVISION

December 4, 1975

EXPENSES UNDER PAC

<u>DATE</u>	<u>TO WHOM PAID</u>	<u>OCCUPATION</u>	<u>ADDRESS</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
11/24/75	Eugene Doas	Legislator	Central City Contribution		\$100.00
11/24/75	Shelby Pennington (Corbin PAC)	Educator	Corbin	Ads, travel	222.79
11/25/75	Bakew & Gresham	Auditors	Louisville	Audit	450.00
11/25/75	Central Printing	Printers	Louisville	Stationery	185.33
				Total	\$6,252.09

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William Carroll

SENATE

Legislative District

Name

1	Richard Weisenberger
3	Pat McQuiston
5	Joe Wright
7	W. L. Quinlan
9	Walter Baker
11	Donald L. Johnson
13	Michael R. Moloney
15	
17	Denver C. Knuckles
19	Tom Mobley
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23	Gus Sheehan, Jr.
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33	Georgia Davis Powers
35	David K. Karem
37	A. D. (Danny) Yocom

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KENTUCKY EDUCATION POLICY COUNCIL

December 2, 1975

EXPENDITURES \$50.00 OR UNDER

Date	Purpose of Expenditure	Amount
10/20/75	Comm. meeting expense	\$32.75
10/20/75	Plates and flats	21.83
10/20/75	Comm. meeting	20.65
10/21/75	Stamps and tape	13.41
10/21/75	Travel	37.60
10/22/75	Stamps	10.00
10/22/75	Contribution - William Quinlan	50.00
10/22/75	Contribution - Georgia Davis Powers	50.00
10/22/75	Contribution - Danny Yocom	50.00
10/22/75	Contribution - Bob Benson	50.00
10/22/75	Contribution - Richard Chandler	50.00
10/22/75	Contribution - James Yates	50.00
10/22/75	Contribution - James Dunn	50.00
10/22/75	Contribution - Thomas Burch	50.00
10/24/75	Salary	28.97
10/24/75	Rally expense	46.10
10/24/75	Xerox and postage	18.72
10/29/75	Income tax	31.93
10/29/75	Rally expense	37.38
10/29/75	Meeting expense	21.80
10/31/75	Salary	28.97
10/31/75	City tax	2.76
11/3/75	Workshop	36.00
11/3/75	Cards	8.75
11/3/75	Reception	13.75
11/3/75	F.I.C.A. taxes	14.74
11/7/75	Salary	28.97
11/11/75	Ad	15.00
11/12/75	Travel	9.60
11/14/75	Salary	28.97
11/14/75	Meeting expense	35.00
11/14/75	Reception	30.24
10/28/75	Contribution - Gerta Bendl	50.00
11/20/75	Salary	28.97
11/21/75	Meeting	29.00
11/21/75	Meeting	6.09
11/21/75	Meeting	18.00
11/26/75	Salary	17.37

Total \$1,123.12

DEMOCRATIC CANDIDATES
MAY 4, 1972 ELECTION

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• Ward Burnett

• Lloyd Clapp

• Fred Morgan

J. W. Bonwright, Jr.

J. R. Gray

Joe McBride

• H. Ramsey Morris, Jr.

James E. Bruce

William T. Brinkley

Joe Head

Charles S. Wible

? • Donald J. Blandford

Eugene Doss

Bobby Richardson

Sam B. Thomas

• Archie N. Romines, Sr.

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James R. Dunn

Al Bennett

Thomas J. Birch

Mark D. O'Brien

Bob Benson

Gerta Bendl

M. J. Kleier

Richard Chandler

George N. Wright

Norbert Blume

James B. Yates

Edward L. Holloway

Thomas B. Givhan

Herman Rattliff

Joe Clarke

Legislative District

Name

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C. M. Hancock

David Gray Mason

William K. McBea

Louis DeFalaize

Gary Edmondson

Phillip G. Webb

John J. Isler

Elmer C. Dietz

Terry L. Mann

William Donnermeyer

Arthur L. Schmidt

William Gordon Kenton

Steven L. Beshear

Dan D. Moore

Don W. Stephens

William H. Demarcus

Lavey Floyd

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Jimmy White

Clay Gay

Hoover Dawahere

Sidney Adams

N. Clayton Little

Ronald R. Cyrus

Ray O. Brown

KENTUCKY REGISTRY OF ELECTION FINANCE
519 West Liberty, Room 714, Louisville, Kentucky 40202
Telephone (502) 584-3361



**REPORT OF RECEIPTS AND EXPENDITURES
FOR A
CAMPAIGN COMMITTEE OR POLITICAL ACTION COMMITTEE**

Name of Committee KY. EDUCATORS PUBLIC AFFAIRS COUNCIL

Address P. O. BOX 1089 LOUISVILLE JEFFERSON 40201
(Number and Street or Rural Route) (City) (County) (Zip Code)

Telephone 582-2273

Supporting SEE ATTACHED LISTS Political Party _____

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$100 must include date, name of contributor, occupation, address and amount. Sales of tickets to any individual, organization or association exceeding \$100 shall be reported, including the date of purchase, the name, address and occupation of the purchaser and the amount. All expenditures authorized, incurred or made should be reported. Expenditures over \$50 must include date, name and address of each person to whom made, purpose and amount. This report is due 32 days and 12 days before an election and within 30 days after an election. "Election" means any primary, special or general election.

TYPE OF REPORT
(Check Appropriate Box)

- | | |
|--|--|
| <input type="checkbox"/> 32 day Pre-Primary Report | <input type="checkbox"/> 32 day Pre-General Election Report |
| <input type="checkbox"/> 12 day Pre-Primary Report | <input checked="" type="checkbox"/> 12 day Pre-General Election Report |
| <input type="checkbox"/> Post-Primary Report | <input type="checkbox"/> Post-General Election Report |
| <input type="checkbox"/> Supplemental Report | <input type="checkbox"/> Termination Report |

Report for the Period From September 29, 1975 Thru October 19, 1975

SECTION A(1) — ITEMIZED RECEIPTS AND CONTRIBUTIONS IN EXCESS OF \$100
(Indicate if ticket purchase, loan, transfer or refund)

Date (month, day, year)	Full Name, Mailing Address, Zip Code and Occupation	Received This Period	Total Received This Election

(If more space is needed, attach additional sheets)

TOTAL ITEMIZED RECEIPTS IN EXCESS OF \$100 THIS PERIOD \$ _____

75

Name of Donor ED. EDUCATORS PUBLIC AFFAIRS COUNCIL

SECTION A(2) — CONTRIBUTIONS OF \$100 OR LESS (UNITARIZED)

Number of contributors 35

TOTAL CONTRIBUTIONS OF \$100 OR LESS \$137.13

SECTION A(3) — CONTRIBUTIONS AND DONATIONS IN KIND (If value is in excess of \$100 the date, contributor, address and occupation are required) (Each such donation shall be listed as an expenditure at the same fair market value.)

DESCRIPTION

VALUE

\$ _____

\$ _____

(If more space is needed, attach additional sheets)

TOTAL VALUE CONTRIBUTIONS IN KIND \$ _____

SECTION A(4) — FUND RAISING EVENTS

Give date, sponsor, type of event, place, tickets sold, total receipts. (Ticket sales in excess of \$100 to an individual or organization are required to be reported under Section A(1). (List expenditures on page 3).

1. _____

2. _____

(If more space is needed, attach additional sheets)

TOTAL RECEIPTS-FUND RAISING EVENTS \$ _____

30040205290

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A dark gray, almost black, textured background with a fine, grainy texture, resembling a close-up of a book cover or endpaper. The texture is uniform across the entire surface, with subtle variations in tone and graininess that give it a tactile appearance. There are no discernible patterns, text, or other features.

TOTAL OF EXPENDITURES IN EXCESS OF \$50 \$_____

[illegible]

TOTAL OF EXPENDITURES \$50 OR UNDER \$_____

SUMMARY OF REPORTS

Name of Committee KY. EDUCATORS PUBLIC AFFAIRS COUNCIL

Report covering period from September 29, 1975

thru October 29, 1975

SECTION A — RECEIPTS

1. Itemized receipts in excess of \$100 (A-1, page 1)
2. Unitemized contributions \$100 or less (A-2, page 2)
3. Contributions and donations in kind-value (A-3, page 2)
4. Fund raising events (A-4, page 2)

TOTAL RECEIPTS

Column A —
This Period

Column B —
Total for Elec-
tion to Date

\$	137.13 ✓	\$	3,483.18 ✓
\$	137.13	\$	3,483.18 ✓

SECTION B — EXPENDITURES (From page 3):

1. Itemized expenditures over \$50 (B-1, page 3)
2. Expenditures \$50 or less (B-2, page 3)

TOTAL EXPENDITURES

\$	4912.26 ✓	\$	7,650.48 ✓
\$	131.14 ✓	\$	509.99 ✓
\$	5043.40 ✓	\$	8,160.47 ✓

SECTION C — CASH BALANCES:

Cash on hand at beginning of reporting period

Add total receipts (Section A above)

SUBTOTAL

Subtract total expenditures (Section B above)

Cash on hand at close of reporting period

Debts at close of reporting period (Attach page describing debts)

\$	18,869.59 ✓
\$	137.13
\$	19,006.72 ✓
\$	5,043.40 ✓
\$	13,963.32 ✓
\$	0

List banks, with addresses, in which the Committee deposits funds, rents safety deposit boxes, or maintains funds.
Citizens Fidelity Bank & Trust Co. - Midtown - 250 E. Liberty

Custodian of books and accounts if different than treasurer. Give full name, address and telephone number.

VERIFICATION BY OATH OR AFFIRMATION

I, LYNDLE BARNES, state that this Report of Receipts and Expenditures is true, complete and correct.

STATE OF KENTUCKY

COUNTY OF JEFFERSON

SS

Lyndle Barnes
(Signature of Committee Treasurer or Assistant Treasurer)

Subscribed and sworn to before me by Lyndle Barnes this 22 day of October, 1975

My Commission Expires July 24, 1979

David J. Brown
NOTARY PUBLIC, Jefferson COUNTY, KY.

RETURN COMPLETED REPORT AND ATTACHMENTS TO:
Kentucky Registry of Election Finance
310 W. Liberty Street, Room 714
Louisville, Kentucky 40202

KENTUCKY EDUCATORS PUBLIC AFFAIRS COUNCIL

October 19, 1975

EXPENDITURES OVER \$50.00

<u>DATE</u>	<u>TO WHOM MADE</u>	<u>OCCUPATION</u>	<u>ADDRESS</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
10/8	Democrats United/75	Campaign	Frankfort, Ky.	Political rally	\$500.00 ^{ver}
10/8	Democrats United/75 (Jeff-PAC)	Campaign	Frankfort, Ky.	Political rally	500.00 ^{ver}
10/13	Central Printing	Printing	Louisville, Ky.	Contribution forms	519.90
10/ 10/13	Executive Inn Continental Travel	Restaurant Travel	Louisville, Ky. Lexington, Ky.	Reception-JeffPAC Flight to Washington	205.30 98.73
10/13	Dexter Meyer	Educator	Lexington, Ky.	Meeting expense	88.33
10/13	Norbert Blume	Legislator (Candidate)	Louisville, Ky.	Contribution by LOU-PAC	^{ver} 200.00
10/13	George Wright	Candidate	Louisville, Ky.	Contribution by LOU-PAC	^{ver} 200.00
10/15	Julian Carroll	Candidate	Frankfort, Ky.	Contribution by KEPAC	2,500.00
10/16	LOU-PAC	Reception for candidates	Louisville, Ky.	Reception	<u>100.00</u>
				Total	\$4,912.26

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KENTUCKY HUMANITIES PUBLIC AFFAIRS COUNCIL

October 19, 1975

EXPENDITURES \$50.00 OR UNDER

<u>Date</u>	<u>Purpose of Expenditure</u>	<u>Amount</u>
October 4	Office help	\$ 28.97
October 7	LOU-PAC - meeting expenses	14.32
October 13	Office help	28.97
October 13	Picnic	15.00
October 13	Office supplies	14.91
October 17	Office help	<u>28.97</u>
		<u>\$131.14</u>

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KIPAC RESEARCH AND ANALYSIS

SENATE - James Carroll

Legislative District

SENATE

Name

1	Richard Weisenberger
3	Pat McCulston
5	Joe Wright
7	W. L. Quinlan
9	Walter Baker
11	Donald L. Johnson
13	Michael R. Moloney
15	
17	Denver C. Knuckles
19	Tom Mobley
21	
23	Gus Sheehan, Jr.
25	
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31	
33	Georgia Davis Powers
35	David K. Karem
37	A. D. (Danny) Yocom

00040205295

HOUSE OF REPRESENTATIVES

MEMBERS

Name

1	Ward Burnette
2	Lloyd Clapp
3	Fred Morgan
4	J. W. Boatwright, Jr.
5	
6	J. R. Gray
7	Joe McBride
8	H. Ramsey Morris, Jr.
9	James E. Bruce
10	William T. Brinkley
11	
12	Joe Head
13	Charles S. Wible
14	Donald J. Blandford
15	Eugene Doss
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23	Bobby Richardson
24	Sam B. Thomas
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27	Archie N. Romines, Sr.

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Al Bennett
Thomas J. Burch
Mark D. O'Brien
Bob Benson
Gerta Bendl
M. J. Kleier
Richard Chandler
George N. Wright
Norbert Blume
James B. Yates
Edward L. Holloway
Thomas B. Givhan
Herman Rattliff
Joe Clarke

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C. H. Huscock

David Gray Mason

William K. McBea

Phillip G. Webb

John J. Isler

Elmer C. Dietz

Terry L. Mann

William Donnermeyer

Arthur L. Schmidt

William Gordon Kenton

Steven L. Beshear

Dan D. Moore

Don W. Stephens

William H. Demarcus

Lavey Floyd

85
86 Jimmy White

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90 Clay Gay

91 Hoover Dawahare

92 Sidney Adams

93 N. Clayton Little

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98 Ronald R. Cyrus

99 Ray O. Brown

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**REPORT OF RECEIPTS AND EXPENDITURES
FOR A
CAMPAIGN COMMITTEE OR POLITICAL ACTION COMMITTEE**

Name of Committee KENTUCKY EDUCATORS PUBLIC AFFAIRS COUNCIL

Address P. O. BOX 1089, LOUISVILLE, KENTUCKY 40201
(Number and Street or Rural Route) (City) (County) (Zip Code)

Telephone 582-2273

Supporting None at the present time Political Party _____

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$100 must include date, name of contributor, occupation, address and amount. Sales of tickets to any individual, organization or association exceeding \$100 shall be reported, including the date of purchase, the name, address and occupation of the purchaser and the amount. All expenditures authorized, incurred or made should be reported. Expenditures over \$50 must include date, name and address of each person to whom made, purpose and amount. This report is due 32 days and 12 days before an election and within 30 days after an election. "Election" means any primary, special or general election.

TYPE OF REPORT
(Check Appropriate Box)

☐ 32 day Pre-Primary Report

☐ 32 day Pre-General Election Report

☐ 12 day Pre-Primary Report

☐ 12 day Pre-General Election Report

☐ Post-Primary Report

☐ Post-General Election Report

☒ Supplemental Report #1

☐ Termination Report

Report for the Period From November 30, 1975 Thru March 31, 1976

SECTION A(1) — ITEMIZED RECEIPTS AND CONTRIBUTIONS IN EXCESS OF \$100
(Indicate if ticket purchase, loan, transfer or refund)

Date (month, day, year)	Full Name, Mailing Address, Zip Code and Occupation	Received This Period	Total Received This Election

(If more space is needed, attach additional sheets)

TOTAL ITEMIZED RECEIPTS IN EXCESS OF \$100 THIS PERIOD \$ _____

Ly

Name of Committee Dr. Edwards Public Affairs Council

SECTION A(3) — CONTRIBUTIONS OF \$100 OR LESS (UNITED STATES)

Number of contributors 22,744

TOTAL CONTRIBUTIONS OF \$100 OR LESS \$ 52,081.42

SECTION A(3) — CONTRIBUTIONS AND DONATIONS IN KIND (If value is in excess of \$100 the date, contributor, address and occupation are required) (Each such donation shall be listed as an expenditure at the same fair market value.)

DESCRIPTION

VALUE

\$ _____

\$ _____

(If more space is needed, attach additional sheets)

TOTAL VALUE-CONTRIBUTIONS IN KIND

\$ _____

SECTION A(4) — FUND RAISING EVENTS

Give date, sponsor, type of event, place, tickets sold, total receipts. (Ticket sales in excess of \$100 to an individual or organization are required to be reported under Section A(1): (List expenditures on page 3).

1. _____

2. _____

(If more space is needed, attach additional sheets)

TOTAL RECEIPTS-FUND RAISING EVENTS \$ _____

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES IN EXCESS OF \$50 :**SECTION B(2) — EXPENDITURES \$50 OR UNDER**

SEE ATTACHED SHEETS

Date _____

Purpose of Expenditure

Amount

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES \$50 OR UNDER \$.

SUMMARY OF REPORTS

Name of Committee Kentucky Education Public Affairs Council
Report covering period from November 30, 1975 thru March 31, 1976

	Column A — This Period	Column B — Total for Election Year to Date
SECTION A — RECEIPTS		
1. Itemized receipts in excess of \$100 (A-1, page 1)	\$	\$
2. Unitemized contributions \$100 or less (A-2, page 2)	\$ 82,081.42	\$
3. Contributions and donations in kind-value (A-3, page 2)	\$	\$
4. Fund raising events (A-4, page 2)	\$	\$
TOTAL RECEIPTS	\$ 82,081.42	\$ SAME
SECTION B — EXPENDITURES (From page 3):		
1. Itemized expenditures over \$50 (B-1, page 3)	\$ 5,300.13	\$
2. Expenditures \$50 or less (B-2, page 3)	\$ 1,508.90	\$
TOTAL EXPENDITURES	\$ 6,809.03	\$ SAME
SECTION C — CASH BALANCES:		
Cash on hand at beginning of reporting period	\$ 7,956.68	
Add total receipts (Section A above)	\$ 82,081.42	
SUBTOTAL	\$ 90,038.10	
Subtract total expenditures (Section B above)	\$ 6,809.03	
Cash on hand at close of reporting period	\$ 83,229.07	
Debts at close of reporting period (Attach page describing debts)	\$ 0	

List banks, with addresses, in which the Committee deposits funds, rents safety deposit boxes, or maintains funds.
Citizens Fidelity - Midtown office - 250 E. Liberty

Custodian of books and accounts if different than treasurer. Give full name, address and telephone number.

VERIFICATION BY OATH OR AFFIRMATION

I, LYNDLE BARNES, state that this Report of Receipts and Expenditures is true, complete and correct.

STATE OF KENTUCKY } SS
COUNTY OF JEFFERSON

Lyndle Barnes
(Signature of Committee Treasurer or Assistant Treasurer)

Subscribed and sworn to before me by Lyndle Barnes this 31 day of March, 1976

My Commission Expires July 28, 1979

Barbara J. Hume
NOTARY PUBLIC, _____ COUNTY, KY.
Not at Large

RETURN COMPLETED REPORT AND ATTACHMENTS TO:
Kentucky Registry of Election Finance
310 W. Liberty Street, Room 714
Louisville, Kentucky 40202

EXPENDITURES OVER \$50.00

Date	To Whom Made	Occupation	Address	Purpose	Amount
12/4/75	Ky. Democratic Party	Political	Frankfort	10 tickets	\$1,000.00
12/5	Dexter Meyer	Educator	Lexington	Travel exp.	79.50
12/16	Bob Noe Men's Store	Clothing	Stanford	Tux rentals	63.00
12/22	Holiday Inn South	Restaurant	Elizabethtown	Dinners - Hardin Co., Elizabethtown PAC Units	50.00
12/22	Doe Run Inn	Restaurant	Brandenburg	Dinners - Meade Co. PAC	54.37
1/5/76	Central Office Supply	Office equip.	Louisville	File guides	54.00
1/12	Dexter Meyer	Educator	Lexington	Meeting with Governor	65.70
1/15	Owen Arnold	Educator	Elizabethtown	Dinners - Nelson Co. PAC	86.45
1/16	Fayette Co. Dem. Exec. Comm.	Political	Lexington	Tickets	100.00
1/15	Boone Co. Ed. Assoc.	Education	Flornice	Dinners - Boone Co. PAC	76.00
2/2	Ky. Education Assoc.	Education	Louisville	Xerox, postage	156.40
2/2	Dexter Meyer	Educator	Lexington	NEA-PAC meeting	165.45
2/2	Ronald Johnson	Educator	Hopkinsville	Legislators' meeting - PAC Christian Co.	58.75
2/2	James Sproul	Educator	Flat Lick	Meeting exp.	87.90
2/4	Lincoln Club of Ky.	Political	Louisville	Dinner tickets	125.00
2/2	Joe Williams	Educator	Stearns	Meeting exp.	88.90
2/2	Agnes Sublette	Educator	Hickman	Meeting exp.	103.40
2/9	Lincoln Club of Ky.	Political	Louisville	Dinner tickets - Jeff-PAC	125.00
2/9	Lincoln Club of Ky.	Political	Louisville	Dinner tickets	125.00
2/9	Dexter Meyer	Educator	Lexington	Meeting exp.	58.05
2/9	Carolyn May	Educator	Bowling Green	Meeting exp.	50.70
2/9	Billie Jean McDade	Educator	Middlesboro	Meeting exp.	115.40
2/9	Ada Jobe	Educator	Cattlettsburg	Meeting exp.	95.90
2/10	Lincoln Club of Ky.	Political	Louisville	Dinner tickets - Jeff-PAC	125.00
2/16	Billie Jean McDade	Educator	Middlesboro	Meeting exp.	86.15
3/1	Martha Curtis	Educator	Beaver Dam	Meeting exp.	50.40
3/1	Ronnie Calhoun	Educator	Grand Rivers	Legis. contact - Livingston Co.	100.00
3/1	Don Mabry	Educator	Paducah	Lobbying - McCracken PAC	155.00
3/1	Mary DeBow	Educator	Hopkinsville	Lobbying - Christian PAC	62.30
3/8	R. W. Oliver, Sr.	Educator	Princeton	Lobbying - Caldwell Co. PAC	68.70

3004005304

LEXINGTON HENDERSON PUBLIC AFFAIRS COUNCIL

EXPENDITURES OVER \$50.00

<u>Date</u>	<u>To Whom Made</u>	<u>Occupation</u>	<u>Address</u>	<u>Purpose</u>	<u>Amount</u>
3/8/76	Ann Hudgions	Educator	Henderson	Lobbying - Henderson CO. PAC	\$347.68
3/8	Ann Hudgions	Educator	Henderson	Lobbying - Henderson Co. PAC	140.01
3/22	Richard Skaggs	Educator	Utica	Meeting exp.	195.43
3/22	Joanne Harvey	Educator	Cadiz	Lobbying exp. - Trigg Co. PAC	52.43
3/22	Shelton Bowen	Educator	Cadiz	Lobbying exp. - Trigg Co. PAC	57.30
3/22	Meade Co. Board of Education	Educators	Brandenburg	Lobbying exp. - Meade Co. PAC	132.77
3/22	Ann Hudgions	Educator	Henderson	Dinners - Henderson Co. PAC	56.29
3/23	Delta Airlines	Flying	Atlanta	Ticket - NEA-PAC meeting	189.48
3/24	Boone Co. Educa. Association	Educators	Villa Hills	Lobbying - Boone Co. PAC	70.98
3/24	Don Mabry	Educator	Paducah	Lobbying - McCracken PAC	71.11
3/24	Joe Williams	Educator	Stearns	Meeting exp.	66.86
3/26	Dexter Meyer	Educator	Lexington	Meeting exp.	286.80
				Total	\$5,300.13

30040205

March 31, 1976

KENTUCKY EDUCATION POLICY ADVISORY COUNCIL

EXPENDITURES \$50.00 OR UNDER

Date	Purpose of Expenditure	Amount
12/4/75	Stamps	\$ 2.50
12/5	Salary	28.97
12/5	Dinner - Fulton City PAC	18.42
12/5	Office supplies	10.63
12/5	Meeting - Ashland PAC	15.60
12/10	Flowers for reception - Jeff-PAC	42.00
12/10	Dinner - Fulton Co. PAC	22.00
12/10	Salary	28.97
12/19	Salary	28.97
12/10	Trips to post office	16.00
12/18	Meeting expense - Hardin Co., Elizabethtown, LaRue Co. PACS	44.19
12/22	Dinner - Jessamine Co. PAC	22.79
1/5/76	Supplies - Murray PAC	5.80
1/9	Salary	28.97
1/16	Salary	28.97
1/16	Stamps - Bullitt Co. PAC	2.40
1/23	Salary	28.97
1/9	Tax - Sinking Fund	6.63
1/19	Tax - Internal Revenue	35.38
1/26	Dinner - Fulton City PAC	19.68
1/26	Luncheon - Ashland PAC	18.85
1/26	Contact team - Fulton Co. PAC	30.50
1/30	Salary	28.97
2/2	Meeting expenses	50.00
2/2	Meeting expense	49.76
2/2	Meeting expense	49.35
2/2	Meeting expense	47.41
2/2	Meeting expense	31.12
2/2	Lunches	23.89
2/9	Salary	28.97
2/9	Dinner tickets	25.00
2/16	Salary	28.97
2/12	Meeting expense	21.65
2/20	Salary	28.97
2/23	Dinner - Boyd Co. PAC	24.15
2/27	Salary	28.97
3/1	Meeting expense	19.32
3/5	Salary	28.97
3/8	Meeting expense - Christian Co. PAC	30.81
3/8	Lobbying - Jessamine Co. PAC	45.50
3/8	Trips to post office	8.00
3/12	Salary	28.97
3/8	Stamps - Bullitt Co. PAC	13.00
3/15	Lobbying - Grayson Co. PAC	33.42
3/15	Lobbying - Paducah PAC	23.00
3/15	Lobbying - Paducah PAC	41.00
3/15	Lobbying - Paducah PAC	26.00

00040005306

<u>Date</u>	<u>Purpose of Expenditure</u>	<u>Amount</u>
5/36	Meeting expense	\$ 28.56
5/8	Salary	28.97
5/19	Meeting expense	50.00
5/22	Office supplies	14.02
3/22	Lobbying expense - Trigg Co. PAC	47.52
3/22	Lobbying expense - Meade Co. PAC	25.20
3/24	Lobbying expense - Fulton City PAC	20.00
3/26	Lobbying expense - Christian Co. PAC	13.30
3/26	Salary	28.97
	Total	\$1,508.90

80040205307

KENTUCKY DEPARTMENT OF ELECTION FINANCE
319 West Liberty Room 714, Louisville, Kentucky 40202
Telephone (502) 582-4300

**REPORT OF RECEIPTS AND EXPENDITURES
FOR A
CAMPAIGN COMMITTEE OR POLITICAL ACTION COMMITTEE**



Name of Committee KENTUCKY EDUCATORS PUBLIC AFFAIRS COUNCIL
Address P. O. BOX 1089 LOUISVILLE, KENTUCKY 40201
(Number and Street or Rural Route) (City) (County) (Zip Code)
Telephone 582-2273
Supporting NONE AT PRESENT TIME Political Party _____

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$100 must include date, name of contributor, occupation, address and amount. Sales of tickets to any individual, organization or association exceeding \$100 shall be reported, including the date of purchase, the name, address and occupation of the purchaser and the amount. All expenditures authorized, incurred or made should be reported. Expenditures over \$50 must include date, name and address of each person to whom made, purpose and amount. This report is due 32 days and 12 days before an election and within 30 days after an election. "Election" means any primary, special or general election.

TYPE OF REPORT
(Check Appropriate Box)

- | | |
|---|---|
| <input type="checkbox"/> 32 day Pre-Primary Report | <input type="checkbox"/> 32 day Pre-General Election Report |
| <input type="checkbox"/> 12 day Pre-Primary Report | <input type="checkbox"/> 12 day Pre-General Election Report |
| <input type="checkbox"/> Post-Primary Report | <input type="checkbox"/> Post-General Election Report |
| <input checked="" type="checkbox"/> Supplemental Report Quarterly | <input type="checkbox"/> Termination Report |

Report for the Period From March 31, 1976 Thru June 28, 1976

SECTION A(1) — ITEMIZED RECEIPTS AND CONTRIBUTIONS IN EXCESS OF \$100
(Indicate if ticket purchase, loan, transfer or refund)

Date (month, day, year)	Full Name, Mailing Address, Zip Code and Occupation	Received This Period	Total Received This Election

(If more space is needed, attach additional sheets)

TOTAL ITEMIZED RECEIPTS IN EXCESS OF \$100 THIS PERIOD \$ _____

FLY

Name of Organization THE CHICAGO PUBLIC SAFETY COUNCIL

SECTION A(3) — CONTRIBUTIONS OF \$100 OR LESS (CONTINUED)

Number of contributors SEVERAL 22,744 - most are contributing by monthly installments

TOTAL CONTRIBUTIONS OF \$100 OR LESS \$52,423.12
3 monthly installments

SECTION A(3) — CONTRIBUTIONS AND DONATIONS IN KIND (If value is in excess of \$100 the date, contributor, address and occupation are required) (Each such donation shall be listed as an expenditure at the same fair market value.)

DESCRIPTION

VALUE

\$ _____

\$ _____

(If more space is needed, attach additional sheets)

TOTAL VALUE-CONTRIBUTIONS IN KIND

\$ _____

SECTION A(4) — FUND RAISING EVENTS

Give date, sponsor, type of event, place, tickets sold, total receipts. (Ticket sales in excess of \$100 to an individual or organization are required to be reported under Section A(1). (List expenditures on page 8).

1. _____

2. _____

(If more space is needed, attach additional sheets)

TOTAL RECEIPTS-FUND RAISING EVENTS \$ _____

00040205309

TOTAL OF EXPENDITURES IN EXCESS OF \$50 \$ \$7,135.58

**SEE ATTACHED
SHEETS**

Amount

TOTAL OF EXPENDITURES \$50 OR UNDER \$ 1,777.59

SUMMARY OF REPORTS

Name of Committee KY. EDUCATION PUBLIC AFFAIRS COUNCIL

Report covering period from March 21, 1976

thru June 28, 1976

SECTION A — RECEIPTS

1. Itemized receipts in excess of \$100 (A-1, page 1)
2. Unitemized contributions \$100 or less (A-2, page 2)
3. Contributions and donations in kind-values (A-3, page 2)
4. Fund raising events (A-4, page 2)

TOTAL RECEIPTS

Column A —
This Period

Column B —
Total for Elec-
tion to Date

\$ 57,423.12
\$ 57,423.12

SAME

SECTION B — EXPENDITURES (From page 3):

1. Itemized expenditures over \$50 (B-1, page 3)
2. Expenditures \$50 or less (B-2, page 3)

TOTAL EXPENDITURES

\$ 7,135.58
\$ 1,777.59
\$ 8,913.17

SAME

SECTION C — CASH BALANCES:

Cash on hand at beginning of reporting period
Add total receipts (Section A above)

SUBTOTAL

\$ 83,229.07
\$ 57,423.12
\$ 140,652.19

Subtract total expenditures (Section B above)

Cash on hand at close of reporting period

Debts at close of reporting period (Attach page describing debts)

\$ 8,913.17
\$ 131,739.02
\$ -0-

List banks, with addresses, in which the Committee deposits funds, rents safety deposit boxes, or maintains funds.
Citizens Fidelity - Niddown office - 250 E. Liberty.

Custodian of books and accounts if different than treasurer. Give full name, address and telephone number

VERIFICATION BY OATH OR AFFIRMATION

I, LYNDLE BARNES, state that this Report of Receipts and Expenditures is true, complete and correct.

STATE OF KENTUCKY

COUNTY OF JEFFERSON

SS

Lyndle Barnes
(Signature of Committee Treasurer or Assistant Treasurer)

Subscribed and sworn to before me by Lyndle Barnes this 28th day of June, 1976.

My Commission Expires

My commission expires Jan. 2, 1980

My commission expires Jan. 2, 1980

[Signature]
NOTARY PUBLIC, JEFFERSON COUNTY, KY.

RETURN COMPLETED REPORT AND ATTACHMENTS TO:
Kentucky Registry of Election Finance
310 W. Liberty Street, Room 714
Louisville, Kentucky 40202

EDUCATORS PUBLIC AFFAIRS COUNCIL

June 30, 1978

EXPENDITURES OVER \$50.00

<u>Date</u>	<u>To Whom Made</u>	<u>Occupation</u>	<u>Address</u>	<u>Purpose</u>	<u>AMOUNT</u>
April 5	Ky. Ed. Assoc.	Educators	101 W. Walnut	Xerox & postage	\$184.80
April 5	Paintsville Herald	Newspaper	Paintsville	Ad - Paintsv. PAC	175.00
April 5	Richard Skaggs	Educator	Utica, Ky.	Legisl dinner - McLean Co. PAC	90.00
April 5	Richard Skaggs	Educator	Utica, Ky.	Legisl. dinner - Union Co. PAC	67.50
April 5	Richard Skaggs	Educator	Utica, Ky.	Legisl. dinner - Owensboro PAC	165.00
April 9	Richard Skaggs	Educator	Utica, Ky.	Legisl. dinner - Hopkins Co. PAC	180.00
April 9	Richard Skaggs	Educator	Utica, Ky.	Legisl. dinner - Henderson PAC	105.00
April 9	Richard Skaggs	Educator	Utica, Ky.	Legisl. dinner - Daviness Co. PAC	180.00
April 9	Daviness Co. PAC	Educators	Owensboro	Supplies	100.00
April 9	Richard Skaggs	Educator	Utica, Ky.	Legisl. dinner - Henderson Co. PAC	60.00
April 9	Hugh Stone	Educator	Henderson	Lobbying - Henderson City PAC	121.71
April 12	Mary S. Ryan	Educator	Murray	Lobbying - Murray PAC	109.85
April 13	Lewis Schneider	Jewelers	Louisville	4 gavels	54.36
April 13	Richard Skaggs	Educator	Utica	Band for dinner	225.00
April 14	Tradewinds	Band	Louisville	Band for reception	275.00
April 14	Carter for Pres.	Political	Louisville	Tickets	200.00
April 21	Eastern Airlines	Air travel	Louisville	Ticket - NEA-PAC meeting	109.00
April 22	Executive Inn Rest.	Dinners	Louisville	Reception	322.14
April 22	Billie Jean McDade	Educator	Middlesboro	Comm. meeting	60.20
April 27	Ralph Estes	Educator	Waynesboro	Comm. meeting	101.65
April 27	Agnes Sublette	Educator	Hickman	Comm. meetings	233.24

000403512

EXPENDITURES \$50.00

Date	To Whom Made	Occupation	Address	Purpose	Amount
April 27	Agnes Sublette	Educator	Hickman	Meeting exp.	\$120.71
April 27	Central Printing	Printers	Louisville	Contrib. cards	86.90
April 28	Ada Jobe	Educator	Ashland	Meeting exp.	126.32
May 5	Richard Skaggs	Educator	Utica	Legisl. dinner - Christian Co. PAC	150.00
May 6	Jeanne Andriot	Educator	Louisville	Comm. expense - JEFF-PAC	94.26
May 10	Agnes Sublette	Educator	Hickman	Legisl. expense - Fulton Co. PAC	56.00
May 13	Rutland's Barbecue	Food	Hopkinsville	Legisl. dinner - Christian Co. PAC	425.75
May 14	Ohio Co. Ed. Assoc.	Educators	Hartford	Legisl. exp. Ohio Co. PAC	78.20
May 14	Phyllis Cooley	Educator	Stanford	Apprec. dinner - Lincoln Co. PAC	150.00
May 17	Executive Inn	Restaurant	Louisville	Reception - JEFF-PAC	433.05
May 17	Joe Williams	Educator	Stearns	Comm. meeting	53.59
May 17	Billie Jean McDade	Educator	Middlesboro	Comm. meeting	61.85
May 17	Harold Collins	Educator	Hyden	Comm. meeting	88.94
May 19	James Sproul	Educator	Flat Lick	Comm. meeting	81.91
May 19	Agnes Sublette	Educator	Hickman	Comm. meeting	107.62
May 21	Central Printing	Printers	Louisville	Letterheads	73.40
May 21	Johnnie Randall	Educator	Ashland	Comm. meeting	92.85
May 21	Jefferson-Jackson Day	Political	Louisville	Tickets	800.00
May 21	Jefferson-Jackson Day	Political	Louisville	Tickets - JEFF-PAC	300.00
June 7	Carter's Fried Chicken	Food	Preston Hwy.	Dinner	116.94
June 7	Billie Jean McDade	Educator	Middlesboro	Transportation - Meeting	300.00
June 9	Fine Arts Reproduc.	Supplies	Louisville	Binders - JEFF-PAC	103.95
June 9	General Printing	Printers	Louisville	Letterheads - JEFF-PAC	55.91

0040313

Date	Mr. Name	Profession	Address	Payment	Amount
June 9	James Andries	Educator	Louisville	Receipts - JEFF-PAC	<u>\$58.18</u>
				TOTAL	\$7,135.58

60040305314

EXPENDITURES \$50.00 OR UNDER

<u>Date</u>	<u>Purpose of Expenditure</u>	<u>Amount</u>
April 1	Tax	\$ 8.28
April 1	Tax	44.23
April 2	Salary	28.97
April 5	Tax referendum expense	50.00
April 5	Plates	5.40
April 5	Meeting expense	19.32
April 5	Telegram, stamps	15.63
April 5	Kay Beasley - salary	22.00
April 5	Betty Hassell - salary	24.00
April 5	Jennifer Spencer - salary	22.00
April 6	Appreciation dinner	45.00
April 7	Appreciation dinner	15.00
April 7	Meeting expense	38.00
April 9	Salary	28.97
April 9	Legislative dinner	25.00
April 9	Lobbying	49.63
April 9	Meeting	14.00
April 9	Telegrams	8.40
April 9	Meeting	30.00
April 12	Letterheads	31.90
April 12	Letterheads	35.00
April 12	Adding machine ribbon	3.65
April 12	Meeting	11.95
April 12	Meeting	10.50
April 19	Meeting	30.00
April 19	Meeting	30.00
April 19	Meeting	30.00
April 19	Meeting	30.00
April 19	Meeting	8.38
April 19	Salary	21.74
April 20	Room deposit	28.00
April 22	Reception	29.17
April 22	Stamps	5.20
April 22	Mileage	3.64
April 23	Salary	28.97
April 27	Meeting	49.70
April 27	Travel expense	50.00
April 27	Meeting expense	41.61
April 27	Meeting expense	23.80
April 27	Meeting expense	28.83
April 27	Telegrams	17.23
April 27	Dinner expense	10.00
April 30	Salary	28.97
May 6	Travel expense	50.00
May 6	Post office	8.00
May 7	Salary	28.97
May 14	Phone calls	20.57
May 14	Salary	28.97
May 17	Announcements	23.10
May 17	Meeting expense	35.30

00040005315

EXPENDITURES

<u>Date</u>	<u>Purpose of Expenditure</u>	<u>Amount</u>
May 17	Meeting expense	\$42.10
May 17	Meeting expense	17.88
May 17	Meeting expense	42.68
May 19	Meeting expense	25.62
May 19	Telegrams	4.20
May 21	Labels	21.63
May 21	Meeting expense	34.83
May 21	Salary	28.97
May 27	Meeting expense	7.24
May 28	Salary	28.97
June 7	Plates	21.63
June 7	Salary	28.97
June 9	Reception	17.50
June 9	Flowers	13.13
June 9	Committee expenses	19.04
June 14	Salary	28.97
June 21	Salary	28.97
June 10	NEA-PAC expense	28.00
June 14	Post office box	35.00
June 24	Telegrams	9.90
June 28	Binders	3.34
	Total	\$1,777.59

00040305316



KENTUCKY DEPARTMENT OF ELECTIONS FINANCE
218 West Liberty, Room 714, Louisville, Kentucky 40202
Telephone (502) 582-4222

1520 Louisville Rd.
Frankfort, Ky. 40601

**REPORT OF RECEIPTS AND EXPENDITURES
FOR A
CAMPAIGN COMMITTEE OR POLITICAL ACTION COMMITTEE**

Name of Committee KY. EDUCATORS PUBLIC AFFAIRS COUNCIL
Address 101 West Walnut St., Louisville, Ky. 40202
(Number and Street or Rural Route) (City) (County) (Zip Code)
Telephone 582-2273
Supporting * See attached list Political Party _____

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$100 must include date, name of contributor, occupation, address and amount. Sales of tickets to any individual, organization or association exceeding \$100 shall be reported, including the date of purchase, the name, address and occupation of the purchaser and the amount. All expenditures authorized, incurred or made should be reported. Expenditures over \$50 must include date, name and address of each person to whom made, purpose and amount. This report is due 32 days and 12 days before an election and within 30 days after an election. "Election" means any primary, special or general election.

TYPE OF REPORT
(Check Appropriate Box)

- | | |
|--|---|
| <input type="checkbox"/> 32 day Pre-Primary Report | <input type="checkbox"/> 32 day Pre-General Election Report |
| <input type="checkbox"/> 12 day Pre-Primary Report | <input type="checkbox"/> 12 day Pre-General Election Report |
| <input type="checkbox"/> Post-Primary Report | <input type="checkbox"/> Post-General Election Report |
| <input checked="" type="checkbox"/> Supplemental Report Quarterly | <input type="checkbox"/> Termination Report |

Report for the Period From June 28, 1976 Thru September 27, 1976

SECTION A(1) — ITEMIZED RECEIPTS AND CONTRIBUTIONS IN EXCESS OF \$100
(Indicate if ticket purchase, loan, transfer or refund)

Date (month, day, year)	Full Name, Mailing Address, Zip Code and Occupation	Received This Period	Total Received This Election

(If more space is needed, attach additional sheets)

TOTAL ITEMIZED RECEIPTS IN EXCESS OF \$100 THIS PERIOD \$ _____

ky

NAME OF ORGANIZATION: AL. HENNING PUBLIC AFFAIRS COUNCIL

SECTION A(2) — CONTRIBUTIONS OF \$100 OR LESS (UNRECORDED)

Number of contributors 22,425 - Most contribute by monthly installments

TOTAL CONTRIBUTIONS OF \$100 OR LESS \$ 18,812.86

SECTION A(3) — CONTRIBUTIONS AND DONATIONS IN KIND (If value is in excess of \$100 the date, contributor, address and occupation are required) (Each such donation shall be listed as an expenditure at the same fair market value.)

DESCRIPTION

VALUE

(If more space is needed, attach additional sheets)

TOTAL VALUE CONTRIBUTIONS IN KIND \$ _____

SECTION A(4) — FUND RAISING EVENTS

Give date, sponsor, type of event, place, tickets sold, total receipts. (Ticket sales in excess of \$100 to an individual or organization are required to be reported under Section A(1). (List expenditures on page 3).

1. _____

2. _____

(If more space is needed, attach additional sheets)

TOTAL RECEIPTS-FUND RAISING EVENTS \$ _____

300403518

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES IN EXCESS OF \$50 \$ 7,936.03

SECTION B(2) — EXPENDITURES \$50 OR UNDER **SEE ATTACHED LIST**

Date	Purpose of Expenditure	Amount
------	------------------------	--------

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES \$50 OR UNDER \$ 775.27

SUMMARY OF REPORTS

Name of Committee KY. HUMANITIES COUNCIL AFFAIRS COUNCIL

Report covering period from June 28, 1978 thru September 27, 1978

SECTION A — RECEIPTS

1. Itemized receipts in excess of \$100 (A-3, page 1)
2. Unitemized contributions \$100 or less (A-3, page 2)
3. Contributions and donations in kind-value (A-3, page 2)
4. Fund raising events (A-4, page 2)

TOTAL RECEIPTS

Column A —
This Period

Column B —
Total for Elec-
tion to Date

\$ 18,912.86

SAME

SECTION B — EXPENDITURES (From page 3):

1. Itemized expenditures over \$50 (B-1, page 3)
2. Expenditures \$50 or less (B-2, page 3)

TOTAL EXPENDITURES

\$ 8,711.30

SAME

SECTION C — CASH BALANCES:

Cash on hand at beginning of reporting period

Add total receipts (Section A above)

SUBTOTAL

Subtract total expenditures (Section B above)

Cash on hand at close of reporting period

Debts at close of reporting period (Attach page describing debts)

\$ 131,739.02
\$ 18,912.86
\$ 150,651.88
\$ 8,711.30
\$ 141,940.58
\$ -0-

List banks, with addresses, in which the Committee deposits funds, rents safety deposit boxes, or maintains funds.

Citizens Fidelity - Midtown office - 250 E. Liberty

Custodian of books and accounts if different than treasurer. Give full name, address and telephone number.

Citizens Fidelity - Midtown office - 250 E. Liberty

VERIFICATION BY OATH OR AFFIRMATION

I, LYNDLE BARNES, state that this Report of Receipts and Expenditures is true, complete and correct.

STATE OF KENTUCKY

COUNTY OF JEFFERSON

SS

Lyndle Barnes
(Signature of Committee Treasurer or Assistant Treasurer)

Subscribed and sworn to before me by Lyndle Barnes this 28th day of October, 1978

My Commission Expires July 28, 1979

Lauchlin J. Sumner
NOTARY PUBLIC, Jefferson COUNTY, KY.

RETURN COMPLETED REPORT AND ATTACHMENTS TO:
Kentucky Registry of Election Finance
310 W. Liberty Street, Room 714
Louisville, Kentucky 40202

September 22, 1970

* Supporting:

Carroll Hubbard	Democrat
William Hatcher	Democrat
Romano Mazzoli	Democrat
John Breckinridge	Democrat
Tim Lee Carter	Republican
Carl Perkins	Democrat

NOT ALL CANDIDATES ARE RECEIVING FINANCIAL SUPPORT

00040205321

September 27, 1976

EXPENDITURES OVER \$50.00

<u>Date</u>	<u>To Whom Made</u>	<u>Occupation</u>	<u>Address</u>	<u>Purpose</u>	<u>Amount</u>
7/6/76	Executive West Restaurant	Restaurant	Louisville	Meeting	\$246.86
7/26/76	Billie Jean McDade (So. States Workshop)	Educator	Middlesboro	Meeting	92.15
7/26/76	Ky. Education Assoc.	Educators	Louisville	Cost of Processing Contrib.	4,285.50
7/27/76	Central Printing Co.	Printers	Louisville	Printing	104.90
7/27/76	Ky. Education Assoc.	Educators	Louisville	Xerox & postage	634.72
8/26/76	Ky. Education Assoc.	Educators	Louisville	Program time & running time	377.81
8/26/76	Ky. Education Assoc.	Educators	Louisville	Labels for Jeff-PAC	52.03
? 9/7/76	James Sproul	Educator	Flat Lick	Plane fare to Washington	239.23
9/10/76	Ky. Committee of '76 Dinner	Political	Louisville	Tickets	300.00
9/10/76	Collins for Clerk Comm.	Political	Frankfort	Contrib.	100.00
9/10/76	Atkins for Auditor	Political	Frankfort	Contrib.	100.00
9/13/76	Eastern Airlines	Flying	Louisville	Ticket	122.00
9/24/76	James G. Sproul	Educator	Flat Lick	Expenses - meeting	100.83
9/24/76	Mazzoli Campaign	Political	Louisville	Reception - JEFF-PAC	80.00
9/24/76	Phyllis Wolsing	Educator	Independence	Contrib. to school board candidate - Kenton County PAC	200.00
9/24/76	Ron Mason	Educator	Covington	Contrib. to school board candidate - Kenton County PAC	200.00
9/24/76	Jon Draud	Educator	Contribution to School Bd. candidate - Kenton Co. PAC		200.00

30040205322

September 27, 1976

Expenditures Over \$50.00 (Continued)

<u>Date</u>	<u>To Whom Made</u>	<u>Occupation</u>	<u>Address</u>	<u>Purpose</u>	<u>Amount</u>
9/27/76	Erskine L. Wade	Educator	Central City	Contrib. to school board candidate - Muhlenberg Co. PAC	\$250.00
9/27/76	Richard Wood	Educator	Central City	Contrib. to school board candidate - Muhlenberg Co. PAC	250.00
				Total	\$7,936.00

60040205323

September 27, 1976

EXPENDITURES \$50.00 OR UNDER

<u>Date</u>	<u>Purpose of Expenditure</u>	<u>Amount</u>
6/28/76	Binders	\$ 3.34
6/30/76	Post office	10.00
6/30/76	Travel expenses	50.00
7/26/76	Voter lists - Muhlenberg Co. PAC	17.00
7/26/76	Gas - Muhlenberg Co. PAC	25.00
7/27/76	Tax	7.59
7/27/76	Tax	40.54
7/27/76	Ledger sheets	10.61
7/27/76	File folders	12.28
7/27/76	Expenses - travel	50.00
7/30/76	Telephone, telegrams - Henderson City PAC	21.65
7/30/76	Trips and postate - Henderson City PAC	39.00
8/2/76	Interviewing candidates - Kenton Co. PAC	38.68
8/4/76	Democratic picnic - Owensboro PAC	50.00
8/4/76	Balance on supplies	.61
8/4/76	Expenses - travel	50.00
8/9/76	Tickets to Democratic picnic - Daviess Co. PAC	50.00
8/26/76	Notebook covers - JEFF-PAC	20.16
8/26/76	Drive-in breakfasts with candidate - Bath Co. PAC	40.00
8/26/76	Supplies for JEFF-PAC	46.87
8/26/76	Jeff-PAC Committee expenses	12.96
8/26/76	Jeff-PAC Committee expenses	7.20
8/26/76	Jeff-PAC Committee expenses	8.08
9/7/76	Post office	10.00

30040205334

EXPENSES - 1976 (Continued)

8/7/76	Travel expenses	\$50.00
8/7/76	Refund of contribution	7.00
9/16/76	Telegram - Webster Co. PAC	4.60
9/22/76	Expenses - Anderson Co. PAC	50.00
9/24/76	Interviewing candidates - Kenton Co. PAC	<u>42.10</u>
	Total	\$775.27

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KENTUCKY DEPARTMENT OF ELECTION FINANCE
200 West Liberty, Room 714, Louisville, Kentucky 40202
Telephone (502) 582-2221

1520 Louisville Rd.
Frankfort, Ky.
40601

REPORT OF RECEIPTS AND EXPENDITURES
FOR A
CAMPAIGN COMMITTEE OR POLITICAL ACTION COMMITTEE

Name of Committee KENTUCKY EDUCATORS PUBLIC AFFAIRS COUNCIL
Address 101 West Walnut St., Louisville, Jefferson 40202
(Number and Street or Rural Route) (City) (County) (Zip Code)
Telephone 582-2273
Supporting None at present time Political Party

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$100 must include date, name of contributor, occupation, address and amount. Sales of tickets to any individual, organization or association exceeding \$100 shall be reported, including the date of purchase, the name, address and occupation of the purchaser and the amount. All expenditures authorized, incurred or made should be reported. Expenditures over \$50 must include date, name and address of each person to whom made, purpose and amount. This report is due 32 days and 12 days before an election and within 30 days after an election. "Election" means any primary, special or general election.

TYPE OF REPORT
(Check Appropriate Box)

- | | |
|--|---|
| <input type="checkbox"/> 32 day Pre-Primary Report | <input type="checkbox"/> 32 day Pre-General Election Report |
| <input type="checkbox"/> 12 day Pre-Primary Report | <input type="checkbox"/> 12 day Pre-General Election Report |
| <input type="checkbox"/> Post-Primary Report | <input type="checkbox"/> Post-General Election Report |
| <input checked="" type="checkbox"/> Supplemental Report #3 | <input type="checkbox"/> Termination Report |

Report for the Period From September 30, 1976 Thru December 21, 1976

SECTION A(1) — ITEMIZED RECEIPTS AND CONTRIBUTIONS IN EXCESS OF \$100
(Indicate if ticket purchase, loan, transfer or refund)

Date (month, day, year)	Full Name, Mailing Address, Zip Code and Occupation	Received This Period	Total Received This Election

(If more space is needed, attach additional sheets)

TOTAL ITEMIZED RECEIPTS IN EXCESS OF \$100 THIS PERIOD \$ _____

Ly

Name of Committee: Edmonton Public Schools Council

SECTION A(2) — CONTRIBUTIONS OF \$100 OR LESS (UNRECORDED)

Number of contributors: 21,463
(most are paid by payroll deduction)

TOTAL CONTRIBUTIONS OF \$100 OR LESS \$ 27,574.42

SECTION A(3) — CONTRIBUTIONS AND DONATIONS IN KIND (If value is in excess of \$100 the date, contributor, address and occupation are required) (Each such donation shall be listed as an expenditure at the same fair market value.)

DESCRIPTION	VALUE
_____	\$ _____
_____	\$ _____
(If more space is needed, attach additional sheets)	
TOTAL VALUE CONTRIBUTIONS IN KIND	\$ _____

SECTION A(4) — FUND RAISING EVENTS

Give date, sponsor, type of event, place, tickets sold, total receipts. (Ticket sales in excess of \$100 to an individual or organization are required to be reported under Section A(1). (List expenditures on page 3).

1. _____

2. _____

(If more space is needed, attach additional sheets)

TOTAL RECEIPTS-FUND RAISING EVENTS \$ _____

60040205327

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES IN EXCESS OF \$50 \$ 20,802.78

SECTION B(2).—EXPENDITURES \$50 OR UNDER

SEE ATTACHED SHEET

Date _____

Purpose of Expenditure

Amount

3

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES \$50 OR UNDER \$ 900.63

Summary of Reports

Name of Committee Ky. Education Public Affairs Council

Report covering period from September 30, 1976 thru December 31, 1976

SECTION A—RECEIPTS

1. Itemized receipts in excess of \$100 (A-1, page 1)
2. Unitemized contributions \$100 or less (A-2, page 2)
3. Contributions and donations in kind-values (A-3, page 2)
4. Fund raising events (A-4, page 2)

TOTAL RECEIPTS

Column A— This Period	Column B— Total for Elec- tion to Date
\$ 27,574.42	
\$ 27,574.42	

SECTION B—EXPENDITURES (From page 3):

1. Itemized expenditures over \$50 (B-1, page 3)
2. Expenditures \$50 or less (B-2, page 3)

TOTAL EXPENDITURES

\$ 20,802.78	
\$ 900.63	
\$ 21,703.41	

SECTION C—CASH BALANCES:

Cash on hand at beginning of reporting period

Add total receipts (Section A above)

SUBTOTAL

Subtract total expenditures (Section B above)

Cash on hand at close of reporting period

Debts at close of reporting period (Attach page describing debts)

\$ 141,940.58
\$ 27,574.42
\$ 169,515.00
\$ 21,703.41
\$ 147,811.59
\$ -0-

List banks, with addresses, in which the Committee deposits funds, rents safety deposit boxes, or maintains funds.
Citizens Fidelity Bank, Midtown Office, 250 E. Liberty, Louisville, Ky.

Custodian of books and accounts if different than treasurer. Give full name, address and telephone number

VERIFICATION BY OATH OR AFFIRMATION

I, LYNDLE BARNES, state that this Report of Receipts and Expenditures is true, complete and correct.

STATE OF KENTUCKY

COUNTY OF JEFFERSON

SS

Lyndle Barnes
(Signature of Committee Treasurer or Assistant Treasurer)

Subscribed and sworn to before me by Lyndle Barnes this 21st day of Dec., 1976

My Commission Expires My commission expires Jan. 8, 1980

John J. Gentry
NOTARY PUBLIC, Jefferson COUNTY, KY.

RETURN COMPLETED REPORT AND ATTACHMENTS TO:
Kentucky Registry of Election Finance
310 W. Liberty Street, Room 714
Louisville, Kentucky 40202

EXPENDITURES OVER \$50.00

Date	To Whom Made	Occupation	Address	Purpose	Amount
9/28/76	Ralph Bates	Educator	Waynesburg	Meeting expense	\$62.80
9/28/76	Cassandra Wright	Educator	Morning View	Meeting expense	88.50
9/28/76	Joe Williams	Educator	Stearns	Meeting expense	57.36
9/28/76	Wayne Harvey	Educator	Smithland	Meeting expense	76.60
9/28/76	Mark Thompson	Candidate (school bd.)	Lexington	Contribution	500.00
9/28/76	Harold Collins	Educator	Hyden	Meeting expense	61.04
10/7/76	Norma Flock	Clerical	Georgetown, Ind.	Salary	96.05
10/30/76	Alvin Seals	Candidate (school bd.)	Lexington	Contribution	500.00
10/30/76	Schuhmann's	Cameras	Louisville	Camera	218.73
10/7/76	Charles Livingston	Educator	Central City	Meeting expense (Muhlenberg Co. PAC)	51.51
10/7/76	Rhoda Ayers	Candidate (school bd.)	Newport	Contribution (Newport PAC)	225.00
10/7/76	C. R. Davis	Candidate (school bd.)	Newport	Contribution (Newport PAC)	225.00
10/7/76	Ada Jobe	Educator	Catlettsburg	Meeting Expense	104.30
10/3/76	Jack Gaither	Candidate (school bd.)	Beaver Dam	Contribution (Ohio Co. PAC)	200.00
10/7/76	Jim Scobee	Candidate (school bd.)	Beaver Dam	Contribution (Ohio Co. PAC)	200.00
10/7/76	Winterberg for Cong.	Candidate	Covington	Contribution (JEFF-PAC)	422.00
10/7/76	Mazzoli Camp. Comm.	Candidate	Louisville	Contribution (JEFF-PAC)	400.00
10/7/76	Jeanne Andriot	Educator	Louisville	Expenses	115.95
10/7/76	Central Printing	Printers	Louisville	Letterheads, etc.	189.11
10/12/76	Dean Chitwood	Candidate (school bd.)	Somerset	Contribution (Pulaski Co. PAC)	600.00
10/12/76	The Hickory Pit	Restaurant	Dawson Springs	Meeting - dinner	199.50
10/12/76	Boone Co. Ed. Assoc.	Educators (Boone Co. PAC)	Villa Hills	Expenses for school bd. races	500.00
10/12/76	Mark Thompson	Candidate (School bd.)	Lexington	Contribution	500.00
10/12/76	Judy Tipton	Candidate (School bd.)	Lexington	Contribution	200.00
10/21/76	Norma Flock	Clerical	Georgetown, Ind.	Salary	96.05
10/14/76	Eagle Printing Co.	Printers	Whitesburg	Bumper stickers (Letcher Co. PAC)	238.88
10/14/76	Jean Schweinfurth	Educator	Danville	Reception (Boyle Co. PAC)	82.74
10/14/76	Agnes Sublette	Educator	Hickman	Meeting expense	131.15
10/13/76	Alvin Seals	Candidate (school bd.)	Lexington	Contribution	500.00
10/18/76	Billie Jean McDade	Educator	Middlesboro	Meeting expense	100.65
10/18/76	Murray St. Univ.	School	Murray	Reception exp.	207.45
10/18/76	Minit Print	Printers	Louisville	Material (JEFF-PAC)	81.38
10/18/76	Howard Blanton - Lunchroom Mgr.	Cafeteria	Harned	Dinner meeting (Breckinridge Co. PAC)	194.38
10/22/76	General Printing	Printers	Louisville	Letterheads, etc. (JEFF-PAC)	189.53
10/22/76	Bobby Joe Ison	Candidate (school bd.)	Whitesburg	Contribution (Letcher Co. PAC)	200.00

<u>Date</u>	<u>To Whom Made</u>	<u>Occupation</u>	<u>Address</u>	<u>Purpose</u>	<u>Amount</u>
10/22/76	Tom Lewis	Candidate (school bd.)	Whitesburg	Contribution (Letcher Co. PAC)	\$200.00
10/22/76	Wallace Kineer	Candidate (school bd.)	Whitesburg	Contribution (Letcher Co. PAC)	200.00
10/22/76	Superior Printing	Printers	Whitesburg	Newspaper ad (Letcher Co. PAC)	144.00
10/22/76	The Mountain Eagle	Newspaper	Whitesburg	Contribution (Letcher Co. PAC)	264.60
10/22/76	Boone Co. Ed. Assoc.	Educators (school bd. races)	Villa Hills	Contributions (Boone Co. PAC)	500.00
10/22/76	Fayette Co. Ed. Assoc.	Educators	Lexington	Mailing permits	120.00
10/22/76	Edmonson Co. Teachers	Educators	Brownsville	Printing, ads (Edmonson Co. PAC)	100.00
10/22/76	Ky. Educ. Assoc.	Educators	Louisville	Xerox, postage	155.49
10/22/76	Donald Nall	Educator	Owensboro	Printing, postage (Daviess Co. PAC)	259.46
10/25/76	Rhoda Ayers	Candidate (School bd.)	Newport	Contribution (Newport PAC)	360.00
10/25/76	C. R. Davis	Candidate (school bd.)	Newport	Contribution (Newport PAC)	360.00
10/25/76	Best Western Motel	Restaurant	Shepherdsville	Dinner (Bullit Co. PAC)	140.80
10/28/76	Pat Franklin	Candidate (school bd.)	Central City	Contribution (Central City PAC)	158.30
10/28/76	Marianna Lam	Candidate (school bd.)	Central City	Contribution (Central City)	168.60
10/28/76	Mark Thompson	Candidate (school bd.)	Lexington	Contribution	150.00
10/28/76	Alvin Seals	Candidate (school bd.)	Lexington	Contribution	150.00
11/4/76	Norma Flock	Clerical	Georgetown, Ind.	Salary	96.05
10/26/76	Ron Peace	Candidate (school bd.)	Covington	Contribution (Covington PAC)	200.00
10/26/76	Edward Vonwahlde	Candidate (school bd.)	Covington	Contribution (Covington PAC)	200.00
10/29/76	Folkways Broadcasting	Radio	Whitesburg	Political announce- ments (Letcher Co. PAC)	150.00
11/3/76	Beverly Hills County Club		Beverly Hills	Workshop	76.00
11/3/76	Ky. Ed. Assoc.	Educators	Louisville	Telephone serv.	107.34
11/8/76	Royal Typewriter	Typewriters	Louisville	Typewriter	568.05
11/9/76	Carrie Slaughter	Educator	Bowling Green	Advertisements (Warren Co. PAC)	241.45
11/11/76	Mountain Eagle	Newspaper	Whitesburg	Advertisements (Letcher Co. PAC)	126.70
11/11/76	Mountain Eagle	Newspaper	Whitesburg	Advertisements (Letcher Co. PAC)	227.50
11/12/76	Citizens for Stovall	Candidate (School bd.)	Owensboro	(Daviess Co. PAC) Contribution	605.33
11/12/76	Comm. for Ellis Riddle	Candidate (School bd.)	Owensboro	Contribution (Daviess Co. PAC)	566.00
11/12/76	Riney for School Bd.	Candidate	Owensboro	Contribution (Daviess Co. PAC)	574.84
11/12/76	WPKY	Radio	Whitesburg	Political ad (Letcher Co. PAC)	62.50

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Date	To Whom Paid	Occupation	Address	Purpose	Amount
11/12/76	Superior Printing	Newspaper	Waltersburg	Political ads (Letcher Co. PAC)	\$144.00
11/15/76	Charles Livingston	Educator	Central City	Travel, etc. (Muhlenberg Co. PAC)	91.44
11/15/76	Ky. State Treasurer	State office	Frankfort	Tax	119.00
11/15/76	Internal Revenue Serv.	Federal gov.	Louisville	Tax	1,273.00
11/15/76	Richard Wood	Candidate (school bd.)	Central City	Candidate (contribution Muhlenberg Co. PAC)	500.00
11/15/76	Cumberland Club	Political	Louisville	Reception for Sen. Middleton	300.00
11/16/76	Carrie Slaughter	Educator	Bwling Green	Dinner for school bd. (Warren Co. PAC)	170.00
11/16/76	Rutland's Barbecue	Restaurant	Hopkinsville	Legislators dinner (Christian Co. PAC)	637.00
11/17/76	Norma Flock	Clerical	Georgetown, Ind.	Salary	96.05
11/18/76	News Enterprise	Newspaper	Elizabethtown	Ad for school bd. race (Elizabethtown)	288.96
11/24/76	Kustom Kwik-Print	Printing	Owensboro	Questionnaires (Owensboro PAC)	125.35
12/2/76	Norma Flock	Clerical	Georgetown, Ind.	Salary	96.05
12/8/76	Jeanne Andriot	Educator	Louisville	Expenses - JEFF- PAC	109.34
9/30/76	Citizens Fidelity	Bank	Louisville	Checks ordered	68.00
12/15/76	Internal Revenue	Government	Memphis, Tenn.	Installment on tax	340.00
12/17/76	Mountain Eagle	Newspaper	Whitesburg, Ky.	Political ad	101.50
12/17/76	Doris E. Wilson	Educator	Louisville, Ky.	Meeting expense	127.01
12/17/76	Doris E. Wilson	Educator	Louisville, Ky.	Meeting expense	191.36
12/17/76	Doris E. Wilson	Educator	Louisville, Ky.	Meeting expense	154.80
12/17/76	Eskew & Gresham	Auditor	Louisville, Ky.	Annual audit	725.00
12/20/76	Norma Flock	Clerical	Georgetown, Ind.	Salary	96.05
Total					\$20,802.78

December 31, 1976

EXPENDITURES \$50.00 OR MORE

<u>Date</u>	<u>Purpose of Expenditure</u>	<u>Amount</u>
9/28/76	Committee meeting	\$ 35.48
9/28/76	Committee meeting	5.22
9/28/76	Committee meeting	23.80
9/28/76	Campaign contribution - Mazzoli	10.00
9/28/76	Workshop expense	7.63
9/30/76	Post office	5.00
9/30/76	Travel expenses	50.00
9/30/76	Ticket	10.00
9/30/76	State Comm. meeting	38.49
10/4/76	Supplies	18.98
10/6/76	Expenses	37.49
10/11/76	Meeting expense	10.25
10/13/76	Paper and postage	13.36
10/13/76	Contribution - Democratic Party	19.76
10/18/76	Development of films	8.61
10/22/76	Voter keys	3.00
10/22/76	Gasoline for campaigning	50.00
10/22/76	Gasoline for campaigning	50.00
10/25/76	Refreshments	16.20
10/25/76	Stamps	3.00
11/4/76	Campaign contribution - Mazzoli	10.00
10/26/76	Miscellaneous expense	5.00
11/2/76	Tickets to Dem. Social	40.00
11/2/76	Supplies, etc.	22.50
11/8/76	Expenses	4.15
11/11/76	Expenses	50.00
11/11/76	Cards	22.05
11/12/76	Expenses	30.00
11/12/76	Expenses	9.00
11/12/76	Expenses	12.00
11/12/76	Expenses	12.00
11/12/76	Expenses	36.00
11/18/75	Tickets	25.00
11/18/76	Gift	5.00
11/18/76	Gift	10.00
11/24/76	Letters	2.42
11/24/76	Stamps	.65
12/7/76	Custodial services	25.15
12/7/76	Ad	42.00
12/8/76	Party expenses	15.00
12/9/76	Post Office Box rental	35.00
12/13/76	Expenses	50.00
12/17/76	Western Union Telegraph Co. - telegram	25.44

Total \$900.63 ✓

00010533

KENTUCKY REGISTRY OF ELECTION FINANCE
1520 Louisville Road, Frankfort, Kentucky 40601
Telephone (502)564-2226



REPORT OF RECEIPTS AND EXPENDITURES
FOR A
CAMPAIGN COMMITTEE OR POLITICAL ACTION COMMITTEE

Name of Committee KY. EDUCATORS PUBLIC AFFAIRS COUNCIL

Address 101 WEST WALNUT STREET, LOUISVILLE, KY. 40202
(Number and Street or Rural Route) (City) (Zip Code)

Telephone 502-582-2273

Supporting No candidates for the May 24 primary have been Political Party endorsed at this time.

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$100 must include date, name of contributor, occupation, address and amount. Sales to any individual, organization or association exceeding \$100 shall be reported, including the date of purchase, the name, address and occupation of the purchaser and the amount. All expenditures authorized, incurred, or made should be reported. Expenditures over \$50 must include date, name, occupation and address of each person to whom made, purpose and amount. This report is due 32 days and 12 days before an election and within 30 days after an election. "Election" means any primary, special or general election.

TYPE OF REPORT
(Check Appropriate Box)

☒ 32 day Pre-Primary Report

☐ 32 day Pre-General Election Report

☐ 12 day Pre-Primary Report

☐ 12 day Pre-General Election Report

☐ Post-Primary Report

☐ Post-General Election Report

☐ Supplemental Report

☐ Termination Report

Report for the Period From December 21, 1976 Thru April 18, 1977

SECTION A(1) - ITEMIZED RECEIPTS AND CONTRIBUTIONS IN EXCESS OF \$100
(Indicate if ticket purchase, loan, transfer or refund)

Date	Name, Complete Address, Occupation	Received This Period	Total Received This Election

(If more space is needed, attach additional sheets)

TOTAL SECTION A(1) - Received This Period \$ _____ XXXXXXXXXXXX

SECTION A(3) – CONTRIBUTIONS AND DONATIONS IN KIND

Report estimated value of contributions other than money. If value is in excess of \$100, give date, name, address and occupation. Also report, at same amount, as an expenditure on page 3.

DESCRIPTION

VALUE

S

§

(If more space is needed, attach additional sheets)

TOTAL SECTION A(3) \$_____

LA SECTION A(4) – FUND RAISING EVENTS

Report date, type of event and location. Report gross receipts giving breakdown by category of sale - tickets, collections, miscellaneous sales, etc. Any individual or organization purchasing items in excess of \$100 should be listed in Section A(1) by name, address, occupation and amount. Subtract such amounts from gross receipts and report balance in Total Section A (4). List expenditures on page 3.

(If more space is needed, attach additional sheets)

TOTAL SECTION A(4) \$_____

SUMMARY OF REPORTS

SECTION A - RECEIPTS

	Column A - This Period	Column B - Total for Period to Date
1. Itemized receipts in excess of \$100 (A-1, page 1)	\$	
2. Unitemized contributions \$100 or less (A-2, page 2)	\$ 62,037.86	
3. Contributions and donations in kind (A-3, page 2)	\$	
4. Fund raising events (A-4, page 2)	\$	
5. TOTAL RECEIPTS (lines 1+2+3+4)	\$	\$ 62,037.86

SECTION B - EXPENDITURES

6. Itemized expenditures over \$50 (B-1, page 3)	\$ 6,792.52	
7. Expenditures \$50 or less (B-2, page 3)	\$ 1,026.33	
8. TOTAL EXPENDITURES (lines 6+7)	\$	\$ 7,818.85

SECTION C - CASH BALANCES

9. Cash on hand at beginning of reporting period	\$ 147,811.59
10. Add: Total Receipts (line 5)	\$ 62,037.86
11. SUBTOTAL (lines 9+10)	\$ 209,849.45
12. Less: Total Expenditures (line 8)	\$ 7,818.85
13. Cash on hand at close of reporting period (line 11 minus 12)	\$ 202,030.60

SECTION D - DEBTS

14. Debts at close of reporting period (attach page describing debts)	\$ -0-
---	--------

Custodian of books and accounts if different than treasurer. Give full name, address and telephone number

VERIFICATION BY OATH OR AFFIRMATION

I, LYNDLE BARNES, state that this Report of Receipts and Expenditures is true, complete and correct.

STATE OF KENTUCKY

COUNTY OF JEFFERSON } SS

Lyndle Barnes
(Signature of Committee Treasurer or Assistant Treasurer)

Subscribed and sworn to before me by Lyndle Barnes this 20th day of April, 1977

My Commission Expires July 28, 1979

Harold J. Sauer
NOTARY PUBLIC, State of Large COUNTY, KY.

RETURN COMPLETED REPORT AND ATTACHMENTS TO:
Kentucky Registry of Election Finance
1520 Louisville Road
Frankfort, Kentucky 40601

Date	To Whom Paid	Description	Location	Purpose	Amount
1/13/77	Norma Flock	Clerical	Georgetown, Ind.	Salary	\$ 96.05
1/25/77	Committee for Senator Tom Ward	Political	Versailles, Ky.	Contribution	100.00
1/26/77	Internal Revenue Serv.	Federal Govt.	Louisville, Ky.	Tax	73.71
1/27/77	Victor Comptometer Corp.	Calculators	Louisville, Ky.	Calculator	161.12
1/27/77	Norma Flock	Clerical	Georgetown, Ind.	Salary	96.05
1/31/77	Judy Morris	Educator	Ashland, Ky.	Political add (Ashland PAC)	229.32
2/4/77	500 Proof Band	Band	Louisville, Ky.	KEPAC reception	250.00
2/10/77	Norma Flock	Clerical	Georgetown, Ind.	Salary	96.05
2/14/77	Citizens Fidelity Bank & Trust Co.	Bank	Louisville, Ky.	Corporate taxes	340.00
2/15/77	Ralph Estes	Educator	Waynesburg	Meeting expense	53.85
2/23/77	Lincoln Club of Ky.	Political	Louisville, Ky.	Tickets for dinner	250.00
2/23/77	Lincoln Club of Ky.	Political	Louisville, Ky.	Tickets for dinner	125.00
2/24/77	Executive West Catering	Resturant	Louisville, Ky.	KEPAC reception	61.88
2/24/77	Executive West Catering	Resturant	Louisville, Ky.	Refreshments for reception	289.49
2/24/77	Norma Flock	Clerical	Georgetown, Ind.	Salary	95.84
3/10/77	Norma Flock	Clerical	Georgetown, Ind.	Salary	95.84
3/7/77	Republican Party of Kentucky	Political	Frankfort, Ky.	Sustain mem- bership	100.00
3/7/77	Harold Collins	Educator	Hyden, Ky.	Meeting expense	95.82
3/7/77	Wayne Harvey	Educator	Smithland, Ky.	Meeting expense	85.10
3/5/77	Joe W. Williams	Educator	Stearns, Ky.	Meeting expense	56.04
3/8/77	Jeanne Andriot	Educator	Louisville, Ky.	Expenses for travel	72.30
3/9/77	Ralph L. Estes	Educator	Waynesburg,	Meeting expense	64.67
3/9/77	Ada Rose Jobe	Educator	Catlettsburg, Ky.	Meeting expense	98.35
3/14/77	James Sproul	Educator	Flat Lick, Ky.	Travel expense	121.24

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EXPENDITURES OVER \$50.00 (continued)

April 18, 1977

Date	To Whom Paid	Occupation	Address	Purpose	Amount
3/23/77	Best Western Motel	Restaurant	Shepherdsville, Ky.	Dinner (Bullitt Co. PAC)	\$ 121.50
3/23/77	General Printing Co.	Printers	Louisville, Ky.	Letterhead, etc. (JEFF-PAC)	191.84
3/23/77	Central Office Supply Co.	Office Supplies	Louisville, Ky.	Card files (JEFF-PAC)	127.68
3/23/77	Billie Jean McDade	Educator	Middlesboro, Ky.	Meeting expense	94.58
3/23/77	Norma S. Flock	Clerical	Georgetown, Ind.	Salary	95.84
3/24/77	Doris Wilson	Educator	Louisville, Ky.	KEPAC State Comm. meeting	155.84
3/28/77	Ky. Educ. Assoc.	Educators	Louisville, Ky.	Xerox, postage	264.97
4/5/77	Ann Hudgins	Educator	Henderson, Ky.	Postage, paper, envelopes for political mailing (Henderson Co. PAC)	80.00
4/5/77	James F. Carter	Political	Henderson, Ky.	Contribution (Henderson Co. PAC)	500.00
4/7/77	Norma S. Flock	Clerical	Georgetown, Ind.	Salary	156.53
4/12/77	Graves Co. Teachers Association	Educator	Mayfield, Ky.	Dinner expense for candidates	94.50
4/13/77	Executive Inn Restaurant	Restaurant	Louisville, Ky.	Reception for candidates	937.33
4/13/77	Ky. Educ. Assoc.	Educators	Louisville, Ky.	Xerox, postage (JEFF-PAC)	269.79
4/18/77	Ray O. Brown	Political	Sandy Hook, Ky.	Campaign Contri. (Boyd Co. PAC)	500.00
4/18/77	Central Printing Co.	Printers	Louisville, Ky.	Letterheads	94.40

Total \$6,792.52

April 18, 1977

EXPENDITURES \$ 30.00 ON WHEAT

<u>Date</u>	<u>Purpose of Expenditure</u>	<u>Amount</u>
12/21/76	Petty Cash Fund	5.00
1/4/77	School Board Candidates, Garbin PAC	12.65
1/4/77	School Board Candidates, Garbin PAC	45.00
1/25/77	Travel expenses	30.00
1/25/77	Election add, Christian Co. PAC	25.20
1/25/77	Supplies	14.33
1/26/77	Federal Tax	9.00
1/26/77	City Tax	7.86
1/27/77	Cards	7.00
1/27/77	Supplies	16.53
1/31/77	Newspaper add, Ashland PAC	21.84
2/15/77	City Tax	1.26
2/15/77	Travel Expenses	50.00
2/24/77	Campaign contribution - Hubbard	50.00
3/4/77	Travel expenses	50.00
3/7/77	Expenses for Henderson Co. PAC	8.20
3/7/77	Gift for Livingston Co. PAC	10.00
3/5/77	Committee meeting	18.68
3/5/77	Committee meeting	46.30
3/7/77	Committee meeting	10.53
3/7/77	Committee meeting	36.60
3/7/77	Committee meeting	48.55
3/7/77	Committee meeting	28.20
3/7/77	Committee meeting	9.20
3/15/77	Contribution, Marshall Co. PAC	10.00
3/17/77	Executive board dinner, Warren Co. PAC	50.00
3/23/77	Supplies	45.96
3/24/77	Petty cash fund, Pulaski Co. PAC	25.00
3/24/77	Madison Co. PAC breakfast	8.66
3/28/77	Tickets for VanCuron luncheon	50.00
3/29/77	Supplies	5.21
3/29/77	Newspaper add, Union Co. PAC	45.00
3/29/77	Newspaper add, Union Co. PAC	50.00
4/6/77	Flowers for condolences	16.75
4/12/77	Campaign contribution, Spencer Co. PAC	50.00
4/12/77	District meeting	15.40
4/13/77	Xerox copies, Jeff-PAC	7.50
4/13/77	Travel expenses	50.00
4/18/77	Gavel and engraving	14.92
		<u>\$1,026.33</u>

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KENTUCKY REGISTRY OF ELECTION FINANCE
1520 Louisville Road, Frankfort, Kentucky 40601
Telephone (502)564-2226



REPORT OF RECEIPTS AND EXPENDITURES
FOR A
CAMPAIGN COMMITTEE OR POLITICAL ACTION COMMITTEE

Name of Committee KY. EDUCATORS PUBLIC AFFAIRS COUNCIL
Address 101 WEST WALNUT STREET LOUISVILLE, KENTUCKY 40202
(Number and Street or Rural Route) (City) (Zip Code)
Telephone 502-582-2273
Supporting See attached list Political Party _____

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$100 must include date, name of contributor, occupation, address and amount. Sales to any individual, organization or association exceeding \$100 shall be reported, including the date of purchase, the name, address and occupation of the purchaser and the amount. All expenditures authorized, incurred, or made should be reported. Expenditures over \$50 must include date, name, occupation and address of each person to whom made, purpose and amount. This report is due 32 days and 12 days before an election and within 30 days after an election. "Election" means any primary, special or general election.

TYPE OF REPORT
(Check Appropriate Box)

- | | |
|---|---|
| <input type="checkbox"/> 32 day Pre-Primary Report | <input type="checkbox"/> 32 day Pre-General Election Report |
| <input checked="" type="checkbox"/> 12 day Pre-Primary Report | <input type="checkbox"/> 12 day Pre-General Election Report |
| <input type="checkbox"/> Post-Primary Report | <input type="checkbox"/> Post-General Election Report |
| <input type="checkbox"/> Supplemental Report | <input type="checkbox"/> Termination Report |

Report for the Period From April 19, 1977 Thru May 9, 1977

SECTION A(1) - ITEMIZED RECEIPTS AND CONTRIBUTIONS IN EXCESS OF \$100
(Indicate if ticket purchase, loan, transfer or refund)

Date	Name, Complete Address, Occupation	Received This Period	Total Received This Election

(If more space is needed, attach additional sheets)

TOTAL SECTION A(1) - Received This Period \$ _____ XXXXXXXXXXXX

SECTION A(2) - CONTRIBUTIONS OF \$100 OR LESS (UNITIZED)

Number of contributors 22,339 most are paying by payroll deduction

TOTAL SECTION A(2) - CONTRIBUTIONS OF \$100 OR LESS \$ 19,707.37

SECTION A(3) - CONTRIBUTIONS AND DONATIONS IN KIND

Report estimated value of contributions other than money. If value is in excess of \$100, give date, name, address and occupation. Also report, at same amount, as an expenditure on page 3.

DESCRIPTION

VALUE

\$ _____

\$ _____

(If more space is needed, attach additional sheets)

TOTAL SECTION A(3) \$ _____

SECTION A(4) - FUND RAISING EVENTS

Report date, type of event and location. Report gross receipts giving breakdown by category of sale - tickets, collections, miscellaneous sales, etc. Any individual or organization purchasing items in excess of \$100 should be listed in Section A(1) by name, address, occupation and amount. Subtract such amounts from gross receipts and report balance in Total-Section A (4). List expenditures on page 3.

(If more space is needed, attach additional sheets)

TOTAL SECTION A(4) \$ _____

8

5

1998

2

1998, 1999, 2000, 2001, 2002, 2003, 2004, 2005, 2006, 2007, 2008, 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, 2019, 2020, 2021, 2022, 2023, 2024, 2025, 2026, 2027, 2028, 2029, 2030, 2031, 2032, 2033, 2034, 2035, 2036, 2037, 2038, 2039, 2040, 2041, 2042, 2043, 2044, 2045, 2046, 2047, 2048, 2049, 2050, 2051, 2052, 2053, 2054, 2055, 2056, 2057, 2058, 2059, 2060, 2061, 2062, 2063, 2064, 2065, 2066, 2067, 2068, 2069, 2070, 2071, 2072, 2073, 2074, 2075, 2076, 2077, 2078, 2079, 2080, 2081, 2082, 2083, 2084, 2085, 2086, 2087, 2088, 2089, 2090, 2091, 2092, 2093, 2094, 2095, 2096, 2097, 2098, 2099, 2100, 2101, 2102, 2103, 2104, 2105, 2106, 2107, 2108, 2109, 2110, 2111, 2112, 2113, 2114, 2115, 2116, 2117, 2118, 2119, 2120, 2121, 2122, 2123, 2124, 2125, 2126, 2127, 2128, 2129, 2130, 2131, 2132, 2133, 2134, 2135, 2136, 2137, 2138, 2139, 2140, 2141, 2142, 2143, 2144, 2145, 2146, 2147, 2148, 2149, 2150, 2151, 2152, 2153, 2154, 2155, 2156, 2157, 2158, 2159, 2160, 2161, 2162, 2163, 2164, 2165, 2166, 2167, 2168, 2169, 2170, 2171, 2172, 2173, 2174, 2175, 2176, 2177, 2178, 2179, 2180, 2181, 2182, 2183, 2184, 2185, 2186, 2187, 2188, 2189, 2190, 2191, 2192, 2193, 2194, 2195, 2196, 2197, 2198, 2199, 2200, 2201, 2202, 2203, 2204, 2205, 2206, 2207, 2208, 2209, 2210, 2211, 2212, 2213, 2214, 2215, 2216, 2217, 2218, 2219, 2220, 2221, 2222, 2223, 2224, 2225, 2226, 2227, 2228, 2229, 2230, 2231, 2232, 2233, 2234, 2235, 2236, 2237, 2238, 2239, 2240, 2241, 2242, 2243, 2244, 2245, 2246, 2247, 2248, 2249, 2250, 2251, 2252, 2253, 2254, 2255, 2256, 2257, 2258, 2259, 2260, 2261, 2262, 2263, 2264, 2265, 2266, 2267, 2268, 2269, 2270, 2271, 2272, 2273, 2274, 2275, 2276, 2277, 2278, 2279, 2280, 2281, 2282, 2283, 2284, 2285, 2286, 2287, 2288, 2289, 2290, 2291, 2292, 2293, 2294, 2295, 2296, 2297, 2298, 2299, 2300, 2301, 2302, 2303, 2304, 2305, 2306, 2307, 2308, 2309, 2310, 2311, 2312, 2313, 2314, 2315, 2316, 2317, 2318, 2319, 2320, 2321, 2322, 2323, 2324, 2325, 2326, 2327, 2328, 2329, 2330, 2331, 2332, 2333, 2334, 2335, 2336, 2337, 2338, 2339, 2340, 2341, 2342, 2343, 2344, 2345, 2346, 2347, 2348, 2349, 2350, 2351, 2352, 2353, 2354, 2355, 2356, 2357, 2358, 2359, 2360, 2361, 2362, 2363, 2364, 2365, 2366, 2367, 2368, 2369, 2370, 2371, 2372, 2373, 2374, 2375, 2376, 2377, 2378, 2379, 2380, 2381, 2382, 2383, 2384, 2385, 2386, 2387, 2388, 2389, 2390, 2391, 2392, 2393, 2394, 2395, 2396, 2397, 2398, 2399, 2400, 2401, 2402, 2403, 2404, 2405, 2406, 2407, 2408, 2409, 2410, 2411, 2412, 2413, 2414, 2415, 2416, 2417, 2418, 2419, 2420, 2421, 2422, 2423, 2424, 2425, 2426, 2427, 2428, 2429, 2430, 2431, 2432, 2433, 2434, 2435, 2436, 2437, 2438, 2439, 2440, 2441, 2442, 2443, 2444, 2445, 2446, 2447, 2448, 2449, 2450, 2451, 2452, 2453, 2454, 2455, 2456, 2457, 2458, 2459, 2460, 2461, 2462, 2463, 2464, 2465, 2466, 2467, 2468, 2469, 2470, 2471, 2472, 2473, 2474, 2475, 2476, 2477, 2478, 2479, 2480, 2481, 2482, 2483, 2484, 2485, 2486, 2487, 2488, 2489, 2490, 2491, 2492, 2493, 2494, 2495, 2496, 2497, 2498, 2499, 2500, 2501, 2502, 2503, 2504, 2505, 2506, 2507, 2508, 2509, 2510, 2511, 2512, 2513, 2514, 2515, 2516, 2517, 2518, 2519, 2520, 2521, 2522, 2523, 2524, 2525, 2526, 2527, 2528, 2529, 2530, 2531, 2532, 2533, 2534, 2535, 2536, 2537, 2538, 2539, 2540, 2541, 2542, 2543, 2544, 2545, 2546, 2547, 2548, 2549, 2550, 2551, 2552, 2553, 2554, 2555, 2556, 2557, 2558, 2559, 2560, 2561, 2562, 2563, 2564, 2565, 2566, 2567, 2568, 2569, 2570, 2571, 2572, 2573, 2574, 2575, 2576, 2577, 2578, 2579, 2580, 2581, 2582, 2583, 2584, 2585, 2586, 2587, 2588, 2589, 2590, 2591, 2592, 2593, 2594, 2595, 2596, 2597, 2598, 2599, 2600, 2601, 2602, 2603, 2604, 2605, 2606, 2607, 2608, 2609, 2610, 2611, 2612, 2613, 2614, 2615, 2616, 2617, 2618, 2619, 2620, 2621, 2622, 2623, 2624, 2625, 2626, 2627, 2628, 2629, 2630, 2631, 2632, 2633, 2634, 2635, 2636, 2637, 2638, 2639, 2640, 2641, 2642, 2643, 2644, 2645, 2646, 2647, 2648, 2649, 2650, 2651, 2652, 2653, 2654, 2655, 2656, 2657, 2658, 2659, 2660, 2661, 2662, 2663, 2664, 2665, 2666, 2667, 2668, 2669, 2670, 2671, 2672, 2673, 2674, 2675, 2676, 2677, 2678, 2679, 26

(If more space is needed, attach additional sheets)

TOTAL SECTION B(1) \$ 22,173.90

SECTION B(2) - EXPENDITURES \$50 OR UNDER (SEE ATTACHED LIST)

Date _____

Purpose of Expenditure

Amount

S_____

S

S _____

S_____

S_____

\$

S_____

§ _____

\$

\$

(If more space is needed, attach additional sheets)

TOTAL SECTION B(2) \$ 345.97

STATEMENT OF REPORTS

Column A -
This Period

Column B -
Total for Election
to Date

SECTION A - RECEIPTS

1. Itemized receipts in excess of \$100 (A-1, page 1)	\$	
2. Unitemized contributions \$100 or less (A-2, page 2)	\$	19,707.37
3. Contributions and donations in kind (A-3, page 2)	\$	
4. Fund raising events (A-4, page 2)	\$	
5. TOTAL RECEIPTS (lines 1+2+3+4)	\$	19,707.37

SECTION B - EXPENDITURES

6. Itemized expenditures over \$50 (B-1, page 3)	\$	22,519.87
7. Expenditures \$50 or less (B-2, page 3)	\$	345.97
8. TOTAL EXPENDITURES (lines 6+7)	\$	22,519.87

SECTION C - CASH BALANCES

9. Cash on hand at beginning of reporting period	\$	202,030.60
10. Add: Total Receipts (line 5)	\$	19,707.37
11. SUBTOTAL (lines 9+10)	\$	221,737.97
12. Less: Total Expenditures (line 8)	\$	22,519.87
13. Cash on hand at close of reporting period (line 11 minus 12)	\$	199,218.10

SECTION D - DEBTS

14. Debts at close of reporting period (attach page describing debts)	\$	-0-
---	----	-----

Custodian of books and accounts if different than treasurer. Give full name, address and telephone number

VERIFICATION BY OATH OR AFFIRMATION

I, LYNDLE BARNES, state that this Report of Receipts and Expenditures is true, complete and correct.

STATE OF KENTUCKY

COUNTY OF JEFFERSON

SS

Lyndle Barnes
(Signature of Committee Treasurer or Assistant Treasurer)

Subscribed and sworn to before me by Lyndle Barnes this 10th day of May, 1977

My Commission Expires My commission expires Jan 2, 1980

Joan Thomason
NOTARY PUBLIC, Jefferson COUNTY, KY.

RETURN COMPLETED REPORT AND ATTACHMENTS TO:
Kentucky Registry of Election Finance
1520 Louisville Road
Frankfort, Kentucky 40601

Mississippi State Senate

May 1977

<u>Senate District</u>	<u>Candidate</u>
2	Tom Garrett
4	William L. Sullivan
8	Delbert Murphy
10	Joseph Prather
14	Ed O'Daniel
16	Doug Moseley
18	Nelson Allen
20	Tom Easterly
22	Robert R. Martin
34	Tavis Bennett
34	Jon Ackerson

00040205341

Name
District

Candidate

1	Ward Burnette
4	J. W. Bostwright, Jr.
5	Kenneth Innes
6	J. R. Gray
7	David E. Boswell
8	Ramsey Morris, Jr.
9	James E. Bruce
11	James F. Carter
12	Nathaniel S. Green
13	Louis Johnson
13	Tommy Thompson
14	Donald J. Blandford
15	Eugene Doss
17	Willard "Woody" Allen
20	Jody Richards
24	Sam B. Thomas
26	Nick L. Pearl
28	James R. Dunn
30	Thomas J. Burch
33	Bob Benson
36	Michael Wooden
37	Jerry Kleier
41	Mae Street Kidd
42	Charlotte McGill
43	Norbert Blume
45	Dottie Priddy
51	Herman W. Rattliff
52	Raymond Overstreet
53	Richard J. Fryman
54	Joe Clarke
55	Forest Sale
57	C. M. Hancock
60	Harl T. Crump
60	William K. McBee
72	Jim LeMaster
73	Paul W. Richardson, Sr.
74	Adrian K. Arnold
76	Steven L. Beshear
77	Ernesto Scorsone
80	Harold DeMarcus
81	Joan Perry
82	Archie B. Powers
84	Charles P. Muncy
86	Jimmy White
87	George Stewart
92	Bill Weinberg
93	Clayton Little
94	Herbert Deskins, Jr.
95	James "Jitter" Allen
98	Ronald R. Cyrus
99	Ray O. Brown

0004005346

HENDERSON COUNTY PUBLIC SCHOOLS COUNCIL

May 9, 1977

EXPENDITURES OVER \$50.00

<u>Date</u>	<u>To Whom Paid</u>	<u>Occupation</u>	<u>Address</u>	<u>Purpose</u>	<u>Amount</u>
4/22/77	Senator Thomas Easterly	Political	Frankfort, Ky.	Contribution (Bullitt Co. PAC)	\$500.00
4/22/77	Norma S. Flock	Clerical	Georgetown, Ind.	Salary	156.53
4/22/77	Agnes Sublette	Educator	Hickman, Ky.	Meeting expense	139.32
4/27/77	Internal Revenue Service	Federal Govt.	Louisville, Ky.	Tax	82.71
4/28/77	J. R. Gray	Political	Benton, Ky.	Contribution (Marshall Co. PAC)	250.00
4/28/77	Delbert Murphy	Political	Owensboro, Ky.	Contribution (Owensboro PAC)	500.00
4/28/77	James Dunn	Political	Pleasure Ridge Park, Ky.	Contribution (JEFF-PAC)	250.00
4/29/77	James F. Carter	Political	Henderson, Ky.	Contribution (Henderson Co. PAC)	500.00
4/29/77	David Boswell	Political	Owensboro, Ky.	Contribution (Union Co. PAC)	700.00
4/29/77	Ernesto Scorsone	Political	Lexington, Ky.	Contribution (KEPAC)	500.00
4/29/77	Steven Bearhear	Political	Lexington, Ky.	Contribution (KEPAC)	500.00
4/29/77	Raymond Overstreet	Political	Liberty, Ky.	Contribution (Russell Co. PAC)	100.00
4/29/77	Doug Moseley	Political	Columbia, Ky.	Contribution (Russell Co. PAC)	150.00
4/29/77	Richard J. Fryman	Political	Albany, Ky.	Contribution (Russell Co. PAC)	100.00
4/29/77	Thomas N. Thompson	Political	Owensboro, Ky.	Contribution (Owensboro PAC)	900.00
4/29/77	David Boswell	Political	Owensboro, Ky.	Contribution (Owensboro PAC)	500.00
4/29/77	Archie B. Powers	Political	Corbin, Ky.	Contribution (Corbin PAC)	100.00
5/2/77	Joseph Prather Campaign	Political	Vine Grove, Ky.	Contribution (Hardin Co. PAC)	100.00
5/2/77	Nick Pearl Campaign	Political	Radcliff, Ky.	Contribution (Hardin Co. PAC)	500.00
5/3/77	Jeanne Andriot	Educator	Louisville, Ky.	Expenses (JEFF-PAC)	155.59
5/4/77	William L. Sullivan	Political	Henderson, Ky.	Contribution (KEPAC)	500.00
5/4/77	Delbert Murphy	Political	Owensboro, Ky.	Contribution (KEPAC)	500.00
5/4/77	Tom Easterly	Political	Frankfort, Ky.	Contribution (KEPAC)	500.00
5/4/77	J. R. Gray	Political	Benton, Ky.	Contribution (KEPAC)	250.00
5/4/77	David Boswell	Political	Owensboro, Ky.	Contribution (KEPAC)	250.00
5/4/77	James Carter	Political	Henderson, Ky.	Contribution (IEPAC)	250.00

7
 4
 3
 5
 0
 4
 0
 0
 0

5/4/77 250.00 (continued)

	<u>Date</u>	<u>To Whom Sent</u>	<u>Occupation</u>	<u>Address</u>	<u>Purpose</u>	<u>Amount</u>
	5/4/77	Mathew Green	Political	Providence, Ky.	Contribution (KEPAC)	250.00
	5/4/77	Eugene Dees	Political	Central City, Ky.	Contribution (KEPAC)	250.00
	5/4/77	Willard Allen	Political	Martin, Ky.	Contribution (KEPAC)	250.00
	5/4/77	Jody Richards	Political	Bowling Green, Ky.	Contribution (KEPAC)	250.00
	5/4/77	Sam B. Thomas	Political	Lebanon, Ky.	Contribution (KEPAC)	250.00
	5/4/77	Nick L. Pearl	Political	Radcliff, Ky.	Contribution (KEPAC)	250.00
	5/4/77	James R. Dunn	Political	Pleasure Ridge Park, Ky.	Contribution (KEPAC)	250.00
	5/4/77	Thomas J. Burch	Political	Louisville, Ky.	Contribution (KEPAC)	250.00
	5/4/77	Bob Benson	Political	Louisville, Ky.	Contribution (KEPAC)	250.00
	5/4/77	Michael Wooden	Political	Louisville, Ky.	Contribution (KEPAC)	250.00
B	5/4/77	Man Street Kidd	Political	Louisville, Ky.	Contribution (KEPAC)	250.00
V	5/4/77	Norbert Blum	Political	Louisville, Ky.	Contribution (KEPAC)	250.00
M	5/4/77	Dottie Friddy	Political	Louisville, Ky.	Contribution (KEPAC)	250.00
U	5/4/77	Raymond Overstreet	Political	Liberty, Ky.	Contribution (KEPAC)	250.00
C	5/4/77	Richard J. Fryman	Political	Albany, Ky.	Contribution (KEPAC)	250.00
C	5/4/66	Harold Demarcus	Political	Stanford, Ky.	Contribution (KEPAC)	250.00
C	5/4/77	Joan Perry	Political	Richmond, Ky.	Contribution (KEPAC)	250.00
O	5/4/77	Archie Powers	Political	Corbin, Ky.	Contribution (KEPAC)	250.00
O	5/4/77	Charles P. Muncy	Political	Irvine, Ky.	Contribution (KEPAC)	250.00
	5/4/77	Bill Weinberg	Political	Emmalena, Ky.	Contribution (KEPAC)	250.00
	5/4/77	James "Jitter" Allen	Political	Martin, Ky.	Contribution (KEPAC)	250.00
	5/4/77	Ronald R. Cyrus	Political	Greenup, Ky.	Contribution (KEPAC)	250.00
	5/4/77	Ray O. Brown	Political	Sandy Hook, Ky.	Contribution (KEPAC)	250.00
	5/4/77	William L. Sullivan	Political	Henderson, Ky.	Contribution (Henderson Co. PAC)	475.00
	5/4/77	Joan Perry	Political	Richmond, Ky.	Contribution (Madison Co. PAC)	1,000.00

EXPENDITURES OVER \$50.00 (continued)

Date	To Whom Made	Occupation	Address	Purpose	Amount
5/4/77	Tavis Bennett	Political	Louisville, Ky.	Contribution (Jeff-PAC)	\$ 250.00
5/4/77	John Ackerson	Political	Louisville, Ky.	Contribution (Jeff-PAC)	250.00
5/4/77	Tom Burch	Political	Louisville, Ky.	Contribution (Jeff-PAC)	250.00
5/4/77	Bob Benson	Political	Louisville, Ky.	Contribution (Jeff-PAC)	250.00
5/4/77	Michael Wooden	Political	Louisville, Ky.	Contribution (Jeff-PAC)	250.00
5/4/77	Mae Street Kidd	Political	Louisville, Ky.	Contribution (Jeff-PAC)	250.00
5/4/77	Norbert Blum	Political	Louisville, Ky.	Contribution (Jeff-PAC)	500.00
5/4/77	Charlotte McGill	Political	Louisville, Ky.	Contribution (Jeff-PAC)	250.00
5/4/77	Dottie Priddy	Political	Louisville, Ky.	Contribution (Jeff-PAC)	250.00
5/4/77	Jerry Kleier	Political	Louisville, Ky.	Contribution (Jeff-PAC)	250.00
5/4/77	Nathaniel Green	Political	Providence, Ky.	Contribution (Providence PAC)	124.00
5/5/77	William L. Sullivan	Political	Henderson, Ky.	Contribution (Providence PAC)	124.00
5/5/77	Ada Jobe	Educator	Catlettsburg, Ky.	Meeting expense	128.21
5/5/77	Royal Typewriter Co.	Typewriters	Louisville, Ky.	Maintenance agreement	52.00
5/6/77	Delbert Murphy	Political	Owensboro, Ky.	Contribution (Daviness Co. PAC)	250.00
5/6/77	Louis Johnson	Political	Owensboro, Ky.	Contribution (Daviness Co. PAC)	500.00
5/6/77	David Boswell	Political	Owensboro, Ky.	Contribution (Daviness Co. PAC)	250.00
5/6/77	Bill Weinberg Campaign	Political	Emmalena, Ky.	Contribution (Knott Co. PAC)	800.00
5/6/77	James F. Carter	Political	Henderson, Ky.	Contribution	250.00
5/6/77	Norma S. Flock	Clerical	Gerogetown, Ind.	Salary	156.53
5/6/77	Joan Perry	Political	Richmond, Ky.	Contribution (Richmond-PAC)	65.00
5/6/77	Robert Martin	Political	Richmond, Ky.	Contribution (Richmond-PAC)	65.00
5/6/77	Jerry Kleier	Political	Louisville, Ky.	Contribution (KEPAC)	250.00
5/6/77	Charlotte McGill	Political	Louisville, Ky.	Contribution (KEPAC)	250.00
					\$22,173.90

EXPENDITURES \$50.00 OR UNDER

<u>Date</u>	<u>Purpose of Expenditure</u>	<u>Amount</u>
4/20/77	Travel	\$ 50.00
4/20/77	Ads and campaign expenses	50.00
4/27/77	City Tax	9.12
4/27/77	Gas mileage & dinner expenses	22.50
4/27/77	Travel	38.90
4/27/77	Dinner expense	15.30
4/28/77	Dinner expense	13.55
4/28/77	Dinner expense	21.19
4/28/77	Travel	31.70
5/2/77	State Committee meeting	29.12
5/4/77	Meeting expense	7.45
5/4/77	Expenses-JEFF-PAC	12.00
5/4/77	Dinner Expenses	6.04
5/6/77	Expenses-Washington co PAC	17.54
5/6/77	Expenses-Knott Co. PAC	8.40
5/6/77	Travel	13.16
		<u>\$345.97</u>

00040205350

KENTUCKY REGISTRY OF ELECTION FINANCE
1520 Louisville Road, Frankfort, Kentucky 40601
Telephone (502)564-2226



REPORT OF RECEIPTS AND EXPENDITURES
FOR A
CAMPAIGN COMMITTEE OR POLITICAL ACTION COMMITTEE

Name of Committee KY. EDUCATORS PUBLIC AFFAIRS COUNCIL

Address 101 WEST WALNUT STREET LOUISVILLE, KENTUCKY 40202
(Number and Street or Rural Route) (City) (Zip Code)

Telephone 502-582-2273

Supporting See attached list Political Party _____

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$100 must include date, name of contributor, occupation, address and amount. Sales to any individual, organization or association exceeding \$100 shall be reported, including the date of purchase, the name, address and occupation of the purchaser and the amount. All expenditures authorized, incurred, or made should be reported. Expenditures over \$50 must include date, name, occupation and address of each person to whom made, purpose and amount. This report is due 32 days and 12 days before an election and within 30 days after an election. "Election" means any primary, special or general election.

TYPE OF REPORT
(Check Appropriate Box)

- | | |
|---|---|
| <input type="checkbox"/> 32 day Pre-Primary Report | <input type="checkbox"/> 32 day Pre-General Election Report |
| <input type="checkbox"/> 12 day Pre-Primary Report | <input type="checkbox"/> 12 day Pre-General Election Report |
| <input checked="" type="checkbox"/> Post-Primary Report | <input type="checkbox"/> Post-General Election Report |
| <input type="checkbox"/> Supplemental Report | <input type="checkbox"/> Termination Report |

Report for the Period From May 9, 1977 Thru June 10, 1977

SECTION A(1) - ITEMIZED RECEIPTS AND CONTRIBUTIONS IN EXCESS OF \$100
(Indicate if ticket purchase, loan, transfer or refund)

Date	Name, Complete Address, Occupation	Received This Period	Total Received This Election

(If more space is needed, attach additional sheets)

TOTAL SECTION A(1) - Received This Period \$ _____ XXXXXXXXXXXX

12

3

5

S

5

S

3

3

3

TOTAL SECTION B(1) \$ 16,965.13

SECTION B(2) – EXPENDITURES \$50 OR UNDER

Amount

5

S

S

S

2

5

2

2

2

5

TOTAL SECTION B(2) \$ 645.62

SUMMARY OF REPORTS

Column A -
This Period

Column B -
Total for Election
to Date

SECTION A - RECEIPTS

1. Itemized receipts in excess of \$100 (A-1, page 1)	\$	
2. Unitemized contributions \$100 or less (A-2, page 2)	\$	19,731.58
3. Contributions and donations in kind (A-3, page 2)	\$	
4. Fund raising events (A-4, page 2)	\$	
5. TOTAL RECEIPTS (lines 1+2+3+4)	\$	19,731.58

SECTION B - EXPENDITURES

6. Itemized expenditures over \$50 (B-1, page 3)	\$	16,965.13
7. Expenditures \$50 or less (B-2, page 3)	\$	645.62
8. TOTAL EXPENDITURES (lines 6+7)	\$	17,610.75

SECTION C - CASH BALANCES

9. Cash on hand at beginning of reporting period	\$199,218.10
10. Add: Total Receipts (line 5)	\$19,731.58
11. SUBTOTAL (lines 9+10)	\$218,949.68
12. Less: Total Expenditures (line 8)	\$17,610.75
13. Cash on hand at close of reporting period (line 11 minus 12)	\$201,338.93

SECTION D - DEBTS

14. Debts at close of reporting period (attach page describing debts) \$ - 0 -

Custodian of books and accounts if different than treasurer. Give full name, address and telephone number

VERIFICATION BY OATH OR AFFIRMATION

I, LYNDLE BARNES, state that this Report of Receipts and Expenditures is true, complete and correct.

STATE OF KENTUCKY

COUNTY OF JEFFERSON

SS

(Signature of Committee Treasurer or Assistant Treasurer)

Subscribed and sworn to before me by Lyndle Barnes this 10th day of June, 1977

My Commission Expires July 28, 1979

Barobiel S. Sauer
NOTARY PUBLIC, State of Large COUNTY, KY.

RETURN COMPLETED REPORT AND ATTACHMENTS TO:
Kentucky Registry of Election Finance
1520 Louisville Road
Frankfort, Kentucky 40601

COUNCIL

EXPENDITURES - MAY \$30.00

Date	To Whom Made	Occupation	Address	Purpose	Amount
5/9/77	Johanna V. Randall	Educator	Carlisleburg, Ky.	Travel	\$ 52.00
5/9/77	Richard Fryman	Political	Albany, Ky.	Contribution (Clinton Co. PAC)	200.00
5/9/77	Nathaniel "Tardy" Green	Political	Providence, Ky.	Contribution (Webster Co. PAC)	120.00
5/9/77	William L. Sullivan	Political	Henderson, Ky.	Contribution (Webster Co. PAC)	100.00
5/9/77	J. R. Gray	Political	Benton, Ky.	Contribution (Crittenden Co. PAC)	300.00
5/10/77	Ed O'Daniel	Political	Springfield, Ky.	Contribution (Anderson Co. PAC)	250.00
5/10/77	Ed O'Daniel	Political	Springfield, Ky.	Contribution (Washington Co. PAC)	200.00
5/10/77	Bath Co. Education Assoc.	Educators	Salt Lick, Ky.	Dinner & travel expense (Bath Co. PAC)	77.00
5/10/77	Eugene Doss	Political	Central City, Ky.	Contribution (Greenville PAC)	150.00
5/11/77	Executive West Catering	Restaurant	Louisville, Ky.	Lunches - State KEPAC Meeting	70.51
5/11/77	Executive West	Restaurant	Louisville, Ky.	Breakfast for KEPAC Meeting	90.88
5/11/77	Sam Thomas	Political	Lebanon, Ky.	Contribution (Marion Co. PAC)	200.00
5/11/77	Randall Donahue	Political	Loretto, Ky.	Contribution (Marion Co. PAC)	400.00
5/11/77	Tom Easterly	Political	Frankfort, Ky.	Contribution (Bullitt Co. PAC)	813.00
5/11/77	Ralph Lutes	Political	Mt. Washington, Ky.	Contribution (Bullitt Co. PAC)	813.00
5/11/77	Guy Shelburne	Political	Taylorsville, Ky.	Contribution (Spencer Co. PAC)	100.00
5/12/77	Internal Revenue Service	Fed. Gov't.	Louisville, Ky.	Taxes	340.00
5/12/77	Joe Prather	Political	Vine Grove, Ky.	Contribution (Larue Co. PAC)	100.00
5/12/77	Sam Thomas	Political	Lebanon, Ky.	Contribution (Larue Co. PAC)	200.00
5/12/77	Eugene Doss	Political	Central City, Ky.	Contribution (McLean Co. PAC)	250.00
5/12/77	Nathaniel "Tardy" Green	Political	Providence, Ky.	Contribution (McLean Co. PAC)	250.00
5/16/77	Arline Crouch	Educator	Villa Hills, Ky.	Supplies (Boone Co. PAC)	230.00
5/17/77	James Bruce	Political	Hopkinsville, Ky.	Contribution (Christian Co. PAC)	100.00
5/17/77	Ramsey Morris	Political	Hopkinsville, Ky.	Contribution (Christian Co. PAC)	100.00
5/17/77	Eugene Doss	Political	Central City, Ky.	Contribution (Muhlenberg Co. PAC)	100.00
5/18/77	Ray Brown	Political	Sandy Hook, Ky.	Contribution (Boyd Co. PAC)	118.00
5/18/77	Ashland Daily Independent Newspaper		Ashland, Ky.	Campaign ad (Boyd Co. PAC)	118.00
5/18/77	Marshall Davenport	Political	Science Hill, Ky.	Contribution (Pulaski Co. PAC)	400.00

EXPENSES \$ 150.00 (continued)

Date	To Whom Made	Description	Address	Purpose	Amount
5/18/77	Rogues Run	Political	Central City, Ky.	Contribution (State KEPAC Comm.)	\$ 250.00
5/19/77	Kentucky Education Assoc.	Educators	Louisville, Ky.	Office space rent	360.00
5/19/77	Kentucky Education Assoc.	Educators	Louisville, Ky.	Insert in KEA News	450.00
5/19/77	Nathaniel "Nardy" Green	Political	Providence, Ky.	Contribution (Webster Co. PAC)	180.00
5/19/77	Dr. Robert R. Martin	Political	Richmond, Ky.	Contribution (Garrard Co. PAC)	375.00
5/20/77	Norma S. Flock	Clerical	Georgetown, Ind.	Salary	156.53
5/20/77	Winchester Sun	Newspaper	Winchester, Ky.	Ad for Eck Rose's candidacy (Clark Co. PAC)	70.40
5/24/77	Bath County Outlook	Newspaper	Owingsville, Ky.	Ad Eck Rose's endorsement (Bath Co. PAC)	94.50
5/25/77	David Roswell	Political	Owensboro, Ky.	Contribution (Union Co. PAC)	100.00
5/23/77	Committee to Elect Dave Roswell	Political	Owensboro, Ky.	Contribution (Davies Co. PAC)	250.00
5/23/77	J. R. Gray	Political	Benton, Ky.	Contribution (Lyon Co. PAC)	150.00
5/23/77	Willard "Woody" Allen	Political	Morgantown, Ky.	Contribution (Butler Co. PAC)	400.00
5/23/77	Willard "Woody" Allen	Political	Morgantown, Ky.	Contribution (Ohio Co. PAC)	300.00
5/23/77	Kentucky Education Assoc.	Educators	Louisville, Ky.	Postage	200.79
5/31/77	Ralph Estes	Educator	Waynesburg, Ky.	Meeting expense	104.95
5/31/77	Nick Pearl Campaign	Political	Radcliff, Ky.	Contribution (Hardin Co. PAC)	500.00
6/2/77	Pat Seiber	Educator	Murray, Ky.	Meeting expense (Calloway Co. PAC)	150.00
6/2/77	Kentucky Education Assoc.	Educators	Louisville, Ky.	KEPAC collections	4,563.83
6/3/77	Norma Flock	Clerical	Georgetown, Ind.	Salary	97.34
6/6/77	Jeanne Andriot	Educator	Louisville, Ky.	Expenses (Jeff PAC)	158.18
6/6/77	Tevis Bennett	Political	Louisville, Ky.	Contribution (Jeff PAC)	250.00
6/6/77	Jon Ackerson	Political	Louisville, Ky.	Contribution (Jeff PAC)	250.00
6/7/77	Jefferson-Jackson Day Dinner Committee	Political	Louisville, Ky.	Tickets (Jeff PAC)	300.00
6/9/77	Jefferson-Jackson Day Dinner Committee	Political	Louisville, Ky.	Tickets	800.00
6/9/77	Bill Moorman	Educator	Louisville, Ky.	Expenses (Jeff PAC)	60.80
6/9/77	Brakmeier & Son	Rubber Stamps	Louisville, Ky.	Rubber stamps (Jeff PAC)	50.42

Continued from page 1 (continued)

Date	Payee	Category	Address	Description	Amount
6/10/77	John A "Bck" Rose	Political	Winchester, Ky.	Contribution (Rock Co. PAC)	\$ 200.00 \$17,065.13
RETURNED CHECK 5/2/77	Joseph Prather Campaign	Political	Vine Grove, Ky.	Contribution (Hardin Co. PAC) -	100.00 \$16,965.13

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KENTUCKY EDUCATORS PUBLIC ACCOUNTS COUNCIL

EXPENDITURES \$50.00 OR UNDER

<u>Date</u>	<u>Purpose of Expenditure</u>	<u>Amount</u>
5/9/77	Expenses for mailing Webster Co. PAC	\$ 45.00
5/9/77	Building rental Webster Co. PAC	10.00
5/9/77	Telephone calls Webster Co. PAC	20.70
5/9/77	Gift for retiring representative Gritten Co. PAC	10.00
5/9/77	Meeting expense	27.00
5/11/77	Expenses Muhlenberg Co. PAC	23.79
5/12/77	Campaign contribution Larue Co. PAC	50.00
5/13/77	Travel expenses	50.00
5/16/77	Meeting expenses Christian Co. PAC	23.20
5/18/77	Dinner expenses Owensboro PAC	28.90
5/18/77	Office supplies Owensboro PAC	7.00
5/18/77	Campaign Contribution Warren Co. PAC	50.00
5/19/77	Trip to post office & Central Office Supply	1.00
5/23/77	Meeting expense Larue Co. PAC	11.29
5/23/77	Meeting expense Boyd Co. PAC	6.00
5/23/77	Meeting expense Meade Co. PAC	14.71
5/23/77	Telephone expenses Henderson Co. PAC	10.92
5/23/77	Meeting expense	23.10
5/31/77	Expenses Hardin Co. PAC	24.44
6/2/77	Expenses for interviewing candidates Bath Co. PAC	37.60
6/3/77	Copies of reports	5.30
6/3/77	Gas for Ed O'Daniel Washington Co. PAC	10.00
6/6/77	Travel expenses	50.00
6/7/77	Phone calls & trips Ohio Co. PAC	31.00
6/7/77	Radio announcements Bardstown PAC	30.00
6/9/77	Expenses Jeff PAC	5.04
6/9/77	Expenses Jeff PAC	3.44
6/9/77	Mail expenses Jeff PAC	24.05
6/9/77	Expenses Jeff PAC	11.94
		<u>\$645.62</u>

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May 1977

<u>Senate District</u>	<u>Candidate</u>
2	Tom Garrett
4	William L. Sullivan
8	Delbert Murphy
10	Joseph Prather
14	Ed O'Daniel
16	Doug Haseley
18	Nelson Allen
20	Tom Easterly
22	Robert R. Martin
34	Tavis Bennett
34	Jon Ackerson
28	John A. "Eck" Rose

60040205359

00040205340

	<u>Candidate</u>
1	Ward Burnette
4	J. W. Boatwright, Jr.
5	Kenneth Innes
6	J. R. Gray
7	David E. Roswell
8	Ramsey Morris, Jr.
9	James E. Bruce
11	James F. Carter
12	Nathaniel S. Green
13	Louis Johnson
13	Tommy Thompson
14	Donald J. Blandford
15	Eugene Doss
17	Willard "Woody" Allen
20	Jody Richards
24	Sam B. Thomas
26	Nick L. Pearl
28	James R. Dunn
30	Thomas J. Burch
33	Bob Benson
36	Michael Wooden
37	Jerry Kleier
41	Mae Street Kidd
42	Charlotte McGill
43	Norbert Blume
45	Dottie Priddy
51	Herman W. Rattliff
52	Raymond Overstreet
53	Richard J. Fryman
54	Joe Clarke
55	Forest Sale
57	C. M. Hancock
60	Harl T. Crump
60	William K. McBee
72	Jim LeMaster
73	Paul W. Richardson, Sr.
74	Adrian K. Arnold
76	Steven L. Beshear
77	Ernesto Scorsone
80	Harold DeMarcus
81	Joan Perry
82	Archie B. Powers
84	Charles P. Muncy
86	Jimmy White
87	George Stewart
92	Bill Weinberg
93	Clayton Little
94	Herbert Deskins, Jr.
95	James "Jitter" Allen
98	Ronald R. Cyrus
99	Ray O. Brown
49	Ralph K. Lutes
49	Guy Shelburne
83	Marshall Davenport

KENTUCKY EDUCATION ASSOCIATION
OFFICIAL & PUBLIC ACCOUNTS

Paul A. Dale
Joseph A. Stangel
Marvin E. Moore
Robert D. Shaw
Garold F. Payne, Jr.
Bruce D. Handy
William J. Kallholz
James E. Edmondson

Phone 257-6000
Suite 700 - 310 West Liberty St. Bldg.
310 West Liberty Street
Louisville, Kentucky 40202
8/24/77

Mr. Ronald Gaffney
Kentucky Home Life Bldg.
Suite 1700
Louisville, KY

IN RE: KENTUCKY EDUCATION ASSOCIATION

TRANSCRIPT OF HEARING
Taken August 15, 1977

One (1) Copy

STILL IN

Please Pay
9/2/77
rlg

Please Make Checks Payable To: JAMES E. EDMONDSON

00040305301

KEPAC reverse dues check-off ruled legal; any member may decide not to pay them

The KEPAC procedure is not only legal, it is right. Teachers have known for some time that the idea of political action is good. They reinforced this principle last April when delegates to the KEA Delegate Assembly voted to approve a reverse dues check-off procedure to provide the money to support political candidates at the local, state, and national levels.

And now the Kentucky Attorney General, in an opinion written last month, has said that this dues procedure is legal to provide operative funds for the Kentucky Educators Public Affairs Council (KEPAC), local PAC units, and the NEA Political Action Committee (NEA-PAC).

Responding to an inquiry, Carl Miller, an assistant attorney general, has written, that "it is our opinion that it cannot be said that anyone is being forced to contribute to KEPAC." He added, further, that "we see nothing illegal in the reverse dues check-off system."

This legal opinion lends further momentum to the political involvement of teachers here in Kentucky. The procedure itself will enable KEPAC to raise sufficient funds to contribute to the campaigns of many political candidates who are demonstrated friends of education.

State law prohibits a corporation such as KEA from becoming involved in any activity that could be interpreted as giving either direct or indirect support to candidates for political office or to their campaign funds. However, since so many consequential educational decisions are made in the political arena, both KEA and NEA have been stressing the need for teachers to become more politically aware and active.

It was precisely for that reason that

collecting agent for KEPAC and NEA-PAC money.

By virtue of the unanimous decision by the delegates last April, \$8 will be added automatically to each member's deductions under the APA Plan (\$1 per month). Of this total amount, \$1 will be sent to NEA-PAC, and the other \$7 will go to KEPAC. A normal charge will be made to each of these groups to cover the expense of collecting these political contributions.

Organized local PAC units will be eligible to receive one-half of the money contributed by their members to KEPAC to use in support of local candidates who are friends of education, particularly those who are campaigning for seats in the Kentucky Senate or House of Representatives. (The money retained by KEPAC will be used primarily in support of friendly candidates in statewide elections, particularly for such offices as Governor, Lieutenant Governor, State Superintendent of Public Instruction, and Attorney General.)

Since this is a voluntary contribution for political action, any member may request that these KEPAC and NEA-PAC dues not be withheld from his/her salary. Even if some monthly deductions have been made, these will be refunded if the member so requests. All such requests must be submitted individually in writing.

Both KEPAC and NEA-PAC have been active for several years, using voluntary contributions by teacher-members. During the congressional elections last fall, for example, NEA-PAC contributed a total of about \$225,000 to 165 candidates in 44 states, including \$4,500 to 6 candidates in Kentucky. This past spring during the primary elections for state office, KEPAC contributed \$7,000 to the campaign efforts of 5 candidates.

There now are 55 organized local PAC units, most of which have been involved in legislative races. Other local PAC units are in the process of being organized under guidelines developed by the State KEPAC Committee. Dexter Meyer, Lexington, is the State KEPAC Chairman, and Lyndle Barnes, KEA Director of Governmental Relations, is Secretary-Treasurer.



Kentuckians spent a lot of time on the...

NEA elects first two

Special Report

John Ryor, a 39-year-old math teacher from Battle Creek, Michigan, scheduled to take office September 1975, as the first president of National Education Association, elected to a two-year term.

Ryor has an extensive background activity and leadership at the local, state, and national levels of professional association activity. Most recently, served as a member of the NEA Executive Committee and as president of National Council of State Education Associations.

In accepting the nomination for NEA presidency, he stressed the need to do something about the economic plight of teachers with these words:

"We desperately need an N



NEA President John Ryor

KEA NEWS

Vol. XI, No. 35

July 31, 1975

The KEA NEWS is published weekly during the school year, except for Thanksgiving week and two weeks at Christmas, and monthly during the summer by the Kentucky Education Association. Address all communications to Dr. Gerald Jagers, Editor, 101 West Walnut Street, Louisville, Kentucky 40202. The telephone number is 502/582-2273, and the TWX number is 810-535-3341.

Estill County Board of Education
Office of the Superintendent
(606) 723-2181 P. O. Box 389 Irvine, Kentucky 40336

June 6, 1977

Mr. Philip A. Thompson
Kentucky Business Political Action Committee
Frankfort, Kentucky

Dear Sir,

After receiving your communication of June 1st concerning the schools and KE-PAC, I sat down with our bookkeeper to determine the information you requested. We found that approximately \$45.00 this year has been expended in making the 3 payments to KEA which includes the dues for KE-PAC. This figure is based on the total hours it takes to set up the deductions the first month plus the time involved each month thereafter to do the necessary paper work. For the entire year, the time is 9 hours by our bookkeeper. She receives this year \$5.00 per hour thus accounting for the total of \$45.00.

I am prepared to ask the Estill County Board of Education to withdraw its willingness to handle these deductions for NEA, KEA, KE-PAC and the local dues until the matter is cleared up legally. This request will be made at the next meeting of our Board, June 13, 1977.

I have had serious thoughts about this matter for some time; but, having inherited this problem along with some others, I have sort of drifted with it not really being challenged until now to take affirmative steps. Not being sympathetic to NEA/KEA anyway, I find now that what I plan to ask the Board to do I will do with great eagerness.

Wishing you success in your venture, I remain

Sincerely yours,

William W. Davis

William W. Davis
Superintendent

Copy # 2 J.

Bullitt County Schools

TELEPHONE 343-2271
P. O. BOX 67

FRANK R. HATFIELD
Superintendent

SHEPHERDSVILLE, KENTUCKY 40165

RAYMOND E. TERRY
Assistant Supt.

June 6, 1977

BOARD OF EDUCATION:

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WILLIAM L. DAWSON

Vice-Chairman

GEORGE E. BRADBURY

MARIAN FARRIS

THOMAS WHITT

Mr. Phillip A. Thompson
Kentucky Business Political Action Committee
P. O. Box 128
Frankfort, Kentucky 40601

Dear Mr. Thompson:

This letter is written in response to your memo of June 1, 1977 concerning the Board of Education's participation in the Kentucky Educators Public Affairs Council dues collection.

The Bullitt County Board of Education does participate in the collection of dues for KE-PAC. The agreement to participate was made by the Bullitt County Board of Education based on an opinion from the office of the Attorney General of Kentucky. The opinion number referred to is OAG 75-423. Since KE-PAC dues are added into other payroll deductions and sent to the Board of Education as billing, I do not feel that any additional cost or time is involved in the collection of the political funds.

Although no additional expense or time is involved I do have questions concerning the entire procedure. I am glad to see the matter is being investigated and hope that specific directions concerning this matter will be forthcoming.

If I can be of further assistance in this matter please contact me at your convenience.

Sincerely,


Frank R. Hatfield

FRH:ne

Comp # 3 2

Campbell County Schools

181 Orchard Lane
Alexandria, Kentucky 41001
Phone 638-2173

SUPERINTENDENT

Charles E. McCormick

ASSOCIATE SUPERINTENDENTS

William C. Foutch
Lawrence E. Kinney
Myron S. Reinhardt

June 7, 1977

Mr. Philip A. Thompson
Kentucky Business Political Action Committee
P. O. Box 128
Frankfort, Kentucky 40601

Dear Mr. Thompson:

In reply to your letter dated June 1, 1977 concerning the collection of KE-PAC dues we would like to make the following statement.

It did not take any more time to make this deduction of KE-PAC dues than it did to make the deductions for KEA-NEA dues because of the fact the total received from the KEA office for deduction included the \$1.00 for KE-PAC and thus one amount was deducted from the teachers pay in the amount of \$11.50 per month for KEA-NEA-KE-PAC dues. Therefore, I would say that it did not take any more time or cost the Board of Education anything in making this deduction.

Very truly yours,

Charles E. McCormick
Charles E. McCormick, Superintendent
Campbell County Schools

CEM:mm

Comp #

Hopkins County Schools

**PATRICIA L. HOPKINS, SUPERINTENDENT
MADISONVILLE, KENTUCKY**

June 24, 1977

**Mr. Phillip A. Thompson
Kentucky Business Political Action Committee
P. O. Box 128
Frankfort, Kentucky 40601**

Dear Mr. Thompson:

In reply to your letter concerning the collection of KEPAC dues, we do deduct these dues and send to K. E. A. along with other dues collected. The extra time required to handle the KEPAC part is negligible.

Very truly yours,

HOPKINS COUNTY SCHOOLS


**James W. Larmouth
Assistant Superintendent**

JWL:vlr

Comp #

Payette County Public Schools

*101 East Main Street
Lexington, Kentucky 40502
Telephone 606-253-1411*

June 13, 1977

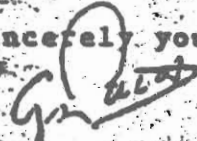
Mr. Philip A. Thompson
Kentucky Business Political Action Committee
P. O. Box 128
Frankfort, Kentucky 40601

Dear Mr. Thompson:

The Payette County Board of Education has had a rather strigent policy on payroll deductions for some time. KE-PAC dues have never been included as an approved deduction. (copy of policy enclosed)

The Payette County Education Association requested this but the request was denied by me (see copy of letter enclosed) although F.C.E.A. protested my policy interpretation.

Sincerely yours,



G. S. Potts, Superintendent

GSP:bg

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Jefferson County Public Schools

Wright

SUPERINTENDENT
E. C. Grayson

DEPUTY SUPERINTENDENTS
V. M. Brumfield
J. C. Cantrell
William T. Morgan

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Employment Opportunity &
Affirmative Action

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Records Administration

Marlene Fletcher, Office Manager

VANHOOSE EDUCATION CENTER

P.O. Box 18323
3332 Newburg Road - Louisville, Kentucky 40218
502/456-3011

"AN EQUAL OPPORTUNITY EMPLOYER"

June 13, 1977

Mr. Philip A. Thompson
Kentucky Business Political Action Committee
P. O. Box 128
Frankfort, KY 40601

Dear Mr. Thompson:

I am in possession of a June 1 memo to which is attached a copy each of letters you have written to the Kentucky Registry of Election Finance and the Federal Election Commission indicating that the Kentucky Business Political Action Committee has filed complaint with each of those organizations against the Kentucky Education Association and its political action arm, the Kentucky Educators Public Affairs Council. We are very interested for obvious reasons in keeping abreast of the progress of these two complaints.

If you have a mailing list, would you please put us on it. If there are hearings, particularly before the Kentucky Registry of Election Finance, we would be interested in having someone attend provided schedules permit.

Sincerely yours,

Claude Purvis

Claude Purvis
Division of Personnel

CP/ae

Somerset Public School

CHARLES E. HARRIS, Superintendent
305 College Street
Somerset, Kentucky 42501

June 28, 1977

Mr. Philip A. Thompson
Kentucky Business Political Action Committee
P.O. Box 128
Frankfort, Kentucky 40601

Dear Sir:

In reply to your memorandum of June 1, 1977, the Somerset Board of Education did agree to administer the collection of KBA and KB-PAC dues for FY 1976-77.

Expenses estimated as follows:

12 Hrs. preparation for collection x \$4.35 hourly wage = \$52.32

2 1/2 Hrs. each payroll period x 8 payrolls = 20 hrs. x \$4.35 = \$87.20

Stamps = \$1.04

Envelopes, pencils, etc. = \$1.25

Total estimated expense = \$141.81

Very truly yours,

Charles E. Harris

Charles E. Harris
Superintendent

lc

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support or endorse candidates or political parties and if the activities are conducted by the other organization; and

(ii) these services are made available without regard to the voter's political preference.

(2) A corporation or labor organization may donate funds to be used for nonpartisan registration and get-out-the-vote drives to civic and other nonprofit organizations which do not endorse candidates or political parties.

(3) The civic or nonprofit organization, in conducting the nonpartisan registration and get-out-the-vote activities, may utilize the employees and facilities of a corporation or the employees or members and facilities of a labor organization.

11.5 Separate Segregated Funds. (1915)

(a) Voluntary Contributions to a Separate Segregated Fund.

(1) A separate segregated fund is prohibited from making a contribution or expenditure by utilizing money or anything of value secured by physical force, job discrimination, financial reprisals, or the threat of force, job discrimination, or financial reprisal; or by dues, fees, or other monies required as a condition of membership in a labor organization or a condition of employment, or by monies obtained in any commercial transaction. For purposes of this section, fees or monies paid as a condition of acquiring or retaining membership or employment are monies required as a condition of membership or employment even though they are refundable upon request of the payor.

(2) A guideline for contributions may be suggested by a corporation or a labor organization, or the separate segregated fund of either, provided that the person soliciting or the solicitation

(i) informs the persons being solicited that the guidelines are merely suggestions; and

(ii) that an individual is free to contribute more or less than the guidelines suggest and the corporation or labor organization will not favor or disadvantage anyone by reason of the amount of their contribution or their decision not to contribute.

A corporation or labor organization or the separate segregated fund of either may not enforce any guideline for contributions.

(3) Any person soliciting an employee or member for a contribution to a separate segregated fund must inform such employee or member of the political purposes of the fund at the time of the solicitation.

(4) Any persons soliciting an employee or member for a contribution to a separate segregated fund must inform the employee or member at the time of such solicitation of his or her right to refuse to contribute without any reprisal.

(5) Any written solicitation for a contribution to a separate segregated fund which is addressed to an employee or member must contain statements which comply with the requirements of subsections 3 and 4, and if a guideline is suggested, statements which comply with the requirements of subsection 2.

(b) Use of Treasury Monies.—Corporations, labor organizations, membership organizations, cooperatives, or corporations without capital stock may use general treasury monies, including monies obtained in commercial transactions and dues monies or membership fees, for the establishment, administration and solicitation of contributions to a separate segregated fund. A corporation, labor organization, membership organization, cooperative or corporation without capital stock

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78

indications to the more limited group. Clearly, the separate segregated fund of a corporation or labor organization may not make a contribution to any of the candidates at the time of the appearances; the corporation or labor organization or its separate segregated fund may not endorse one of the candidates at the time of such appearances.

If representatives of political parties make appearances on behalf of candidates for a particular seat such an appearance would be considered a candidate appearance to the extent that independent candidates for that seat who request must be given the same opportunity to appear.

(3) *Nonpartisan Registration and Voting Information.*—Subsections (c) and (d) draw the line between "pure speech" and "speech plus" activity as was done in § 114.3(5) between § 4(c)(1), phone banks, and § 4(c)(2), get-out-the-vote drives. Under § 4(c), a corporation or labor organization may, in clearly defined nonpartisan fashion, urge the employees of a corporation or labor organization to register or vote. If the communication is directed to both executives or administrative personnel and other employees who are not stockholders, the nonpartisan requirements of this section are applicable. This section is limited to posters, newsletters, or the like which state, for example, "vote", "support the candidate of your choice", "contribute to the candidate of your choice", or similar nonpartisan messages. Information about particular candidates is limited to either the reproduction of the entire list of candidates on the official ballot or the distribution of voter guides prepared by organizations which do not endorse candidates or political parties.

(4) *Nonpartisan Registration and Get-Out-The-Vote Drives.*—Subsection (d) pertains to actual registration and get-out-the-vote drives, such as assisting persons to register, transporting voters to the polls, and providing babysitting services to enable voters to go to the polls. This regulation follows the Conference Report language which requires the drives to be jointly sponsored with an organization that does not endorse candidates or political parties and conducted by that organization. *H. Conf. Report, supra*, pp. 63-64. These drives need not be limited to employees of the corporation or labor organization but may reach the general public.

Subsection (4) allows the organization conducting the drive to use the facilities of the corporation or labor organization, such as setting up a table in a department store to register voters. This subsection allows the employees of a corporation or the members or employees of a labor organization to assist the organization which is conducting the drive. The employees or members must, of course, be under the direct supervision of the organization conducting the drive and must adhere to the nonpartisan requirements of that organization.

§ 114.4. *Separate Segregated Funds.*

(a) *Voluntary Contributions to a Separate Segregated Fund.*—This section generalizes the requirements of the Act requiring corporations, labor organizations, membership organizations, cooperatives, or corporations without capital stock. Subsection (a)(1) sets forth the statutory prohibition in § 441b(b)(3)(A) on the use of money obtained by coercion or money required as a condition of membership in a labor organization. This section specifically prohibits the use of a reverse check-off system.

The Commission interprets the statutory term "condition of membership" to include those under which individuals must make a contribution in order to acquire or retain membership even if the contribution is refundable at a later date upon the request of the contributor.

A corporation or labor organization may, under §(3), suggest a guideline for contributions to its separate segregated fund. However, the enforcement of any guideline is strictly prohibited, and if enforced, the resulting contribution would no longer be voluntary. *CLC v. Laborers Local Union No. 562 v. U.S.*, 407 U.S. 385, 92 S.Ct. 2247 (1973).

Subsections (3), (4), and (5) incorporate the requirements of 2 U.S.C. § 441b(b) (3) (B) and (C). Because the Act requires the disclosures to be made at the time of the solicitation, §(5) requires all written solicitations to contain the required disclosures. This also requires the disclosures to be made by anyone soliciting employees. Accordingly, the disclosure requirements apply to solicitation by a membership organization, such as a trade association, or the executive or administrative personnel of its member corporations.

Language in the Conference Report indicates that the disclosures are to be made by a labor organization when soliciting members who are also employees of a corporation or labor organization. *H. Conf. Report*, *supra*, p. 64. The regulation, accordingly, adds the word "member" to the statutory language. These disclosure requirements also apply to the solicitation of members of a membership organization, cooperative, or corporation without capital stock. The fact that the political purpose of the fund must be disclosed is in accord with § 102.6 of the regulations.

These solicitations must also inform the member of his or her right to refuse without reprisal. This was done to make clear that the membership organization, cooperative, or corporation without capital stock may not cancel membership, policies, or take other similar actions against members who do not contribute.

It is the Commission's opinion that a supervisor may, subject to the requirements of this subsection, solicit a subordinate. This opinion is based on the decision in *Pipefitters* and the conference rejection of the section of the Senate bill which would have prohibited such solicitation.

(b) *Use of Treasury Monies.*—This provision sets forth the prohibition on "trading" treasury monies for contributions. Raffles are specifically allowed subject, of course, to other applicable Federal statutes and state law. The prizes may not be so numerous or so disproportionately valuable in relation to the cost of the raffle ticket that the raffle is, in effect, a "trading" money situation. The Commission has provided a reasonable standard, one-third of the money contributed, as a guide to corporations or labor organizations using these fundraising devices. Under this guide, a separate segregated fund could offer prizes valued at \$300 purchased with treasury money. If the fund received \$900 in voluntary contributions, the fund would not, under this guide, replace the treasury money. If, however, only \$600 was contributed, the fund would use \$100 of voluntary contributions to replace the treasury money since the cost of raising the money exceeded one-third of the money contributed by \$100.

(c) *Membership in Separate Segregated Funds.*—The Commission had been informed that corporations or labor organizations which

By Robert L. Summers

KEA continues to move forward

Last year, I reported to you that KEA was moving forward. This year, I am reporting that we are still moving forward — but at an even faster rate than we were at this time a year ago.

Things are looking up for teachers. We were successful in the last session of the legislature to the point that we have moved up from 46th to 38th in the national rankings on the average salary paid classroom teachers.

This was no accident. We (your KEA leaders), using the unified strength of the active teaching profession, worked with the Governor and members of the legislature. As a result, we achieved the greatest increase ever in state appropriations that moved us in the direction of our goal — an average salary at least equal to the average of the seven surrounding states.

We still have that goal, and during the next three years (during Governor Carroll's term in office) we expect to attain it. And we do not intend to let the Governor forget he has pledged us his full cooperation in seeing that we do achieve at least that average.

Last year, I also reported that we were "holding our own" in the membership area. This year, I am happy to report that we have made gains. This indicates to me that the teachers of Kentucky really do appreciate what the organization is trying to do. Evidently, we are doing things that teachers want us to do. We are, indeed, headed in the right direction.

At the same time we still have to achieve our number one goal, the right to negotiate in every local school system in the state. We are negotiating in more places than ever before. But we do not have the right to negotiate in every system where the teachers want to have a real voice in the decision-making process.

We have made some remarkable strides in this area. Ask the people in Boyd County, Russell County, Fayette County, Newport, Covington, Kenton County, Spencer County, Boone County, Jefferson County, Letcher County, McCracken County, Paducah, Trigg County, Whitley County, Muhlenberg County, and Daviess County, just to name a few. The school board, the superintendent and the parents in those school systems know that teachers are trying to do something to improve the school situation.

According to our latest figures, teachers in 37 different school systems across the state of Kentucky are at one step or another in the formal process of negotiations. Six local associations already have master contracts, and four others have recently achieved formal recognition as the bargaining agent for the teachers. We also have won two bargaining elections to serve as the agent for teachers. The others are at some other place in the process. Thirty-seven associations are not enough. We need every local association in this state negotiating.

We have the political process in action that can gain a state law. However, most laws are passed because of a need. We must be politically active to be sure, but we must also create a need for a bargaining law.

Our local affiliates in Jefferson, Muhlenberg and Letcher Counties have led the way to demonstrate the need for a statewide law. We are much indebted to them for their commitment to improving educational opportunities in their districts.

Speaking of the political process, I can report that we have made some drastic strides forward in this area during the past year. As far as politicians are concerned, KEA is a force to be reckoned with here in Kentucky. NEA has the same kind of strength nationwide, and local associations demonstrated that fall they could play a decisive role in local elections.

Last fall, we were an effective force in achieving the first-ever election of a teaching profession-endorsed presidential candidate. We got six out of seven KEPAC-Endorsed congressional candidates elected in Kentucky. (And I might point out that we endorsed both Democrats and Republicans — because they had proved their support of our professional goals.)

This spring we are entering into the legislative candidate arena and we have endorsed candidates in many of the legislative districts in the state. If our members devote the necessary time and effort to their campaigns, we can be assured of strong support when the Legislature convenes next January.

We have the money to help support these candidates — built up from the voluntary contributions of our members. The latest figures we have show the assets of the Kentucky Educators Public Affairs Council (KEPAC) to total \$184,000. Of this amount, more than \$80,000 is available to the 121 local PAC units in their support of legislative candidates.

Our intention is to give monetary and work support only to those candidates who will in turn pledge their support for our legislative goals. There are some incumbents who already have proved by their actions whether or not they will support us; the others will have to provide some other form of proof. We will work hard for those who do support us, and we will work just as hard to defeat those who do not support our educational goals.

These two major concerns — professional negotiations and political action — have occupied a lot of our attention during the past year. They will take up a big percentage of our time during the coming year.

However, there are several other concerns with which we have which need to be reported to you at this time:

1. The Kentucky Plan. Starting out with a lot of good intentions, and using some teacher input, this program had a good start in its early stages of helping teachers improve their performance.

However, as time went on, and changes were made in it at the instigation of groups of non-teachers, it became an accountability plan of it. Most of the protections from improper treatment of dedicated teachers were taken out.

We are fighting the plan as it has evolved. Many teachers in the state have just said, "We won't have any part of it." In association, we are taking every possible legal step to see that it is or modified.

2. Monitoring State Agencies. In this day and time, there is no way to govern our behavior. However, emerging as an even more potent controlling our actions are rules and regulations by state agencies. The State Board of Education, the Council on Public Higher Education, the Kentucky Council on Teacher Education and Certification.

Each of these agencies has the authority from the Legislature to make rules and regulations which have the force of law. These regulations can have a more drastic effect on our daily work than do the laws passed by the General Assembly.

Consequently, one of our on-going activities in KEA is the monitoring of the work of these, and other, state agencies and regulations they adopt. There are definite assignments in our organization to do this monitoring on a day-to-day basis, and as membership.

3. Protecting Teacher Rights. This has become one of the main segments of our association operations. Our General Council has met that he has handled 1294 requests so far this year from teachers who felt they were being mistreated. We have given full consideration to each complaint, and have taken whatever steps we could to provide full protection of the law.

In the vast majority of cases, we were able to work out an agreement that satisfied the member's needs. However, in more than 100 situations where the informal approach did not work, we took the case into the courts. We did not win every case, but we guaranteed everyone the right to due process.

I might also report here that we have entered into a new relationship with the National Education Association for a sharing of talent in all of our activities in this area so that we can give process rights for all members.

4. The Cold Weather Emergency and Gas Shortage. We played an important role in helping schools adjust to the emergency that arose last winter.

In addition, we worked very closely with Governor Carroll to reduce the minimum school term by five days, and with the State Board of Education to get them to accept another five days as a compromise.

Also, our staff made the initial contacts with the state compensation office to arrange for teachers to draw cash compensation for time lost to the snow, cold weather, and the natural gas shortage.

I could go on at length citing specific examples of how our professional staff is providing the services you need and we have gone into some detail about our efforts in the improvement of education, in protecting human rights, in working on national legislation, providing a wide variation of training opportunities for all.

Suffice it to say that we have not been ignoring such as concentrated on our highest priority activities.

Let me close by stressing the fact that we have achieved during the year an even stronger teacher advocate position. We, as always, have been taking the lead in many different areas of concern, waiting around to react to positions taken by others. For example, I cite the recent attack on us by the Chamber of Commerce shows we are finally being recognized as a power in Kentucky.

This is what we did as the delegates adopted our 1978 KE Program last February. We are moving ahead with programs which are aimed at reaching a climax next January, February, when the legislators consider our proposals.

We are all working together more harmoniously than ever before. Though we are striking out in new directions and going much faster, we are still the same. We are still the same people, with the same responsibilities to the emerging needs, and I am quite certain, in this way, we will continue to move forward in 1977-78 to the goals we have set for ourselves.

com p 10-7

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KENTUCKY EDUCATION ASSOCIATION 101 W. WALNUT ST. LOUISVILLE, KENTUCKY 40202

LAST NAME FIRST MIDDLE

PAYROLL OFFICE COPY

STREET CITY STATE ZIP CODE

CHECK ONE

SCHOOL SYSTEM - Co. or Ind.

SCHOOL NAME

NEW MEMBER

FILL OUT THIS SECTION IF YOU WANT KEA INCOME PROTECTION INSURANCE - WASHINGTON NATIONAL

1. Are you presently actively on duty? ☐ YES ☐ NO
2. Where did you teach last year?
3. Did you carry this insurance? ☐ YES ☐ NO
4. Your annual salary
5. Monthly benefit class

SELECT BENEFIT CLASS FROM KEA INCOME PROTECTION PLAN LITERATURE

NAME OF BENEFICIARY RELATIONSHIP

DEDUCTION SECTION

1. Local Unit (if \$4.00/yr. in dues)
2. KEA and Dist. ☐ Active ☐ Associate
3. NEA ☐ Life ☐ Active ☐ Associate
4. INCOME PROTECTION INSURANCE (Washington National)
5. LIFE INSURANCE (Minnesota Mutual)

TOTAL DEDUCTIONS

I hereby authorize the payroll office to deduct my professional dues and KEA insurance premiums from my salary beginning with the second month of school. Deductions for insurance are to be adjusted in accordance with the current age and rate schedule under a master policy issued to KEA. I reserve the right to revoke this authorization for dues and/or insurance by written notice, either at the beginning or end of the school year.

SIGNATURE

DATE

INDIVIDUAL CONTINUOUS MEMBERSHIP

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12-8

KEPAC

Kentucky Educators Public Affairs Council

Post Office Box 1000

Louisville, Ky. 40201



August 21, 1975

TO: Superintendents

FROM: Dexter Meyer, Chairman, KEPAC State Committee
Lyndle Barnes, Secretary-Treasurer, KEPAC State Committee

RE: Payroll Deduction for KEPAC and NEA-PAC

In April 1975 the KEA delegates took a major step forward to assure educators they would have friends in the state Legislature, the Governor's chair and the U. S. Congress. The delegates passed a resolution asking KEA to collect from its members \$8.00 a year to be used for political action. This will be \$1.00 a month for those who are paying their dues through the APA program.

One dollar (\$1.00) will be sent to NEA-PAC to be used in Federal elections and seven dollars (\$7.00) will be forwarded to KEPAC to be used in Kentucky elections. The Attorney General of Kentucky has ruled that this procedure is legal. A copy of the Attorney General's ruling and a copy of the resolution passed by the KEA delegates is enclosed.

It is hoped that all members of the profession will participate in this important program for education. However, if a member does not wish to participate, he may write KEA and have his contribution deleted from the billing, or he may get a refund of whatever amount has been deducted from his paycheck for political action. This fall a form will appear in the KEA News for members to use in requesting KEPAC and NEA-PAC refunds.

We know that you understand the need for educators to get involved politically in moving education in Kentucky out of the cellar. Therefore, your cooperation and support in this matter will be appreciated.

5/26 - copy to [unclear] [unclear]

Comp # 128

The Political Action Arm of the United Teaching Profession

April 19, 1975

KEA Board and Delegate Assembly

State KEPAC Committee

Reverse Dues Check-Off for Political Activity

The State KEPAC Committee feels that the only way elementary and secondary education programs for the children of Kentucky will be improved is through electing friends of education to public office at the local, state and national level, with special emphasis on the governorship and state Legislature. Therefore, the State KEPAC Committee urges the KEA Board of Directors and the Delegate Assembly to pass the following item to promote political activity:

The KEA recognizes that most decisions affecting education, schools, students and teachers are political decisions. Therefore, KEA urges and encourages its members and affiliates to participate actively in the political process to ensure the election of officials who will make sound decisions on education matters.

The KEA realizes that an individual's involvement in politics, as a candidate or in campaign support, requires a substantial investment of time, energy and money. The KEA urges its members and affiliates to make every effort to assure that such support is provided to candidates who are friends of education.

KEA recognizes that it, as a corporation, cannot become involved in activities that could be construed as lending direct or indirect support to candidates for political office or to campaign funds. Therefore, political activity of KEA members should be channeled through independent agencies such as KEPAC and NEA-PAC.

KEA will deduct \$8.00 annually, in addition to membership dues—\$7.00 for KEPAC and \$1.00 for NEA-PAC. The \$8.00 will be transmitted to KEPAC and NEA-PAC. One-half of the KEPAC contributions shall be set aside for disbursement to affiliated Local PAC units in accordance with KEPAC guidelines. As a consideration for these collections, KEA will charge KEPAC and NEA-PAC an amount of money consistent with its billing and schedule for collection services. It is understood that KEPAC and NEA-PAC will refund contributions to any member requesting it, if the request is made by January 1.

The Delegate Assembly directs the KEA Board of Directors to develop, in accordance with state and federal law, a system for collecting voluntary contributions for political purposes from KEA members as generally outlined above.

This system shall be implemented as soon as feasible, but in no event later than the beginning of the 1975-76 membership year."

Comp # 198

NOTE:

PLEASE
PRINT
HEAVILY.

ONE BALL
POINT PEN

COMPLETE
ALL SECTIONS
OF THIS
FORM

KENTUCKY EDUCATION ASSOCIATION - 401 W. WALTON ST., LOUISVILLE, KY. 40202

KEA COPY

Mr. / Ms. / Mx. Last Name		First	Middle	Social Security Number	CHECK ONE	
Home Address - Street		City	State	Zip Code	<input type="checkbox"/> Teacher	<input type="checkbox"/> Supervisor
Present School System		Name of School		Birth Date	<input type="checkbox"/> Assistant	<input type="checkbox"/> Admin. Serv.
Ethnic Identity Class		Marital Status		Current Title	<input type="checkbox"/> Librarian	<input type="checkbox"/> Bus.
<input type="checkbox"/> Anglo-American <input type="checkbox"/> Black <input type="checkbox"/> Hispanic <input type="checkbox"/> Mexican-American <input type="checkbox"/> Other Spanish Speaking		<input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Widowed <input type="checkbox"/> Divorced		<input type="checkbox"/> Title <input type="checkbox"/> Librarian <input type="checkbox"/> Bus. <input type="checkbox"/> Admin. Serv.	<input type="checkbox"/> Other	
<p>COMPLETE THIS SECTION IF YOU WANT KEA INCOME PROTECTION INSURANCE</p>						
Are you presently working on duty?		Accept Salary from Bd. of Educ.?		Check Below		
<input type="checkbox"/> Yes <input type="checkbox"/> No		<input type="checkbox"/> Yes <input type="checkbox"/> No		1. Professional Payments		
School System Last Year		When you returned to Washington		<input type="checkbox"/> Union Active <input type="checkbox"/> Other Membership Category		
Check One Plan desired 1 or 2 or		Do you also want the Supplemental LTD-Benefits Plan 3 L00077?		<input type="checkbox"/> NEA <input type="checkbox"/> NEA Life Active <input type="checkbox"/> NEA Life Paid-Up <input type="checkbox"/> NEA Educ. Serv. <input type="checkbox"/> NEA Associate		
<input type="checkbox"/> 1 Monthly Benefit \$ <input type="checkbox"/> 2 Sick Leave Coordinated		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Monthly Benefit \$		<input type="checkbox"/> NEA Life Insurance (Washington National) <input type="checkbox"/> NEA Life Insurance (National Mutual)		
Name of Beneficiary		Relationship				
<p>I hereby authorize my employer to deduct from my salary, professional payments, which include Local NEA, and NEA dues, KEPAC and NEA-PAC contributions, and KEA insurance premiums as indicated above in 6 equal deductions. The amounts to be deducted are as follows: Dues - as officially determined by the Local Association, the NEA Delegate Assembly, and the NEA Representative Assembly; KEPAC and NEA-PAC contributions - as the NEA Delegate Assembly deems appropriate to collect and insurance premiums - in accordance with rate schedules included in Master Policies issued to KEA. This authorization is in full force and effect unless revoked by me in writing to KEA. I understand NEA will be paid by cancellations after October 1 of any membership year.</p>						
SIGNATURE		DATE				

Copy # 14 80

A dues checkoff system is often made a part of a contract between a labor union and an employer. Its validity rests entirely on contract. *Greenwald v. Chiarella*, 57 N.Y.S.2d 765. It has been held to be a contractual expedient. *Local 60 of Industrial Union of Marine and Shipbuilding Workers of America v. Wallin Davis & Boat Corporation*, 33 A.2d 708. A negative checkoff system is one whereby a deduction is made from the salary of each member of a specified group of employees with the proviso that each employee who so desires may request that the amount deducted from his salary be returned to him because he does not want to contribute to the particular fund for which the deduction was made.

The official statement as to the reverse dues checkoff for political activity made by KEPAC to the KEA Board and Delegates Assembly contains the following:

"KEA will deduct \$8.00 annually, in addition to membership dues- \$7.00 for KEPAC and \$1.00 for NEA-PAC. The \$8.00 will be transmitted to KEPAC and NEA-PAC. One-half of the KEPAC contributions shall be set aside for disbursement to affiliated local PAC units in accordance with KEPAC guidelines. As a consideration for these collections, KEA will charge KEPAC and NEA-PAC an amount of money consistent with its billing and schedule for collection services. It is understood that KEPAC and NEA-PAC will refund contributions to any member requesting it, if the request is made by January 1."

Although the above-quoted statement is to the effect that KEA members who do not want to contribute to KEPAC must make their request by January 1 in order to get the KEPAC dues refunded, we have been assured by Mr. Robert L. Summers, Executive Secretary of KEA, that any request made for refund during the school year will be honored. Mr. Summers also stated that he is informed by the KEPAC leaders that refunds will be made for the entire year as soon as the request is received.

Under the above statements and premises, it is our opinion that it cannot be said that anyone is being forced to contribute to KEPAC. Since the entire dues deduction system is a voluntary arrangement between KEA and the several school districts of the state and KEA is only the collecting agent for KEPAC, we see nothing illegal in the reverse dues checkoff system.

OAG 75-433

REVENUE AND TAXATION - Levy and assessment of property taxes

SYLLABUS: City cannot increase tax rate for any year subsequent to 1972.

Rev # 378

In following this concept the fiscal court could avoid a court's holding in a particular case of abuse that the expense allowance statute was applied in an unconstitutional manner, namely, that the expense allowance granted by the fiscal court was not reasonable in that it substantially exceeded the actual office expenses of the justice.

Where the courts might hold that the expense allowance set by a particular fiscal court substantially exceeded the actual expenses, the courts might order that any of the expense money in excess of the actual expenses must be returned to the county treasury by the affected justice of the peace. *Fennin v. Davis, Ky., 365 S.W.2d 321 (1964), 325.* For such excess [over and above the actual expenses] could be termed pure compensation.

OAG 75-423

TEACHERS - KEA dues, KEPAC, negative checkoff

SYLLABUS: Deduction from teachers' salaries for KEPAC by a negative checkoff system is legally permissible.

To: James W. McClave, 716 Gilley St., Flatwoods, Ky.
By: Carl Miller, Asst. Atty. Genl., June 9, 1975

You have requested an opinion of this office as to the legality of the Reverse Dues Checkoff adopted by the KEA Delegate Assembly for the benefit of KEPAC. You state that you are a teacher and a member of the KEA, KEA and NEA, and that you feel you are being forced to contribute to KEPAC instead of doing so on a voluntary basis.

KEPAC (Kentucky Educators Public Affairs Council) is described as the political action arm of the KEA. Since KEA is a corporation and therefore barred from making political contributions by the Corrupt Practices Act, KRS Chapter 121, KEPAC is a separate association without any official connection with KEA. In the 1974 Delegate Assembly of KEA, the Assembly voted to comply with a request from KEPAC to institute a negative checkoff system and collect \$8 a year from each member of the KEA for the benefit of KEPAC. The only function of KEA in connection with these contributions to KEPAC is that KEA will ask the cooperating school districts to collect the \$8 per member which KEA will pass on to KEPAC and make a nominal charge for the service of collecting the contributions. The KEPAC contributions will be collected only from the salaries of KEA members who have on file with their employer school district an authorization for payroll deductions of KEA dues. (We are told that about 85 percent of the school districts in the state cooperate with KEA on a voluntary basis by making payroll deductions of KEA dues.)

Res # 2 P

KENTUCKY EDUCATION ASSOCIATION - 101 W. WALNUT ST., LOUISVILLE, KY. 40202

First Name: **WILSON** Last Name: **GLENN E** Social Security Number: **405-58-9660** **SEE COPY (10)**

Home Address - Street: **1096 Mt. Zion Rd. Independence, Ky. 41051** City: **Independence** State: **Ky.** Zip Code: **41051**

Present School System: **Boone County** Name of School: **Ockerman Jr. Hi** Birth Date: **11-22-42** Sex: **Male**

Grade (Elementary Class): **10** ☐ Junior High ☐ Senior High ☐ Other ☐ **10** ☐ **11** ☐ **12** ☐ **13** ☐ **14** ☐ **15** ☐ **16** ☐ **17** ☐ **18** ☐ **19** ☐ **20** ☐ **21** ☐ **22** ☐ **23** ☐ **24** ☐ **25** ☐ **26** ☐ **27** ☐ **28** ☐ **29** ☐ **30** ☐ **31** ☐ **32** ☐ **33** ☐ **34** ☐ **35** ☐ **36** ☐ **37** ☐ **38** ☐ **39** ☐ **40** ☐ **41** ☐ **42** ☐ **43** ☐ **44** ☐ **45** ☐ **46** ☐ **47** ☐ **48** ☐ **49** ☐ **50** ☐ **51** ☐ **52** ☐ **53** ☐ **54** ☐ **55** ☐ **56** ☐ **57** ☐ **58** ☐ **59** ☐ **60** ☐ **61** ☐ **62** ☐ **63** ☐ **64** ☐ **65** ☐ **66** ☐ **67** ☐ **68** ☐ **69** ☐ **70** ☐ **71** ☐ **72** ☐ **73** ☐ **74** ☐ **75** ☐ **76** ☐ **77** ☐ **78** ☐ **79** ☐ **80** ☐ **81** ☐ **82** ☐ **83** ☐ **84** ☐ **85** ☐ **86** ☐ **87** ☐ **88** ☐ **89** ☐ **90** ☐ **91** ☐ **92** ☐ **93** ☐ **94** ☐ **95** ☐ **96** ☐ **97** ☐ **98** ☐ **99** ☐ **100** ☐ **101** ☐ **102** ☐ **103** ☐ **104** ☐ **105** ☐ **106** ☐ **107** ☐ **108** ☐ **109** ☐ **110** ☐ **111** ☐ 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Boone Co.

KEA

101 W. Walnut St.
Louisville, Ky. 40202

Attn: Mr. Lyndle Barnes

Dear Mr. Barnes,

I do not wish to
contribute to KEPAC & NEPAC.

Please notify the proper
authority so that it will not
be withheld from my check.

Thank you,

Glenn Wilson
1096 Mt. Zion Rd.
Independence, Ky.

4/05/

ok - already cancelled per
note on form. S

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chairman and a treasurer?

A

Yes.

Q48

So it has all the earmarks of a committee, doesn't it?

A

Yes. As I said a while ago, one-half of the funds that come in after they are affiliated is set aside for their use, so we have to know, we can't just let anybody sign a request form for money. We have to know which one.

Q49

Do they have a separate bank account?

A

No.

Q50

Do they have an account at all?

A

No.

Q51

They then petition K.E.P.A.C. and say we have X expenses, will you send us a check so we can pay them?

A

No, they usually send us a bill that they incurred and we pay the bill.

Q52

So you cover the committee's expenses on a local level?

A

Right.

Q53

Now, what if the local committee wants to make a contribution to a candidate,

how do they do that?

A To a State candidate?

Q54 Yes.

A They cannot make a contribution to a State legislative candidate unless that candidate is also been approved or endorsed by the State committee, then after that they may.

Q55 So then they then petition to K.E.P.A.C. and say we need to make a contribution to Mr. X, who is running for some office, please provide us with the funds and K.E.P.A.C. decides whether or not they will in fact provide them with the funds?

A No, that's not how it works. They know the ones that we've endorsed, and they request that they send to that candidate so much money and we take it from the money that is available to them.

Q56 Now, when you send the money to them, why send it to a local organization, if you're going to -- if K.E.P.A.C. is in fact making the contribution?

A We don't send it to the local organization, we send it to the candidate or a person to where this group has incurred the bill.

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We might send a check to the individual to give to the candidate, but it would made out to the candidate or the candidate's committee, as the case may be.

Q57 And would it be coming from then K.E.P.A.C., the check say that this is a check from K.E.P.A.C. rather than from Webster County?

A It has on it that it's from K.E.P.A.C., but it will also probably have on it or at least the bottom part of the check that's torn off and it's not really part of the check. It'll state what part it was requested from, so we'll know how to credit it on our bookkeeping system.

Q58 Now, do these local committees in fact incur expenses? What kind of expenses do they have?

A Oh, they might even invite a legislative candidate or a group of candidates to a meeting to talk to them about educational issues, they might have a dinner or something and they would incur that expense and then they would send it in.

Q59 Do any of them have offices?

A No.

Q60 You say none of them have separate checking accounts?

A No.

Q61 But they do have separate officers, they have their own chairman?

A Yes.

Q62 Do they have meetings?

A Yes, I assume they have meetings of the groups that are contributors.

Q63 You indicated to Mr. Slattery that K.E.P.A.C. requests that K.E.A. do its dues collection, is K.E.P.A.C. separate and apart from K.E.A.?

A Yes.

Q64 Totally independent?

A Yes.

Q65 If not a part of the professional organization per se?

A That's correct.

Q66 When K.E.P.A.C. asked K.E.A. to do this dues deduction, was K.E.P.A.C. aware that they were incurring -- that they were going to ask the school boards to do the same thing?

A Well, we knew that K.E.A. had a payroll deduction process that had been going on for a long time, with its payroll deduction billing system, which had been worked out since 1960, and we

felt like this could be worked into the system.

Q67 Do you know approximately how many school boards do the deduction process for K.E.A. and K.E.P.A.C.?

A Well, not of them.

Q68 How many school boards are there in Kentucky?

A One hundred and eighty-one.

Q69 Now, let me ask you, I believe you heard Dr. Potts testify that Fayette County, at least prior to this year, -- They may be doing it this year, but prior to this year they did not do the deduction process for K.E.P.A.C. because they did not consider it a part of the K.E.A. dues.

A Right.

Q70 Now, in that particular county, how does K.E.P.A.C. go about obtaining contributions?

A Through solicitation, by teachers that are in that system that are members or contributors to K.E.P.A.C.

Q71 Does this require time and effort on the behalf of K.E.P.A.C.?

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A Well, in so much that you might send K.E.P.A.C. contribution forms or something like this.

Q72 When those funds are brought in or when you have to go out and solicit don't you have to use K.E.P.A.C. personnel for that?

A Any teacher can volunteer any amount of time they want to to work in this area.

Q73 But they contribute something in doing it, don't they, their service or whatever you want to call it?

A Well, members -- I suppose.

Q74 if And/all the school boards refused to provide you with this deduction service, it would in fact cost K.E.P.A.C. something to do it otherwise, wouldn't it?

A Unless we'd get all volunteers.

Q75 Even then it would cost those people time and effort?

A Probably, yes.

Q76 So in fact, when the school boards make these deductions they are providing

U 0 0 4 0 2 Q 5 3 8 8
K.E.P.A.C. a service, aren't they?

Well, let's put it this way, you just testified that if they didn't do it, you would have to do it. So in that sense of the word, they're providing you with a service, aren't they?

A Well, it's hard -- kind of hard to answer that question. Yes, we have to have funds I suppose in an indirect way they are.

Q77 Now, when a school board member joins K.E.A. does he fill out a deduction authorization?

A A school board member?

Q78 Excuse me, when a teacher joins K.E.A., does he fill out a deduction authorization form?

A Yes.

Q79 And is that in fact what consists of his membership, does that indicate that he is now a member of the K.E.A.?

A Yes.

Q80 And did K.E.A. -- strike that.

Did K.E.P.A.C. in fact use what is called a "negative check-off process" to get

it's membership enrolled?

A Well, we call it a check-off system, it's not a true negative check-off system because we give the teachers the opportunity, the K.E.A. deductions or the A.P.A. deductions does not start until November, and if the teachers want to say that they don't want to belong, they can include that on their enrollment form and they can say that they don't want to contribute to K.E.P.A.C. and it's never deducted.

Q81 But that's not what the form says, is it?

A We go beyond the form. At any time during the year we refund it if the teacher wants it back.

Q82 Let me ask you this: I believe you saw or had an opportunity or if you didn't please tell me, the deduction authorization form which I introduced to Mr. Lay?

A Yes.

Q83 Is that a type of deduction authorization that is actually used by K.E.A.?

A That's a billing form -- I don't know which one you're talking about.

Q84 It's a --

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A (Interrupting) Yes, that's the type, I don't know whether that's our most recent one or not, but that is a type.

Q85 Does K.E.A. have more than one form deduction authorization that's being utilized over the State, if you know.

A Yes, we have somebody on our staff that works with that that would be more qualified probably to answer it than I would.

Q86 So that one might be used in the State and there may be other ones being used in the State?

A That's right.

Q87 But has that one been used in Kentucky?

A This looks like a very old form, yes, for membership, yes.

Q88 And it would have entitled a person to membership in K.E.P.A.C. as well?

A No, that one does not have anything listed about K.E.P.A.C. on it.

Q89 I notice that; that's the reason I'm trying to find out. So that one did not entitle you to membership in K.E.P.A.C.?

A That's true.

Q90 Now, is this a form that is also being used by the K.E.A. at the present time?

A I don't know.

MR. GAPPNEY: I would like to have this marked and introduced anyway.

Q91 Let me ask you, to your knowledge, and I'm talking about the operation of the K.E.P.A.C. and the check-off system, whether we want to call it negative or what. When a person joins K.E.A. he fills out the authorization deduction; it's true, isn't it, that he automatically also authorizes that his K.E.P.A.C. dues be deducted from his paycheck?

A Yes, unless it's stated otherwise.

Q92 Unless he states otherwise?

A Yes.

Q93 Now, isn't it true also that the only way he can get those funds back once he finds that authorization form is to write to K.E.A., he doesn't write to K.E.P.A.C., does he?

A No.

Q94 He writes to K.E.A.?

A No, we do not collect the funds directly for -- K.E.P.A.C. does not, it's

transmitted to us through our R.P.A. member.

Q95 So he has to contact the K.E.A.?

A Yes, in some way, either writes it on the form when he fills it out or he writes the K.E.A., we cannot take phone calls, because anybody can phone in, and we have to be sure that that individual wants that.

Q96 So he has to give you something in writing or make a personal appearance?

A Yes.

Q97 Now, I believe you said that there are some twenty-three thousand members in K.E.P.A.C.?

A That's an approximation. The record will fluctuate because of the deductions.

Q98 Do you know how many this year, how many members of K.E.P.A.C. and K.E.A. asked that they be refunded their dues?

A There was about five thousand.

Q99 Were they members of K.E.A. and also K.E.P.A.A.C and they wrote in and said that they would like to withdraw?

A No, some of them were not

... of both. As you heard from Dr. Potts, some
of them in Fayette County did not give the deflection,
but the majority of them were -- indicated in some
way that they did not wish to participate.

Q100 Do you have copies of
letters from teachers indicating that they wish to
withdraw?

A Yes.

Q101 And there's about five
thousand of these?

A Well, unless it was like
Dr. Potts, there was several hundred over there.

Q102 Would you have gotten as many
as a thousand letters from teachers asking for their
money back?

A In some way, yes.

Q103 Would you produce -- I
think that's too many --

THE CHAIRMAN: (Interrupting)
Yes, that's too many.

MR. GAFFNEY: Well, I'm sort of
interested in some way, I don't know what that
means.

THE CHAIRMAN: Well, I think
his testimony is sufficient in showing that the stop

has been put on some.

Q104 Now, have you ever had any teacher complain about the K.E.A. deductions?

A Well, we always have complaints.

Q105 Have you ever had any teachers that complained about the K.E.P.A.C. deductions specifically?

A Yes.

Q106 Have you ever had teachers that told you they did not even understand what K.E.P.A.C. deductions were?

A I can't recall whether they told me that or not, sometimes we endorse a candidate that they don't particularly favor, we hear about that.

Q107 When you say it's true that many teachers don't even know that they're being assessed K.E.P.A.C. and E.E.P.A.C. dues?

A Not, I'd say that's false. We make every effort to communicate this to them, through our publications and through our local administration and through every means that we have at our hands.

Q108 Do you believe that

teachers feel intimidated or coerced by this particular method?

A No.

Q109 Did you read the letter from Mr. McClave, to the Attorney General?

A Yes

Q110 He indicates there that he felt like he was being forced to contribute.

A Well, he was questioned, of course, I'm sure there are individuals, but you said teachers and I'm going by large numbers.

Q111 Well, he was a teacher.

A Well, I think you'll find individuals that may feel this way.

Q112 Are you aware that the particular method caused a negative check-off which has at least many of the earmarks of being utilized by K.E.P.A.C. is specifically prohibited by federal law?

A Well, we do not have strictly a negative check-off.

Q113 Well, I'm saying, are you aware that similar programs have been declared illegal by federal law?

A Yes, I'm aware --

MR. MATTHEW:

I object, I don't think the witness is qualified to answer on what is reflected in Federal law.

Q114 Now, when K.E.P.A.C. and the K.E.A. do the dues deduction in the form that it's done, whatever that form is, did it also ask the N.E.A. dues be deducted the same way?

A Yes.

Q115 Did N.E.P.A.C. ask K.E.A. to do that?

A Yes, our members are a unified organization, our members are N.E.A. members as well.

Q116 Did N.E.A. ever ask the K.E.A. to do the deductions and collection process for the political contributions as they are done?

A Yes, they advocate this in their meeting, their N.E.P.A.C. meetings and also I guess their representative assembly.

Q117 Now, when K.E.P.A.C. asked the K.E.A. to do this, they drew up a formal resolution and made the request in writing, did N.E.P.A.C. do the same thing?

A Yes, N.E.A. has one.

Q118 Would you provide this

Committee a copy of that resolution or request similar to that of K.E.P.A.C. to make this national political contribution deduction through the K.E.A.?

A I don't have any such thing with me, but it is available, it's been advocated and it's in our literature.

Q119 Now, I believe you said there was over a hundred school boards in the State of Kentucky. Have you requested of each of those school boards that they provide you with this deduction service?

A Yes, when it was authorized back in '74 as a delegate assembly. We sent them a letter explaining to them what it was all about, all the superintendents, a copy of the attorney general's opinion saying that it was legal, and that went to all the superintendents throughout the State.

Q120 And the majority of those school boards do provide you with that service?

A Yes, they do.

Q121 Now, when the school boards provide this check-off service or this deduction service, do they send the funds to K.E.A.?

A Yes.

Q122 And these funds are not mingled with other K.E.A. funds, aren't they?

A No.

Q123 They're not, they're sent in a separate check?

A Well, we've got our secretary and our treasurer of this fund with us that would be better able to answer.

Q124 Well, did you hear Mr. Lay testify --

A (Interrupting) Well, they send me the funds, the K.E.P.A.C. funds and I know about those. But as you saw from this exhibit you entered earlier, they're several things on there besides K.E.P.A.C. that comes in on the total A.P.A. account. And then disbursements are made from that to the groups that are on that deduction.

Q125 So all the money does come in one common pot?

A They make out one check for the local board of education.

Q126 Then does K.E.A. write a check to K.E.P.A.C.?

A Yes.

Q127 Now, I believe you testi-

Q128 Is it before that K.E.A.C. supports issues and candidates in the State of Kentucky, is that right?

A Yes.

Q129 But it does also support some federal candidates?

A Yes.

Q130 I'm kind of interested; is Mr. McClave still a member of K.E.A.?

A I would have to check the record on that.

Q131 You indicated that when he sent his question into the Attorney General, that you then contacted the Attorney General's office yourself.

A We were in the process of doing that when he got his request.

Q132 So you were going to ask the same question, whether it was legal?

A Right.

Q133 Did you have some question about it?

A Well, the delegates wanted to be sure that we didn't do anything illegal.

Q134 Now, when you were testi-

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Q133 I thought I understood you to say in response to a question from Mr. Slattery as to whether or not K.E.P.A.C. had a bank account, you said they had a bank account and then you said they had several in fact.

A No, I did not. If I did, I didn't mean to.

Q134 Well, that's what I'm asking you, do you have several?

A No.

Q135 You have one bank account?

A Yes, one. I was talking about K.E.A. and A.P.A.

Q136 Do the local units support local candidates?

A Yes.

Q137 Do they determine what local candidates they are going to support?

A If you're talking about a school board race, the answer is yes.

Q138 So they operate independently from K.E.P.A.C. in that sense?

A Yes, on local candidates, not legislative candidates.

Q139 But they do obtain funds

from K.K.K.A.C. to make these contributions to the local candidates they select, isn't that correct?

A Yes.

Q140 Now, I'm not sure I understand, I don't want to beat a dead horse, but just for clarification purposes, do you have some type of formula to where these local groups or these local pacts are able to obtain one-half of the amount that there is contributed, is that how it works, maybe you can enlighten me a little.

A Well, one-half is set aside or reserved for their use, right.

Q141 And they can use this, if they want to give it to a local candidate they can?

A Yes.

Q142 What if they don't use it, does it accumulate?

A It stays in the central account.

Q143 For instance, for one year they don't make any contributions at all, the next year does that amount -- their one-half accumulate, does it increase?

A Yes.

Q144 Now, you said that you rent space and hire help from K.E.A., so K.E.P.A.C. then reimburses K.E.A. for the services they render?

A That's right, we hire a secretary of our own, a bookkeeper.

Q145 Well, does K.E.P.A.C. reimburse any of the school board for the services they provide?

A No.

MR. GAFFNEY: I believe I have no further questions at this time.

MR. OCKERMAN: I believe I have one question.

Mr. Barnes, how is the K.E.P.A.C. committee selected, is it governed by a 15-man group?

THE WITNESS: Yes, they're governed by -- they're elected by -- we're divided or K.E.A. has been divided by districts, so the contributors of those regions select representatives.

MR. OCKERMAN: Do they hold a separate conference or meeting?

THE WITNESS: Yes, that's right they hold separate meetings and all who wish -- all

contributors who wish to attend, they may and make
their selection.

MR. OCKERMAN: Is that on a
local basis?

THE WITNESS: Yes, they're
elected for two years.

MR. OCKERMAN: I understand.
You're the president of K.E.P.A.C.?

THE WITNESS: That's right.

MR. OCKERMAN: And you're also
an employee of the K.E.A.?

THE WITNESS: Yes.

MR. OCKERMAN: Does your
compensation come from K.E.A. or K.E.P.A.C.?

THE WITNESS: K.E.A.

The work that I do for K.E.P.A.C. is over and beyond
of what's required by the K.E.A.

MR. OCKERMAN: What percentage
of your time is involved in K.E.P.A.C.?

THE WITNESS: Oh, it would
be hard to say, it depends on what time of the year
it is.

MR. OCKERMAN: Well, let's
suppose its the year of a legislative election.

THE WITNESS: Well, it doesn't

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each time.

MR. OCKEMAN: Who is the
president of K.E.P.A.C.?

THE WITNESS: The chairman--
we have a Chairperson, Doris Wilson.

MR. OCKEMAN: Is that a
school teacher?

THE WITNESS: That's a school
teacher in Jefferson County.

THE CHAIRMAN: Are there any
other questions?

MR. COY: Yes, I have one.

Mr. Barnes, you indicated
in response to one of the questions by the Council,
I'm not sure which, that K.E.P.A.C. makes it's
disbursements under guidelines that are delegated
by the K.E.P.A.C. committee, is that correct?

THE WITNESS: Yes.

MR. COY: Now, I would
assume that those guidelines are in writing, are
they not?

THE WITNESS: Yes.

MR. COY: Will you file
a copy of those guidelines and make that a part of
your testimony here today, the guidelines of which are

currently working?

THE WITNESS: I will be glad to.

MR. COY: Now, I want to ask about these local pacts. As you denominate them, in fact, as I understand it, the local political action committee is like these Webster County pacts, for example, is not a legal entity in the sense that it is organized as a separate political action committee, is that correct?

THE WITNESS: That's correct.

MR. COY: The Webster County Pact is a committee which is selected by the Webster County members of K.E.A. or the Webster County Education Association?

THE WITNESS: It's the contributors, that's a separate thing. We try to keep it completely separate.

MR. COY: Now, before -- under your guidelines, before that committee can make a -- before a committee can make a request of K.E.P. A.C. for the disbursements of funds, it must in fact organize, is that correct?

THE WITNESS: Yes, that's correct.

MR. COY:

a chairman?

There has to be

THE WITNESS:

who the chairman and the members are.

We have to know

MR. COY:

Now, if I follow the process correctly, the way you do this, if I was a candidate for the General Assembly of this year and K.E.P.A.C. had voted to support me, before K.E.P.A.C. would make a contribution to my campaign, even though it had endorsed my candidacy, it would be necessary for Madison County request of K.E.P.A.C. that a disbursement be made to me, is that correct?

THE WITNESS:

Well, we would -- we would have the local people and the State Committee must agree on candidates, and the State does have the right to disburse State funds, not those set aside for local funds, as far as State candidates, like a local legislator, but generally that's the way it's done.

MR. COY:

Okay. Let's assume then by taking your answer that I'm in favor with the hierarchy but not with the local people because -- just personal reasons. You're saying that the fifty percent could not be disbursed on my behalf, that is, the money that goes back to the local support, but if K.E.P.A.C. wanted to, they

could go ahead and make a contribution to my campaign?

THE WITNESS: No, if there's a local pact, there must be agreement with the people or else we just back off that candidate.

MR. COY: Well, you said earlier that only those candidates that have been endorsed by K.E.P.A.C. are eligible.

THE WITNESS: That's right. K.E.P.A.C. has the veto power.

MR. COY: Now, is it necessary to get K.E.P.A.C. endorsements, that I first get an endorsement from my local Madison County pact before I can even say to K.E.P.A.C., "Hey look, I'm a good boy, help me"?

THE WITNESS: Well, either the local committee can recommend or the State Committee can recommend, both have to agree.

MR. COY: Okay. Let's assume that I've overcome those hurdles; I've gotten beyond that. Then from what I understood about your testimony earlier, you're not going to send me the check, you're going to send it to the chairman of my local committee, but he brings it to me and says, "Hey, Charlie, look, we've gotten you this money, he should help us"?

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Well, many times we send it directly to the candidates, it depends on what the local people want to do.

MR. COY: So there is no policy with respect to the delivery of the checks?

THE WITNESS: No, there's no hard set policy.

MR. COY: Now, the matter that I covered with you, Mr. Barnes, are they matters that are covered by the written guidelines that I will have you file as a part of your deposition?

THE WITNESS: Those are mostly policy matters that may or may not be in the guidelines.

MR. COY: Thank you, sir.

THE CHAIRMAN: Is there anything else?

MR. OCKERMAN: Yes, I'd like to ask one more.

MR. OCKERMAN: When you or any representative from the state or county pact consider making a contribution, does anyone talk to that candidate to try to ascertain his views?

THE WITNESS: Yes, we send questionnaires to every candidate to try to ascertain

their views as far as education is concerned, and the local people talk to them.

MR. OCKERMAN: Do you ask them in the questionnaire or the interview if they will support a particular policy?

THE WITNESS: Well, most of them are very hesitant, I've dealt with the legislative board quite a while and most of them are hesitant about a particular piece of legislature. But mostly if they support goals and this sort of thing, and usually we use their voting record as an evaluation.

MR. OCKERMAN: Just for my enlightenment, what was your two most goals in this past legislature?

THE WITNESS: Well, we have 26 different planks in our legislative program, and it is hard to set any one goal above the other goals; they're all introduced as bills and we work for them because with the teachers, what might be one person's main priority may not be another's, but funding is generally among the top ones.

THE CHAIRMAN: Could you just give us another one?

THE WITNESS: Well, we have a

lot of them, the last quarter we had short kinder, then we had collective bargaining and we had depression negotiations and we had some fringe benefits.

MR. CARRELL: I have one question. How many teachers or people affiliated with education, what percentage belong to the K.E.A., do you know?

THE WITNESS: I'm just making a guess now, I'd say eighty to eighty-five percent.

MR. CARRELL: That are affiliated with K.E.A.?

THE WITNESS: Yes.

MR. CARRELL: Does that include high schools or does that count the colleges?

THE WITNESS: Well, I was -- I was thinking mostly of the public high schools. We do have college teachers.

MR. CARRELL: Well, basically it's through high schools?

THE WITNESS: Yes.

MR. CARRELL: About eighty to eighty-five percent are affiliated?

THE WITNESS: That's just a guess.

MR. COY: Mr. Barnes,

there's one other thing that I'd like to ask you about. I know that you do have a questionnaire for the legislative candidates for this year. Would you file a copy of that questionnaire and make that a part of your testimony here today?

THE WITNESS:

Yes.

MR. GAFFNEY:

I have one other question..

RE-CROSS EXAMINATION

BY MR. GAFFNEY:

Q146

I got a little bit confused about when we were talking about the agreement between the K.E.P.A.C. and the local pact as to the candidates they support. Now, that does not apply to their selection of local candidates, to contribute to, does it?

A

I don't know if I understand your question.

Q147

Well, if I understand your testimony, in a State-wide race, if you have a legislator there that's running for an office in Webster County, then K.E.P.A.C. and Webster County pact must agree to the support of that candidate or he does not get anything?

Q148

Now, on your local committee, these school board members, let's say of Webster County, the local part does not have to agree with K.E.P.A.C. as to who's going to make the contribution, does it?

A

That's right.

MR. GAFFNEY:

That's all I have.

THE CHAIRMAN:

Anything further, if not, you're excused and Mr. Slattery, you may call your next witness.

(WITNESS EXCUSED)

RAYMOND C. TACKETT, called on behalf of the Respondent, being first duly sworn, was examined and testified as follows:

THE CHAIRMAN:

For the record, would you please give us your name and address and occupation?

THE WITNESS:

Raymond C. Tackett. The business address, 101 West Walnut

Street, Louisville, Kentucky. My name is Director
of Special Services for the Kentucky Education
Association.

THE CHAIRMAN: Mr. Slattery,
you may ask.

DIRECT EXAMINATION

BY MR. SLATTERY:

Q1 Mr. Tackett, will you
describe for the Registry your summary of your duties
as an employee of K.E.A.?

A Okay. Part of the duties
include collecting membership dues, which include
all the things on the sheet here, the local dis-
tricts, the K.E.A., N.E.A., K.E.P.A.C., the insur-
ance programs, we keep the membership records, we
do the disbursing of funds that are collected
through the proper agencies. We also handle the
accounting for the K.E.A.

Q2 All right. You made a
reference to the form -- excuse me, may we go off
the record a minute?

(OFF THE RECORD REMARKS)

Q3 I'll show you here exhibit

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No. 11 and this is apparently a payroll deduction form. Will you explain to the Registry what that form is and how it's developed and what its purpose is?

A Well, this is a billing form that we send to the local boards of education superintendents primarily, which tells them how much -- it's got a total column showing how much should be deducted from each person's paycheck monthly, and then it gives a -- seven columns across detailing what it's made up of, how that total is made up.

Q4 As I understand it, each of these persons on the form have a deduction authorization of some kind to back up the deduction?

A Yes, for each and every item.

Q5 Do you send a copy of that deduction form to the Board of Education?

A Yes.

Q6 Would that be the copy of the form that's designated for the payroll officers?

A Yes, but let me clarify it just a moment. Sometimes those are taken out before we get them. We don't necessarily send them. The local units may pull them out and give it to

the people who were to get it.

Q7 In any event, they wind up with the Board of Education, one way or the other?

A Right.

Q8 Before the deductions for K.E.P.A.C. and N.E.P.A.C. were made, was any kind of a notice sent to the Board of Education, to your knowledge?

A I'm trying to see what the question is calling for?

Q9 Was there a letter or a memo or any kind of written communication sent to the Board of Education advising that the billing forms would include the K.E.P.A.C. and N.E.P.A.C. deductions?

A Not to my office.

Q10 Then are you aware of one that came to another office?

A Yes, concerning K.E.P.A.C., there was communications with other offices, I'm sure.

Q11 But to your knowledge, then, the Board of Education was advised that this was going to happen?

A Yes.

Q12 When money comes in to

Q12. how is it handled, do you have a bank account?

A Yes, we have a bank account.

Q13 Is this the same as K.E.A.'s regular bank account?

A No.

Q14 Will you tell us about it, what kind of an account is it?

A Well, it's a-- it's sort of an escrow account. All the money that's collected is put into that account and disbursed to the agencies that it's collected from.

Q15 Then are you saying that everything that is collected under this billing form is collected for someone else?

A Yes.

Q16 Then it really wouldn't be the property of K.E.A., would it?

A No.

Q17 But you handle it for another person?

A Yes.

Q18 For example, this insurance, that isn't the K.E.A.'s money, is it?

A No, it belongs to the insurance company and we send a check to the insurance company.

Do they require you to
account for that money?

A Yes.

Q20 Is this account audited?

A Yes.

Q21 Who makes an audit of this
account?

A A C.P.A. firm.

Q22 Now, is this a separate
account from the general funds of the K.E.A.?

A Yes.

Q23 Are you in a position to
know if improper disbursements have ever been made?

A Yes.

Q24 Do you know --

MR. COY: (Interrupting)

Wait a minute. He said he was in a position to know.

Has any been made?

THE WITNESS: No.

Q25 How would you -- How could
you tell whether or not an improper expenditure
had been made out of the K.E.A. fund?

A Well, there are books on
this.

Q26 For example, if you saw a

check to the Office Equipment Company for a desk,
would that be a proper expenditure from this fund?

A No.

Q27 On the other hand, if you
saw a check to Washington National Insurance Company
for the insurance you collected, would that be
proper?

A Yes.

Q28 Do you charge K.E.P.A.C.
for collecting these contributions?

A Yes.

Q29 What is the charge?

A Twenty-five cents per
transaction.

Q30 Do you make charges to
any N.E.A.P.A.C. for collecting their dues?

A Yes.

Q31 What is that charge?

A Twenty-five cents per
transaction.

Q32 What about the Washington
National?

A It's the same.

MR. CHAIRMAN: Let me ask you
something, you say twenty-five cents per transaction,

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It's only a dollar a month, isn't it?

A Yes.

THE CHAIRMAN: And you charge
a quarter to collect a dollar?

A Well, one transaction
would be entering it into the record, and the other
one would be if we take it out.

THE CHAIRMAN: That's a whole
piece of money?

A Right, that's for the year,
annually.

MR. GAFFNEY: It would be
twenty-five cents for eight dollars?

A Right.

MR. CARRELL: Let me ask you
one question. I understood earlier that the money was
all sent to K.E.A. and distributed. Now, how are
the checks made out to these local school boards to
K.E.A. or to whom?

A Generally to K.E.A.

MR. CARRELL: Now, K.E.A. does
handle the money then?

A Yes, in a separate amount.

MR. CARRELL: Well, you said
they didn't. I say they do.

MR. CARRELL:

(Interrupting)

Wait a minute, the check goes to K.E.A., so K.E.A. handles all this, right?

A

That's correct.

MR. CARRELL:

And you put it

in an escrow account?

A

Right.

MR. CARRELL:

So, that's a

separate account of K.E.A.

A

Right.

MR. CARRELL:

So you can't

say that they don't have the money because they do.

MR. SLATTERY:

Well, I don't

think he said they didn't handle the money.

MR. CARRELL:

Well, he said

K.E.A. didn't handle the money.

MR. SLATTERY:

Well --

THE WITNESS:

(Interrupting)

Well, if I did, I misunderstood the question.

MR. SLATTERY:

Well, let me

clarify that if I may without getting into a hassle over it.

Q33

Is that A.P.A. account,

a K.E.A. bank account?

A Yes.

MR. CARRELL: Then it's made out to the K.E.A., it has to be.

Q34 Are the checks that go into the A.P.A. account made payable to K.E.A.?

A Yes.

MR. CARRELL: Well, it's K.E.A. money, it has to be. I was Clerk of the Court and handled three million dollars a year, and all the money came into the Clerk of the Court, it had to be distributed to the accounts, I know what it is. I had the same thing to separate accounts, but it all comes into one office, then you make distribution of an escrow account to the various funds. So it's K.E.A. money when they get it until they distribute it out, it can't be any other way.

A That's correct.

Q35 All right. I would like to show you a membership form for Mr. Glen E. Wilson. Will you look at that form, please. When was that received in the offices of K.E.A.?

A September 20th, 1976.

Q36 Had any deductions been made for that year from Mr. Wilson's paychecks by the Board of Education?

Q36 Not at that time.

Q37 There is a note down at the bottom, will you read the note?

A It says, "Do not withhold K.E.P.A.C. and N.E.P.A.C."

Q38 What did you do when you received that note on the enrollment form?

A We took and punched in to the computer a program not to withhold the K.E.P.A.C. and N.E.P.A.C. contributions, not to put it on our bill.

Q39 All right. There is an attachment, a second sheet, letter from Mr. Wilson.

A Yes.

Q40 And I believe that letter says -- it's to the K.E.A., and it says, "Please notify the proper authorities not to deduct K.E.P.A.C. and N.E.P.A.C."

A That's right.

Q41 Now, there's a note at the bottom, what happens when this letter is received in your office?

A The letter is handled by one of the keypunch operators, and she has a note written on the bottom, it says, okay, already cancel-

100 100 100 100

Q42 Now, the next sheet is the A.P.A. billing form for Boone County, is that correct?

A Yes.

Q43 Was any K.E.P.A.C. or H.E.P.A.C. deducted from Mr. Wilson's pay for that year?

A On the line with Glen E. Wilson's name the K.E.P.A.C. and H.E.P.A.C. column is blank, it's not included in his total billing.

Q44 Was Mr. Wilson retained as a member of K.E.A.?

A Yes.

Q45 With full rights of membership?

A Yes.

Q46 So would you say that deduction of K.E.P.A.C. dues was a condition of membership?

A No.

Q47 Have you had occasion to make refunds --

THE CHAIRMAN: (Interrupting)
Are you introducing that?

(Whereupon Respondent Exhibit No. 4 was duly received, marked, made a part of the record).

Q48 Have you had occasions to make refunds to people in the middle of the year?

A Yes.

Q49 Now, I'm speaking of K.E.P.A.C. and N.E.P.A.C.

A Right.

Q50 I will show you these documents relating to Mr. Michael D. Keown. Can you look at these documents and explain to the Registry the transaction that occurred.

A Well, the first page is a form which was published in the K.E.A. newspaper or weekly paper and it's in essence a request that the persons, Mr. Keown's K.E.P.A.C. and N.E.P.A.C. contributions be refunded to him. Mr. Keown evidently is a teacher in Hancock County. The second sheet is a copy of a statement drawn up in our office, it came to me with a check. It's a statement requesting -- not requesting, it's a statement requesting a refund be made to him, refunding

him two dollars for the deductions that had been made to him from his paycheck in November and December of 1976. With a statement on it, this goes to Mr. Keown with a check. The reason being refund of K.B.P.A.C. and N.B.P.A.C. contributions.

Q51 What was the amount of that refund?

A Two dollars. One dollar for November and one dollar for December.

Q52 So then you didn't continue to deduct for the rest of the year and make a deduction at the end of the year, is that right?

A That's correct.

Q53 So it's the affect of these documents an indication that these deductions were made at the time the request was made -- the refund was made at the time the request was made?

A The refund was made February 3rd, 1977.

Q54 Was he taken off the billing for the rest of the year?

A Yes, this document is a copy of the billing that we sent to Hancock County and Mr. Keown -- I lost my glasses this weekend and I'm having a problem. This one shows November

with a K.E.P.A.C. deduction made; the next one is for December and it's shows no change, and this one is for January and it shows a change, taking -- obstructing that item from his amount that should be deducted. The next item I have is a copy of the check from the K.E.A. -- A.P.A. funds for two dollars showing that he was paid and the next one is the backside showing that he did receive the money and his signature.

MR. SLATTERY: I would like to introduce that document as one exhibit to describe that transaction.

(Whereupon Respondent Exhibit No. 5 was duly received, marked, made a part of the record).

Q55 I have here a correspondence or another document relating to a group of people in Allen County. Can you explain to the Registry the nature of that transaction?

A Well, the first sheet is on Allen County School stationary, "To whom it may concern: Listed below are the teachers in the Allen County High School who do not wish K.E.P.A.C. and N.E.P.A.C. contributions to be

added to the A.P.A. billing for K.E.A. -- something struck out memberships. This was received September the 14th, 1976. It lists a -- it's a long list of names; in fact, there was more than one of these, similar things.

Q56 Are those from several different schools in the Allen County System?

A This one says "White Plains Elementary School" on it. This one says "Scottsville High School"; Allen County Scottsville High School. The others don't say, so I assume they're the same.

Q57 What did you do when you received all those requests?

A We entered into the record that these people should not be billed for K.E.P.A.C.-N.E.P.A.C. contributions.

Q58 What time of the year was this?

A This was September the 14th --

Q59 (Interrupting) No, I'm not talking about the letters, I'm talking about the time the transactions occurred, when you entered into the record that they would not be billed.

A It was entered into the

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record approximately within a few days after we received them.

Q60 No any money deducted from the paychecks of those teachers?

A No, I have a copy of the billing form that I sent out in November, which was the first one sent to Allen County, and it did not include these people in the K.E.P.A.C. and N.E.P.A.C. columns.

Q61 Were those people carried as members of K.E.A. even though they chose not to have the K.E.P.A.C.-N.E.P.A.C. deductions?

A Yes.

Q62 And in fact then they did have their K.E.A. dues deducted, but not their pact dues, is that correct?

A Yes.

Q63 As far as you're concerned, do those people -- Are they members of the K.E.A. with full rights of membership?

A Yes.

MR. SLATTERY: I would like to introduce those documents as Number 6.

(Whereupon Respondent Exhibit No. 6 was duly received, marked, made a part of the record.)

MRS. SLATTERY:

You may ask.

CROSS EXAMINATION

BY MR. GAFFNEY:

Q64 Mr. Tackett, your title
is Director of Special Services?

A Yes.

Q65 As part of your duties
you collect membership dues?

A Yes.

Q66 Does that include dues
for K.E.P.A.C. as well as N.E.A.P.A.C., as well as
the K.E.A.?

A Yes.

Q67 If a local school board
did not make K.E.P.A.C. deductions who would have
to make them?

A It couldn't be a deduc-
tion without the local school boards.

Q68 So you would agree that
they do provide a service?

A They provide a service
to K.E.A.

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Q69 Do they provide a service
for K.E.P.A.C.?

A I don't know if I'm quali-
fied to answer that or not, it is a service, yes.

Q70 Are you also in charge of
K.E.P.A.C. deductions?

A I'm in charge of all deduc-
tions.

Q71 Now, let me ask you -- we're
talking about this escrow account, all of the funds
that are deducted according to this lack of a better
term, K.E.A. billing sheet, they all come into an
escrow account, right?

A Yes.

Q72 Now, do K.E.A. dues come
out of that escrow account?

A Yes.

Q73 And does K.E.P.A.C. come
out of that same account?

A Yes.

Q74 Those K.E.A. dues belong to
the K.E.A., don't they?

A They belong, yes.

Q75 Now, the K.E.P.A.C. dues,
they're uniform, right across the board, aren't they?

A Yes.

Q76 And in fact, it's in the form of a dollar a month deduction?

A Yes.

Q77 And that dollar is deducted unless the teacher says otherwise, is that correct?

A Yes.

Q78 So it's really in the form of an assessment, isn't it?

THE CHAIRMAN: That might call for a conclusion.

Q79 Well, let me ask you now --

MR. SLATTERY: (Interrupting)

I will object. He has continuously done this to the witnesses, he's asking them to make many legal conclusions and I'm objecting to all of it.

Q80 Now, let me ask you this, if Mr. Wilson, I believe that was the gentleman's name, if Mr. Wilson had not taken the extra effort of writing on here, "Do not withhold K.E.P.A.C. and NEPAC dues," or if he had not sent in that letter, those dues would have automatically been deducted, would they not?

A Yes.

Q81 And without regard whether

or not he wanted these deducted, I mean, he's required to do an affirmative act, isn't he?

A Yes.

Q82 Now, when the refunds are paid to these teachers, once they say I don't want to give to K.E.P.A.C., I'm kind of interested in how that's done, that comes out apparently from the K.E.A., A.P.A. account, is that correct?

A Yes.

Q83 So it does not come from K.E.P.A.C. at all, is that correct?

A I'm going to get caught in another one of these questions that I had a while ago, I think it's still K.E.A. and .A.P.A. account, the check is written from the A.P.A. account.

Q84 Now, maybe you're not in a position to answer this. Now, on this K.E.A. payroll deduction authorization where it has deduction section on this form on the right, it simply says "Professional payment, doesn't it?

A Yes.

Q85 It doesn't say anything about K.E.P.A.C. dues or any N.E.A.P.A.C. dues, are they all combined in that?

A Yes

MR. GAFFNEY: I don't

believe I have any further questions.

MR. COY: Mr. Tackett,

I would like to ask you about this two dollars that Mr. Wilson got back. The check was drawn on the A.P.A. funds?

A Yes.

MR. COY: Now, I assume

that you make monthly disbursements to the various agencies or entities or committees that receive the funds that come to the A.P.A. fund?

A Yes.

MR. COY: Now, in the

case of Mr. Wilson, he had I believe you said November and December deducted?

A Yes.

MR. COY: And the two

dollars had been disbursed to K.E.P.A.C. for November and December?

A That's right.

MR. COY: Did you then --

A (Interrupting) I'm not certain.

MR. COY: Well, I just

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want to get the mechanics of the thing. Do you take a deduction against that two dollars or does K.E.P.A.C. have to refund the two dollars to A.P.A., how does that work out?

A Well, we keep records of course, of how much has been committed to K.E.P.A.C. and any money that we refund to individuals would come from the amount that we owe K.E.P.A.C.

MR. COY: So you take a deduction from the amount that you owe K.E.P.A.C. that month?

A That's right.

MR. OCKERMAN: What is the designation of this fund that you keep referring to, how is it carried on your books and records?

A The A.P.A. fund?

MR. OCKERMAN: Yes.

A It's a separate bank account which receives all moneys.

THE CHAIRMAN: Does it get called the K.E.A. A.P.A. or what?

A That's right --

MR. GAFFNEY: (Interrupting)
It's called the A.P.A. fund.

MR. OCKERMAN: It's the
Kentucky Educational Association A.P.A. fund?

A Right.

THE CHAIRMAN: And that's
the master fund?

A It collects all dues,
insurance premiums and the K.E.P.A.C. and N.E.P.A.C.

MR. OCKERMAN: There has been
introduced by you certain applications or requests
for refund or cancellations. How did you select
those, you have three here. How did you select
those?

A I asked the supervisor
of this account. of this office, to find me three
that showed various ways for the transaction and
he picked these out.

MR. OCKERMAN: Do you have
any idea how many you had last year in any given
period of time that request stoppage?

A I don't recall the num-
bers.

MR. OCKERMAN: Would it be
with your computer just a matter of a run-out to
find out how many may have sent in a request for
stoppage?

A It's not complicated,
but it's not a big job to find out.

MR. SLATTERY: It's just a
matter of pulling out the blanks. I might add that
we have about twenty-seven thousand active members
of K.E.A.

MR. OCKERMAN: Well, like
Dr. Potts said you don't have them in Fayette
County.

A Right, not all school
systems are on the A.P.A. system, there's about
twenty counties that aren't.

MR. OCKERMAN: Do you have
what you would consider to be a fairly accurate
estimate of the number of those stops, I'll call
them, that are processed each year?

A If I make a guess, I
would say somewhere in the neighborhood of two to
two and a half thousand, that's strictly a guess.

MR. OCKERMAN: And you are
compensated by the K.E.A.?

A Yes.

MR. GAFFNEY: May I ask one
question, please?

THE CHAIRMAN: Yes.

BY MR. GAFFNEY:

Q86

I notice that this K.E.A. billing sheet looked like a computerized sheet. Are all the school boards on a computer payroll?

A

No.

Q87

Some of them do it by hand?

A

Well, I'm not really competent, I don't think they are.

Q88

Well, what I'm asking is are those school boards -- it takes them a much longer time for instance to do the deductions than it would somebody on the computer, I mean, it would just be logical, wouldn't it?

A

Yes.

MR. GAFFNEY:

That's all I have.

THE CHAIRMAN:

Is there anything further of this witness?

MR. SLATTERY:

No, I have nothing further.

(WITNESS EXCUSED)

CHARLOTTE McCORMICK, called on behalf
of the Respondent, after being first duly sworn,
was examined and testified as follows:

THE CHAIRMAN: What is your
name, address and place of employment, please?

A My name is Charlotte
McCormick, I live at 3710 Bermuda Lane, Louisville,
and I'm a school teacher in the Jefferson County
School System.

THE CHAIRMAN: You may ask,
Mr. Slattery.

DIRECT EXAMINATION

BY MR. SLATTERY:

Q1 Miss McCormick, are you
a member of the K.E.A.?

A Yes, I am.

Q2 Do you pay a contribution
to K.E.P.A.C. through the dues deduction or rather
through the payroll deduction?

A Yes, I do.

Q3 Are these copies of your
payroll check stubs?

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The first one is a copy of the payroll check that I received January the 3rd, 1975, the other one is a copy of a check that I received 4/8/77 from the Jefferson County Board.

Q4 I notice on that check stub that there is a block, A.P.A., twelve 0 two.

A That's correct.

Q5 At that time, do you know whether or not your K.E.P.A.C. dues were being deducted?

A Yes, they were at that time.

Q6 They were?

A Yes, they were.

Q7 All right. On the next check, A.P.A. is fifteen thirteen. Were K.E.P.A.C. dues being deducted at that time?

A Yes, they were.

Q8 Were you aware of the fact that K.E.P.A.C. was being deducted from your check?

A Yes, I was aware that K.E.P.A.C. was being deducted from my check.

Q9 Were you notified in advance that it would be deducted?

A Yes, I was notified.

Q10 How?

A I attended the delegate assembly, in which it was voted. I also received publications which informed me that beginning in September or November when the deductions were made that K.E.P.A.C. dues would be taken out of my check. I was also informed that if I did not want it done, to go through the procedure to not have it deducted.

Q11 Were you informed as an individual?

A Yes, I was.

Q12 In writing?

A I was informed through the publications.

Q13 I'm talking about a letter to you.

A No, I don't recall receiving a letter personally.

Q14 Have you received any publications from the Jefferson County Association related to this?

A Yes, I received newsletters from the Jefferson County indicating when this went

into effect it would be deducted, and it was specified in there exactly what the deductions would be, how much dues were, how much was K.E.A. and W.E.A. and how much dues was J.C.T.A., and how much of it would be for W.E.P.A.C. and K.E.P.A.C. And at that time it was eight dollars.

MR. SLATTERY: I have nothing further.

THE CHAIRMAN: Any cross?

MR. GAFFNEY: I have two questions.

CROSS EXAMINATION

BY MR. GAFFNEY:

Q15 Did they tell you when they were going to start making the K.E.P.A.C. dues deductions that they were going to be automatic?

A Yes, I knew it.

Q16 Unless you did something to tell them otherwise they were going to make the deductions?

A Yes, I knew that it would be an automatic payroll deduction, as I authorized,

and if I did not want it done, then I would authorize that I did not want it done.

Q17 So you had to take an affirmative act to keep it from being deducted?

A By so desire.

Q18 I notice on your paycheck that it also has a blank for contributions, and nothing was in there.

A I have not made any contributions.

Q19 K.E.P.A.C. is not a contribution?

A It's not so listed on my check. That contribution is for contributions to the United Way or other things that can be deducted through our paychecks.

MR. GAFFNEY: I have no further questions.

MR. OCKERMAN: Are you active in the Jefferson County Teachers Association?

A Yes, I am.

MR. OCKERMAN: In what activity do you participate in?

A I am vice president of the Jefferson County Teachers Association.

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MR. OCKERMAN: What percentage
of the total number of teachers in Jefferson County
attended when you said ---

A (Interrupting) The
delegate assembly?

MR. OCKERMAN: Yes.

A Well, I think at that
time we probably had somewhere between eighty and
eighty-five delegates from Jefferson County.

MR. OCKERMAN: How many
teachers are in Jefferson County?

A I think there's around
six thousand.

MR. OCKERMAN: Were you a
delegate?

A Yes, I was.

MR. SLATTERY: Did the other
teachers that were not at the assembly have notice
that the deduction was going to be made?

A Yes, they received the
information that the deduction would be made to
the K.E.A. dues in our Jefferson County publications.

MR. COY: That K.E.A.
News, that's a news publication?

A Yes.

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MR. COY:

Now, what does

the J.C.T.A. put out?

A. Well, at one time we put

out a newspaper that was called the Insight. We also put out a J.C.T.A. Reporter that goes out once a week to the teachers.

MR. COY:

Does that go to

every teacher in the system?

A

Yes.

MR. GAFFNEY:

Regardless of

whether they belong to the K.E.A.?

A

At the first of the year we give it to every teacher. Then after the membership period it strictly goes to the members of the J.C.T.A.

MR. OCKERMAN:

Where do you get

your mailing list?

A

The mailing list for the teachers?

MR. OCKERMAN:

Yes.

A

They are sent in by the faculty reps.

MR. OCKERMAN:

Where do they

get it?

A

They ask the teachers in their building.

MR. SLATTERY: I have nothing further.

THE CHAIRMAN: Witness excused.

(WITNESS EXCUSED)

LAMAR GERING, called on behalf of the Respondent, after being first duly sworn, was examined and deposed as follows:

THE CHAIRMAN: For the record, will you please state your name, address and occupation?

A I live at 247 Salsberry Square, Louisville, and I'm Staff Assistant for the Kentucky Registry of Election Finance.

DIRECT EXAMINATION

BY MR. SLATTERY:

Q1 Mr. Gering, do you know Mr. Wendell Barnes?

A Yes.

Q2 Have you ever had occasion to deal with Mr. Barnes concerning the organization

of a group called K.P.A.C.?

A

Yes.

Q3

Have you discussed with him the advisability of setting up one statewide organization as opposed to -- a statewide organization with local affiliates or separate organizations across the State?

A

Yes.

Q4

Would you describe the discussion you had and the reasoning that you arrived at the advice you gave him.

A

As to the exact time, I can't really remember. I do remember several local --

MR. GAFFNEY:

(Interrupting)

Let me interrupt here for just a second. I think we ought to clarify that at the time he was making these discussions or these recommendations, or whatever, he was acting on behalf of the Registry, and exactly what capacity he was in and what type of authority he has.

MR. SLATTERY:

Can you include that in your answer?

A

I started here in September of 1974. K.P. Vensil retired in February of '75. There was no person on the premises other than myself

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and two secretaries, so I was answering most of the questions, as to how to, but we had an acting director, but he was in private law practice and he was very difficult to reach most of the time, this went on for all of the '75 primary. We did get a director in June or July of '75, who left in April --

MR. OCKERMAN: (Interrupting)
Are you trying to establish -- Just what are you trying to establish?

THE CHAIRMAN: Who this was approved by.

MR. SLATTERY: Well, first off, the nature of the organization, secondly, what Mr. Barnes said and, plus the discussions that they had.

MR. CHAIRMAN: Well, I think I can trust -- what Mr. Barnes told him, and we'll leave it at that.

A Well, I would just give the information as people needed it, immediately and not quarterly as the Board needed it.

Q5 Well, let me ask another thing, were you acting in your position with the Registry when you discussed these things with Mr.

Barnes?

A

Yes.

Q6

Did you suggest that it would be a better arrangement to have a statewide system as opposed to a fragmented organization?

A

Well, the statewide set-up existed and I knew by talking to him how it was reported, if I recall correctly and I had a number of conversations with the president of the political action group, every once in a while a local group would get real enthusiastic about a candidate or all of a sudden a new person would be elected, and they would be going all out and they would call to form a committee and I informed them that they did have a committee that was reporting centrally. But, however, if you want it, you can form your own committee, but you will have to register, report all your funds, learn all the rules and regulations and after the election you would still have to make reports, because sometimes people get enthusiastic until the election. I did tell them that if they wanted to they could break away, but I think I slanted the conversation to stay within the central campaign committee because one is authorized under our law, I think under 1.21210 and I also

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thought I would get more accurate information into the Registry from the central campaign, but this does allow for anyone to break away if they wanted to form their own. I did call Mr. Barnes and ask him, I said, "Do you have any preference." I said, "This is what I told the people, but this is your organization, and I don't want to interfere with the internal working of that organization." And I believe he went along with the central campaign process. I know it makes it easier for us and I think our reporting is more accurate. Our past experience with small groups that do form, we don't get an accurate report.

Q7 So then you in your opinion -- the structure that was suggested or described by Mr. Barnes in his testimony was preferable to the one -- to the alternative of having local --

MR. GAFFNEY: (Interrupting)
I'm going to object, it's not a question of whether or not it's preferable, it's a question of whether or not it's legal.

THE CHAIRMAN: Yes, the question is one whether it's legal or not, and Mr. Gering advised I believe that's two alternatives

in doing it, you can do it through the central or through the local groups, and I think that's the extent of his testimony that he can give on that.

Q9 Well then to your knowledge, does the reporting that was made through Mr. Barnes' office, the R.E.P.A.C. office, comply with the requirements and regulations of the Commissioner --

MR. GAFFNEY: (Interrupting)
The report is here --

THE CHAIRMAN: The report's here and I think that's the limit of his testimony.

MR. SLATTERY: Okay. That's all.

MR. GAFFNEY: I have two questions.

CROSS EXAMINATION

BY MR. GAFFNEY:

Q9 Mr. Gering, are you a lawyer?

A No.

Q10 And as an employee of the Registry, it's your job merely to administer

A Right.

Q11 It's not your job to
describe the law to people?

A No.

Q12 Or to tell them what
it means?

A Well, ---

THE CHAIRMAN: We have a job
description, I think we've got all of that. And
we will take notice that it is our own employee.

MR. GAFFNEY: Then I don't
have any other questions.

THE CHAIRMAN: You may step
down now.

(WITNESS EXCUSED)

ROBERT SUMMERS, called on behalf of
the Respondent, after being first duly sworn, was
examined and testified as follows:

THE CHAIRMAN: Your name
and address and occupation, for the record, please.

A I live at 2522 Echo
Drive, in Middletown, Kentucky. I'm employed by
the Kentucky Education Association.

THE CHAIRMAN: Your name, please.

A Robert Summers.

THE CHAIRMAN: What is your position with the --

A (Interrupting) Executive Secretary.

THE CHAIRMAN: Okay. You may ask, Mr. Slattery.

DIRECT EXAMINATION

BY MR. SLATTERY:

Q1 And, generally, what are the responsibilities of the Executive Secretary?

A I'm the chief executive agent of the K.E.A.

Q2 Are you familiar with the arrangement that the K.E.A. has with K.E.P.A.C. for dues deduction?

A I am.

Q3 Did you attempt to determine whether or not that arrangement was legally proper before it was entered into?

A I did.

Q4 What did you do?

A We sought an opinion, we

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asked various attorneys, we sought an opinion from the Attorney General and we found that an opinion had been requested and we dropped our request and we waited till his opinion came down and we read that one.

Q5 Is this opinion that you're referring to --

A It's that one.

MR. SLATTERY: Let the record show that that's OAG-75423.

Q6 I notice in the opinion that the Attorney General refers to you.

A That's correct.

Q7 And the Attorney General says, "Mr. Summers also stated that he is informed by the K.E.P.A.C. leaders that refunds will be made for the entire year --"

MR. GAFFNEY: (Interrupting)
Mr. Chairman, --

THE CHAIRMAN: I think the document will speak for itself.

Q8 As I understand it, that document says that reimbursements will be made for the entire year, is that correct?

A That's correct.

Q9

Now, has there been any change in procedures since the time that the discussion was had with the Attorney General?

A

No, there has not.

Q10

It states that the deduction, rather the refund, will be made for the entire year. Now, what about a situation where someone wants their deduction made at the beginning of the year?

THE CHAIRMAN:

I don't want to interrupt, but I believe that accumulative. We've already had the one guy that got his two dollars back.

Q11

All right. There is a charge number seven concerning a statement made by you in the K.E.A. publication, would you care to comply with the charges that were made against you as a representative of K.E.A.?

A

I think first of all, you have to understand that K.E.A. is a corporation, K.E.A. is involved with K.E.P.A.C. and insurance companies in collecting moneys. We operate separate bank accounts as has already been testified to. The corporate funds of K.E.A. are the only funds that I'm responsible for. They're the only

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funds that I handle. I travel around the State quite a bit making reports to K.E.A. members and listening to K.E.A. members. It has become obvious if you read our other publications that we have become very active in the last two years in promoting political education of the teachers, political involvement of the teachers, getting involved in local democratic-republican organization, whatever party they belong to, being active participating citizens in the democratic process. We've advocated this extensively. In recent meetings, statewide meetings and our publications. This comment -- our intention is a comment that reflects the K.E.A. members' intentions, what I sense them saying as I go about the State and meet with them. It is their intention to become involved, actively, in the political process, individually as the American citizens. I'm not speaking collectively of the K.E.P.A.C. organization when I report to the delegate assembly. I'm talking about the individual classroom teacher. It is our intention, I mean their intention to become involved locally in the political organization, to contribute money locally if they so desire, and promote the candidates if they so desire. I'm talking about the overall

political education process, active participation in the American democratic society. We've been encouraging that for years.

MR. COY: Well, he asked you about Charge No. 7, he didn't really respond to the question.

Q12 Well, the charge we're talking about is where you violated a statute, the particular statute is 121.050 which prohibits agreements with a candidate to vote on a particular issue, did you make any kind of an agreement with any candidate?

A I did not.

Q13 No agreement as it relates to the statement that is set out in the charges?

A No, sir.

Q14 Did you make this statement?

A Yes.

MR. CARRELL: Our intention was to give monetary and work support to those candidates who in turn pledges their support to our legislative goals, you made that statement?

A Yes. I explained my

0004005456

intent when I made that statement, our intention is the intention as I read it of the teachers in the State of Kentucky, that's not my intention, it's their intention. This is what I hear them saying.

MR. CARRELL: You're speaking for the K.E.A., not all the teachers, because all the teachers don't belong to the K.E.A.?

A That's right.

THE CHAIRMAN: Are there any other questions?

MR. GAFFNEY: I have just a couple.

CROSS EXAMINATION

BY MR. GAFFNEY:

Q15 Did I understand in reading this opinion of the Attorney General that's an issue in evidence that it's understood that K.E.P.A.C. and N.E.A.P.A.C. would refund contributions to any member requesting if the request was made before January 1st?

A Right.

Q16 Then you indicate that you

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* would make those payments at any time?

A Yes.

Q17 You have no legal obligation though to give it back once they've been made, prior to January 1st, do you?

A Not legally, I explained to the Attorney General that we would make it as easy as possible for anyone who did not want to participate in K.E.P.A.C. to get off the list. We would not deduct from people who did not want to have it deducted, but we would not hold to any January 1 deadline. I had so instructed the person that handled that membership form.

Q18 But you don't have any separate K.E.A. membership authorization other than those that also contain this K.E.P.A.C. deduction, do you?

A No.

Q19 When you -- I assume that this report, called the Executive Secretary's Annual Report, this was something that gives the membership of K.E.A. an overall view of the year's progress?

A Yes.

Q20 The year's activities?

A Yes.

Q21 And I believe you said that

when you said "our intention" you were talking about
the teachers of the State of Kentucky, who belong
to K.E.A.?

A Yes.

Q22 Now, that immediately
follows a lengthy discussion of K.E.P.A.C. activity?

A Yes.

Q23 Can you talk about those
who pledge their support, legislatures who pledge
their support?

A It's the same type situa-
tion of any organization. The teachers who establish
K.E.A. as the association that promotes educational
benefits for education in the State. We talk about
goals, for funding, education, we talk about benefits
we're talking about teacher's right, we promote all
types of educational activities. We lobby, I'm a
registered lobbyist, we lobby in Frankfort for these
type activities. We're talking about goals and
objectives. So we are definitely going to be for
those people who follow our philosophy, just as
the Chamber will be for those people who have the
philossophy that they follow. We'd be foolish not
to.

Q24 You also go on to say that

some people, some incumbents have already proved by their actions whether they would support us or not. You're talking about their voting record, aren't you?

A Absolutely.

Q25 Then you say some others are going to have to provide some proof. What type of proof are you talking about, just a pledge?

A No, we're talking about interviews, questionnaires, just general information.

Q26 It's not the pledge then?

A No, sir. I have never contacted anyone to pledge on a specific piece of legislation.

MR. CARRELL: Aren't you all a corporation?

A Yes, sir.

MR. CARRELL: Well, if you're a corporation, you can't go and lobby like that.

MR. SLATTERY: Well, he's a registered lobbyist.

MR. CARRELL: Oh, is it you individually?

A Yes.

Q27 Let me ask you, would you consider K.E.A. and K.E.P.A.A.C. as having the same

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goals?

A Absolutely not.

Q Absolutely not the same

goals?

A Right, not the same goals.

They're two completely separate organizations.

MR. GAFFNEY: I believe we'll have to let this rest on what it says and I have no other questions.

MR. COY: Mr. Summers, as Executive Secretary of the K.E.A., I assume that this not only gives you an annual report in writing, but also it's an address that you gave at the delegate of assembly, is that right?

A Yes, that's correct.

MR. COY: It's simply a reprint of what you have said to the delegate assembly?

A Yes.

MR. COY: Now, Mr. Summers, as Executive Secretary of the K.E.A., do you attend meetings of the K.E.P.A.C. committee?

A Only as a consultant, I'm not a voting member of that committee.

MR. COY: But you do

attend?

A I do attend.

MR. COY: And I take it by what you say that there are points at which the membership of the Executive Committee duties are to consult you about various matters that may be before that Committee at a given time?

A Yes.

MR. COY: And I assume also that you do that with respect to all of the K.E.A. committees that call upon you from time to time?

A Yes.

MR. COY: Do you yourself interview candidates from time to time?

A No, sir.

MR. COY: Who conducts the interviews?

A The people on the K.E.P.A.C. State Committee or the local pact units.

MR. COY: Do you participate in the preparation of questionnaires that you mentioned that go to the individual legislative candidates?

A No, sir.

MR. COY:

Who does

that?

A

Our Director of Governmental Relations.

MR. COY:

And you don't

consult with him at all about it?

A

No.

MR. OCKERMAN:

Now, the Director of Governmental Relations, he's a K.E.A. employee?

A

Yes.

THE CHAIRMAN:

Is there anything else?

MR. SLATTERY:

I have nothing further.

MR. GAFFNEY:

I have nothing further.

THE CHAIRMAN:

You may go.

(WITNESS EXCUSED)

*** *** ***

STATE OF KENTUCKY)
)
) SS.
)
COUNTY OF JEFFERSON)

I, James E. Edmondson, a Notary Public,
within and for the State at Large, do hereby certify
that the foregoing TRANSCRIPT OF HEARING was taken
before me at the time and place and for the purpose
in the caption stated; that the witnesses were first
duly sworn to tell the truth, the whole truth and
nothing but the truth; that the transcript was
reduced to shorthand writing by me in the presence
of the witnesses; that the foregoing is a full, true
and correct transcript of the said hearing; that
there was no request that the witnesses read and
sign the transcript; that the appearances were as
stated in the caption.

Witness my signature this 24th day
of August, 1977

My commission expires: December 11, 1979

Notary Public
State at Large, Kentucky

PRICES
BARNETT & ALAGIA

THE SEVENTEENTH FLOOR
KENTUCKY HOME LIFE BUILDING
P. O. BOX 1179

LOUISVILLE, KENTUCKY 40201

LAW OFFICES
Barnett & Alagia
POST OFFICE BOX 1179
LOUISVILLE, KENTUCKY 40201

To MR. DAVID SPIEGEL
FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, DC 20463

Mr. Charles N. Steele, Associate
General Counsel
Federal Election Commission
1325 K Street, N.W.
Washington, D. C. 20436

ATTN: Mr. David R. Spiegel

RE: Thompson V. KEPAC
NIR 408 (77)

Dear Sir:

I, the undersigned attorney, represent Kentucky Educators
Public Affairs Council (KEPAC) in the abovestyled matter.

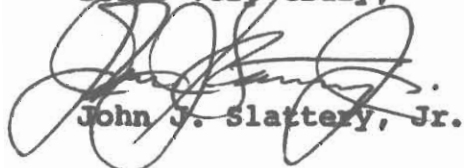
An undated letter from the commission, over the signature
of Charles N. Steele, Associate General Counsel, has been
received by KEPAC. The letter contains, as an enclosure,
a complaint against KEPAC filed with the commission by
Philip A. Thompson alleging various violations of law
and regulations.

Since Mr. Thompson's complaint makes several false and
unwarranted assumptions of fact and law, no attempt will
be made in this communication to answer his complaint or
to rebut the charges therein except to enter, on behalf
of KEPAC, a general denial of any wrongdoing or violation
of law or regulations. The purpose of this letter is to
provide information requested by the commission.

The affidavit of Mr. Lyndle Barnes, Treasurer of KEPAC,
is enclosed and is responsive to the questions posed in
the commissions letter.

We will be happy to provide any further information and
will cooperate fully with the commission.

Yours very truly,


John J. Slattery, Jr.

JJS/mb
Enc.

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Before The
FEDERAL ELECTION COMMISSION
MUR 408 (77)

PHILIP A. THOMPSON

V.

AFFIDAVIT

KENTUCKY EDUCATORS PUBLIC
AFFAIRS COUNCIL

* * * * *

Affiant, Lyndle Barnes, being first duly sworn, states
as follows:

1. I am Treasurer of the Kentucky Educators Public Affairs Council (KEPAC), 101 West Walnut Street, Louisville, Kentucky 40202.
2. KEPAC is an unincorporated association of Kentucky educators formed to further the cause of education in Kentucky through promotion of educational issues and political candidates who favor improved conditions in the schools of our state.
3. Responsibility for the public education of Kentucky's children is, by state constitution, vested in the state legislature. Therefore, the primary concern of KEPAC is educational issues and candidates for elected office at the state and local levels.

4. During the past three years, KEPAC has solicited contributions primarily from members of the Kentucky Education Association (KEA), an organization claiming approximately 85% of Kentucky's professional educators as its members.

a. 1974-75 contributions to KEPAC were solicited from KEA members primarily by way of an agreement between KEA and KEPAC; KEA agreed to collect the contributions, along with its membership dues (and other items), from the persons who signed a payroll deduction form. Also, a similar arrangement was made for those who paid their dues and contributions in cash. Under this arrangement, the KEA member indicated on the membership form the amount contributed to KEPAC. In consideration of this collection service, KEPAC paid the fee normally charged by KEA.

b. In the spring of 1975, KEPAC requested a new check-off system to be implemented by KEA in collecting contributions from KEA members. It was proposed and agreed, that KEA would add a \$7.00 KEPAC contribution to dues for all members who were on the payroll deduction plan. All members were advised of the \$7.00 deduction and the purpose for which it was being made. If a member did not want the deduction to be made, it was not deducted; however, KEA dues and the other items usually deducted were handled in the normal manner and there was no effect on the membership status of these persons. In some cases, after the deductions had begun members wanted them stopped immediately. This was accomplished on receipt of the request and the KEPAC contribution that had been deducted to date was refunded forthwith. In other cases

requests for refund of the KEPAC contributions were made after the end of the school year and they were honored immediately. In yet other cases, employers of KEA members did not wish to make the deductions for KEPAC contributions; the normal plan of dues and insurance deductions continued and KEPAC solicited cash contributions from these KEA members. The usual service fee was paid by KEPAC to KEA. This agreement was started in the fall of 1975 and continued through the spring of 1977 -- KEA membership years 1975-76 and 1976-77.

5. Political contributions collected by KEPAC are not sent to the National Education Association.

6. Political contributions collected by KEPAC are held in two types of accounts. One half of the money collected goes into the KEPAC fund. The remaining half is held for the account of local PACs; the amount held in the accounts of local PACs is one half of the total amount sent in from contributors in each local. Funds belonging to a local PAC are paid out on demand by the local.

7. During the calendar year 1976, KEPAC made the following donation to a federal candidate for political office:

Senator Walter "Dee" Huddleston - - - \$300

During the calendar year 1976 donations to federal candidates were made from funds held for the account of JEFF PAC members.

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FOUR STAR BOND

SOUTHWORTH CO. USA

to demand by the appropriate JEFF-PAC officers. (JEFF-PAC is one of the local PACs as described in paragraph 6, above.)

Congressman Ron Mazzoli - - - - -	\$ 80
Congressman Ron Mazzoli	
Congressman Ron Mazzoli	400
Winterberg for Congress	220
TOTAL	<u>\$ 700</u>

The donation referred to in Mr. Thompson's charges, in the amount of \$300 paid to "Kentucky Committee of '76", was a donation to the Kentucky State Republican Party and was not donated to a particular candidate or campaign. Said donation was made by REPAC.

Further affiant sayeth naught.


Lyndee Barnes

Subscribed and sworn to before me a notary public, this
8th day of September, 1972


Notary Public, Ky. State-at-large
My commission expires Dec. 31, 1973

FOUR STAR BOND

SOUTHWORTH CO. USA

John J. Slattery, Jr.
Attorney at Law
101 West Walnut Street
Louisville, Kentucky 40202

COMMISSION



77 SEP 12 AM 11:11

REGISTERED - Return
Receipt Requested



Mr. Charles N. Steele, Associate
General Counsel
Federal Election Commission
1325 K. Street, N. W.
Washington, D. C. 20436

RETURN RECEIPT REQUESTED

001105471



FEDERAL ELECTION COMMISSION

1125 E STREET N.W.
WASHINGTON, D.C. 20463

September 12, 1977

Mr. Ronald L. Gaffney
Barnett & Alagia
Kentucky Home Life Building
P.O. Box 1179
Louisville, Kentucky 40201

Re: MUR 408 (77)

Dear Mr. Gaffney:

This is in response to your letter of July 29, 1977, regarding the complaint filed by your client, Mr. Philip A. Thompson, against the Kentucky Educators Political Affairs Council (KEPAC). We have completed a preliminary review of the complaint and have numbered it MUR 408 (77). Please refer to this number in any further correspondence.

A copy of the complaint has been forwarded to KEPAC. If you have any further evidence you wish to make available to us, please submit it within five days of your receipt of this letter.

Please note that the Federal Election Campaign Act of 1971, as amended, proscribes our making public any investigation without the consent of the party with respect to whom such investigation is made. 2 U.S.C. §437g(a)(3)(B). The Act does, however, require that we make public the results of any conciliation attempt, including any conciliation agreement entered into or any failure to conciliate, as well as any determination that no violation has occurred. 2 U.S.C. §437g(a)(6)(C).

If you have any further questions, please feel free to contact Mr. David Spiegel, the attorney assigned to this case, at 202-523-4529.

Sincerely,

William C. Oldaker
General Counsel



CA 94/17
10/10/79

CONFIDENTIAL MAIL
RETURN RECEIPT REQUESTED

Mr. Lyndle Barnes
Treasurer
Kentucky Educators Public
Affairs Council
101 West Walnut Street
Louisville, Kentucky 40202

Re: MUR 408 (77)

Dear Mr. Barnes:

This letter is to notify you that the Federal Election Commission has received a complaint against the Kentucky Educators Public Affairs Council which alleges certain violations of the Federal Election Campaign Act of 1971, as amended ("the Act"). We have numbered this matter MUR 408 (77). A copy of the complaint is enclosed. The Commission has reason to believe that the matters alleged herein state violations of 2 U.S.C. §§433(a), 434, and 441b(b) (3) (A).

Under the Act, you have an opportunity to demonstrate that no action should be taken against you. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. In particular, I would appreciate if you would address the following questions:

1. Describe the method(s) which KEPAC uses to solicit political contributions from members of the Kentucky Education Association. Please include copies of all solicitation materials used for the past three membership years, and any explanatory materials.
2. Are any of the political contributions collected by KEPAC sent to the National Education Association or to local education associations? If so, what percentage?



- 2 -

3. Indicate the amount of funds collected which were donated by KEPAC to Federal candidates in 1976.


The Commission is under a duty to investigate this matter expeditiously; therefore, your response should be submitted within ten days after receipt of this notification. You will be sent copies or summaries of all correspondence received by the Commission from the complainant concerning this matter. If you have any questions, please contact David R. Spiegel (telephone no. 202/523-4038), the attorney assigned to this case.

This matter will remain confidential in accordance with 2 U.S.C. §437g(a)(3) unless you notify the Commission in writing that you wish the investigation to be made public.

If you intend to be represented by counsel in this matter, please have such counsel so notify us in writing.

Sincerely yours,

William C. Oldaker
General Counsel


Charles N. Steele
Associate General Counsel

1. The following information is required for the Commission to process your request:

☐ Please to whom, name, address of delivery, etc.

☐ REQUESTED DELIVERY: Please to whom and how delivered.

☐ REQUESTED DELIVERY: Please to whom, name, and address of delivery etc.

2. Please provide the following information:

Lyndee Barnes, Treas.
KEPAC
1012 N. Walnut St.
Louisville, Kentucky

3. Article description:

Article No. 1013914

4. Please check the appropriate box:

I have received the article described above.

RECEIVED ☐ Address ☐ Authorized agent

KEPAC - Byrne 10

DATE OF DELIVERY 8/24/77

1012 N. Walnut St.
Louisville, Ky 40202

5. UNABLE TO DELIVER REASON:

1150

National Education Public Affairs
National Education Association Political
Action Committee (NEA-PAC)

CERTIFICATION

I, Marjorie W. Emmons, Secretary to the Federal Election Commission, do hereby certify that on August 19, 1977, the Commission approved the General Counsel's recommendation to find reason to believe that KEPAC has violated 2 U.S.C. sections 433(a) and 434 for failure to register and report and section 441b(b)(3)(A) for collecting political contributions as a condition of membership through its reverse check-off system.



Marjorie W. Emmons
Marjorie W. Emmons
Secretary to the Commission

FEDERAL ELECTION COMMISSION

1125 K STREET N.W.
WASHINGTON, D.C. 20543

TO: CHARLES STEELE
FROM: MARJORIE EMMONS
RE: MUR 408 (77)

At 3:45 p.m. on August 17 we circulated to the Commissioners
the 48-hour General Counsel Report on the above-named MUR.

As of 9:30 a.m. on August 19, 1977, we have received no
objections to the recommendation to Find Reason to Believe
as recommended by the General Counsel.





42 HOUR COMPLAINT REPORT

DATE AND TIME OF TRANSMITTAL
BY OGC TO THE COMMISSION

AUG 17 1977 3:45

FILE NO. 77-177

DATE COMPLAINT RECEIVED
BY OGC June 3, 1977

ATTORNEY Spiegel Anderson

Complainant's Name: Philip A. Thompson, Treasurer
of the Kentucky Business Political
Action Committee (notarized)

SIGNATURE _____

Respondent's Name: Kentucky Educators Public Affairs
Council (KEPAC)
National Education Association Political Action Committee
(NEA-PAC)

Relevant Statute: 2 U.S.C. §433(a), §434, §441b(b) (3) (A)

Internal Reports Checked KEPAC-no reports Federal Agencies Checked none

Federal candidates named in complaint as recipients of KEPAC contributions

SUMMARY OF ALLEGATION

1) Complainant alleges first that KEPAC has made contributions to Federal candidates in excess of \$1,000 in a calendar year and has failed to register with and report to the Commission, in violation of 2 U.S.C. §§433(a) and 434.

2) Secondly, complainant alleges that KEPAC utilizes a "reverse check-off" system of collecting an \$8.00 annual political contribution from each of KEPAC's 27,000 members as a "condition of membership."

PRELIMINARY LEGAL ANALYSIS

(Continuation Sheet)

1) A review of Commission records indicates that KEPAC has not registered as a multi-candidate political committee, in possible violation of 2 U.S.C. §433(a). Each of the Federal candidates named by complainant as having received money from KEPAC has reported the receipts to the Commission; however, KEPAC has failed to report making the contributions, in possible violation of 2 U.S.C. §434.

2) Section 114.5(a)(1) of the Commission's regulations construes the prohibition against money "collected as a condition of membership" as applying to "fees or monies paid . . . even though they are refundable upon the request of the payor". The system described by complainant clearly falls within the ambit of this proscription and there is, therefore, reason to believe that 2 U.S.C. §441b(b)(3)(A) has been violated.

RECOMMENDATION

(Continuation Sheet)

Find reason to believe that KEPAC has violated 2 U.S.C. §§433(a) and 434 for failure to register and report and §441b(b)(3)(A) for collecting political contributions "as a condition of membership" through its "reverse check-off" system.

Send attached letter.

Date of Next Commission Review:

CONTINUATION SHEET

NEA 400 (77) - 88-Home Report

SUMMARY OF ALLEGATIONS

An amount equivalent to \$1.00 per member is forwarded to the National Education Association Political Action Committee (NEA-PAC); the remainder is retained by NEA and is disbursed directly to local, state and Federal candidates. This system allegedly violates 2 U.S.C. §441b(b)(3)(A) and §114.5(a)(1) of the Commission's regulations.

3) Thirdly, complainant alleges that "[t]he local boards of education in Kentucky give unreimbursed services to KEPAC by using the school offices, equipment and personnel to collect political dues via payroll deductions", and that these services constitute contributions to KEPAC. Complainant further states that "a school board is defined in Kentucky as a 'body politic, a corporate body' and their contributions could be in violation of 2 U.S.C. §441b(a), which prohibits contributions to Federal candidates from corporations".

4) Finally, complainant alleges that NEA-PAC, by receiving money from KEPAC which has been obtained via its "reverse check-off system", is also in violation of 2 U.S.C. §441b(b)(3)(A), and, further, since NEA-PAC also receives contributions in the form of unreimbursed services from local school boards, it too is in violation of 2 U.S.C. §441b(a) which prohibits corporate contributions.

PRELIMINARY LEGAL ANALYSIS

3) The use of school offices, equipment and personnel of local school boards to collect political contributions does not constitute a "corporate contribution" as defined by 2 U.S.C. §441b(b)(2) and §114.1 of the Commission's regulations.^{1/} The fact that the school boards - as corporate entities - have consented, presumably pursuant to collective bargaining agreements with the education associations, to administer payroll deduction plans for the collection of association membership dues - including political contributions - does not make the administrative costs of the plans corporate contributions to the political funds, in violation of 2 U.S.C. §441b(a). To accept complainant's premise would mean that no union would be able to utilize a payroll deduction plan for the collection of membership dues which included in the amount deducted a political contribution since payroll deduction plans are, by nature, administered by the corporate employer and not by the union itself.

Such an interpretation appears to be refuted by the legislative history and explicit provisions of 2 U.S.C. §441b. Congress specifically acknowledged the use by corporations of check-off systems (Conference Report No. 94-1057, p. 62) and §441b, Subsection (b)(6) required that:

^{1/} There is some question as to whether municipal corporations are subject to §441b. In Advisory Opinion 1975-1, the Commission addressed the issue in the context of the propriety of municipal or state facilities or services being provided for Presidential nominating conventions at reduced rates or at no charge.

The Opinion distinguished between municipal and private corporations and stated:

The services . . . may be offered by state or local governmental agencies and municipal corporations without violating 18 U.S.C. §610 (now, 2 U.S.C. §441b). Section 610 prohibits only national banks, corporations and labor organizations from making contributions or expenditures in connection with political conventions.

There appears to be no legislative history on the issue.

... that utilize
of soliciting voluntary contributions
facilitating the making of voluntary
business, shall make available such
written request and at a cost sufficient
to reimburse the corporation for the
incurred thereby, to a labor organization
representing any members working for such
corporation (emphasis added)

§441b(b)(5) eliminates any "legal impediment"
(i.e. the §441b(a) prohibition of corporate contributions)
to the use by a labor organization of any method of
soliciting voluntary political contributions permitted by
law to a corporation. (Conference Report, p. 54).

While there is no evidence to indicate that the
school boards themselves utilize a payroll deduction plan
to solicit political contributions from their executive
and administrative personnel, this is not required by the
Act, which would allow a labor organization to use a method
even though the corporation has chosen not to use such
method itself. (Conference Report, p. 52).

The Commission's regulations (§114.5(k)(4)) similarly
state:

If a corporation uses no method to solicit
voluntary contributions or to facilitate the
making of voluntary contributions . . . it
is not required by law to make any method
available to the labor organization for its
members. The corporation and the labor
organization may agree upon making any lawful
method available even though such agreement
is not required by the Act. (emphasis added)

2/ The legality of the particular system used by the school
boards, (i.e. "reverse check-off") is to be determined in
conjunction with the second allegation herein, and in
conjunction with MURs 283, 288, 291, and 293.

...has been reviewed by the
...and...

...the Commission's allegations concerning
...of which obtained via reverse engineering
...the subject of NDA 200, 201, 202 and 203. ...
...this allegation should remain open pending a final deter-
...mination in the above-mentioned matters.

60040205403

FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

COMPLAINT
NOTICE OF ALLEGED VIOLATION

Mr. Aquila Barnes
Treasurer
Kentucky Educators Public
Affairs Council
181 West Walnut Street
Louisville, Kentucky 40202

Re: MUR 408 (77)

Dear Mr. Barnes:

This letter is to notify you that the Federal Election Commission has received a complaint against the Kentucky Educators Public Affairs Council which alleges certain violations of the Federal Election Campaign Act of 1971, as amended ("the Act"). We have numbered this matter MUR 408 (77). A copy of the complaint is enclosed. The Commission has reason to believe that the matters alleged herein state violations of 2 U.S.C. §§433(a), 434, and 441b(b) (3) (A).

Under the Act, you have an opportunity to demonstrate that no action should be taken against you. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. In particular, I would appreciate if you would address the following questions:

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2. Are any of the political contributions collected by KEPAC sent to the National Education Association or to local education associations? If so, what percentage?



- 2 -
3. Indicate the amount of funds collected which were donated by KEPAC to Federal candidates in 1976.

The Commission is under a duty to investigate this matter expeditiously; therefore, your response should be submitted within ten days after receipt of this notification. You will be sent copies or summaries of all correspondence received by the Commission from the complainant concerning this matter. If you have any questions, please contact David R. Spiegel (telephone no. 202/523-4038), the attorney assigned to this case.

This matter will remain confidential in accordance with 2 U.S.C. §437g(a)(3) unless you notify the Commission in writing that you wish the investigation to be made public.

If you intend to be represented by counsel in this matter, please have such counsel so notify us in writing.

Sincerely yours,

William C. Oldaker
General Counsel

Enclosure

00040205405

TO: David R. Spiegel

RE: HR 488 (77)

Following is a memo of my conversation with Mr. Reese, calling on behalf of complainant in the above case (502/693-4700).

Mr. Reese indicated that Kentucky Registry of Election Finance (telephone no. 502/554-2226) was holding a hearing on certain allegations that NEVAC had violated Kentucky state law and, in particular, on charges that reverse check off violated FEC's regulations. Mr. Reese wanted us to appear to "educate" the local group as to our regulations (especially §114.5), and wanted to know status of our case.

I advised him of our confidentiality provision, told him he could supply us with a copy of his state complaint. I also indicated that we would take under advertisement my request he might make for us to enter an appearance.

D. PAUL ALLEN, JR.
BERNARD A. GARNETT
WILLIAM A. GARNETT
JOSEPH D. GAY
JOHN T. GILLES
B. GREGG GIBBS
RICHARD A. THOMPSON

RANDALL W. AYTHOR
ANTHONY A. BROWN
JOHN E. EVANS
WM. CARL FUST
RONALD L. GAFFNEY
GARY D. GARRISON
RICHARD A. GLADSTONE
JOHN W. HINDELBERG
BENJAMIN J. ISAACS
MICHAEL E. LANNON
DONALD F. NINTWIRE
CHARLES L. OWEN
JACK E. RUCK
LEE C. SWINER

FRANKFORT, KENTUCKY
AUGUST 1977
AUGUST 1977
AUGUST 1977

July 29, 1977

BARNETT, ALGERIA & GARNETT
1725 MICHIGAN STREET, N.W.
WASHINGTON, D.C. 20005
(202) 725-0072

DAVID E. WALTON
OF COUNSEL
WASHINGTON OFFICE

772283

Mr. William Oldaker
General Counsel
Federal Election Commission
1325 K Street, N.W.
Washington, D.C., 20463

Re: Complaint of Mr. Philip A. Thompson - Complaint
Kentucky Registry of Election Finance
Frankfort, Kentucky - On Behalf of Kentucky
Business Political Action Committee

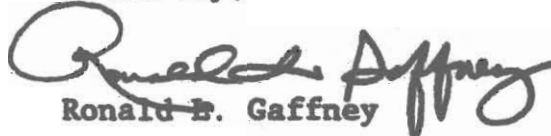
Dear Mr. Oldaker:

We are counsel to Mr. Philip A. Thompson regarding a requested investigation of the Kentucky Educators Political Affairs Council. A Complaint was filed with the Commission on June 1, 1977, and to-date we have received no information as to the progress of the investigation.

Please advise as to what steps have been taken regarding the investigation of the Complaint.

Thank you for your cooperation.

Sincerely,


Ronald B. Gaffney

RLG/mh

00040005487

LAW OFFICES

BARNETT & ALAGIA

THE SEVENTEENTH FLOOR

KENTUCKY HOME LIFE BUILDING

P. O. BOX 1179

LOUISVILLE, KENTUCKY 40201

FEDERAL
ELECTION COMMISSION

'77 AUG 1 AM 11:03



Mr. William Oldaker
General Counsel
Federal Election Commission
1325 K St., N.W.
Washington, D.C., 20463

60040005489

MEMORANDUM FOR THE COMMISSIONERS
JULY 21, 1977
WASHINGTON, D.C. 20543

MEMORANDUM TO: CHARLES STEELE
FROM: MARJORIE W. EIMONS *True*
SUBJECT: MUR 408 (77)

The above-mentioned document was transmitted to the Commissioners on July 21, 1977, at 2:30 p.m.

Commissioner Aikens has submitted an objection. Please note her comments on the attached copy of the circulation sheet.

MUR 408 (77) has been placed on the Compliance Agenda for July 28, 1977. - withdrawn by CC 7-28-77





FEDERAL ELECTION COMMISSION

1125 K STREET N.W.
WASHINGTON, D.C. 20543

DATE AND TIME OF TRANSMITTAL 2:30 JUL 21 1977

Commissioner Arkins

RETURN TO OFFICE OF COMMISSION SECRETARY BY: JULY 22 - 2:30

MUR No. 408 (77)

☒ I object to the recommendation in the attached report.

COMMENTS: Object only to the Finding of no reason to believe
a violation of 2 U.S.C. §441b(b)(2)(C) has occurred.

Date 7/21/77

Signature JD Arkins

OBJECTIONS, SIGNED AND DATED, MUST BE RECEIVED IN THE COMMISSION SECRETARY'S OFFICE NO LATER THAN THE DATE AND TIME SHOWN ABOVE OR THE MATTER WILL BE DEEMED APPROVED. PLEASE RETURN ALL PAPERS TO THE OFFICE OF THE SECRETARY TO THE COMMISSION.



DATE AND TIME OF TRANSMISSION
BY OGC TO THE COMMISSION

Complainant's Name: Philip A. Thompson, Treasurer
of the Kentucky Business Political
Action Committee

Respondent's Name: Kentucky Educators Public Affairs
Council (KEPAC)

Relevant Statute: 2 U.S.C. §433(a), §434, §441b(b)(3)(A)

Internal Reports Checked: KEPAC-no reports Federal Agencies Checked: _____

SUMMARY OF ALLEGATION

The complainant alleges that KEPAC utilizes a "reverse check-off" system of soliciting an \$8.00 annual political contribution from each of KEPAC's 27,000 members as a condition of membership. An amount equivalent to \$1.00 per member is contributed to NEA; the rest is retained by KEPAC and disbursed directly to state, local, and federal candidates.

The complainant also alleges that KEPAC has made contributions in excess of \$1,000 to federal candidates and has failed to register with the FEC.

PRELIMINARY LEGAL ANALYSIS (See Continuation Sheet)

Section 114.5(a)(1) of the regulations construes the prohibition against money "collected as a condition of membership" as applying to "fees or monies paid . . . even though they are refundable upon the request of the payor." The present situation clearly falls within the ambit of this proscription, and there is, therefore, reason to believe that §441b(b)(3)(A) has been violated.

A review of the FEC's public records indicates that KEPAC has not registered as a multicandidate committee, in possible violation of §§433(a) and 434. (See Continuation Sheet)

RECOMMENDATION

Find reason to believe that KEPAC has violated 2 U.S.C. §§433(a) and 434 for failure to register and report and §441b(b)(3)(A) for collecting political contributions as a condition of membership; no reason to believe that KEPAC has violated §441b(b)(2)(C).

Send attached letter.

Date of Next Commission Review:

CONTINUATION SHEET
FOR 48-HOUR REPORT
FOR MUR 408 (77)

SUMMARY OF ALLEGATION:

The complainant also alleges that "[t]he local boards of education give unreimbursed services to KEPAC by using the school offices, equipment, and personnel to collect political dues via payroll deduction A school board is defined in Kentucky as a 'body politic, a corporate body,' and these contributions could constitute a corporate contribution.

PRELIMINARY LEGAL ANALYSIS

The use of school offices, equipment, and personnel of the local school boards by KEPAC to collect political contributions does not constitute a corporate contribution as defined by §441b (b) (2) (C) or by §411.1(a) (2) (iii) of the regulations, which states:

The term contribution shall not include-
The establishment, administration and solicitation of contributions to a separate segregated fund to be utilized for political purposes by a corporation, labor organization, membership organization, cooperative, or corporation without capital stock.

00040205493

FEDERAL ELECTION COMMISSION

1225 K STREET, N.W.
WASHINGTON, D.C. 20463

CHIEF OF BUREAU
RETURN RECEIPT REQUESTED

Mr. Lyndle Barnes
Treasurer
Kentucky Educators Public
Affairs Council
Louisville, Kentucky 40202

Re: MUR 408 (77)

Dear Mr. Barnes:

This letter is to notify you that the Federal Election Commission has received a complaint against the Kentucky Educators Public Affairs Council which alleges certain violations of the Federal Election Campaign Act of 1971, as amended ("the Act"). We have numbered this matter MUR 408 (77). A copy of the complaint is enclosed. The Commission has reason to believe that the matters alleged herein state violations of 2 U.S.C. §§433(a), 434, and 441b(b) (3) (A).

Under the Act, you have an opportunity to demonstrate that no action should be taken against you. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. In particular, I would appreciate if you would address the following questions:

1. Describe the method(s) which KEPAC uses to solicit political contributions from members of your organization. Please include copies of all solicitation materials used and any explanatory materials.
2. Are any of the political contributions collected by KEPAC sent to the National Education Association or to local education associations? If so, what percentage?



3. Indicate the amount of funds collected which were donated to Federal candidates in 1978. This should include funds sent to NED.

The Commission is under a duty to investigate this matter expeditiously; therefore, your response should be submitted within ten days after receipt of this notification. You will be sent copies or summaries of all correspondence received by the Commission from the complainant concerning this matter. If you have any questions, please contact David R. Spiegel (telephone no. 202/523-4038), the attorney assigned to this case.

This matter will remain confidential in accordance with 2 U.S.C. §437g(a)(3) unless you notify the Commission in writing that you wish the investigation to be made public.

If you intend to be represented by counsel in this matter, please have such counsel so notify us in writing.

Sincerely yours,

William C. Oldaker
General Counsel

Enclosure

00040205496

100/000 4-22-77

KENTUCKY BUSINESS
POLITICAL ACTION COMMITTEE

WILLIAM H. NEAL
CHAIRMAN

PHILIP A. THOMPSON
TREASURER

'77 JUN 3 AM 11:55
June 1, 1977

Federal Election Commission
Office of the General Counsel
1325 K Street, N.W.
Washington, D.C. 20543

FEDERAL ELECTION COMMISSION
77 OFFICIAL FILE COPY
OFFICE OF GENERAL COUNSEL

Dear Sir:

The purpose of this letter is to file with the Federal Election Commission an official complaint against a political action committee called The Kentucky Educators Public Affairs Council, headquartered at 101 West Walnut Street, Louisville, Kentucky 40202.

My name is Philip A. Thompson, and I reside at Route 3, Creekside Drive, Georgetown, Kentucky 40324, Telephone (502) 863-3881. I am not a candidate, and I assert that this complaint is not filed at the request or suggestion of any candidate.

The Kentucky Educators Public Affairs Council, (KEPAC) is the statewide political action committee of the Kentucky Education Association. It has used a practice ruled illegal by FEC to collect political dues from approximately 27,000 school teachers in Kentucky who are association members; and it continues to use the illegal practice. A report filed with Kentucky election authorities May 12, 1977 shows that the KEPAC treasury has funds totaling \$199,218.10. (Copy, Attachment "A"). What portion of those funds will be spent in the future on federal candidates is unclear. KEPAC was officially created in December of 1974 and since then has made political contributions to candidates at all levels....local, state and federal.

The procedure that KEPAC uses to collect political dues is as follows:

- (a) A state Attorney General's Advisory Opinion dated June 9, 1975 was favorable for the state teachers association to begin practicing a "Negative" or reverse dues checkoff system.
- (b) Under the plan, local boards of education as government agencies collect political dues through a system called an Automatic Payroll Authority; whereas the participating school board withholds from a teacher's salary \$1 per month for eight months beginning in November of each school year. Of the total \$8 collected annually, \$7 goes to KEPAC and \$1 goes to the National Education Association Political Action Committee, NEA-PAC. The money is taken from each teacher who is a member of the local, district, state or national education associationwhether the teacher wants the money withheld or not. A teacher can stop the practice only by writing a letter to the state association demanding that their name be taken from the payroll method.

(Copy, Attachments "B" and "C" show two types of teacher enrollment cards. The latest used of the two is Attachment "C").

- (c) Some school boards have refused to participate in this negative payroll deduction practice.
- (d) Once the payroll clerk in the school superintendent's office receives a copy of a protesting teacher's letter, the practice of payroll deduction of political dues for that individual is stopped.
- (e) The school superintendent's office, after taking deductions from each enrolled teacher's salary, writes one check per month for combined items - each teacher's dues to the local, district, state and national education associations; plus a life insurance premium and political dues. The check is mailed to Louisville and deposited in the KEA treasury (some school systems give KEA two checks per month). KEA, a legal corporation, in turn writes a check to KEPAC, the political action committee, and to the various other groups.
- (f) The local school boards do not receive any reimbursements for making the payroll deductions. Some superintendents consider the service to be a fringe benefit and contribution to the political action committee.
- (g) The political action committee, KEPAC, after receiving the funds from KEA, disburses political contributions to local candidates through a network of unregistered local political action committees; and disburses contributions to statewide and federal candidates with a check from KEPAC directly.

The Kentucky Education Association, through its political action arm, KEPAC, appears to have violated the Federal Election Campaign Act of 1971, as amended, in the following ways:

Violation No. 1

The Federal Election Campaign Act of 1971, as amended, 2 USC, Section 433, requires that each PAC receiving contributions or making expenditures during the calendar year in an aggregate amount exceeding \$1,000 shall file with the FEC a statement of organization within 10 days from the date it has information which causes it to anticipate receiving contributions or making expenditures in excess of \$1,000. KEPAC made contributions to federal candidates in 1976 clearly in excess of \$1,000, but failed to register with the FEC in violation of 18 USC, Section 591, a criminal statute.

The Act, 2 USC, Section 434, requires the treasurer of committees supporting candidates to federal office to file reports of receipts and expenditures with the FEC.

KEPAC is not registered with the FEC, but has made the following contributions to federal candidates:

Federal Election Commission

Page Three

June 1, 1977

<u>Date</u>	<u>To Whom Made</u>	<u>Amount</u>
September 18, 1976	Kentucky Committee of '76	\$300
September 24, 1976	Congressman Ron Muscoli Campaign	80
September 28, 1976	Congressman Ron Muscoli Campaign	10
October 7, 1976	Winterberg for Congress	422
October 7, 1976	Congressman Ron Muscoli Campaign	400
November 15, 1976	Cumberland Club (Senator Walter "Dee" Huddleston)	300
February 24, 1977	Congressman Carroll Hubbard	50

Attachment "D" lists the above contributions as reported to the Kentucky Registry of Election Finance.

Violation No. 2

The Federal Election Commission has ruled that the "Negative" or reverse dues checkoff practiced by the Kentucky Education Association and teacher associations in other states is illegal, (Federal Regulations, Section 114.5 (a)(1), published in the Federal Register, Vol. 41, No. 166, August 25, 1976, and promulgated April 13, 1977; 2 USC Section 444 (b)(3)(A)). The Negative Checkoff violates the rule that a voluntary contribution cannot be coerced as a condition of membership in a labor organization. However, the negative method continues in Kentucky with blatant disregard to the FEC ruling. Participating local school boards continue to make "Negative" payroll deductions during May and June of this school year.

Violation No. 3

The local boards of education give unreimbursed services to KEPAC by using the school offices, equipment and personnel to collect political dues via payroll deductions. These services are of value, can be measured in dollars, and constitute a contribution to KEPAC. To that extent some portions of the unreported contributions made by the local school boards to KEPAC go to candidates for federal office. A school board is defined in Kentucky as "a body politic, a corporate body" and their contributions could be in violation of 2 USC, Section 441 (b) which prohibits contributions to federal candidates from corporations.

Violation No. 4

The NEA-PAC (National Education Association Political Action Committee) receives political money collected in Kentucky from teachers through the Negative Checkoff System. To the extent that this money is contributed to federal candidates, it would appear to violate the Federal law because the procedure used to collect it has been declared illegal. With the FEC ruling promulgated April 13, 1977 it found that the negative checkoff violates the rule that a voluntary contribution cannot be coerced as a condition of membership in a labor organization. Also, since NEA-PAC receives contributions in the form of unreimbursed services from local school boards, they violate 2 USC, Section 441 (b) which prohibits contributions from corporations.

6004020500

Federal Election Commission

Page Four

June 1, 1977

The "Negative" checkoff continues to be practiced by the local school boards. Some teachers have protested that political dues are taken from their salaries without their approval and have demanded refunds; others I expect do object but do not demand a refund because they fear that by asking for the money back they might wind up on the KKA enemies list. Teachers should, but do not, have protection from political abuses. Their salaries are paid partly with federal funds, but they are not protected by the Hatch Act. The process of forcing teachers to pay political dues unless they demand not to in writing infringes upon their personal freedoms. Negative checkoff while good for political bosses, is bad for education and personal freedoms. I favor teachers' freedoms and I favor education. Therefore, I must exercise my privilege as a concerned citizen to request that the Federal Election Commission conduct an immediate and thorough investigation of the Kentucky Education Public Affairs Council. I will cooperate fully with an investigation, and I would appreciate being advised of your progress in this matter.

Sincerely,

Philip A. Thompson
Philip A. Thompson

Enclosures (3)

COMMONWEALTH OF KENTUCKY)
) SS.
COUNTY OF FRANKLIN)

I, the undersigned Notary Public in and for the Commonwealth and County aforesaid, do hereby certify that Philip A. Thompson appeared in my office in said Commonwealth and County and acknowledged the above letter to be his act and deed given free and voluntarily, and true to the best of his knowledge and belief.

IN TESTIMONY WHEREOF, Witness my hand and official seal of office this
first _____ day of June 1977.

My Commission expires:

January 19, 1981

Connie L. G. [Signature]
Notary Public State of Large Ky.

**KENTUCKY BUSINESS
POLITICAL ACTION COMMITTEE**

P. O. BOX 120
FRANKFORT, KENTUCKY 40601

Federal Election Commission
Office of the General Counsel
1325 K Street, N.W.
Washington, D.C. 20643

30040005592

Attachment A

KENTUCKY REGISTRY OF ELECTION FINANCE
1920 Louisville Road, Frankfort, Kentucky 40601
Telephone (502) 564-2225



**REPORT OF RECEIPTS AND EXPENDITURES
FOR A '77 JUN 3 AM
CAMPAIGN COMMITTEE OR POLITICAL ACTION COMMITTEE**

Name of Committee KY. EDUCATORS PUBLIC AFFAIRS COUNCIL
Address 101 WEST WALNUT STREET LOUISVILLE, KENTUCKY 40202
(Number and Street or Rural Route) (City) (Zip Code)
Telephone 502-582-2273
Supporting See attached list Political Party _____

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$100 must include date, name of contributor, occupation, address and amount. Sales to any individual, organization or association exceeding \$100 shall be reported, including the date of purchase, the name, address and occupation of the purchaser and the amount. All expenditures authorized, incurred, or made should be reported. Expenditures over \$50 must include date, name, occupation and address of each person to whom made, purpose and amount. This report is due 32 days and 12 days before an election and within 30 days after an election. "Election" means any primary, special or general election.

**TYPE OF REPORT
(Check Appropriate Box)**

**FEDERAL ELECTION COMMISSION
OFFICIAL FILE COPY
OFFICE OF GENERAL COUNSEL**

- | | |
|---|---|
| <input type="checkbox"/> 32 day Pre-Primary Report | <input type="checkbox"/> 32 day Pre-General Election Report |
| <input checked="" type="checkbox"/> 12 day Pre-Primary Report | <input type="checkbox"/> 12 day Pre-General Election Report |
| <input type="checkbox"/> Post-Primary Report | <input type="checkbox"/> Post-General Election Report |
| <input type="checkbox"/> Supplemental Report | <input type="checkbox"/> Termination Report |

Report for the Period From April 19, 1977 Thru May 9, 1977

SECTION A(1) - ITEMIZED RECEIPTS AND CONTRIBUTIONS IN EXCESS OF \$100
(Indicate if ticket purchase, loan, transfer or refund)

Date	Name, Complete Address, Occupation	Received This Period	Total Received This Election

(If more space is needed, attach additional sheets)

TOTAL SECTION A(1) - Received This Period | S _____ | XXXXXXXXXXXX

Key

SECTION A(2) - CONTRIBUTIONS OF \$100 OR LESS (UNITIZED)

Number of contributors 22,339 most are paying by payroll deduction

TOTAL SECTION A(2) - CONTRIBUTIONS OF \$100 OR LESS \$ 19,707.37

SECTION A(3) - CONTRIBUTIONS AND DONATIONS IN KIND

Report estimated value of contributions other than money. If value is in excess of \$100, give date, name, address and occupation. Also report, at same amount, as an expenditure on page 3.

DESCRIPTION

VALUE

\$ _____

\$ _____

(If more space is needed, attach additional sheets)

TOTAL SECTION A(3) \$ _____

SECTION A(4) - FUND RAISING EVENTS

Report date, type of event and location. Report gross receipts giving breakdown by category of sale - tickets, collections, miscellaneous sales, etc. Any individual or organization purchasing items in excess of \$100 should be listed in Section A(1) by name, address, occupation and amount. Subtract such amounts from gross receipts and report balance in Total Section A(4). List expenditures on page 3.

(If more space is needed, attach additional sheets)

TOTAL SECTION A(4) \$ _____

505020504020504

REPORTS SECTION A - RECEIPTS

1. Itemized receipts in excess of \$100 (A-1, page 2) \$
 2. Unitemized contributions \$100 or less (A-2, page 2) \$ 19,707.37
 3. Contributions and donations in kind (A-3, page 2) \$
 4. Fund-raising events (A-4, page 2) \$
 5. TOTAL RECEIPTS (lines 1+2+3+4) \$ 19,707.37

SECTION B - EXPENDITURES

6. Itemized expenditures over \$50 (B-1, page 3) \$ 22,173.80
 7. Expenditures \$50 or less (B-2, page 3) \$ 345.87
 8. TOTAL EXPENDITURES (lines 6+7) \$ 22,519.87

SECTION C - CASH BALANCES

9. Cash on hand at beginning of reporting period \$ 202,030.60
 10. Add: Total Receipts (line 5) \$ 19,707.37
 11. SUBTOTAL (lines 9+10) \$ 221,737.97
 12. Less: Total Expenditures (line 8) \$ 22,519.87
 13. Cash on hand at close of reporting period (line 11 minus 12) \$ 199,218.10

SECTION D - DEBTS

14. Debts at close of reporting period (attach page describing debts) \$ -0-

Custodian of books and accounts if different than treasurer. Give full name, address and telephone number

VERIFICATION BY OATH OR AFFIRMATION

I, LYNDLE BARNES, state that this Report of Receipts and Expenditures is true, complete and correct.

STATE OF KENTUCKY

COUNTY OF JEFFERSON } SS

(Signature of Committee Treasurer or Assistant Treasurer)

Subscribed and sworn to before me by Lyndle Barnes this 10th day of May, 1977.

My Commission Expires My commission expires Jan 9, 1980

Joan Thompson
 NOTARY PUBLIC, Jefferson COUNTY, KY.

RETURN COMPLETED REPORT AND ATTACHMENTS TO:
 Kentucky Registry of Election Finance
 1520 Louisville Road
 Frankfort, Kentucky 40601

Joseph Prather

14

Ed O'Daniel

16

Doug Moseley

18

Nelson Allen

20

Tom Easterly

22

Robert R. Martin

34

Tavis Bennett

34

Jon Ackerson

S

Rank
Member

Candidate

1	Ward Burnette
4	J. W. Bostwright, Jr.
5	Kenneth Lane
6	J. R. Gray
7	David E. Bonwell
8	Ramsey Morris, Jr.
9	James E. Bruce
11	James F. Carter
12	Nathaniel S. Green
13	Louis Johnson
13	Tommy Thompson
14	Donald J. Blandford
15	Eugene Doss
17	Willard "Woody" Allen
20	Jody Richards
24	Sam B. Thomas
26	Nick L. Pearl
28	James R. Dunn
30	Thomas J. Burch
33	Bob Benson
36	Michael Wooden
37	Jerry Kleier
41	Mae Street Kidd
42	Charlotte McGill
43	Norbert Blume
45	Dottie Priddy
51	Herman W. Rattliff
52	Raymond Overstreet
53	Richard J. Fryman
54	Joe Clarke
55	Forest Sale
57	C. M. Hancock
60	Harl T. Crump
60	William K. McBee
72	Jim LeMaster
73	Paul W. Richardson, Sr.
74	Adrian K. Arnold
76	Steven L. Beshear
77	Ernesto Scorsone
80	Harold DeMarcus
81	Joan Perry
82	Archie B. Powers
84	Charles P. Muncy
86	Jimmy White
87	George Stewart
92	Bill Weinberg
93	Clayton Little
94	Herbert Deskins, Jr.
95	James "Jitter" Allen
98	Ronald R. Cyrus
99	Ray O. Brown

80040205508

EXPENDITURES OVER \$50.00

	<u>To Whom Made</u>	<u>Occupation</u>	<u>Address</u>	<u>Purpose</u>	<u>Amount</u>
'77	Senator Thomas Easterly	Political	Frankfort, Ky.	Contribution (Bullitt Co. PAC)	\$500.00
'77	Norma B. Flock	Clerical	Georgetown, Ind.	Salary	156.53
'77	Agnes Sublette	Educator	Hickman, Ky.	Meeting expense	139.32
'77	Internal Revenue Service	Federal Govt.	Louisville, Ky.	Tax	82.72
'77	J. R. Gray	Political	Benton, Ky.	Contribution (Marshall Co. PAC)	250.00
'77	Delbert Murphy	Political	Owensboro, Ky.	Contribution (Owensboro PAC)	500.00
'77	James Dunn	Political	Pleasure Ridge Park, Ky.	Contribution (JEFF-PAC)	250.00
'77	James F. Carter	Political	Henderson, Ky.	Contribution (Henderson Co. PAC)	500.00
'77	David Boswell	Political	Owensboro, Ky.	Contribution (Union Co. PAC)	700.00
'77	Ernesto Scorsone	Political	Lexington, Ky.	Contribution (KEPAC)	500.00
'77	Steven Beshear	Political	Lexington, Ky.	Contribution (KEPAC)	500.00
'77	Raymond Overstreet	Political	Liberty, Ky.	Contribution (Russell Co. PAC)	100.00
'77	Doug Moseley	Political	Columbia, Ky.	Contribution (Russell Co. PAC)	150.00
'77	Richard J. Fryman	Political	Albany, Ky.	Contribution (Russell Co. PAC)	100.00
'77	Thomas N. Thompson	Political	Owensboro, Ky.	Contribution (Owensboro PAC)	900.00
'77	David Boswell	Political	Owensboro, Ky.	Contribution (Owensboro PAC)	500.00
'77	Archie B. Powers	Political	Corbin, Ky.	Contribution (Corbin PAC)	100.00
'7	Joseph Prather Campaign	Political	Vine Grove, Ky.	Contribution (Hardin Co. PAC)	100.00
'7	Nick Pearl Campaign	Political	Radcliff, Ky.	Contribution (Hardin Co. PAC)	500.00
'7	Jeanne Andriot	Educator	Louisville, Ky.	Expenses (JEFF-PAC)	155.59
'7	William L. Sullivan	Political	Henderson, Ky.	Contribution (KEPAC)	500.00
'7	Delbert Murphy	Political	Owensboro, Ky.	Contribution (KEPAC)	500.00
'7	Tom Easterly	Political	Frankfort, Ky.	Contribution (KEPAC)	500.00
'7	J. R. Gray	Political	Benton, Ky.	Contribution (KEPAC)	250.00
'7	David Boswell	Political	Owensboro, Ky.	Contribution (KEPAC)	250.00
'7	James Carter	Political	Henderson, Ky.	Contribution (IEPAC)	250.00

9
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5
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4
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0

1	Richard L. Brown	Political	Providence, Ky.	Contribution (KEPAC)	250.00
1	Eugene Scott	Political	Central City, Ky.	Contribution (KEPAC)	250.00
1	Willard Allen	Political	Martin, Ky.	Contribution (KEPAC)	250.00
1	Jody Richards	Political	Bowling Green, Ky.	Contribution (KEPAC)	250.00
1	Sam B. Thomas	Political	Lebanon, Ky.	Contribution (KEPAC)	250.00
1	Nick L. Pearl	Political	Radcliff, Ky.	Contribution (KEPAC)	250.00
1	James R. Dunn	Political	Pleasure Ridge Park, Ky.	Contribution (KEPAC)	250.00
1	Thomas J. Burch	Political	Louisville, Ky.	Contribution (KEPAC)	250.00
1	Bob Benson	Political	Louisville, Ky.	Contribution (KEPAC)	250.00
1	Michael Wooden	Political	Louisville, Ky.	Contribution (KEPAC)	250.00
1	Mae Street Kidd	Political	Louisville, Ky.	Contribution (KEPAC)	250.00
1	Norbert Blume	Political	Louisville, Ky.	Contribution (KEPAC)	250.00
1	Dottie Priddy	Political	Louisville, Ky.	Contribution (KEPAC)	250.00
1	Raymond Overstreet	Political	Liberty, Ky.	Contribution (KEPAC)	250.00
1	Richard J. Fryman	Political	Albany, Ky.	Contribution (KEPAC)	250.00
1	Harold Demarcus	Political	Stanford, Ky.	Contribution (KEPAC)	250.00
1	Joan Perry	Political	Richmond, Ky.	Contribution (KEPAC)	250.00
1	Archie Powers	Political	Corbin, Ky.	Contribution (KEPAC)	250.00
1	Charles P. Muncy	Political	Irvine, Ky.	Contribution (KEPAC)	250.00
1	Bill Weinberg	Political	Emmalena, Ky.	Contribution (KEPAC)	250.00
1	James "Jitter" Allen	Political	Martin, Ky.	Contribution (KEPAC)	250.00
1	Ronald R. Cyrus	Political	Greenup, Ky.	Contribution (KEPAC)	250.00
1	Ray O. Brown	Political	Sandy Hook, Ky.	Contribution (KEPAC)	250.00
1	William L. Sullivan	Political	Henderson, Ky.	Contribution (Henderson Co. PAC)	475.00
1	Joan Perry	Political	Richmond, Ky.	Contribution (Madison Co. PAC)	1,000.00

0004030510

MEMBERSHIP LIST (continued)

	<u>Name</u>	<u>Occupation</u>	<u>Address</u>	<u>Purpose</u>	<u>Amount</u>
7	Tavis Bennett	Political	Louisville, Ky.	Contribution (Jeff-PAC)	\$ 250.00
7	John Ackerson	Political	Louisville, Ky.	Contribution (Jeff-PAC)	250.00
7	Tom Burch	Political	Louisville, Ky.	Contribution (Jeff-PAC)	250.00
7	Bob Benson	Political	Louisville, Ky.	Contribution (Jeff-PAC)	250.00
7	Michael Wooden	Political	Louisville, Ky.	Contribution (Jeff-PAC)	250.00
7	Hae Street Kidd	Political	Louisville, Ky.	Contribution (Jeff-PAC)	250.00
7	Norbert Blume	Political	Louisville, Ky.	Contribution (Jeff-PAC)	500.00
7	Charlotte McGill	Political	Louisville, Ky.	Contribution (Jeff-PAC)	250.00
7	Dottie Priddy	Political	Louisville, Ky.	Contribution (Jeff-PAC)	250.00
7	Jerry Kleier	Political	Louisville, Ky.	Contribution (Jeff-PAC)	250.00
7	Nathaniel Green	Political	Providence, Ky.	Contribution (Providence PAC)	124.00
7	William L. Sullivan	Political	Henderson, Ky.	Contribution (Providence PAC)	124.00
7	Ada Jobe	Educator	Catlettsburg Ky.	Meeting expense	128.21
7	Royal Typewriter Co.	Typewriters	Louisville, Ky.	Maintenance agree- ment	52.00
7	Delbert Murphy	Political	Owensboro, Ky.	Contribution (Daviess Co. PAC)	250.00
7	Louis Johnson	Political	Owensboro, Ky.	Contribution (Daviess Co. PAC)	500.00
7	David Boswell	Political	Owensboro, Ky.	Contribution (Daviess Co. PAC)	250.00
7	Bill Weinberg Campaign	Political	Emmalena, Ky.	Contribution (Knott Co. PAC)	800.00
7	James F. Carter	Political	Henderson, Ky.	Contribution	250.00
7	Norma S. Flock	Clerical	Gerogetown, Ind.	Salary	156.53
7	Joan Perry	Political	Richmond, Ky.	Contribution (Richmond-PAC)	65.00
7	Robert Martin	Political	Richmond, Ky.	Contributon (Richmond-PAC)	65.00
7	Jerry Kleier	Political	Louisville, Ky.	Contribution (KEPAC)	250.00
7	Charlotte McGill	Political	Louisville, Ky.	Contribution (KEPAC)	250.00
					<u>\$22,173.90</u>

004020511

EXPENDITURES \$30.00 OR MORE

<u>Date</u>	<u>Purpose of Expenditure</u>	<u>Amount</u>
4/20/77	Travel	\$ 30.00
4/20/77	Ads and campaign expenses	30.00
4/27/77	City Tax	9.12
4/27/77	Gas mileage & dinner expenses	22.50
4/27/77	Travel	38.90
4/27/77	Dinner expenses	15.30
4/28/77	Dinner expense	13.55
4/28/77	Dinner expense	21.19
4/28/77	Travel	31.70
5/2/77	State Committee meeting	29.12
5/4/77	Meeting expense	7.65
5/4/77	Expenses-JEFF-PAC	12.00
5/4/77	Dinner Expenses	6.04
5/6/77	Expenses-Washington co PAC	17.54
5/6/77	Expenses-Knott Co. PAC	8.40
5/6/77	Travel	13.16
		<u>\$345.97</u>

00040205512

ATTACHMENT B

KENTUCKY EDUCATION ASSOCIATION APA Membership Enrollment Form

INSTRUCTIONS TO THE MEMBER

Please print so that all copies are legible. (Use ball point pen)

Complete all sections of the form that apply. (Particularly Social Security Number)

Check the appropriate blocks for KEA and NEA membership categories if other than active.

Fill in the amount you wish to contribute to KEPAC.

Check the appropriate block if you wish to contribute \$1.00 to NEA-PAC.

Sign name and date at the bottom of the form.

INSTRUCTIONS TO THE ENROLLER

Give the MEMBER COPY to the member.

Send other copies to the appropriate local association office or to KEA Special Services, 101 W Walnut Street, Louisville, Ky. 40202.

KEPAC AND NEA-PAC

PAC and NEA-PAC* are independent political arms created by educators to put education. Contributions will be forwarded upon receipt at KEA to KEPAC. NEA-PAC who will be solely responsible for accounting, handling and use of funds.

*Required by Federal Election Campaign Act 1973.

Copy of our report filed with the appropriate supervisory officer is (will be) available for purchase from the Superintendent of Documents, U.S. GPO, Washington, D.C. 20402.

"The NEA is committed to assuring the equitable representation of members of ethnic minority groups at all governance levels of the Association. NEA Bylaw 12-1 (d) states: 'Ethnic minority shall mean those persons designated as ethnic minority by the Census. This designation shall specifically include Black, Mexican-American (Chicano), other Spanish-speaking groups, Asian-American, an Indian.' In order to facilitate achievement of the goal of minority involvement, if you are a member of any of these groups, please (check the appropriate box) or (fill in the appropriate ethnic minority)."

Upon completion and receipt by the Association of this form you are a member of the professional associations with all rights and benefits thereof including full coverage of the \$100,000 Professional Liability insurance provided by KEA as a membership benefit. Membership cards and Professional Liability Insurance certificates will be mailed in January. Please notify the KEA Special Services office of name or address changes.

NOTE:

**PLEASE
PRINT
HEAVILY.**

**USE BALL
POINT PEN**

**COMPLETE
ALL SECTIONS
OF THIS
FORM**

KENTUCKY EDUCATION ASSOCIATION - 101 W. WALNUT ST., LOUISVILLE, KY. 40202

Mr. Last Name _____ First _____ Middle _____ Social Security Number _____
 Mrs. _____
 Home Address - Street _____ City _____ State _____ Zip Code _____

Present School System _____ Name of School _____ Birth Date _____
☐ Male ☐ Female

Ethnic Minority Class: ☐ Asian-Amer. ☐ Black ☐ Indian ☐ Mexican-Amer. ☐ Other Spanish Speaking ☐ Other
 Mental Status: ☐ Single ☐ Widowed ☐ Married ☐ Divorced

COMPLETE THIS SECTION IF YOU WANT KEA INCOME PROTECTION INSURANCE

Are you presently actively on duty? ☐ Yes ☐ No
 School System Last Year _____ Annual Salary from Bd. of Educ. \$ _____
 Were you insured by Washington Natl. last year? ☐ Yes ☐ No

Check Base Plan desired 1 or 2 or both:
☐ 1 Monthly Benefit \$ _____
☐ 2 Sick Leave Coordinated _____
 Name of Beneficiary _____ Relationship _____

Do you also want the Supplemental LTD Benefit, Plan 3 (US987)? ☐ Yes ☐ No
 Monthly Benefit \$ _____

CHECK ONE
☐ Kind ☐ Sec. ☐ Other
☐ Elem. ☐ Univ.

Deduction Section
 1. Unified Membership (Local KEA/NEA)
 A. ☐ 10 Active ☐ Educ. Sec'y ☐ Other
 B. ☐ 10 KEA Life ☐ NEA Life Active
 C. ☐ NEA 10-yr Life
 2. KEPAC (Ky. Educ. Public Affairs Council) \$5.00 or more \$ _____
 3. NEA-PAC (SYON) _____
 4. Income Protection Insurance (Washington National) _____
 5. KEA Life Insurance (Minnesota Mutual) _____

CHECK ONE
☐ Teacher ☐ Supervisor
☐ Counselor ☐ Asst. Sup't
☐ Librarian ☐ Bus. ☐ Nurse
☐ Asst. Principal ☐ Adm. (Higher)
☐ Principal ☐ Educ. Sec.
☐ Other

I hereby authorize my employer to deduct my professional dues, KEPAC and NEA-PAC contributions and KEA insurance premiums in 3 equal deductions beginning with the third month of school as indicated above. Deduct the amount for dues as officially determined by the Local Association, KEA Delegate Assembly and NEA Representative Assembly. Deduct the amount for insurance (Minnesota Mutual and Washington National) as calculated by the KEA Special Services Department, in accordance with the attained age, rate, and coverage schedule included in the master policies issued to KEA. This authorization is to remain in full force and effect, unless revoked by me in writing to KEA. No cancellations will be accepted after October 1.

SIGNATURE _____ DATE _____
 F6923-3 APA-INDIVIDUAL CONTINUOUS MEMBERSHIP

For Company Use Only	
	Complete if INC. or C.O.
PLANNO	
BILMET	
ECONE	
SUBNO	New Annual Prem. \$ _____
EFEDA	
EVID	
FDDEDUC	Old Annual Prem. \$ _____
PRIND	
PRPAID	Annual Prem. or Diff. (see above) nearest 25¢ \$ _____
PRDUPD	Months of Prorate-nearest half month _____
PREPLN	Prorate Amount (see chart) \$ _____
PLANNO	Credit Due (in case of Mode Change) \$ _____
BILMET	Balance Due \$ _____
ECONE	Cash Collected \$ _____
SUBNO	Home Office to bill for \$ _____
EFEDA	
EVID	PLANNO
FDDEDUC	BILMET
PRIND	ECONE
PRPAID	SUBNO
PRDUPD	EFEDA
PREPLN	EVID
	FDDEDUC
	PRIND
	PRPAID
	PRDUPD
	PREPLN
	HOME OFFICE USE
	OED
	INC
	COD

1st deduction covers period from _____ Mo. _____ Day

Effective date _____ Mo. _____ Day

F.M.P.

B.D. Initials _____

ATTACHMENT C

KENTUCKY EDUCATION ASSOCIATION
NEA Membership Enrollment Form

INSTRUCTIONS TO THE MEMBER

1. Please print so that all copies are legible. (Use ball point pen)
2. Complete all sections of the form that apply. (Particularly Social Security Number)
3. Check the appropriate blocks for KEA and NEA membership categories if other than active.
4. Sign name and date at the bottom of the form.

INSTRUCTIONS TO THE ENROLLER

1. Give the MEMBER COPY to the member.
2. Send other copies to the appropriate local association office or to KEA Special Services, 101 W. Walnut Street, Louisville, Ky. 40202.

Upon completion and receipt by the Association of this form you are a member of the professional associations with all rights and benefits thereof including full coverage of the Professional Liability insurance provided by KEA as a membership benefit. Membership cards and Professional Liability Insurance certificates will be mailed in January. Please notify the KEA Special Services office of name or address changes.

F0923-5

"The NEA is committed to assuring the equitable representation of members of ethnic minority groups at all governance levels of the Association. NEA Bylaw 12-1 (d) states: 'Ethnic minority shall mean those persons designated as ethnic minority by the Census. This designation shall specifically include Black, Mexican-American (Chicano), other Spanish-speaking groups, Asian-American, and Indian.' In order to facilitate achievement of the goal of minority involvement, if you are a member of any of these groups, please (check the appropriate box) or (fill in the appropriate ethnic minority)."

KEPAC AND NEA-PAC

*Professional payments for 1975-1976 in KEA are \$62.00. KEA dues are \$54.00. \$7.00 is for a voluntary contribution to KEPAC. Professional payment to NEA is \$31.00. NEA dues are \$30.00. \$100 is for a voluntary contribution to NEA-PAC.

A copy of our report is filed with the Federal Election Commission and is available for purchase from the Federal Election Commission, Washington, D.C.

I understand that contributions to KEPAC and NEA-PAC will be used to support candidates for Local, State and Federal offices, and that my contributions are voluntary and are not required as a condition of membership in any organization.

I may revoke this agreement and request a refund by submitting a KEPAC and NEA-PAC option form by January 1st to the KEA Special Services Department, 101 W. Walnut Street, Louisville, Kentucky 40202."

00040205515

KENTUCKY EDUCATION ASSOCIATION - 181 W. WALNUT ST., LOUISVILLE, KY. 40202

NOTE: PLEASE PRINT HEAVILY. USE BALL POINT PEN. COMPLETE ALL SECTIONS OF THIS FORM.

Mr. Last Name First Middle Social Security Number

Miss

Name Address - Street City State Zip Code

Present School System Name of School Birth Date ☐ Male ☐ Female

Ethnic Minority Class: 1 ☐ Asian Amer. 2 ☐ Black 3 ☐ Indian 4 ☐ Mexican Amer. 5 ☐ Other Spanish Speaking

Marital Status: ☐ Single ☐ Widowed ☐ Married ☐ Divorced

CHECK ONE: 1 ☐ Kind 2 ☐ Sec 3 ☐ Other 4 ☐ Elem 5 ☐ Univ

21 ☐ Teacher 22 ☐ Supervisor 23 ☐ Counselor 24 ☐ Asst. Sup. 25 ☐ Librarian 26 ☐ Bus. 27 ☐ Nurse 28 ☐ Adm. (Higher) 29 ☐ Asst. Principal 30 ☐ Educ. Sec. 31 ☐ Principal 32 ☐ Other

COMPLETE THIS SECTION IF YOU WANT KEA INCOME PROTECTION INSURANCE

Are you presently actively on duty? ☐ Yes ☐ No

School System Last Year

Annual Salary from Bd. of Educ. \$

Were you insured by Washington Natl. last year? ☐ Yes ☐ No

Do you also want the Supplemental LTD Benefits Plan 2 (1989)? ☐ Yes ☐ No

Monthly Benefit \$

Check One Plan desired 1 or 2 or both: ☐ 1 Monthly Benefit \$ ☐ 2 Sick Leave Coordinated

Name of Beneficiary Relationship

Deduction Section

1 Professional Payments* ☐ Check Below

2 Other Membership Categories:

KEA: 10 ☐ Unified Active

NEA: 30 ☐ Life Active 31 ☐ Life Pd-Up 32 ☐ Educ. Sec'y 33 ☐ Associate

Income Protection Insurance (Washington National) ☐

KEA Life Insurance (Minneapolis Mutual) ☐

I hereby authorize my employer to deduct from my salary, professional payments, which include Local KEA, and NEA dues, KEPAC and NEA-PAC contributions, and KEA insurance premiums as indicated above in 6 equal deductions. The amounts to be deducted are as follows: Dues - as officially determined by the Local Association, the KEA Delegate Assembly, and the NEA Representative Assembly. KEPAC and NEA-PAC contributions - as the KEA Delegate Assembly agrees to collect and Insurance Premiums - in accordance with rate schedules included in Master policies issued to KEA. This authorization is to remain in full force and effect unless revoked by me in writing to KEA. I understand KEA will accept no cancellations after October 1 of any membership year.

SIGNATURE _____ DATE _____

F6923-S APA INDIVIDUAL CONTINUOUS MEMBERSHIP

Annual Prem. Due \$ _____ Balance Due \$ _____

(Nearest .25c) \$ _____ Cash Collected \$ _____

Months of Prorate _____ H.O. to bill for \$ _____

(Nearest 1/2 mo.) _____ Added to 1st Ded. \$ _____

Prorate Amt. \$ _____

Credit Due \$ _____

Prorate

☐ Paid w/App.

☐ Add to 1st Deduction

☐ H.O. to Bill

☐ No Prorate Due

Plan No.	PLANNO				
Key	KEYLINE *				
Bill Method	BILMET				
Mode	MODE				
NCI Code	NCI				
Eff. Date (MDY)	EFFDA				
Evidence	EVID				
1st Bill Mo. (MY)	FBILMO				
1st Deduc. (MD)	FDEDUC				
Prorate Indic	PRIND				
Prorate Pd w App	PRPAID				
Prorate Amt	PRDUPD				
Prev Plan No	PREPLAN				
Opt Print	OPRNT				

* To be completed by Field Representative



REPORT OF RECEIPTS AND EXPENDITURES
FOR A
CAMPAIGN COMMITTEE OR POLITICAL ACTION COMMITTEE

Name of Committee KY. EDUCATORS PUBLIC AFFAIRS COUNCIL

Address 101 West Walnut St. Louisville, Ky. 40202
(Number and Street or Rural Route) (City) (County) (Zip Code)

Telephone 582-2273

Supporting * See attached list Political Party _____

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$100 must include date, name of contributor, occupation, address and amount. Sales of tickets to any individual, organization or association exceeding \$100 shall be reported, including the date of purchase, the name, address and occupation of the purchaser and the amount. All expenditures authorized, incurred or made should be reported. Expenditures over \$50 must include date, name and address of each person to whom made, purpose and amount. This report is due 32 days and 12 days before an election and within 30 days after an election. "Election" means any primary, special or general election.

TYPE OF REPORT
(Check Appropriate Box)

- | | |
|--|---|
| <input type="checkbox"/> 32 day Pre-Primary Report | <input type="checkbox"/> 32 day Pre-General Election Report |
| <input type="checkbox"/> 12 day Pre-Primary Report | <input type="checkbox"/> 12 day Pre-General Election Report |
| <input type="checkbox"/> Post-Primary Report | <input type="checkbox"/> Post-General Election Report |
| <input checked="" type="checkbox"/> Supplemental Report Quarterly | <input type="checkbox"/> Termination Report |

Report for the Period From June 28, 1976 Thru September 27, 1976

SECTION A(1) — ITEMIZED RECEIPTS AND CONTRIBUTIONS IN EXCESS OF \$100
(Indicate if ticket purchase, loan, transfer or refund)

Date (month, day, year)	Full Name, Mailing Address, Zip Code and Occupation	Received This Period	Total Received This Election

(If more space is needed, attach additional sheets)

TOTAL ITEMIZED RECEIPTS IN EXCESS OF \$100 THIS PERIOD \$ _____

Ly

Name of Committee: ALABAMA FIGHT AGAINST CORRUPTION

SECTION A(2) — CONTRIBUTIONS OF \$100 OR LESS (CONTINUED)

Number of contributors: 22,671 - most contribute by monthly installments

TOTAL CONTRIBUTIONS OF \$100 OR LESS \$ 19,912.86

SECTION A(3) — CONTRIBUTIONS AND DONATIONS IN KIND (If value is in excess of \$100 the date, contributor, address and occupation are required) (Each such donation shall be listed as an expenditure at the same fair market value.)

DESCRIPTION	VALUE
_____	\$ _____
_____	\$ _____
(If more space is needed, attach additional sheets)	
TOTAL VALUE-CONTRIBUTIONS IN KIND	\$ _____

SECTION A(4) — FUND RAISING EVENTS

Give date, sponsor, type of event, place, tickets sold, total receipts. (Ticket sales in excess of \$100 to an individual or organization are required to be reported under Section A(1). (List expenditures on page 3).

1. _____

2. _____

(If more space is needed, attach additional sheets)

TOTAL RECEIPTS-FUND RAISING EVENTS \$ _____

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES IN EXCESS OF \$50 \$ 7,936.03

SECTION B(2) — EXPENDITURES \$50 OR UNDER

SEE ATTACHED LIST

Date

Purpose of Expenditure

Amount

\$

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES \$50 OR UNDER \$ 775.27

• Supporting

Carroll Hubbard	Democrat
William Hatcher	Democrat
Romano Mazzoli	Democrat
John Breckinridge	Democrat
Tim Lee Carter	Republican
Carl Perkins	Democrat

NOT ALL CANDIDATES ARE RECEIVING FINANCIAL SUPPORT

8004020559

EXPENDITURES \$50.00 OR UNDER

<u>Date</u>	<u>Purpose of Expenditure</u>	<u>Amount</u>
6/28/76	Binders	\$ 3.34
6/30/76	Post office	10.00
6/30/76	Travel expenses	50.00
7/26/76	Voter lists - Muhlenberg Co. PAC	17.00
7/26/76	Gas - Muhlenberg Co. PAC	25.00
7/27/76	Tax	7.59
7/27/76	Tax	40.54
7/27/76	Ledger sheets	10.61
7/27/76	File folders	12.28
7/27/76	Expenses - travel	50.00
7/30/76	Telephone, telegrams - Henderson City PAC	21.65
7/30/76	Trips and postate - Henderson City PAC	39.00
8/2/76	Interviewing candidates - Kenton Co. PAC	38.68
8/4/76	Democratic picnic - Owensboro PAC	50.00
8/4/76	Balance on supplies	.61
8/4/76	Expenses - travel	50.00
8/9/76	Tickets to Democratic picnic - Daviess Co. PAC	50.00
8/26/76	Notebook covers - JEFF-PAC	20.16
8/26/76	Drive-in breakfasts with candidate - Bath Co. PAC	40.00
8/26/76	Supplies for JEFF-PAC	46.87
8/26/76	Jeff-PAC Committee expenses	12.96
8/26/76	Jeff-PAC Committee expenses	7.20
8/26/76	Jeff-PAC Committee expenses	8.08
9/7/76	Post office	10.00

2
2
5
0
4
0
2
0
5
0

9/7/76	Travel expenses	20.00
9/7/76	Refund of contributions	7.00
9/16/76	Telegram - Debater Co. PAC	4.60
9/22/76	Expenses - Anderson Co. PAC	50.00
9/24/76	Interviewing candidates - Kanton Co. PAC	42.10
	Total	\$775.27

80040205523

September 27, 1976

EXPENDITURES OVER \$50.00

Date	To Whom Made	Occupation	Address	Purpose	Amount
7/6/76	Executive West Restaurant	Restaurant	Louisville	Meeting	\$246.86
7/26/76	Billie Jean McDade (So. States Workshop)	Educator	Middlesboro	Meeting	92.15
7/26/76	Ky. Education Assoc.	Educators	Louisville	Cost of Processing Contrib.	4,285.50
7/27/76	Central Printing Co.	Printers	Louisville	Printing	104.90
7/27/76	Ky. Education Assoc.	Educators	Louisville	Xerox & postage	634.72
8/26/76	Ky. Education Assoc.	Educators	Louisville	Program time & running time	377.81
8/26/76	Ky. Education Assoc.	Educators	Louisville	Labels for Jeff-PAC	52.03
9/7/76	James Sproul	Educator	Flat Lick	Plane fare to Washington	239.23
9/10/76	Ky. Committee of '76 Dinner	Political	Louisville	Tickets	300.00
9/10/76	Collins for Clerk Comm.	Political	Frankfort	Contrib.	100.00
9/10/76	Atkins for Auditor	Political	Frankfort	Contrib.	100.00
9/13/76	Eastern Airlines	Flying	Louisville	Ticket	122.00
9/24/76	James G. Sproul	Educator	Flat Lick	Expenses - meeting	100.83
9/24/76	Mazzoli Campaign	Political	Louisville	Reception - JEFF-PAC	80.00
9/24/76	Phyllis Wolsing	Educator	Independence	Contrib. to school board candidate - Kenton County PAC	200.00
9/24/76	Ron Mason	Educator	Covington	Contrib. to school board candidate - Kenton County PAC	200.00
9/24/76	Jon Draud	Educator		Contribution to School Bd. candidate - Kenton Co. PAC	200.00

3004030524

Summary of Contributions

<u>Date</u>	<u>To Whom Made</u>	<u>Occupation</u>	<u>Address</u>	<u>Purpose</u>	<u>Amount</u>
9/27/76	Stephen L. Wade	Volunteer	Central City	Contrib. to school board candidate - Muhlenberg Co. PAC	\$250.00
9/27/76	Richard Nood	Educator	Central City	Contrib. to school board candidate - Muhlenberg Co. PAC	250.00
Total					\$7,936.00

80040205525



REPORT OF RECEIPTS AND EXPENDITURES
FOR A
CAMPAIGN COMMITTEE OR POLITICAL ACTION COMMITTEE

Name of Committee KENTUCKY EDUCATORS PUBLIC AFFAIRS COUNCIL

Address 101 West Walnut St., Louisville, Jefferson 40202
(Number and Street or Rural Route) (City) (County) (Zip Code)

Telephone 582-2273

Supporting None at present time Political Party _____

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$100 must include date, name of contributor, occupation, address and amount. Sales of tickets to any individual, organization or association exceeding \$100 shall be reported, including the date of purchase, the name, address and occupation of the purchaser and the amount. All expenditures authorized, incurred or made should be reported. Expenditures over \$50 must include date, name and address of each person to whom made, purpose and amount. This report is due 32 days and 12 days before an election and within 30 days after an election. "Election" means any primary, special or general election.

TYPE OF REPORT
(Check Appropriate Box)

☐ 32 day Pre-Primary Report

☐ 32 day Pre-General Election Report

☐ 12 day Pre-Primary Report

☐ 12 day Pre-General Election Report

☐ Post-Primary Report

☐ Post-General Election Report

☒ Supplemental Report #3

☐ Termination Report

Report for the Period From September 30, 1976 Thru December 21, 1976

SECTION A(1) — ITEMIZED RECEIPTS AND CONTRIBUTIONS IN EXCESS OF \$100
(Indicate if ticket purchase, loan, transfer or refund)

Date (month, day, year)	Full Name, Mailing Address, Zip Code and Occupation	Received This Period	Total Received This Election

(If more space is needed, attach additional sheets)

TOTAL ITEMIZED RECEIPTS IN EXCESS OF \$100 THIS PERIOD \$ _____

Number of pages 21-555

(Total number of pages in report)

TOTAL CONTRIBUTIONS OF 1954-55 \$ 27,574.42

SECTION A(3) -- CONTRIBUTIONS AND DONATIONS IN KIND (If value is in excess of \$100 the date, contributor, address and occupation are required) (Each such donation shall be listed as an expenditure at the same fair market value.)

DESCRIPTION	VALUE
	\$
	\$
(If more space is needed, attach additional sheets)	
TOTAL VALUE-CONTRIBUTIONS IN KIND	\$

SECTION A(4) -- FUND RAISING EVENTS

Give date, sponsor, type of event, place, tickets sold, total receipts. (Ticket sales in excess of \$100 to an individual or organization are required to be reported under Section A(1). (List expenditures on page 3).

1. _____

2. _____

(If more space is needed, attach additional sheets)

TOTAL RECEIPTS-FUND RAISING EVENTS \$

80040305527

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES IN EXCESS OF \$50 \$ 20,802.78

SECTION B(2) — EXPENDITURES \$50 OR UNDER

SEE ATTACHED SHEET

Date _____

Purpose of Expenditure

Amount

3

(If more space is needed, attach additional sheets)

TOTAL OF EXPENDITURES \$50 OR UNDER \$ 900.63

Name of Committee Lyndle Barnes Report 11
Report covering period from 11/1/76 thru 11/30/76

SECTION A -- RECEIPTS

1. Itemized receipts in excess of \$100 (A-1, page 2)
2. Unitemized contributions \$100 or less (A-2, page 2)
3. Contributions and donations in kind-value (A-3, page 2)
4. Fund raising events (A-4, page 2)

TOTAL RECEIPTS

Column A --
This Period

\$ 27,574.42

\$ 27,574.42

SECTION B -- EXPENDITURES (From page 2):

1. Itemized expenditures over \$50 (B-1, page 2)
2. Expenditures \$50 or less (B-2, page 2)

TOTAL EXPENDITURES

\$ 20,802.78

\$ 900.63

\$ 21,703.41

SECTION C -- CASH BALANCES:

Cash on hand at beginning of reporting period

Add total receipts (Section A above)

\$ 141,940.58

\$ 27,574.42

SUBTOTAL

\$ 169,515.00

Subtract total expenditures (Section B above)

Cash on hand at close of reporting period

\$ 21,703.41

Debts at close of reporting period (Attach page describing debts)

\$ 147,811.59

\$ -0-

List banks, with addresses, in which the Committee deposits funds, rents safety deposit boxes, or maintains funds.
Citizens Fidelity Bank, Midtown Office, 250 E. Liberty, Louisville, Ky.

Custodian of books and accounts if different than treasurer. Give full name, address and telephone number.

VERIFICATION BY OATH OR AFFIRMATION

I, LYNDLE BARNES, state that this Report of Receipts and Expenditures is true, complete and correct.

STATE OF KENTUCKY

COUNTY OF JEFFERSON

SS

Lyndle Barnes
(Signature of Committee Treasurer or Assistant Treasurer)

Subscribed and sworn to before me by Lyndle Barnes this 21st day of Dec., 1976

My Commission Expires My commission expires Jan. 2, 1980

John J. Gentry
NOTARY PUBLIC, Jefferson COUNTY, KY.

RETURN COMPLETED REPORT AND ATTACHMENTS TO:
Kentucky Registry of Election Finance
310 W. Liberty Street, Room 714
Louisville, Kentucky 40202

EXPENDITURES OVER \$50.00

Date	To Whom Made	Occupation	Address	Purpose	Amount
9/28/76	Ralph Estes	Educator	Waynesburg	Meeting expense	\$62.80
9/28/76	Cassandra Wright	Educator	Morning View	Meeting expense	88.50
9/28/76	Joe Williams	Educator	Stearns	Meeting expense	57.36
9/28/76	Wayne Harvey	Educator	Smithland	Meeting expense	76.60
9/28/76	Mark Thompson	Candidate (school bd.)	Lexington	Contribution	500.00
9/28/76	Harold Collins	Educator	Hyden	Meeting expense	61.04
10/7/76	Norma Flock	Clerical	Georgetown, Ind.	Salary	96.05
10/30/76	Alvin Seals	Candidate (school bd.)	Lexington	Contribution	500.00
10/30/76	Schuhmann's	Cameras	Louisville	Camera	218.75
10/7/76	Charles Livingston	Educator	Central City	Meeting expense (Muhlenberg Co. PAC)	51.51
10/7/76	Rhoda Ayers	Candidate (school bd.)	Newport	Contribution (Newport PAC)	225.00
10/7/76	C. R. Davis	Candidate (school bd.)	Newport	Contribution (Newport PAC)	225.00
10/7/76	Ada Jobe	Educator	Catlettsburg	Meeting Expense	104.30
10/8/76	Jack Gaither	Candidate (school bd.)	Beaver Dam	Contribution (Ohio Co. PAC)	200.00
10/7/76	Jim Scobee	Candidate (school bd.)	Beaver Dam	Contribution (Ohio Co. PAC)	200.00
10/7/76	Winterberg for Cong.	Candidate	Covington	Contribution (JEFF-PAC)	422.00
10/7/76	Mazzoli Camp. Comm.	Candidate	Louisville	Contribution (JEFF-PAC)	400.00
10/7/76	Jeanne Andriot	Educator	Louisville	Expenses	115.95
10/7/76	Central Printing	Printers	Louisville	Letterheads, etc.	189.11
10/12/76	Dean Chitwood	Candidate (school bd.)	Somerset	Contribution (Pulaski Co. PAC)	600.00
10/12/76	The Hickry Pit	Restaurant	Dawson Springs	Meeting - dinner	199.50
10/12/76	Boone Co. Ed. Assoc.	Educators (Boone Co. PAC)	Villa Hills	Expenses for school bd. races	500.00
10/12/76	Mark Thompson	Candidate (School bd.)	Lexington	Contribution	500.00
10/12/76	Judy Tipton	Candidate (School bd.)	Lexington	Contribution	200.00
10/21/76	Norma Flock	Clerical	Georgetown, Ind.	Salary	96.05
10/14/76	Eagle Printing Co.	Printers	Whitesburg	Bumper stickers (Letcher Co. PAC)	238.88
10/14/76	Jean Schweinfurth	Educator	Danville	Reception (Boyle Co. PAC)	82.74
10/14/76	Agnes Sublette	Educator	Hickman	Meeting expense	131.15
10/13/76	Alvin Seals	Candidate (school bd.)	Lexington	Contribution	500.00
10/18/76	Billie Jean McDade	Educator	Middlesboro	Meeting expense	100.65
10/18/76	Murray St. Univ.	School	Murray	Reception exp.	207.45
10/18/76	Minit Print	Printers	Louisville	Material (JEFF-PAC)	81.38
10/18/76	Howard Blanton - Lunchroom Mgr.	Cafeteria	Harned	Dinner meeting (Breckinridge Co. PAC)	194.38
10/22/76	General Printing	Printers	Louisville	Letterheads, etc. (JEFF-PAC)	189.53
10/22/76	Bobby Joe Ison	Candidate (school bd.)	Whitesburg	Contribution (Letcher Co. PAC)	200.00

December 21, 1976

Date	Name	Occupation	Location	Purpose	Amount
10/22/76	Tom Lingo	Candidate (School bd.)	Whitesburg	Contribution (Letcher Co. PAC)	\$200.00
10/22/76	Wallace Kinner	Candidate (School bd.)	Whitesburg	Contribution (Letcher Co. PAC)	200.00
10/22/76	Superior Printing	Printers	Whitesburg	Newspaper ad (Letcher Co. PAC)	144.00
10/22/76	The Mountain Eagle	Newspaper	Whitesburg	Contribution (Letcher Co. PAC)	264.60
10/22/76	Boone Co. Ed. Assoc.	Educators (school bd. races)	Villa Hills	Contributions (Boone Co. PAC)	500.00
10/22/76	Fayette Co. Ed. Assoc.	Educators	Lexington	Mailing permits	120.00
10/22/76	Edmonson Co. Teachers	Educators	Brownsville	Printing, ads (Edmonson Co. PAC)	100.00
10/22/76	Ky. Educ. Assoc.	Educators	Louisville	Xerox, postage	155.49
10/22/76	Donald Neil	Educator	Owensboro	Printing, postage (Daviess Co. PAC)	259.46
10/25/76	Rhoda Ayers	Candidate (School bd.)	Newport	Contribution (Newport PAC)	360.00
10/25/76	C. R. Davis	Candidate (school bd.)	Newport	Contribution (Newport PAC)	360.00
10/25/76	Best Western Motel	Restaurant	Shepherdsville	Dinner (Bullitt Co. PAC)	140.80
10/28/76	Pat Franklin	Candidate (school bd.)	Central City	Contribution (Central City PAC)	158.30
10/28/76	Marianna Lan	Candidate (school bd.)	Central City	Contribution (Central City)	168.60
10/28/76	Mark Thompson	Candidate (school bd.)	Lexington	Contribution	150.00
10/28/76	Alvin Seals	Candidate (school bd.)	Lexington	Contribution	150.00
11/4/76	Norma Flock	Clerical	Georgetown, Ind.	Salary	96.05
10/26/76	Ron Peace	Candidate (school bd.)	Covington	Contribution (Covington PAC)	200.00
10/26/76	Edward Vonwahlde	Candidate (school bd.)	Covington	Contribution (Covington PAC)	200.00
10/29/76	Folkways Broadcasting	Radio	Whitesburg	Political announcements (Letcher Co. PAC)	150.00
11/3/76	Beverly Hills County Club		Beverly Hills	Workshop	76.00
11/3/76	Ky. Ed. Assoc.	Educators	Louisville	Telephone serv.	107.34
11/8/76	Royal Typewriter	Typewriters	Louisville	Typewriter	568.05
11/9/76	Carrie Slaughter	Educator	Bowling Green	Advertisements (Warren Co. PAC)	241.45
11/11/76	Mountain Eagle	Newspaper	Whitesburg	Advertisements (Letcher Co. PAC)	126.70
11/11/76	Mountain Eagle	Newspaper	Whitesburg	Advertisements (Letcher Co. PAC)	227.50
11/12/76	Citizens for Stovall	Candidate (School bd.)	Owensboro	(Daviess Co. PAC) Contribution	605.33
11/12/76	Comm. for Ellis Riddle	Candidate (School bd.)	Owensboro	(Daviess Co. PAC) Contribution	566.00
11/12/76	Riney for School Bd.	Candidate	Owensboro	(Daviess Co. PAC) Contribution	574.84
11/12/76	WNKY	Radio	Whitesburg	(Daviess Co. PAC) Political ad (Letcher Co. PAC)	62.50

EXPENDITURES (continued)

December 31, 1976

Date	To Whom Issued	Occupation	Address	Purpose	Amount
11/12/76	Superior Printing	Newspaper	Whitesburg	Political ads (Letcher Co. PAC)	\$144.00
11/15/76	Charles Livingston	Educator	Central City	Travel, etc. (Muhlenberg Co. PAC)	91.64
11/15/76	Ky. State Treasurer	State office	Frankfort	Tax	119.00
11/15/76	Internal Revenue Serv.	Federal gov.	Louisville	Tax	1,273.00
11/15/76	Richard Wood	Candidate (school bd.)	Central City	Candidate (contribution Muhlenberg Co. PAC)	500.00
✓ 11/15/76	Cumberland Club	Political	Louisville	Reception for Sen. Huddleston	300.00
11/16/76	Carrie Slaughter	Educator	Bwling Green	Dinner for school bd. (Warren Co. PAC)	170.00
11/16/76	Rutland's Barbecue	Restaurant	Hopkinsville	Legislators dinner (Christian Co. PAC)	637.00
11/17/76	Norma Flock	Clerical	Georgetown, Ind.	Salary	96.05
11/18/76	News Enterprise	Newspaper	Elizabethtown	Ad for school bd. race (Elizabethtown)	288.96
11/24/76	Kustom Kwik-Print	Printing	Owensboro	Questionnaires (Owensboro PAC)	125.35
12/2/76	Norma Flock	Clerical	Georgetown, Ind.	Salary	96.05
12/8/76	Jeanne Andriot	Educator	Louisville	Expenses - JEFF-PAC	109.34
9/30/76	Citizens Fidelity	Bank	Louisville	Checks ordered	68.00
12/15/76	Internal Revenue	Government	Memphis, Tenn.	Installment on tax	340.00
12/17/76	Mountain Eagle	Newspaper	Whitesburg, Ky.	Political ad	101.50
12/17/76	Doris E. Wilson	Educator	Louisville, Ky.	Meeting expense	127.01
12/17/76	Doris E. Wilson	Educator	Louisville, Ky.	Meeting expense	191.36
12/17/76	Doris E. Wilson	Educator	Louisville, Ky.	Meeting expense	154.80
12/17/76	Eskew & Gresham	Auditor	Louisville, Ky.	Annual audit	725.00
12/20/76	Norma Flock	Clerical	Georgetown, Ind.	Salary	96.05
Total					\$20,802.78

September 30, 1976

EXPENDITURES

Date	Purpose of Expenditure	Amount
9/28/76	Committee meeting	\$ 23.00
9/28/76	Committee meeting	2.00
9/28/76	Committee meeting	23.00
9/28/76	Campaign contribution - Mazzoli	10.00
9/28/76	Workshop expense	7.63
9/30/76	Post office	5.00
9/30/76	Travel expenses	50.00
9/30/76	Ticket	10.00
9/30/76	State Comm. meeting	38.49
10/4/76	Supplies	18.98
10/6/76	Expenses	37.49
10/11/76	Meeting expense	10.25
10/13/76	Paper and postage	13.36
10/13/76	Contribution - Democratic Party	19.76
10/18/76	Development of films	8.61
10/22/76	Voter keys	3.00
10/22/76	Gasoline for campaigning	50.00
10/22/76	Gasoline for campaigning	50.00
10/25/76	Refreshments	16.20
10/25/76	Stamps	3.00
11/4/76	Campaign contribution - Mazzoli	10.00
10/26/76	Miscellaneous expense	5.00
11/2/76	Tickets to Dem. Social	40.00
11/2/76	Supplies, etc.	22.50
11/8/76	Expenses	4.15
11/11/76	Expenses	50.00
11/11/76	Cards	22.05
11/12/76	Expenses	30.00
11/12/76	Expenses	9.00
11/12/76	Expenses	12.00
11/12/76	Expenses	12.00
11/12/76	Expenses	36.00
11/18/75	Tickets	25.00
11/18/76	Gift	5.00
11/18/76	Gift	10.00
11/24/76	Letters	2.42
11/24/76	Stamps	.65
12/7/76	Custodial services	25.15
12/7/76	Ad	42.00
12/8/76	Party expenses	15.00
12/9/76	Post Office Box rental	35.00
12/13/76	Expenses	50.00
12/17/76	Western Union Telegraph Co. - telegram	25.44

Total \$900.63 ✓

KENTUCKY DEPARTMENT OF ELECTION FINANCE
1425 Louisville Road, Louisville, Kentucky 40201
Telephone (502) 582-3276



REPORT OF RECEIPTS AND EXPENDITURES
FOR A
CAMPAIGN COMMITTEE OR POLITICAL ACTION COMMITTEE

Name of Committee KY. EDUCATORS PUBLIC AFFAIRS COUNCIL

Address 101 WEST WALNUT STREET, LOUISVILLE, KY. 40202
(Number and Street or Rural Route) (City) (Zip Code)

Telephone 502-582-2273

Supporting No candidates for the May 24 primary have been endorsed at this time. Political Party _____

Report all contributions of money, loans or other things of value and all expenditures. Statement of contributions over \$100 must include date, name of contributor, occupation, address and amount. Sales to any individual, organization or association exceeding \$100 shall be reported, including the date of purchase, the name, address and occupation of the purchaser and the amount. All expenditures authorized, incurred, or made should be reported. Expenditures over \$50 must include date, name, occupation and address of each person to whom made, purpose and amount. This report is due 32 days and 12 days before an election and within 30 days after an election. "Election" means any primary, special or general election.

TYPE OF REPORT
(Check Appropriate Box)

- | | |
|---|---|
| <input checked="" type="checkbox"/> 32 day Pre-Primary Report | <input type="checkbox"/> 32 day Pre-General Election Report |
| <input type="checkbox"/> 12 day Pre-Primary Report | <input type="checkbox"/> 12 day Pre-General Election Report |
| <input type="checkbox"/> Post-Primary Report | <input type="checkbox"/> Post-General Election Report |
| <input type="checkbox"/> Supplemental Report | <input type="checkbox"/> Termination Report |

Report for the Period From December 21, 1976 Thru April 18, 1977

SECTION A(1) - ITEMIZED RECEIPTS AND CONTRIBUTIONS IN EXCESS OF \$100
(Indicate if ticket purchase, loan, transfer or refund)

Date	Name, Complete Address, Occupation	Received This Period	Total Received This Election

(If more space is needed, attach additional sheets)

TOTAL SECTION A(1) - Received This Period \$ _____ XXXXXXXXXXXX

15

Report estimated value of contributions other than money. If value is in excess of \$100, give date, name, address and occupation. Also report, at same amount, as an expenditure on page 3.

DESCRIPTION

VALUE

5

5

(If more space is needed, attach additional sheets)

TOTAL SECTION A(3) \$_____

SECTION A(4) – FUND RAISING EVENTS

Report date, type of event and location. Report gross receipts giving breakdown by category of sale - tickets, collections, miscellaneous sales, etc. Any individual or organization purchasing items in excess of \$100 should be listed in Section A(1) by name, address, occupation and amount. Subtract such amounts from gross receipts and report balance in Total-Section A (4). List expenditures on page 3.

(If more space is needed, attach additional sheets)

TOTAL SECTION A(4) \$_____

The image shows a dark, heavily textured surface, likely the cover or endpaper of an old book. The texture is grainy and uneven, with a range of dark grey to black tones. A vertical strip of lighter, more uniform material is visible along the right edge, suggesting a hinge or a different material used for the spine. There are some faint, light-colored marks and scratches scattered across the surface, particularly a thin, curved line near the top right.

TOTAL SECTION B(1) \$ 6,792.52

[illegible]

TOTAL SECTION B(2) \$ 1,026.33

SECTION A - RECEIPTS

1. Itemized receipts in excess of \$100 (A-1, page 2)	\$	
2. Unitemized contributions \$100 or less (A-2, page 2)	\$	62,037.86
3. Contributions and donations in kind (A-3, page 2)	\$	
4. Fund raising events (A-4, page 2)	\$	
5. TOTAL RECEIPTS (lines 1+2+3+4)	\$	62,037.86

SECTION B - EXPENDITURES

6. Itemized expenditures over \$50 (B-1, page 3)	\$	6,792.32
7. Expenditures \$50 or less (B-2, page 3)	\$	1,026.53
8. TOTAL EXPENDITURES (lines 6+7)	\$	7,818.85

SECTION C - CASH BALANCES

9. Cash on hand at beginning of reporting period	\$	147,811.59
10. Add: Total Receipts (line 5)	\$	62,037.86
11. SUBTOTAL (lines 9+10)	\$	209,849.45
12. Less: Total Expenditures (line 8)	\$	7,818.85
13. Cash on hand at close of reporting period (line 11 minus 12)	\$	202,030.60

SECTION D - DEBTS

14. Debts at close of reporting period (attach page describing debts) \$ -0-

Custodian of books and accounts if different than treasurer. Give full name, address and telephone number

VERIFICATION BY OATH OR AFFIRMATION

I, LYNDLE BARNES, state that this Report of Receipts and Expenditures is true, complete and correct.

STATE OF KENTUCKY
COUNTY OF JEFFERSON } SS

Lyndle Barnes
(Signature of Committee Treasurer or Assistant Treasurer)

Subscribed and sworn to before me by Lyndle Barnes this 20th day of April, 1977

My Commission Expires July 28, 1979

Barbara J. Lane
NOTARY PUBLIC, State of Large COUNTY, KY.

RETURN COMPLETED REPORT AND ATTACHMENTS TO:
Kentucky Registry of Election Finance
1520 Louisville Road
Frankfort, Kentucky 40601

Date	Name	Occupation	Location	Category	Amount
1/17/77	Norma Flock	Clerical	Georgetown, Ind.	Salary	96.05
1/23/77	Cummins Gas Company	Political	Waynesburg, Ky.	Contribution	100.00
1/26/77	Internal Revenue Serv. Federal Govt.		Louisville, Ky.	Tax	73.71
1/27/77	Victor Comptometer Corp.	Calculators	Louisville, Ky.	Calculator	161.12
1/27/77	Norma Flock	Clerical	Georgetown, Ind.	Salary	96.05
1/31/77	Judy Morris	Educator	Ashland, Ky.	Political add (Ashland PAC)	229.32
2/4/77	500 Proof Band	Band	Louisville, Ky.	KEPAC reception	250.00
2/10/77	Norma Flock	Clerical	Georgetown, Ind.	Salary	96.05
2/14/77	Citizens Fidelity Bank & Trust Co.	Bank	Louisville, Ky.	Corporate taxes	340.00
2/15/77	Ralph Estes	Educator	Waynesburg	Meeting expense	53.85
2/23/77	Lincoln Club of Ky.	Political	Louisville, Ky.	Tickets for dinner	250.00
2/23/77	Lincoln Club of Ky.	Political	Louisville, Ky.	Tickets for dinner	125.00
2/24/77	Executive West Catering	Resturant	Louisville, Ky.	KEPAC reception	61.88
2/24/77	Executive West Catering	Resturant	Louisville, Ky.	Refreshments for reception	289.49
2/24/77	Norma Flock	Clerical	Georgetown, Ind.	Salary	95.84
3/10/77	Norma Flock	Clerical	Georgetown, Ind.	Salary	95.84
3/7/77	Republican Party of Kentucky	Political	Frankfort, Ky.	Sustain membership	100.00
3/7/77	Harold Collins	Educator	Hyden, Ky.	Meeting expense	95.82
3/7/77	Wayne Harvey	Educator	Smithland, Ky.	Meeting expense	85.10
3/5/77	Joe W. Williams	Educator	Stearns, Ky.	Meeting expense	56.04
3/8/77	Jeanne Andriot	Educator	Louisville, Ky.	Expenses for travel	72.30
3/9/77	Ralph L. Estes	Educator	Waynesburg,	Meeting expense	64.67
3/9/77	Ada Rose Jobe	Educator	Catlettsburg, Ky.	Meeting expense	98.35
3/14/77	James Sproul	Educator	Flat Lick, Ky.	Travel expense	121.24

EXPENDITURES - 1977

Date	Purpose of Expenditure	Amount
12/21/76	Petty Cash Fund	5.00
1/4/77	School Board Candidates, Carbon PAC	12.65
1/4/77	School Board Candidates, Carbon PAC	45.00
1/25/77	Travel expenses	50.00
1/25/77	Election add, Christian Co. PAC	25.20
1/25/77	Supplies	14.33
1/26/77	Federal Tax	9.00
1/26/77	City Tax	7.86
1/27/77	Cards	7.00
1/27/77	Supplies	16.53
1/31/77	Newspaper add, Ashland PAC	21.84
2/15/77	City Tax	1.26
2/15/77	Travel Expenses	50.00
2/24/77	Campaign contribution - Hubbard	50.00
3/4/77	Travel expenses	50.00
3/7/77	Expenses for Henderson Co. PAC	8.20
3/7/77	Gift for Livingston Co. PAC	10.00
3/5/77	Committee meeting	18.68
3/5/77	Committee meeting	46.30
3/7/77	Committee meeting	10.53
3/7/77	Committee meeting	36.60
3/7/77	Committee meeting	48.55
3/7/77	Committee meeting	28.20
3/7/77	Committee meeting	9.20
3/15/77	Contribution, Marshall Co. PAC	10.00
3/17/77	Executive board dinner, Warren Co. PAC	50.00
3/23/77	Supplies	45.96
3/24/77	Petty cash fund, Pulaaki Co. PAC	25.00
3/24/77	Madison Co. PAC breakfast	8.66
3/28/77	Tickets for VanCuren luncheon	50.00
3/29/77	Supplies	5.21
3/29/77	Newspaper add, Union Co. PAC	45.00
3/29/77	Newspaper add, Union Co. PAC	50.00
4/6/77	Flowers for condolences	16.75
4/12/77	Campaign contribution, Spencer Co. PAC	50.00
4/12/77	District meeting	15.40
4/13/77	Xerox copies, Jeff-PAC	7.50
4/13/77	Travel expenses	50.00
4/18/77	Gavel and engraving	14.92
		\$1,026.33

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Kentucky Business Political Action Committee
Post Office Box 128
Frankfort, Kentucky 40601

FEDERAL ELECTION
COMMISSION

'77 JUN 3 AM 1:52



FEDERAL ELECTION COMMISSION
OFFICE OF THE GENERAL COUNSEL
1325 K STREET, N.W.
WASHINGTON, D.C. 20643

TRANSCRIPT OF HEARING
BEFORE THE KENTUCKY REGISTRY OF ELECTION FINANCE

*** *** ***

August 15, 1977

Louisville, Kentucky

KENTUCKY EDUCATION ASSOCIATION
(KENTUCKY BUSINESS POLITICAL ACTION COMMITTEE)

JAMES E. EDMONDSON
Reporter

DALE, SOERGER, MOORE, SHAW AND ASSOCIATES
COURT REPORTERS
SUITE 704
310 WEST LIBERTY STREET
LOUISVILLE, KENTUCKY 40202
502/587-6006



INDEX

DIRECT CROSS INDIRECT ACROSS

FOR THE COMPLAINANT:

Phillip Thompson:	8-24	24-35	35-36	37
James Lay:	39-53	59-63	58, 64-65	
Dr. G.S. Potts:	66-72	72-77		

FOR THE RESPONDENT:

Wendell Barnes:	78-85	85-109		118
Raymond C. Tackett:	120-136	136-139		144
Charlotte McCormick:	145-148	148-149		
Lamar Gering:	152-157	157		
Robert Summers:	159-164	164-168		

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L. Stanley Chaevin, Jr.
Chairman

Elmer N. Carrell,

Charles R. Coy

Foster Ockerman
Board members

John J. Slattery
Representing Kentucky Education Association

Ronald Gaffney
Representing Kentucky Business Political
Action Committee

*** **

This matter coming on for Hearing
before the Board of the Kentucky Registry of
Election Finance, in the office of the Kentucky
Registry of Election Finance, 1515 Old Louisville
Road, Frankfort, Fayette County, Kentucky, on
August 15, 1977, commencing at 9:00 A.M., the
following was heard:

THE CHAIRMAN:

If you would,

let the record show that this is a hearing for the Kentucky Registry of Election Finance as a result of a complaint filed by Mr. Phillip A. Thompson, on June 1st, 1977, alleging seven violations of the Corrupt Practices Act.

That all parties are present in person and by counsel. The Respondent being the Kentucky Education Association, and it's political arm for the Education Public Affairs Council referred to as K.E.P.A.C., and that these parties have exchanged certain information prior to this hearing, each side -- The Respondent, K.E.P.A.C., has been advised of the charges against it, and that the record has been open for inspection, that process has been issued for the production of certain documents and that this hearing has been called for the purpose of determining whether or not in fact certain violations have occurred.

Now, before we start, let me say something to the people from the Kentucky Business Political Action Committee, and K.E.P.A.C. In going through these charges, Mr. Thompson filed on June 1st, 1977, and the exhibits, there seems to be not that much dispute as to the facts which

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surround the charges, the deduction, whether or not they reimbursed services, all of the things that are contained in the seven charges. The members of the Registry are conversant with and familiar with the Corrupt Practices Act and I want this hearing to be limited strictly to these specific Acts, and whether or not these Acts constitute a violation of the Corrupt Practices Act.

It would seem to me in looking through here that numerous, more almost than I can count, stipulations could be entered into. The stipulations would be received by the Registry, not as an admission of any fact, but merely as an agreement between the parties; that where a witness is called to testify or where a document is introduced, it would in fact be thus and so. And we would expect you all, if you want to have a conference on it for a few minutes -- I see in the file here several attachments, and K.E.A. and K.E.P.A.C. may well want to have some records, you may want to have some records. The Registry is perfectly competent to examine these if they're put in under the terms that they are comparative with the Corrupt Practices Act.

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And we're here to hear the case, but there is no reason to spend hours wrangling over documents because I think we're competent to inspect these documents and to look at them and apply them to the law.

MR. SLATTERY: Mr. Chairman, we have no objection to that, however, we do have some documents that we would like to introduce. However, I think it might be helpful to the Registry to listen to the witnesses that we introduce the documents through, so that the meaning of these documents can be explained, and to take the time to come up with a stipulation and then go through the same process with the witnesses --

THE CHAIRMAN: (Interrupting) I would agree, and I think the Registry would agree that we can hear these for the purpose of putting these documents in, and we will expect these questions to be brief.

How much time are we talking about for proof? How long will it take you?

MR. SLATTERY: My proof I suppose, what I have planned right now, would take, I suppose forty-five minutes to an hour, depending on how long cross examination is.

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THE CHAIRMAN: All right. How about you, Mr. Gaffney?

MR. GAFFNEY: We approached this subject of stipulation with Mr. Slattery and he felt that it would be more informative to the Registry to hear the witnesses. Depending on what we have to put on for Mr. Thomas, I don't know if K.E.A. or K.E.P.A.C. are willing to stipulate as to the mechanics of how the funds are solicited and obtained and that type of thing, but assuming that there is really not any real dispute over those things, I would think we would be able to put our witnesses on in an equal amount of time.

THE CHAIRMAN: Okay. Let's try it that way.

MR. SLATTERY: At the outset --

THE CHAIRMAN: (Interrupting)
An opening statement, make it brief.

MR. SLATTERY: No, not an opening statement. It's just so I won't be waiving anything. I would like to object to charges one through six on the grounds that they don't comply with the regulations of the Commission; specifically, that there are no dates, no statements that they are continuing violations,

and these charges are not specific enough to comply with the regulations of the Registry. So, for that reason, I move that these first six charges be stricken.

THE CHAIRMAN: Mr. Reporter, note the objection and it will be overruled at this time.

Your first witness, please, Mr. Gaffney.

MR. GAFFNEY: I would like to call Mr. Phillip A. Thompson.

PHILLIP A. THOMPSON, called on behalf of the Claimant, after being first duly sworn, was examined and testified as follows:

THE CHAIRMAN: For the record, would you state your name, please?

THE WITNESS: Phillip A. Thompson.

THE CHAIRMAN: What is your address?

THE WITNESS: Route 3,

Georgetown.

THE CHAIRMAN: And your
occupation?

THE WITNESS: I'm employed
by the Kentucky Chamber of Commerce.

THE CHAIRMAN: Mr. Thompson,
are you the same Phillip Thompson that filed a
complaint with the Registry on June 1st, 1977?

THE WITNESS: I am.

THE CHAIRMAN: You may proceed,
Mr. Gaffney.

DIRECT EXAMINATION

BY MR. GAFFNEY:

Q1 Mr. Thompson, is it
your belief that the practices which you described
in your letter of June 1st are continuing practices
that date from the onset of K.E.P.A.C. and they're
still continuing today?

A It's my understanding,
yes.

Q2 I want to look at a few
specific charges that you alleged in your complaint,

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and ask you a few questions about these. I think the easiest way to do it is just go down through the violations.

THE CHAIRMAN: Yes, just start with number one and go through them.

Q3 As I read you alleged violation one, you are alleging that the K.E.A. receives political contributions which are deducted from teacher's salaries by the local school board, is that correct?

A That's my understanding, yes.

Q4 Is it your understanding that K.E.A. writes a corporate check to K.E.P.A.C. for the amounts that are so collected?

A That's my understanding.

Q5 And upon what do you base this allegation?

A It's based principally on the news reports of the K.E.A. newsletters, that we receive in our office, plus conversations with people who I think ought to know. It's my understanding that the K.E.A. receives the dues and in turn writes the checks to K.E.P.A.C.

Q6 Is the news article that

you're mentioning entitled the K.E.A. News?

A Yes, I believe that's either their monthly or bi-monthly letter, yes.

Q7 Is this a copy I hand to you (Passing document to witness), of that newsletter?

A Yes.

MR. GAFFNEY: Mr. Chairman, I have a clean copy in that it was copied by Xerox, but it does have some underline marks and we will obtain the original if you so desire.

THE CHAIRMAN: I don't think we'll have any problems with these copies. Have you furnished counsel for the Respondent a copy yet?

MR. SLATTERY: Mr. Chairman, I asked in the interrogatories for all documents that were going to be introduced and I have not received any of these.

MR. GAFFNEY: Well, these are documents that come from the K.E.A. News, it's the K.E.A. publication, and they would be documents already in their possession.

MR. SLATTERY: Well, I didn't know you were going to introduce them. So that's the basis for my objection.

THE CHAIRMAN:

Well, let them be introduced.

MR. COY:

Before we introduce them, Mr. Chairman, can they be identified a little more specifically?

THE CHAIRMAN:

Can you read the date and who they're by?

MR. GAFFNEY:

This is from the July 31st, 1975 edition of the K.E.A. News, which I believe is the official publication of the Kentucky Education Association.

THE CHAIRMAN:

Do you want to let Mr. Slattery look at that?

(PASSING DOCUMENTS)

Okay, go ahead.

Q8

And, is it on this basis this information you received in the article that you referred to that you make your allegations in violation number one?

A

That's true.

Q9

In violation number two, you allege as I understand it that the local school board may be making political contributions to K.E.P.A.C., is that correct?

A

Yes, by providing clerical

services, yes.

Q10 What is the basis for your belief?

A Well, it's my understanding that some local school boards deduct from the teacher's pay checks each payday a stipulated amount to be forwarded to K.E.A. and, therefore, on to K.E.P.A.C., and there is some amount of effort that is required to handle all those deductions.

Q11 Have you received any letters or other information indicating that the school boards in fact do do this and in fact it does cost the school board money?

A Yes, I have.

Q12 And I ask you -- the only one of these letters that you do not have is the one I just received this morning from Somerset Public Schools, but you do have copies of all the rest?

MR. SLATTERY: Well, let me see the ones you have.

Q13 I'll ask you, Mr. Thompson, did you receive a letter from the Estill County Board of Education?

A Yes, I did.

Q14 And I'll ask you, is this

a copy of the letter that you received from the
Buttill County Board of Education?

A Yes, it is.

MR. GAFFNEY:

Mr. Chairman --

THE CHAIRMAN:

That will be

Complainant Exhibit No. 2.

(Whereupon Complainant Exhibit
No. 2 was duly received, marked
and made a part of the record).

Q15

Does the letter from the

Buttill County School Board indicate that they do
take these deductions and it does cost them some
amount of money per year?

A

That's true.

Q16

And have you received

similar letters either indicating that such deduc-
tions are made and/or that they do or do not cost
the school board money?

MR. SLATTERY:

Mr. Chairman,

I think --

THE CHAIRMAN:

I think the

letters will speak for themselves.

MR. GAFFNEY:

Then if we

can, we will just introduce them all at one time.

THE CHAIRMAN:

That will be

MR. GAFFNEY: A letter from
the Bullitt County School System.

THE CHAIRMAN: That will be
Complainant Exhibit No. 3

(Whereupon Complainant Exhibit
No. 3 was duly received, marked,
made a part of the record).

MR. GAFFNEY: From the
Campbell County School System.

THE CHAIRMAN: That will be
number 4.

(Whereupon Complainant Exhibit
No. 4 was duly received, marked,
made a part of the record).

MR. GAFFNEY: The Hopkins
County School System.

THE CHAIRMAN: That will be
number 5.

(Whereupon Complainant Exhibit
No. 5 was duly received, marked,
made a part of the record).

MR. GAFFNEY: From the Fayette
County School System.

THE CHAIRMAN: That will be
number 6.

(Whereupon Complainant Exhibit
No. 6 was duly received, marked,
made a part of the record).

MR. GAFFNEY: And from the
Jefferson County School System.

THE CHAIRMAN: That will be
number 7.

(Whereupon Complainant Exhibit
No. 7 was duly received, marked,
made a part of the record).

MR. GAFFNEY: And the Somerset
Public School System.

THE CHAIRMAN: That will be
number 8.

(Whereupon Complainant Exhibit
No. 8 was duly received, marked,
made a part of the record).

the way.

In your violation number three, Mr. Thompson, I believe you allege that K.E.A. and K.E.P.A.C. solicited services from -- services and/or contributions from civic organizations established for the public good, is that correct?

A It is.

Q18 And what is your basis for that?

A Well, requests from K.E.A. to -- to deduct from the teacher's salaries moneys that ultimately end up in K.E.P.A.C.

MR. GAFFNEY:

And, Mr.

Chairman, we will submit that the letters previously identified will also substantiate that particular allegation.

MR. COY:

May I ask a

question at this time, Mr. Chairman?

THE CHAIRMAN:

Yes.

MR. COY:

What is the

distinction as seen by the counsel for the witness between the violation number two and violation number three, if any?

MR. GAFFNEY:

I believe that

if I may speak on that issue for Mr. Thompson, vio-

lation number two I believe and number three are very similar; that they involve two separate Sections of the Statutes.

MR. COY: But the factual allegations are the same?

MR. GAFFNEY: Yes.

THE CHAIRMAN: It's your contention that these acts are applied to two separate Statutes?

MR. GAFFNEY: Right, one particular provision states that a corporation cannot make a contribution of services or money, and the other one says that you cannot solicit an organization established for the public good.

THE CHAIRMAN: Thank you.

Q19 Violation number four, I believe, Mr. Thompson, alleges that K.E.A. and K.E.P.A.C. have unregistered pacs that operate throughout the State disbursing political contributions, is that correct?

A To my understanding, there are a number of local political action committees that are not registered and we were unable to find them.

Q20 You were unable to find any registration?

A Any registration with the local groups.

Q21 And what is your basis for your belief of that allegation?

A Well, again, that comes from their own newsletter, K.E.A. newsletters that describe quite clearly how they handle their funds, and it is funneled down through local organizations of some form, and it's from the newsletters, and in checking with the register, there was no -- We were unable to find any local pads filed.

Q22 And this newsletter which you're discussing the one that was previously submitted into evidence?

A Yes.

THE CHAIRMAN: Since someone is going to have to read this record, you're talking about Complainant Exhibit No. 1?

MR. GAFFNEY: Yes.

Q23 And in violation number five, I believe you indicate that K.E.A. and/or K.E.P.A.C. are obtaining money from teachers by assessment or by coercion, is that correct?

Q 0 0 4 0 2 0 5 5 6 1

A Yes, it seems to me that the teachers have no choice in belonging to K.E.A. and, therefore, contributing to K.E.P.A.C., except at the end of the year, as their newsletter clarifies, that only after some period of time at the end of the year can they request their money back, that seems to be something less than voluntary, and as I understand it, this has been ruled illegal by the F.E.C. and by the New Jersey Supreme Court in a similar --

MR. SLATTERY: (Interrupting)
I object to the witness testifying as to what the law might say.

THE CHAIRMAN: Well, your observation is based on what you read in this article?

THE WITNESS: Yes.

Q24 By the F.E.C., you refer to the Federal Election Commission?

A Yes, that's right.

Q25 I believe you said that the teachers have no choice except to belong to K.E.A., is that what you said?

MR. SLATTERY: Objection.

THE CHAIRMAN: Yes, that would call for a conclusion on the part of the witness.

MR. CAFFEY: Well, this is not a court hearing.

MR. SLATTERY: Well, I'm going by the rules issued by the Registry.

THE CHAIRMAN: Well, let me state that this calls for a conclusion on the part of the witness. If you can lay the foundation for this, then the witness can answer.

Q26 Do you have any knowledge as to the -- as to whether or not teachers are required to belong to K.E.A.?

A I didn't mean to indicate that they have to belong to K.E.A, but once they do, it appears to us that they are somewhat obligated to contribute to K.E.P.A.C.. No, they do not have to belong to K.E.A. and many do not.

Q27 Violation number six, I believe you describe as being contributions are received from teachers and the contributions that are being made by the teachers are not necessarily being made freely and voluntarily?

A Yes.

Q28 And what is the basis for that belief?

A Well, I think it refers

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a little bit to my previous statement. It's my understanding that funds are solicited from these members of the K.E.A., and at that time they sign their card, they really have little choice as to whether or not they contribute to K.E.P.A.C., and they can only get their money at the end of the year, by January 1 or some point, specifically requesting their money back.

Q29 Do you, of your own knowledge, have any information as to what the K.E.A. refers to or how the K.E.A. refers to this particular system, what is it called?

A They use the term "reverse dues checkoff," I presume there's other terms for it, but they use the term "reverse dues checkoff".

MR. GAFFNEY: I believe the Board can take judicial notice that the F.E.C. has issued a regulation --

MR. SLATTERY: (Interrupting)
That would be argumentative.

MR. GAFFNEY: Well, we're asking that the Board take judicial notice of it.

MR. SLATTERY: Well, this is very argumentative.

MR. GAFFNEY: This is an --

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existing regulation of the Federal Election Commission number 114.5, and the commentary thereto, and I quote, "This section specifically prohibits the use of reverse checkoff system. The Commission interprets the statutory claim upon the condition of membership, to include lines under which individuals must make a contribution in order to acquire or obtain membership even if the contribution is refundable at a later date upon request of the contributor."

MR. SLATTERY: What was the number of the regulation?

MR. GAFFNEY: Section 114.5, A-1, and the commentary thereto. Number 114.5, Subsection A.

THE CHAIRMAN: What --

MR. GAFFNEY: (Interrupting) It's the regulation from the Federal Election Commission.

THE CHAIRMAN: Is that Exhibit Number 9?

MR. GAFFNEY: Yes. And that is a xerox copy; if the Board wishes, we would be happy to provide them with a full copy.

THE CHAIRMAN: That's okay.

Q30

Violation number 7, Mr.

Thompson, I believe you are alleging that the K.E.A. or K.E.P.A.C. gives contributions in return for pledges from particular legislatures or candidates that they will vote this particular way. Is that correct?

A

Yes, from the annual report; I don't have the date of the article in K.E.A. News, but the executor made it quite clear that they give contributions only to those who pledge support to their goals. It seems to be, the way they say it, they said it, I did not. I really didn't have to interpret their words at all, it's very clear.

Q31

Is the annual report that you're referring to a report entitled "The Executor Secretary Annual Report," authored by Robert L. Sommers?

A

That's true.

Q32

And it's entitled, "The K.E.A. continues to move forward"?

A

That's true.

Q33

Is this a copy of that report?

A

Yes, sir.

MR. GAFFNEY:

I would like

to have that introduced as an exhibit as well.

(Whereupon Complainant Exhibit No. 10 was duly received, marked, made a part of the record).

Q34 Now, Mr. Thompson, is there anything else other than what we've discussed here today which would provide a basis for the statement that you have made in your complaint?

A No -- I'll make a comment that I'm interested in making sure that the teachers are protected and it's kind of the motivation to be taken with the whole complaint, but I know of no other documents to be entered.

Q35 Have you had conversation with teachers which have led you to become involved in filing this complaint?

A Not personally, no.

MR. GAFFNEY: I have no further questions.

THE CHAIRMAN: Mr. Slattery, you may ask.

CROSS EXAMINATION

BY MR. SLATTERY:

Q36

Mr. Thompson, you stated

that you were employed by the Kentucky Chamber of Commerce, is that correct?

A That's true.

Q37 What's your position with the Chamber?

A I'm the president of the Kentucky Chamber of Commerce.

Q38 I also note that the charges were on a letter head of the Kentucky Business Political Action Committee, with your name listed as Treasurer. What is the nature of this Kentucky Business Political Action Committee?

A It is a group of people who raise money to contribute to State Legislature candidates.

Q39 And you're the treasurer of this group?

A That's true.

Q40 And a Mr. William H. Neal is Chairman, is that correct?

A That's true.

Q41 What is Mr. Neal's connection with the Chamber, if any?

A He is a member of the Kentucky Chamber.

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Q42 Is he on the Board of Directors of the Chamber?

A Not now.

Q43 Has he been?

A Yes, he is a past president of the Kentucky Chamber, of about five years ago, five or six years ago.

Q44 Is he a past member of the Chamber's Board of Directors?

A Yes, that's true.

Q45 In reality, aren't these charges being brought by the Chamber against K.E.A.?

MR. GAFFNEY: I object, Your Honor.

THE CHAIRMAN: I think I will sustain that because regardless of their basis, they're sworn to by this witness.

Q46 Are you familiar with the publication, 1976, '77 legislative report which was published by the Kentucky Chamber of Commerce?

A I am.

Q47 Did you have anything to do with the publication of this document?

A I did.

Q48 To what extent were you

involved in this situation?

A I assisted in the research and the selection of the issues that were used to make the computations.

Q49 Are you the one who selected the entitlement of the House bills and the Senate bills that are set out on page 10 of that publication?

A Yes.

Q50 For example, House bill 300, unionization of public employees, that's not really the name of the House bill 300, is it?

A I don't recall. The affect of it is the unionization of public employees.

Q51 In your estimation?

A That's true.

Q52 So then these are editorial titles to these various pieces of legislation, to reflect the Chamber's position, is that true?

A Well --

MR. GAFFNEY: (Interrupting)

Mr. Chairman, I want to object to this line of questioning. I really don't think it has anything to do with the violations.

THE CHAIRMAN: It will be

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admissible only if it shows or can be shown that it relates to the bias of the witness. These charges these seven charges were made under oath and if it will show the bias of the witness, it will be admissible for that purpose.

MR. SLATTERY: I would like to introduce them for that purpose and also to establish an affirmative defense to charge number 7, in that the complainant here has done exactly the same thing that is being charged against the respondent, and for that reason he should be --

MR. GAFFNEY: (Interrupting)
May I pose a question to counsel?

MR. SLATTERY: Well, --

MR. COY: (Interrupting)
The issue is not one of who has clean hands, the issue is whether or not K.E.P.A.C. is in fact violating the Corrupt Practices Statute, isn't it?

MR. GAFFNEY: That's exactly right.

MR. COY: And would it make any difference that the complainant himself or itself, whichever may be the case, was itself guilty of the same Statute, and if that's the charges that counsel wants to make, shouldn't that be made in the

same fashion that this claim was brought?

MR. SLATTERY: We do not intend by this proceeding to bring any charges, however, I think the information in this document would show bias of the witness against K.E.A. and K.E.P.A..C, and that he is very highly, very extremely biased.

THE CHAIRMAN: So it will be admitted not for it's truth or -- any other reason that it might affect Mr. Thompson's bias against this respondent. You may use it for that purpose.

Q53 At another point in this publication, there is a section beginning on page 16, stating the names of the members of the House in the Kentucky General Assembly and their voting record on certain selected issues. Are you familiar with that section?

A Yes, I am.

Q54 Did you assist in the preparation of that section?

A Not in the clerical mechanical end of it.

Q55 Did you have anything to do with the classification of whether or not the representatives shown voted right, wrong or indifferent according to the Chamber's position?

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A According to the Chamber's policy.

Q56 The same thing appears on page 4, for members of the Senate, I suppose your interest is the same as it relates to the Senate?

A Yes.

Q57 Also on page 20 of this publication apparently members of the legislature have been divided into categories; namely, favorable, divided and unfavorable. How were the conclusions reached as to whether or not these representatives were put into particular categories?

A It was based on the roll call votes of the issues that you referred to earlier, a point value was assigned to each one and a numerical calculation was made for each member of the General Assembly and depending on his numerical score, he was rated either favorable or unfavorable legislator or either he was divided.

Q58 So a vote for House Bill 300, which you characterize as unionization of public employees, House Bill 200, -- Senate Bill 200, which you call unionization of school teachers, Senate Bill 81, which you state relates to the Workmen's Compensation laws. If a legislature

voted for any one of those bills or any of them that you show here being colored red, you would characterize him as being favorable, is that correct?

A If it was a floor vote, I'm not sure all those bills had a floor vote; there were more bills listed there than what was a floor vote, and I'm not at all certain that either House Bill 300 --

Q59 (Interrupting) All right. Senate Bill 200, unionization of school teachers. If the legislature voted for that bill, would he be characterized as unfavorable according to Chamber policy?

A Not necessarily --

THE CHAIRMAN: Mr. Slattery, I think we've reached a limit on that document. As I understand the document, it's a document used by the Chamber of Commerce to assist it's values of the legislators.

MR. SLATTERY: I would like to introduce this as Respondent Exhibit No. 1.

(Whereupon Respondent Exhibit No. 1 was duly received, marked, made a part of the record).

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Q60

Mr. Thompson, did you write a letter on June 1, 1977, to the Superintendent of Kentucky Public Schools?

A

Yes, I believe that was the date.

Q61

In that letter did you request that the Superintendents give you information to any cost connected with administration or collection of political dues?

A

There was a request, yes.

Q62

Are the responses in evidence here today the only responses that you received from this memorandum?

A

Yes.

Q63

There are no others?

A

I don't believe so.

Q64

Are there any responses by phone call that you received?

A

No, sir.

Q65

Were there any oral responses or by means of any kind of communication other than written?

A

Not except the ones that have already been introduced into the record.

Q66

Rather than go through each

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one of these charges, I believe throughout your testimony you were using expressions such as, "it appears to us," or, "it seems," or, "according to a certain publication in a newspaper." Do you have any personal information relating to the significant charges? Information that you have of your own personal knowledge.

A Just what I read in the I.R.A. News, and the conversations with other people that were concerned with the process.

Q67 So you have no direct knowledge?

A I'm not sure what you mean by "direct knowledge."

MR. GAFFNEY: (Interrupting)
Well, the newsletters have already been admitted into evidence and the letters also, and I think they speak for themselves.

MR. SLATTERY: Excluding the letters.

THE WITNESS: Well, I still don't --

THE CHAIRMAN: (Interrupting)
Do you understand the question?

THE WITNESS: Well, I don't

Q68 Direct?

Q68 All right. For example, you said you had no conversations with teachers. Is that right?

A My wife is a teacher and I've had -- she's not a member of K.E.A., but I'm sure I talked to her about it.

Q69 You have?

A Yes.

Q70 Has your wife been coerced to --

A (Interrupting) No, she's on the staff of Eastern Kentucky University. And I don't believe K.E.A. solicits their membership.

Q71 Then primarily the information that you testified to, you gathered from newspaper publications and various publications, is that correct?

A Yes, and/or understanding of the process.

Q72 Well, what do you mean by "or understanding"?

A My understanding.

Q73 The Chamber?

A No, my understanding from

the documents from K.E.A.

Q74 In other words, your interpretation of these documents?

A That's true.

Q75 So other than those newspaper publications, you have no firsthand information or any of the processes that you described?

A Firsthand, I'm not a member of the K.E.A., no, it's not firsthand.

MR. SLATTERY: That's all.

THE CHAIRMAN: Mr. Gaffney
any redirect?

MR. GAFFNEY: Yes, it's
very, very limited.

REDIRECT EXAMINATION

BY MR. GAFFNEY:

Q76 When you brought this complaint, Mr. Thompson, have you done any preliminary investigation as to the charges that you have outlined?

A Yes.

Q77 And did you intend by

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filing the complaint expect the Registry would in fact do a further investigation?

A It would be my understanding that the Registry is charged with that responsibility.

Q78 And the document that we extensively surveyed, entitled the "1976, 1977 Legislative Report," is that document always contain your personal views?

A No.

Q79 And do your views occasionally vary, your personal views occasionally vary from the Kentucky Chamber of Commerce's views?

A Yes.

Q80 And is that particular publication more accurately described as being a document which describes the Kentucky Chamber of Commerce's views rather than your personal views?

A That's true.

MR. GAFFNEY: I have no other questions.

THE CHAIRMAN: Anything further, Mr. Slattery?

MR. SLATTERY: Yes. I have a couple.

RECROSS EXAMINATION

BY MR. SLATTERY:

Q81 Then in your testimony and the bringing of these charges -- Excuse me, strike that.

Your testimony as it relates to these charges at several points stated what constitutes your opinion.

A Yes.

Q82 Now, you also stated several times "our opinion" I believe. Now, understanding these charges are being brought as an individual, but you keep saying "our opinion," do you mean the Chamber of Commerce when you say "our"?

A No, I don't.

Q83 Who do you mean?

A My opinion, plus the opinion of others that I have -- that I trust.

Q84 Who are not members of the Chamber of Commerce?

A No, it doesn't have anything to do with membership in the Chamber, but there are other people who agree me with these charges.

MR. SLATTERY: That's all I have.

and may we have the next witness, please.

(WITNESS EXCUSED)

MR. GAFFNEY: Well, at this time, I would like to call Mr. James Lay.

JAMES LAY, called on behalf of the Complainant, after being first duly sworn, was examined and testified as follows:

THE CHAIRMAN: For the record, would you state your name, please?

THE WITNESS: My name is James M. Lay.

THE CHAIRMAN: And what is your address, Mr. Lay?

THE WITNESS: My place of employment is 3323 Newburg Road.

THE CHAIRMAN: And what is that?

THE WITNESS: It's the Jefferson County School Board.

THE CHAIRMAN: And what's your position there?

THE WITNESS: My capacity is Director of Finance for the County Schools.

THE CHAIRMAN: Go ahead, Mr. Gaffney.

DIRECT EXAMINATION

BY MR. GAFFNEY:

Q1 Mr. Lay, are you present here today pursuant to a subpoena?

A Yes, sir.

Q2 To your knowledge, has the K.E.A. requested that the Jefferson County School Board make payroll deductions for it's -- for the school board employees who are members of the K.E.A.?

A Made requests for payroll deductions?

Q3 Yes.

A Yes, they make such requests several years ago.

Q4 And has the Jefferson County School Board as a practice made that deduction?

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A Yes, for many years.

Q5 And as a part of those deductions, does the Jefferson County School Board also make a payroll deduction for K.E.A. members who are also members of K.E.P.A.C. and any A.P.A.C.?

A Well, I would like to clarify the answer, we make deductions strictly for dues that comes out in deductions in the blocks on the checks. K.E.P.A.C., N.E.P.A.C. comes out to my knowledge as far as I know there's one single amount of money that comes out and to our knowledge this is dues deductions.

Q6 In other words, on the payroll checkstubs, the teacher could not look at that and say these are my K.E.P.A.C. dues?

A That's correct.

Q7 They would all be lumped together as dues?

A Yes.

Q8 Would these dues be identified as anything other than K.E.A. dues?

A On the block on the checks, as you know, the deduction blocks we call it, -- and it's been named for many years, K.E.A., the initials, K.E.A.

Q9 But K.E.P.A.C. dues are included in those?

A Yes.

Q10 Did K.E.P.A.C. request that the Jefferson County School Board make this particular deduction?

A Not to my knowledge.

Q11 So you do it as a deduction practice along with the K.E.A. dues?

A That's correct.

Q12 And you do it on the basis that K.E.A. requests you make these deductions?

A That's correct.

Q13 To your knowledge, has K.E.P.A.C. ever requested that the Jefferson County School Board make these deductions?

A Not to my knowledge.

Q14 Nothing in writing?

A No.

Q15 Do you know how long the Jefferson County School Board has been making K.E.P.A.C. deductions and N.E.P.A.C. deductions along with the K.E.A. dues?

A No, I don't know.

Q16 Has it been providing this

service ever since you've been employed there?

A I'm going to have to say that I don't know that. We've been providing dues deductions ever since I've been there. When K.E.P.A.C. came into existence, I don't know.

Q17 Are you saying that you might have been making the K.E.P.A.C. deductions and didn't know you were making them?

A That's correct. There's several responsibilities that fall -- the K.E.A. office prepares the billing once a year and we enroll them through magnetic tapes, through a computer and they could have been making these deductions without my knowledge, but it all comes out in one block on the checks as I stated earlier.

Q18 So you just deduct whatever K.E.A. tells you to deduct?

A That's correct --

MR. CARRELL: (Interrupting)
I want to ask a question. Do you mean that you don't question K.E.A. as to what the deductions are?

THE WITNESS: Well, we get a billing --

MR. CARRELL: Wait a minute now. I asked you, you don't question the breakdown

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of the deductions to K.E.A.?

THE WITNESS: We have a billing that shows the breakdown of the deductions, and we withhold any sum of these deductions, and we have no reason to question K.E.A. to this point as far as the capacity that I'm serving.

MR. CARRELL: In other words, you mean -- in running a business, you can question any deductions I have. I have to deduct for union in my business, but I question, and I know exactly what those deductions are, exactly what they are.

THE WITNESS: Well, I think that's admirable.

MR. CARRELL: Well, wait a minute. You're spending taxpayers' money and you don't know what the deductions are; that's pretty bad.

THE WITNESS: Well I've already stated that I know what the deductions are.

MR. CARRELL: Well, your people don't know, they don't break it down.

MR. COY: I have a question.

THE CHAIRMAN: We have a question here.

MR. COY:

Mr. Lay, the

question I would like to pose with respect to these deductions is, as I understood it, on the check stub it will show K.E.A. and there will be a total sum?

THE WITNESS:

That's right.

MR. COY:

There is, however, that pertains to your records, a breakdown with respect to that way the total sum is proportioned?

THE WITNESS:

That's correct.

MR. COY:

I would, therefore assume with respect to different teachers, different sums may be deducted, is that correct?

THE WITNESS:

Yes.

MR. OCKERMAN:

Well, just to follow that up a little bit, you say there is a breakdown, what are the items in the breakdown?

THE WITNESS:

Okay --

MR. GAFFNEY:

(Interrupting)

I think I can be of some assistance to you on this matter.

Q19

Mr. Lay, is this an example of a breakdown that you've been discussing?

A

This is a copy that we made last week for Mr. Rafferty regarding the deductions. It reflects the total on your lefthand column

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and the various breakdowns on the right-hand column.

Q20 Now, as I understand it, what's reflected on the teachers' checkstubs is the total amount, it does not break it down?

A Yes, just the extreme left. Of course, this information is available upon request to any teacher who would like to know this. We already assume that he knows this.

Q21 Now, when you made this deduction for K.E.A., how is the deduction transferred from Jefferson County School Board to the K.E.A.?

A By a payroll deduction check.

Q22 So it's a School Board check?

A That's correct.

Q23 And is a combined sum?

A Yes.

Q24 It does not identify individual teachers?

A No.

Q25 And then this check in total, including the K.E.P.A..C dues, does go to the K.E.A., it does not go to K.E.P.A.C.?

A

That's right.

Q26

In the school year 1976, and '77, did Jefferson County School Board, to your knowledge, provide any service to the K.E.A. and K.E.P.A.C. other than the deductions which you have listed on here?

A

That's correct, that's the only service we provide is dues deductions.

Q27

Prior to 1976 and '77 did the School Board provide any other services?

A

Not to my knowledge.

Q28

Did it assist K.E.A. or K.E.P.A.C. in any way signing up teacher members for payroll deductions?

A

Only through the principal's office at the individual school, where a representative school person would visit the school for the purpose of enrollment.

Q29

This is prior to this past school year?

A

How is that again?

Q30

Prior to the past school year, -- prior to 1976 and '77 school year?

A

Well, it's customary that every year at the beginning of the school

year along with the other deductions, Blue Cross and Blue Shield or whatever it may be, a representative would visit the school for the purpose of enrollment, and this is an extension of the school, we consider an extension of the School Board and therefore, --

Q31 (Interrupting) Did they -- For instance, did the K.E.A. prior to '76-'77 send these authorization cards to the School Board?

A Okay. Several years ago we used to receive the enrollment forms and we would transfer them to the schools. Last year, I'm not clear on how many years we've been doing it, but last year the enrollment cards went to the schools direct and the employees that enrolled, those who cared to, and we received the information by magnetic tape.

Q32 When you were involved let's say for a lack of a better term, in obtaining the payroll deduction forms with the K.E.A, did this involve the use of School Board personnel?

A Yes.

Q33 Did it involve the use of any School Board equipment or School Board time?

A

Very little.

Q34

I think you pretty well

described the way that the Jefferson County School Board deducts the K.E.P.A.C. dues, is there anything that you would like to add as to the procedure in the office that you use?

A

No, nothing. We are strictly in a position of making the dues deductions. We've done this for many years, we operate under the K.R.S., I think, 161.138, and that's the capacity of which we're serving. We're simply providing a service to these employees, and we've done it for many years. I may add that if an individual wants to stop a deduction it purely takes a letter in writing to me and we stop the deduction and if there's any refund involved, there's no problem in obtaining it. We merely provide a service.

Q35

I believe you stated that there's no way a teacher by looking at a pay stub could tell whether he was making a K.E.P.A.C. deduction or not.

A

That's correct.

Q36

to
Now, let's get/the heart

of the matter. When you make these deductions, the K.E.A. deductions and the K.E.P.A.C. deductions,

Does this involve the use of School Board personnel?

A Yes.

Q37 And does it take time to do this?

A Yes.

Q38 And are these people or personnel who are being used, are they paid a salary?

A Yes.

Q39 And does it in fact involve the utilization of the School Board equipment?

A Yes.

Q40 School Board facilities?

A Right.

Q41 And can you measure the value of this service in dollars?

A Yes, we can. We have an employee that handles the payroll deductions; we estimate that it would take her probably one day a month to perform in this capacity, with her making approximately three-fifty to three seventy-five an hour.

Q42 Let me ask you, have you ever seen a payroll deduction form such as the one I'm handing to you? (Passing documents to witness).

MR. SLATTERY:

Again, I object.

I asked for all these documents that were going to be introduced, and they're being introduced --

MR. CHAIRMAN: (Interrupting)

Is this a document that you have -- What is this document?

MR. GAFFNEY: It's a K.E.A. --

MR. SLATTERY: (Interrupting)

Well, that's not the question. As long as they're being introduced, I'm not prepared to defend against this kind of thing, if he didn't tell me what he was going to introduce, that's the basis for my objection.

THE CHAIRMAN: What's the purpose of this, just to show --

MR. GAFFNEY: (Interrupting)

Yes, I was going to ask him if this is the type of deduction authorization form used.

THE CHAIRMAN: Well, I think you can go that far, but no further.

MR. COY: Mr. Chairman,

I'd like to call to your attention that an annex to the complaint filed on June 1, 1977, was what I assume a copy of this proposed exhibit, and therefore, I would think that everybody would be on notice that it was part of the complaint.

being introduced for the purpose that I think it is, and the questions are what I think they're going to be, I don't see any problem in addition to it being a part of the complaint.

Q43 Mr. Lay, very briefly, are you familiar with this type of payroll deduction form?

A Yes.

Q44 When was this used to your knowledge?

A This has been used for several years. We do not see this any longer in that the representative enrolls the people at the school and ultimately we receive this on a magnetic tape which works along with the computer. This is the last form that I recall that we used for enrollment.

Q45 By the K.E.A.?

A Yes.

Q46 And that's the form that authorized K.E.A. deductions?

A That's correct.

Q47 Including K.E.P.A.C.?

A Yes.

MR. GAFFNEY:

May I have that

back?

MR. SLATTERY:

Sure.

THE CHAIRMAN:

Is this going to be an exhibit?

MR. GAFFNEY:

Yes. I would like to introduce this as Exhibit 11.

(Whereupon Complainant Exhibit No. 11 was duly received, marked, made a part of the record.)

Q48

Now, Mr. Lay, one other question I have for you. To your knowledge, does the School Board receive any compensation whatsoever from K.E.P.A.C. for the services which you have described?

A

No, they don't.

Q49

You're not reimbursed for it?

A

That's correct.

Q50

Does the Jefferson County School Board have any written policy or regulation governing teachers' salary deductions?

A

There is something in the teachers' contracts, the yellow book that we have that provides this service for dues deductions.

Q51

Including K.E.P.A.C.?

A

It does not make reference to K.E.P.A.C. dues, just professional dues.

Q52

Do you happen to have a copy of that?

A

No, I do not.

Q53

Would you provide the Board and myself a copy of this particular document?

A

I would be glad to.

Q54

Just mail it.

A

Sure.

MR. GAFFNEY:

I have no other questions.

THE CHAIRMAN:

Are there any questions from the Board?

MR. CARRELL:

I want to ask one question. Did the Board of Education approve this deduction every year?

THE WITNESS:

This deduction meaning --

MR. CARRELL:

Yes, this deduction, did the Board itself, I'm not talking about the Superintendent, I'm talking about the Board -- You have a change on the Board quite often, did the Board vote on it every year?

THE WITNESS:

Well, --

MR. COY:

just answered by that regulation.

I think he

MR. CARRELL:

Well, if the Board doesn't have to do it -- I want to know if the Board approves it each year, the Board changes faces every year, and I want to know if they approved it.

THE WITNESS:

knowledge.

Not to my

MR. COY:

regulations.

It's in the

MR. CARRELL:

Well, the regulations says they may, it doesn't say they have to.

MR. SLATTERY:

shall.

It says they

MR. CARRELL:

Okay.

MR. COY:

questions.

I have a few

Mr. Lay, I had trouble following the time consumption that you talked about. As I understand it, you get from K.E.A. a magnetic tape for your payroll deductions, is that correct?

A

That's correct.

MR. COY:

Now, included

on that magnetic tape will be the K.E.P.A.C. and
N.E.P.A.C. deductions, will it not?

A

Correct.

MR. COY:

Now, you hereto-

fore estimated that the time consumed by one clerical
employee for the purpose of these deductions, that
is the K.E.A. deductions, total is one day a month
is that correct?

A

Yes.

MR. COY:

Does it add any

additional time to the clerical employee to make the
tape deduction with respect to the K.E.P.A.C. and
the N.E.P.A.C. deductions or are you talking about
the total time consumed?

A

I'm talking about total
time consumed.

MR. COY:

It wouldn't

make any difference then whether there was or was
not a K.E.P.A.C. or N.E.P.A.C. deduction with
respect to the amount of time that this clerical
employee of your office consumes in the preparation
of these deductions, is that correct?

A

That's correct. If you
wanted to break it down, you could look at it this

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way: To say that there are six or seven slots here and take a percentage.

MR. GAFFNEY: You would divide the time into sevenths, eighths or tenths?

THE WITNESS: Yes.

THE CHAIRMAN: But that's done for you when you get it, isn't it?

THE WITNESS: Yes.

THE CHAIRMAN: Well, that was my question.

MR. COY: Well, I just don't follow. I just don't see what you're getting at. I'm sorry.

THE WITNESS: Well, all I'm saying is it takes a clerk approximately one day per month to handle the K.E.A. billing deductions, in order to get it ready to submit to K.E.A. with a balanced billing to accompany the check. You asked if it would take anymore time; no, it wouldn't take anymore time. I simply stated that if there is six, seven or eight areas -- there's the local dues, district dues, N.E.A., K.E.A., K.E.P.A.C. and the income protection, if you wanted to break it down you could take a percentage, that's all I'm saying.

MR. COY:

Well, let me

pursue this a little further if I may.

Are you saying that the fact that somebody does have a K.E.P.A.C. or a W.E.P.A.C. deduction, I believe they're the same, aren't they?

THE WITNESS:

Yes.

MR. COY:

That that consumes more time than if they don't?

THE WITNESS:

No, sir, perhaps I mislead you.

THE CHAIRMAN:

Well, it doesn't cost you any more, you just deduct the dues total amount and regardless to whether it's or an income production or any type?

THE WITNESS:

Yes.

THE CHAIRMAN:

And do you do that because the statute requires it?

THE WITNESS:

Yes.

MR. COY:

And the pro-
ration of time therefore with respect to that matter, would not make any difference, would it? Once you get -- If they're a member of K.E.A., the time is consumed regardless of what the K.E.A. gets total from a particular teacher for a given

month?

THE WITNESS:

Right.

MR. OCKERMAN:

This payroll officer's copy, appear at the upper lefthand corner, Superintendent, Jefferson County Administration, is this for your files?

THE WITNESS:

Yes.

MR. OCKERMAN:

And do teachers ever come in and request to see what's on this particular document, as to what their dues are being deducted from?

THE WITNESS:

I can never recall one doing this.

MR. OCKERMAN:

You all maintain files with this breakdown on it?

THE WITNESS:

Yes.

THE CHAIRMAN:

Are there any further questions?

MR. GAFFNEY:

Let me ask one more.

FURTHER DIRECT EXAMINATION

BY MR. GAFFNEY:

Q55

If the teacher does not belong to K.E.A.; if the teacher gets out of K.E.A.,

who pays for these deductions?

A Who pays for them?

Q56 Does the Board pay for them?

A No.

Q57 Who pays for them if he had a certain deduction that had to be made?

A If the teacher chooses to avoid this deduction that they signed up for, we simply stop the deduction.

Q58 That involves the use of time?

A Yes.

Q59 The use of personnel?

A Sure.

Q60 That's all.

THE CHAIRMAN: Okay. We're ready for cross examination.

CROSS EXAMINATION

BY MR. SLATTERY:

Q61 Now, there was a question raised here about taxpayers' money. When a paycheck

is written to a teacher, has the teacher earned that money?

A We hope so.

Q62 Well, in fact, doesn't that money belong to the teacher?

A Yes, sir.

Q63 And it's no longer Board money or the taxpayers, it's the teacher's money, right?

A Yes.

Q64 So, the taxpayers' money is not involved when you talk about the amount that goes on to the teachers, the amount deducted?

A How's that again, sir?

Q65 The taxpayers' money -- you're not dealing with taxpayers' money when you're talking about the amount of money on a paycheck and the amount deducted?

THE CHAIRMAN: You understand that question?

THE WITNESS: I'm not quite sure that I do.

Q66 Well, let me state it a different way.

THE CHAIRMAN: You're really

not giving the teachers anything; the teachers earned
it?

THE WITNESS: Right.

Q67 The teacher has earned
this money, that's the point I'm trying to make.

A That's correct.

Q68 So it's not the Board's
money any longer.

A After they earned it, it
doesn't belong to the Board.

Q69 It's a debt to that
teacher, and the Board is merely paying a debt that
they owe?

A That's right.

Q70 So we're not talking about
taxpayers' money?

A At this moment we're not.

Q71 I believe in your testimony
you were saying that on the paycheck the teachers
receive, everything was lumped together as dues,
that's the amount -- the total amount of deductions
on the exhibit?

A That's correct.

Q72 How is that shown in the
block, on the pay stub?

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A It's shown as a sum of money, for example, the second one down, if the same was there, \$41.20 would come out of that individual's check, and it's shown above it, the initials "K.E.A."

Q73 Are you sure it isn't A.P.A.?

A Well, you could be right, I'm sorry, it probably is. I'm sorry, it is A.P.A., Automatic Payroll Authorization.

Q74 So when you said that these are characterized as a deduction to K.E.A., it really is A.P.A.?

A No, sir, the check is made to K.E.A. but on the check stub it's A.P.A.

Q75 On the check stub you have a block and that block has the initials "A.P.A." above it?

A That's correct.

Q76 Do you know what those initials stand for?

A Automatic payroll authorization.

Q77 I believe you stated that you were not aware of the fact that the K.E.P.A.C. deductions were going to be made from payroll checks.

Isn't it true that notice was sent or let me say
this: are you aware of any notices that were sent
to the Jefferson County Board?

A No, I'm not aware of any.
Perhaps someone else could be.

Q78 Do you maintain a file
of these payroll authorization sheets?

A No, we don't.

Q79 There is a copy here
entitled "Cable Authorization Copy," is that fur-
nished to the Board?

A It's not.

Q80 It's not?

A No, we don't maintain a
file on those.

MR. COY: Mr. Lay, I'm
sorry to hound you, and I apologize.

THE WITNESS: That's okay,
that's my purpose for being here.

MR. COY: Mr. Slattery
has raised the question with respect to the payroll
check itself. Do I conclude from the questions that
he asked and the answers that you gave that if I was
a teacher in Jefferson County having signed a K.E.A.
authorization, that I would not know from my payroll

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check how much was being deducted from my check by the R.E.A. because it would simply show A.P.A. on the check?

A That's correct.

MR. COY: Does A.P.A. include anything other than the R.E.A. deductions?

A Yes, it does, it includes the income protection, the Washington National, and any other items that are in the State program.

MR. COY: It's stated in a total sum under A.P.A.?

A Yes, sir.

THE CHAIRMAN: It is a fair statement that everything that's in there is pursuant to the statute that you talked about?

THE WITNESS: Yes.

MR. GAFFNEY: May I ask a couple of questions?

THE CHAIRMAN: Yes.

FURTHER DIRECT EXAMINATION

BY MR. GAFFNEY:

Q81 Are you an accountant, Mr. Lay?

A

No, I'm not, I'm not a

C.P.A.

Q82

A while ago we were talking about whose money this was and obviously the money that's paid to the teachers is the teachers' money, and the money that is deducted is the teachers' money. But in fact the teacher does not directly pay for all the services that the School Board renders in making these deductions and so forth?

A

No, sir.

Q83

He pays only as a taxpayer would?

A

That's right.

THE CHAIRMAN:

Excuse me,

is that it?

MR. SLATTERY:

Well, he said

he pays only as a taxpayer?

THE CHAIRMAN:

Well, he's

saying that there's no surcharge or deduction, and obviously, there never is for taking the deductions or anything else.

THE WITNESS:

That's right.

THE CHAIRMAN:

Is there any-

thing else?

MR. GAFFNEY:

No, I have

MR. SLATTERY: I have nothing further.

THE CHAIRMAN: Okay. You may step down.

(WITNESS EXCUSED)

DR. G. S. POTTS, called on behalf of the Complainant, after being first duly sworn, was examined and testified as follows:

THE CHAIRMAN: Would you state your name, please for the Board?

THE WITNESS: Dr. G. S. Potts, Superintendent of Schools, Fayette County, Business address, 701 East Main Street.

THE CHAIRMAN: You may ask, Mr. Gaffney.

DIRECT EXAMINATION

BY MR. GAFFNEY:

Q1

Dr. Potts, how long have

you been Superintendent of the Fayette County Schools?

A Sixteen years.

Q2 Are you appearing here pursuant to a subpoena?

A Yes, I am.

Q3 Are you familiar with an organization known as the Kentucky Education Public Affairs Council, or K.E.P.A.C.?

A Yes.

Q4 Has K.E.P.A.C. ever approached you or an agent of K.E.P.A.C. and asked you to do payroll deductions for dues to K.E.P.A.C.?

A Yes.

Q5 And who, if you recall, was the person that approached you?

A As I recall, the approach was through the person who was then president of the Fayette County Educational Association, Mark Moore. The Board of Education did not make that deduction because it would have been in conflict with their policy on payroll deductions.

Q6 When they approached you --

THE CHAIRMAN: (Interrupting)

What date was this?

THE WITNESS: '75.

MR. SLATTERY:

We will stipulate

late September 26th, '75.

Q7 When these persons approached you regarding the K.E.P.A.C. deductions in the Fayette County Schools, did they ask you to do these as a separate deduction or along with the K.E.A. dues?

A No, it would have been along with the total package because as I recall at that time they submitted this multi -- this carbonized copy here that very clearly broke down the membership, the dues and K.E.P.A.C. was not a membership dues.

Q8 In other words, it was clear to you that the K.E.A. dues and the K.E.P.A.C. dues were two different things?

A Yes.

Q9 Are you aware of any other school boards others than the Fayette County School System being solicited to do these?

A Well, not personally, but I would assume that every school board in Kentucky got the same sort of correspondence that I received on this matter. I did receive some correspondence from K.E.P.A.C. on it, so I think it was every school superintendent in Kentucky.

Q10 Were you aware of what the

form or the formulation of the deductions were going to be?

THE CHAIRMAN: Do you understand that question?

THE WITNESS: No.

Q11 Let me be a little more specific. Did you receive certain documents addressed to superintendents in general?

A Yes, it was something like eight dollars a year or ten dollars a year.

MR. GAFFNEY: May we stipulate to these documents?

MR. SLATTERY: I don't know what documents you're referring to. I have not seen them.

Q12 And did --

MR. SLATTERY: (Interrupting)
Same objection.

Q13 Did you also receive from the State K.E.P.A.C. Committee a provision, resolution from the K.E.A. Board where they had adopted what is called a "reverse checkoff"?

A Yes.

Q14 Is this a copy of that resolution?

A Right.

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Q13

Now, you said that the Fayette County School Board refused to do the deductions?

A

The K.E.P.A.C., yes.

Q16

But you do do the K.E.A. deductions?

A

Yes, we've done the K.E.A. deductions for many, many years as well as other professional authorizations.

Q17

Other than the regulations that you spoke of, do you have any personal reasons or any personal feelings about doing the type of deductions that we've discussed, the K.E.P.A.C. deductions?

A

Yes, I have some personal feelings concerning that type of deduction.

THE CHAIRMAN:

Do you think that would go to the law or to the hearing --

MR. COY:

(Interrupting)
That's the issue.

THE CHAIRMAN:

(Continuing) --
I think that would be a personal preference.

Q18

Do you feel that this type of a deduction has a coercing effect?

THE CHAIRMAN:

That would be

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a conclusion. I'm trying to keep the record as clear as I can on the peoples' feelings on this.

THE WITNESS: Well, I'm not sure that K.E.P.A.C. in itself would carry any coercive aspects to it.

Q19 What about the type of dues checkoff, do you think this is -- has any coercive effect or intimidating effect on those persons to belong to any organization, it doesn't necessarily have to be K.E.P.A.C. or N.E.P.A.C. --

THE CHAIRMAN: (Interrupting)
You're getting beyond the issue here.

MR. GAFFNEY: Well, we're trying to keep this away from his feelings toward the K.E.A. or K.E.P.A.C. and we're talking about a particular procedure that is being used.

MR. COY: Well, isn't that question, however, invasion of the province of the Board here with respect to a factual determination on the question of whether or not the process used by K.E.P.A.C. and K.E.A. is coercive, isn't that the conclusion that the Board has to arrive at ultimately? And whatever Dr. Potts' opinion may be -- I have great regard for him, but on the other hand, can he make a determination

for this Board with respect to whether or not it is coercive, I think not.

MR. GAFFNEY:

Well, I think --

MR. OCKERMAN:

(Interrupting)

Well, I would have to disagree because I think Dr. Potts is superintendent of the second largest school system in the State of Kentucky, and he does have familiarity with this type of thing, and I would like to see if he does have an opinion.

THE WITNESS:

I would from my own personal standpoint -- I would not consider it intimidating because I don't intimidate very easily, but I think there is considerable amount of feeling that it is on the part of some teachers, it is intimidating. I base this on personal conversations with teachers.

MR. GAFFNEY:

We have no other questions at this time.

CROSS EXAMINATION

BY MR. SLATTERY:

Q20

Dr. Potts, have you had any information relating to the change in the Fayette

County Board of policy on the deductions on the
K.E.P.A.C. dues?

A I have information re-
lating to the possible change, Mr. Slattery. The
Fayette County Board of Education and the Fayette
County Education Association are currently negotiating
their contract, and the Board and the Association have
tentatively, and I underline tentatively, agreed to
the statement concerning the dues deductions for the
Fayette County Education Association, which includes
K.E.P.A.C. as well as the others, but that will not
change the Board's policy on deductions until that's
radified.

Q21 You stated that you have
made K.E.A. deductions for many years.

A Yes, they've been made
for many, many years before I came to Fayette County.

Q22 Okay. As I understand it
on the September 26th, 1975, Mrs. Martha Moore, the
President of the Fayette County Education Associa-
tion, requested that these dues deductions including
K.E.P.A.C.?

A That's essentially correct.

Q23 And I believe you testified
that these deductions were not made because --

Q23 (Interrupting) We concluded that K.E.P.A.C. -- the way we understood it was it was not to be professional dues and that's what the Board's policy provided for.

Q24 In other words, it was against Board policy?

A Right.

Q25 Now, during the period since September 26th, 1975, have you continued to make K.E.A. deductions?

A Yes.

Q26 So then those people are still K.E.A. members, is that correct?

A Well, I don't know how many are making the deductions now were members in 1975.

Q27 Well, let me phrase it this way: The ones for whom deductions were made are still members?

A Right.

Q28 So whether or not K.E.P.A.C. was deducted had nothing to do with their membership in K.E.A., would that be a fair statement?

A Well, I'm not sure that I would say that to be a fair conclusion because the Fayette County Education Association was not very

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could, and I have had correspondence with them requesting a clarification of the dues, and I asked them if K.E.P.A.C. was included and they were very evasive and finally they said it was part of the total contribution.

Q29 Well, I don't believe that relates to the question. The question is --

MR. GAFFNEY: I think it relates very well.

MR. SLATTERY: Well, it doesn't relate to the question.

Q30 I believe we established that you do not make K.E.P.A.C. deductions, but you do make deductions for K.E.A. dues?

A Yes.

Q31 Well --

THE CHAIRMAN: (Interrupting)

The long and the short of this witness' testimony is that he deducts everything that they construed that is required to be deducted.

MR. SLATTERY: Well, I'm talking about this F.E.C. regulation.

Q32 So the people were not having K.E.P.A.C. dues deducted, but at the same time they were K.E.A. members, on the dues deduction

plan?

A

That's right.

Q33

I believe you said that you had personal conversations with teachers relating to the advisability of this kind of procedure?

A

Not the advisability, the teachers -- I've had several teachers express the concern that if K.E.A. has the K.E.P.A.C. contributions, -- or let's say the Board would deduct them, that this would have to go to the K.E.A. Headquarters before they would have any particular right to refund.

Q34

Do you know that for a fact, did you check with K.E.A. headquarters to find that out?

A

I have not checked with K.E.A.

Q35

Well, what's your sources on this, you said you talked to teachers, can you tell us who they are?

A

No, I would prefer not to. These were private conversations over a period of two or three years.

THE CHAIRMAN:

Do you have anything else?

MR. SLATTERY:

That's all I

have.

MR. GAFFNEY:

I have nothing

further.

THE CHAIRMAN:

You're excused

now.

(WITNESS EXCUSED)

THE CHAIRMAN:

We will take

a short break here and we will resume in about ten minutes.

(REPORTER'S NOTE: After a short recess, the following was heard:)

THE CHAIRMAN:

Mr. Gaffney, is

that all your proof?

MR. GAFFNEY:

Yes, that's our

case.

THE CHAIRMAN:

Okay. Now we

will hear the Respondent's case.

Mr. Slattery, would you please call your first witness.

MR. SLATTERY:

I would like to

call Mr. Wendell Barnes.

WENDELL BARNES, called on behalf of
the Respondent, after being first duly sworn, was
examined and testified as follows:

THE CHAIRMAN: For the Board,
will you give us your name and where you are employed,
please?

THE WITNESS: My name is
Wendell Barnes, my address is 2260 Bradford Drive,
Louisville, Kentucky. I'm employed with the Kentucky
Education Association.

THE CHAIRMAN: And what's your
capacity there?

THE WITNESS: I'm Director of
Governmental Relations.

THE CHAIRMAN: You may proceed,
Mr. Slattery.

DIRECT EXAMINATION

BY MR. SLATTERY:

Q1 Mr. Barnes, do you have
any capacity with K.E.P.A.C.?

A Yes.

Q2 What is that?

Q7 And was that request granted?

A Yes, it was granted.

Q8 And after that request was granted, what happened, how did you get into the business of deducting K.E.P.A.C. contributions from dues of the K.E.A. members?

A Well, --

Q9 Excuse me, from the pay of K.E.A. members.

A We notified, of course, all of our members through publications about it. We notified all the local associations of K.E.A. about it. We tried to get as much information out about it, what it was, how it operated, and we got advice from -- I don't know if I'm giving you the right answer here or not. We got advice from legal people as to how we could do this through the Attorney General's office, and the Registry of Election Finance as to the best way to operate it and set it up, so that it would be efficient, so that it would have accurate reporting and so that we could keep our records straight and this sort of thing.

Q10

You say you got an opinion

From the Attorney General's office, is this the opinion you received from the Attorney General?

A Yes, I believe that is.

THE CHAIRMAN: Let the record show that this will be marked as Respondent's Exhibit No. 2.

THE WITNESS: Yes, I believe this is the letter.

MR. SLATTERY: Let the record show that this is the opinion of the Attorney General 75-423, dated June 9th, 1975 to a James W. McClave.

Q11 Who is James W. McClave?

A He's a teacher, a member of the K.E.A.

Q12 Did you seek this opinion?

A No, Mr. McClave sought that on his own, without our knowledge at all. So really the Attorney General gave the opinion to him.

Q13 Did you contact the Attorney General?

A Yes, we did, and in our efforts to contact the Attorney General by filling out a request stating whether this was legal or not, and we answered any questions that he had and we tried to explain to him how it was set up in order

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to determine whether it was legal according to his letter.

Q14 Well, what did he say, that he was going to issue the opinion based on this request as opposed to his?

A Yes.

Q15 Is that the way it worked out?

A Yes.

Q16 Okay. I was just trying to distinguish between the two names.

Do you handle the funds of K.E.P.A.C. as they are received from the members, from the contributors?

A Yes, I handle all the funds.

Q17 You're the Treasurer, is that correct?

A Yes.

Q18 Do you have a bank account?
How do you do this?

A We have a bank account.

Q19 Do you have offices where you operate from?

A Yes, we rent space and

our secretary's from the K.E.A.

Q20 I believe it has been alleged in one of the charges that the money is forwarded to local pacts, do you in fact forward money to local political action committees?

A No, we do not.

Q21 How is that handled, what if a local committee wants to spend some money, what do you do?

A Yes, we have a structure, a State structure that provides for local committees to select persons who are authorized to sign this personal request form, and on our books at the central office we set aside one-half of the funds that comes from our local area for their use, and these persons may request payment for bills that maybe incurred locally, and that's according to the guidelines that are established by the State committee.

Q22 Are all of these local organizations governed by the guidelines of K.E.P.A.C. the State organization?

A Yes.

Q23 What if they wanted to operate in a way different from the way your guide-

lines state, what would happen?

A Then they would be on their own. We would not be responsible for them, they would have to set up their own organization, they would have to do their own reporting to the Registry, and if they don't follow our guidelines we immediately disassociate with them.

Q24 Did you seek any assistance in making a determination on this structure?

A Yes.

Q25 Who did you talk to about this?

A I believe it was Mr. K.P. Vinsel with whom we set it up originally, and later I talked to many, many times to Larmer Gering to make sure I was doing things in accordance to the regulations established by the Kentucky Registry.

Q26 Has there ever been an indication that you were not complying with the regulations?

A No.

Q27 Prior to this complaint?

A Right, prior to this complaint.

MR. SLATTERY:

You may ask.

CROSS EXAMINATION

BY MR. GAFFNEY:

Q28

Mr. Barnes, I believe you said you were the treasurer of K.E.P.A.C.?

A

Yes.

Q29

And you're also the Director of Governmental Affairs of the K.E.A.?

A

Yes, we call it Government Relations.

Q30

Do you draw a salary from K.E.P.A.C.?

A

Yes -- No, I don't draw a salary from K.E.P.A.C.

Q31

How long have you been the Treasurer of K.E.P.A.C.?

A

Since it began.

Q32

When did it begin?

A

I believe it was '73 or '74.

Q33

Did you have a political committee -- did K.E.A. have a political committee prior to that time?

A

Well, not a formal political -- no, we did not.

Q34 Did the K.E.A. make political contributions prior to that time?

A No.

Q35 What did the K.E.A. do in the participation of government affairs prior to that time?

A Well, they've always worked with establishing the goals, the legislative goals that we think would improve the quality of education for the Kentucky children and we still do that.

Q36 Prior to 1973, did K.E.A. make no political contributions whatsoever?

A None at all, we did not.

Q37 What are your duties as treasurer of K.E.P.A.C.?

A Keep an accurate record of the funds as they are received and distributed, and follow and make sure that we keep our records up to date and accurate.

Q38 K.E.P.A.C., as it's now operating, I think you testified it began operating as it is in 1974?

A '73-'74 school year I believe.

Q40 K.E.P.A.C. how many?

A Approximately twenty-three thousand.

Q41 They're all teachers?

A As far as I know, they're in education, some are teachers, some are administrators.

Q42 Are you responsible for seeing that the reports of the expenditures are filed with the Kentucky Registry?

A Yes, I am.

Q43 Is K.E.P.A.C. registered as a federal committee?

A No.

Q44 It makes contributions to federal candidates, doesn't it?

A Yes, according to the F.E.C. regulations.

Q45 And you've made contributions in excess of a thousand dollars to federal candidates, in the year of '76?

A No.

MR. SLATTERY: I beg your pardon, what does that have to do --

MR. GAFFNEY:

(Interrupting)

Well, we're trying to find out a little bit how it operates.

MR. SLATTERY:

Well, if you want to file a charge with the F.E.C., I think that would be the proper way.

MR. GAFFNEY:

It's already been filed.

THE CHAIRMAN:

I think we're getting a little bit astray here.

MR. GAFFNEY:

Well, I won't belabor that part.

Q45

Your local units, I'm a little bit intrigued about how they operate. Do they have -- do you have a local unit or a local committee in every county?

A

No.

Q46

How do you determine what county is going to have one?

A

Well, when the teachers in those local counties that are making contributions to K.E.P.A.C. decide that they want to form some kind of structure, then they affiliate with us and send us the name of the people that they have chosen to be their leaders.

Q47

Are their leaders like a

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FEDERAL ELECTION COMMISSION

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THIS IS THE BEGINNING OF MR. 408 merged into 639

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