FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 2937

DATE FILMED 9/25/92 CAMERA NO. 3

CAMERAMAN EES

92040923482

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: 10 May 1989

ANALYST: Pat Sheppard

I. COMMITTEE: Humphrey For Senate Campaign Committee, Inc.
(C00214593)
Samuel D. Heins, Treasurer
2200 Washington Square
100 Washington Avenue South
Minneapolis, MN 55401 1/

II. RELEVANT STATUTE: 2 U.S.C. 441a(f)

III. BACKGROUND:

Receipt of Apparent Excessive Contributions

The Humphrey For Senate Campaign Committee, Inc. ("the Committee") has accepted and in most instances refunded, redesignated or reattributed apparent excessive contributions totalling $22,100. These apparent excessive contributions were made by thirty-one (31) individuals and two (2) non-qualified political committees. Of the apparent excessive amount, the Committee has refunded, redesignated or reattributed a total of $14,955 to date. In addition, the Committee has received $3,050 in apparent excessive contributions for which corrective action was taken within sixty (60) days of receipt. These contributions are included on the attached chart and are noted with two (2) asterisks (**).

Presented below is a summary of the apparent excessive contributions received, the notices sent, and the responses received. For specific details, please refer to the attached chart and its supporting documents. The chart is an alphabetical listing of all the contributions from individuals and political committees who have apparently contributed in excess of their limits. This includes contributions for which the Committee has received notification; and contributions for which the Committee has not received notification, the latter were identified during the preparation of this referral.

1/ Most of the correspondence sent to the Committee went to one of several former addresses.

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A. Possible Violations Discovered During Initial Review

1. 1988 April Quarterly Report

Review of the Committee's report discloses the receipt of apparent excessive contributions totalling $4,200 from five (5) individuals and one (1) non-qualified political committee. A Request for Additional Information ("RFAI") was sent to the Committee on May 24, 1988 (Attachment 2). The RFAI noted the receipt of the apparent excessive contributions and requested that the Committee refund, redesignate and/or reattribute the amount in excess of the $1,000 per election limit. In addition, the RFAI noted the possibility of further Commission action concerning the acceptance of apparent excessive contributions. On June 6, 1988, the Committee responded by letter (Attachment 3). The letter acknowledged the receipt of the apparent excessive amounts and noted that some of the appropriate redesignation letters had been received. The Committee also stated that the appropriate redesignations would be disclosed on the next report. The Committee's 1988 July Quarterly and 12 Day Pre-Primary Reports disclose the redesignation or reattribution of the individual contributions; the Committee also stated that the political committee's contribution had been redesignated but has failed to provide the date of the redesignation.

2. 1988 July Quarterly Report

Review of the Committee's report discloses the receipt of apparent excessive contributions totalling $4,000 from five (5) individuals. Review of the Committee's 1988 12 Day Pre-Primary Report on file with the Commission disclosed that $3,500 of the apparent excessive contributions had been redesignated. An RFAI was sent to the Committee on September 20, 1988 (Attachment 4). The RFAI noted on an informational basis the receipt of the apparent excessive contributions and requested that the remaining $500 be refunded, redesignated or reattributed. In addition, the possibility of further Commission action concerning the acceptance of an apparent excessive contribution was noted. On October 20, 1988, the Committee responded in a letter (Attachment 7). The letter acknowledged the receipt of the apparent excessive amount and stated that the redesignation was disclosed in the October Quarterly Report. The response also provided a copy of the signed statement by the individual.

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3. 1988 12 Day Pre-Primary Report

Review of the Committee's report discloses the receipt of apparent excessive contributions totalling $1,150 from three (3) individuals. An RFAI was sent to the Committee on September 20, 1988 (Attachment 5). The RFAI noted the receipt of the apparent excessive contributions and requested that the Committee refund, redesignate or reattribute the amount in excess of the $1,000 per election limit. In addition, the RFAI noted the possibility of further Commission action concerning the acceptance of apparent excessive contributions. A Second Notice was sent to the Committee on October 13, 1988, for failure to respond (Attachment 6). On October 20, 1988, the Committee responded in a letter (Attachment 7). The letter acknowledged the receipt of the apparent excessive amounts and noted that the appropriate redesignations or reattributions would be disclosed on the next report. The Committee's 1988 October Quarterly and 12 Day Pre-General Reports disclose the redesignations, reattributions or refunds.

4. 1988 October Quarterly Report

Review of the Committee's report discloses the receipt of apparent excessive contributions totalling $1,530 from four (4) individuals. Review of the Committee's 1988 30 Day Post-General Report on file with the Commission disclosed that the contributions had been refunded or redesignated. An RFAI was sent to the Committee on January 31, 1989 (Attachment 8). The RFAI noted on an informational basis the receipt and redesignation or refund of the apparent excessive contributions. On February 28, 1989, the Committee responded in a letter, acknowledging the redesignations and refunds (Attachment 12).

5. 1988 12 Day Pre-General Report

Review of the Committee's report discloses the receipt of apparent excessive contributions totalling $2,500 from three (3) individuals. An RFAI was sent to the Committee on January 31, 1989 (Attachment 9). The RFAI noted the receipt of the apparent excessive contributions and requested that the Committee refund, redesignate or reattribute the amount in excess of the $1,000 per election limit. In addition, the RFAI noted the possibility of further Commission action concerning the acceptance of apparent excessive contributions. A Second Notice was sent to the Committee on February 23, 1989 for failure to respond

(Attachment 11). On February 28, 1989, the Committee responded in a letter (Attachment 12). The letter acknowledged the receipt of the apparent excessive amounts and noted that the appropriate redesignations or refunds had been made. The Committee provided copies of the refund checks and redesignation Schedule.

6. 1988 30 Day Post-General Report

Review of the Committee's report discloses the receipt of apparent excessive contributions totalling $3,200 from two (2) individuals and one non-qualified political committee. An RFAI was sent to the Committee on January 31, 1989 (Attachment 10). The RFAI noted the receipt of the apparent excessive contributions and requested that the Committee refund, redesignate or reattribute the amount in excess of the $1,000 per election limit. In addition, the RFAI noted the possibility of further Commission action concerning the acceptance of apparent excessive contributions. A Second Notice was sent to the Committee on February 23, 1989 for failure to respond (Attachment 11). On February 28, 1989, the Committee responded in a letter (Attachment 12). The letter acknowledged the receipt of the apparent excessive amounts and noted that the appropriate refunds had been made. The Committee refunded only $1,000 of the $2,000 apparent excessive contributions from the the non-qualified political committee, and failed to note if the remaining $1,000 had been redesignated.

B. Additional Possible Violations Discovered During Referral Preparation

The review of reports filed by the Committee during the preparation of this referral discloses the receipt of additional apparent excessive contributions totalling $5,520 for ten (10) individuals. The Chart below shows the additional apparent excessive amounts discovered for each report filed in 1988.

1988 April Quarterly report	$4,125
1988 July Quarterly report	$1,320
1988 30 Day Post-General report	$ 75

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The Committee has not been notified of these apparent excessive contributions. The Committee has reattributed $375 of one apparent $750 excessive contribution. To date, the Committee has not refunded, redesignated or reattributed the remaining $5,145 in additional apparent excessive contributions.

IV. OTHER PENDING MATTERS INITIATED BY RAD:

None.

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05/05/1989

APPARENT EXCESSIVE CONTRIBUTIONS RECEIVED BY THE
HUMPHREY FOR SENATE CAMPAIGN COMMITTEE, INC.

NAME	ELECTION DESIGNATION	AMT. OF RECEIPT	EXCESSIVE AMOUNT	RECEIPT DATE	AMOUNT REDESIGNATED	AMOUNT REATTRIBUTED	AMOUNT REFUNDED	ACTION DATE	ATTACHMENT
BOLIN, MICHAEL R	PRIMARY	1000.00		09/15/1987					A1-A5
	GENERAL	100.00		09/24/1987					
	GENERAL	200.00		unitemized					
	GENERAL	500.00		06/14/1988					
	GENERAL	200.00		07/29/1988					
	GENERAL	500.00	500.00	09/09/1988					
	GENERAL						(500.00)	11/28/1988	
BOYSEN, SIGNE	PRIMARY	1000.00		08/19/1987					B1-B5
	PRIMARY	1000.00	1000.00	06/14/1988					
	GENERAL				(1000.00)			08/24/1988	
	PRIMARY	1000.00	1000.00	08/24/1988 **					
	PRIMARY					(1000.00)		09/01/1988	
CHALLINOR, JOAN	PRIMARY	1000.00		10/26/1987					C1-C3
	PRIMARY	500.00	500.00	02/15/1988					
	GENERAL				(500.00)			04/29/1988	
DEAL, PAMELA *	PRIMARY	1000.00		06/01/1987					D1-D5
	PRIMARY	25.00	25.00	03/22/1988					
	PRIMARY	250.00	250.00	03/30/1988					
	PRIMARY	10.00	10.00	04/06/1988					
	PRIMARY	10.00	10.00	05/04/1988					
	GENERAL	50.00		09/23/1987					
	GENERAL	25.00		12/09/1987					
	GENERAL	1000.00	75.00	10/25/1988					
DEIKEL, LAURA	PRIMARY	2000.00	1000.00	03/31/1988					E1-E2
	GENERAL				(1000.00)			07/14/1988	
FEINBERG, ABRAHAM *	PRIMARY	100.00		06/26/1987					F1-F2
	PRIMARY	1000.00	100.00	01/19/1988					

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05/05/1989

APPARENT EXCESSIVE CONTRIBUTIONS RECEIVED BY THE
HUMPHREY FOR SENATE CAMPAIGN COMMITTEE, INC.

NAME	ELECTION DESIGNATION	AMT. OF RECEIPT	EXCESSIVE AMOUNT	RECEIPT DATE	AMOUNT REDESIGNATED	AMOUNT REATTRIBUTED	AMOUNT REFUNDED	ACTION DATE	ATTACHMENT
FITZGIBBON, THOMAS	PRIMARY	500.00		07/02/1987					G1-G3
	PRIMARY	250.00		10/30/1987					
	PRIMARY	250.00		02/08/1988					
	PRIMARY	500.00	500.00	02/23/1988					
	GENERAL				(500.00)			04/26/1988	
FOSTER, THOMAS *	PRIMARY	1000.00		06/05/1987					H1-H5
	GENERAL	1000.00		09/15/1987					
	GENERAL	100.00	100.00	05/05/1988					
	GENERAL	100.00	100.00	06/27/1988**					
	GENERAL					(100.00)		08/24/1988	
GREENWOOD,JR, HAROLD	PRIMARY	500.00		06/05/1987					I1-I5
	GENERAL	500.00		09/30/1988					
	GENERAL	2500.00	2000.00	10/19/1988					
	GENERAL					** (1000.00)		10/26/1988	
	GENERAL						(1000.00)	02/24/1989 [1]	
HELGEN III, HENRY	PRIMARY	30.00		06/25/1987					J1-J3
	PRIMARY	500.00		06/30/1987					
	PRIMARY	50.00		unitemized					
	PRIMARY	500.00	80.00	08/30/1988					
	GENERAL				(80.00)			11/28/1988	
HENTGES, ROBERT	PRIMARY	1000.00		12/31/1987					K1-K3
	GENERAL	100.00		unitemized					
	GENERAL	1000.00	100.00	08/05/1988					
	PRIMARY					(100.00)		10/08/1988	
JEFFERY, NANCY *	PRIMARY	1000.00		05/20/1987					L1-L2
	PRIMARY	500.00	500.00	03/25/1988					

[1] The Committee originally reported this as a reattribution, but later refunded it.

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05/05/1989

APPARENT EXCESSIVE CONTRIBUTIONS RECEIVED BY THE
HUMPHREY FOR SENATE CAMPAIGN COMMITTEE, INC.

NAME	ELECTION DESIGNATION	AMT. OF RECEIPT	EXCESSIVE AMOUNT	RECEIPT DATE	AMOUNT REDESIGNATED	AMOUNT REATTRIBUTED	AMOUNT REFUNDED	ACTION DATE	ATTACHMENT
JONES, DAVID	PRIMARY	250.00		04/14/1987					M1-M4
	PRIMARY	250.00		06/29/1987					
	PRIMARY	250.00		03/08/1988					
	PRIMARY	300.00	50.00	07/06/1988					
	GENERAL				(50.00)			09/30/1988	
JOYCE, GERARD(GERALD)	PRIMARY	2000.00	1000.00	03/02/1988					N1-N2
	GENERAL				(1000.00)			05/05/1988	
KHOURSHEED, FAREED	GENERAL	2000.00	1000.00	10/19/1988					O1-O3
	PRIMARY				(1000.00)			12/30/1988	
KLAUS, PATRICIA *	PRIMARY	1000.00		07/06/1987					P1-P2
	PRIMARY	1000.00	1000.00	03/10/1988					
KLAUS, ROBIN	PRIMARY	1000.00		07/06/1987					Q1-Q3
	GENERAL	200.00		08/03/1987					
	GENERAL	1000.00	200.00	03/10/1988					
	PRIMARY					(200.00)		07/13/1988	
LASIUTA, RONALD	PRIMARY	1000.00		09/10/1987					R1-R3
	PRIMARY	1000.00	1000.00	06/14/1988					
	GENERAL				(1000.00)			08/26/1988	
LIEBERMAN, STEPHEN K	PRIMARY	1000.00		06/29/1987					S1-S4
	GENERAL	200.00		06/05/1987					
	GENERAL	500.00		06/17/1988					
	GENERAL	500.00	200.00	09/26/1988					
	GENERAL						(200.00)	11/28/1988	

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05/05/1989

APPARENT EXCESSIVE CONTRIBUTIONS RECEIVED BY THE
HUMPHREY FOR SENATE CAMPAIGN COMMITTEE, INC.

NAME	ELECTION DESIGNATION	AMT. OF RECEIPT	EXCESSIVE AMOUNT	RECEIPT DATE	AMOUNT REDESIGNATED	AMOUNT REATTRIBUTED	AMOUNT REFUNDED	ACTION DATE	ATTACHMENT
LONG, RICHARD *	PRIMARY	1000.00		06/05/1987					T1-T3
	PRIMARY	700.00	700.00	06/13/1988					
	GENERAL	1000.00		12/18/1987					
MCGRANN, WILLIAM	PRIMARY	500.00		06/05/1987					U1-U7
	PRIMARY	100.00		12/31/1987					
	PRIMARY	100.00		unitemized					
	PRIMARY	1000.00	700.00	07/22/1988 **					
	GENERAL				(700.00)			08/17/1988	
	GENERAL	1000.00	700.00	11/07/1988					
	GENERAL						(700.00)	02/24/1989	
MILLS, SAMUEL	PRIMARY	1000.00		08/25/1987					V1-V3
	PRIMARY	1000.00	1000.00	06/14/1988					
	GENERAL				(1000.00)			08/24/1988	
MORRISON, JOHN *	PRIMARY	500.00		06/29/1987					W1-W3
	PRIMARY	1000.00	500.00	03/31/1988					
	GENERAL	500.00		10/19/1988					
MURPHY, WILLIAM C	PRIMARY	1000.00		05/29/1987					X1-X4
	PRIMARY	1000.00	1000.00	07/28/1988 [2]					
	PRIMARY						(1000.00)	09/30/1988	
PILLSBURY JR., PHILIP	PRIMARY	500.00		11/05/1987					Y1-Y8
	PRIMARY	500.00		02/15/1988					
	PRIMARY	250.00	250.00	06/07/1988 **					
	GENERAL				(250.00)			08/05/1988	
	GENERAL	500.00		09/26/1988					
	GENERAL	250.00		09/30/1988					
	GENERAL	500.00	500.00	11/04/1988					
	GENERAL						(500.00)	02/24/1989	

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[2] The memo redesignation schedule changed the receipt date of the contribution to August 23, 1988.

05/05/1989

APPARENT EXCESSIVE CONTRIBUTIONS RECEIVED BY THE
HUMPHREY FOR SENATE CAMPAIGN COMMITTEE, INC.

NAME	ELECTION DESIGNATION	AMT. OF RECEIPT	EXCESSIVE AMOUNT	RECEIPT DATE	AMOUNT REDESIGNATED	AMOUNT REATTRIBUTED	AMOUNT REFUNDED	ACTION DATE	ATTACHMENT
SCHATZ, JAMES *	PRIMARY	1000.00		04/23/1987					71-72
	PRIMARY	1000.00	1000.00	03/31/1988					
SHEEHY, LEE *	PRIMARY	500.00		04/14/1987					AA1-AA5
	PRIMARY	500.00		06/29/1987					
	PRIMARY	500.00	500.00	01/20/1988					
	PRIMARY	250.00	250.00	03/08/1988					
	GENERAL	100.00		06/05/1987					
	GENERAL	25.00		11/02/1987					
	GENERAL	500.00		06/30/1988					
	GENERAL					(375.00)		07/14/1988	
SMILEY, BRUCE	PRIMARY	1500.00	500.00	06/20/1988					BB1-BB3
	GENERAL				(1500.00) [3]			08/24/1988	
SNEDE., DONALD	PRIMARY	250.00		06/04/1987					CC1-CC4
	PRIMARY	500.00		06/20/1988					
	PRIMARY	1000.00	750.00	09/09/1988					
	GENERAL				(750.00)			11/22/1988	
TOLMAN, MARTHA W	PRIMARY	250.00		10/14/1987					DD1-DD7
	PRIMARY	250.00		02/16/1988					
	PRIMARY	500.00		05/09/1988					
	PRIMARY	250.00	250.00	05/25/1988					
	PRIMARY	250.00	250.00	06/30/1988					
	GENERAL				(500.00)			10/03/1988	
	GENERAL	500.00		10/11/1988					
WEILER, SUSAN	PRIMARY	1000.00		06/26/1987					EE1-EE5
	GENERAL	1000.00		06/26/1987					
	GENERAL	500.00	500.00	10/07/1988					
	GENERAL						(500.00)	02/24/1989	

[3] This redesignation created an apparent excessive contribution of $500 for the general election; the Committee has not been notified of this.

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05/05/1989

APPARENT EXCESSIVE CONTRIBUTIONS RECEIVED BY THE
HUMPHREY FOR SENATE CAMPAIGN COMMITTEE, INC.

COMMITTEE NAME ID #	ELECTION DESIGNATION	AMT. OF RECEIPT	EXCESS AMOUNT	RECEIPT DATE	AMOUNT REDESIGNATED	AMOUNT REATTRIBUTED	AMOUNT REFUNDED	ACTION DATE	ATTACHMENT
HOLMES & GRAVEN PAC C00206409	PRIMARY	2000.00	1000.00	03/31/1988[4]					FF1-FF3
OXFORD ENERGY PAC C00228759	GENERAL GENERAL	3000.00	2000.00	11/10/1988			(1000.00)	02/16/1989	GG1-GG2

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[4]The Committee's May and June 1988 responses state that this contribution was redesignated; however, no date had been provided.

Attachment 1
(1 of 2)

FEDERAL ELECTION COMMISSION
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COMMITTEE / DOCUMENT	RECEIPTS PRIMARY	RECEIPTS GENERAL	DISBURSEMENTS PRIMARY	DISBURSEMENTS GENERAL	COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
					TYPE OF FILER		
HUMPHREY FOR SENATE CAMPAIGN COMMITTEE INC					ID #C00214593 SENATE		
CONNECTED ORGANIZATION:							
1987 STATEMENT OF ORGANIZATION					20APR87	3	87SEN/005/1430
STATEMENT OF ORGANIZATION - AMENDMENT					21AUG87	3	87SEN/009/2018
MID-YEAR REPORT	188,855		83,296		1JAN87 -30JUN87	115	87SEN/007/0277
MID-YEAR REPORT - AMENDMENT	-		-		1JAN87 -30JUN87	7	87SEN/009/2995
REQUEST FOR ADDITIONAL INFORMATION					1JAN87 -30JUN87	4	87FEC/484/4823
YEAR-END	606,137		456,541		1JUL87 -31DEC87	106	88SEN/004/3952
YEAR-END - AMENDMENT	-		-		1JUL87 -31DEC87	6	88SEN/005/3931
YEAR-END - AMENDMENT	-		-		1JUL87 -31DEC87	9	88SEN/006/2053
1988 STATEMENT OF ORGANIZATION - AMENDMENT					2JUL88	4	88SEN/010/1617
48 HOUR CONTRIBUTION NOTICE					29AUG88	5	88SEN/015/0879
48 HOUR CONTRIBUTION NOTICE					1SEP88	3	88SEN/015/1825
48 HOUR CONTRIBUTION NOTICE					6SEP88	4	88SEN/015/3413
48 HOUR CONTRIBUTION NOTICE					7SEP88	4	88SEN/016/0027
48 HOUR CONTRIBUTION NOTICE					9SEP88	3	88SEN/016/0529
48 HOUR CONTRIBUTION NOTICE					13SEP88	4	88SEN/016/0641
48 HOUR CONTRIBUTION NOTICE					25OCT88	4	88SEN/020/1713
48 HOUR CONTRIBUTION NOTICE					27OCT88	4	88SEN/021/0549
48 HOUR CONTRIBUTION NOTICE					31OCT88	4	88SEN/022/0377
48 HOUR CONTRIBUTION NOTICE					2NOV88	5	88SEN/022/1168
48 HOUR CONTRIBUTION NOTICE					4NOV88	4	88SEN/022/1519
48 HOUR CONTRIBUTION NOTICE					7NOV88	5	88SEN/022/1598
APRIL QUARTERLY	346,610		394,667		1JAN88 -31MAR88	74	88SEN/007/2935
APRIL QUARTERLY - AMENDMENT	-		-		1JAN88 -31MAR88	4	88SEN/009/3013
APRIL QUARTERLY - AMENDMENT	-		-		1JAN88 -31MAR88	6	88SEN/010/0434
APRIL QUARTERLY - AMENDMENT	347,012		395,069		1JAN88 -31MAR88	9	88SEN/010/1307
REQUEST FOR ADDITIONAL INFORMATION					1JAN88 -31MAR88	19	88FEC/526/4999
JULY QUARTERLY	375,621		462,629		1APR88 -30JUN88	89	88SEN/010/2421
JULY QUARTERLY - AMENDMENT	-		-		1APR88 -30JUN88	33	88SEN/020/0739
REQUEST FOR ADDITIONAL INFORMATION					1APR88 -30JUN88	4	88FEC/547/0644
REQUEST FOR ADDITIONAL INFORMATION 2ND					1APR88 -30JUN88	45	88FEC/551/4468
PRE-PRIMARY	255,222		199,059		1JUL88 -24AUG88	71	88SEN/015/1828
PRE-PRIMARY - AMENDMENT	-		-		1JUL88 -24AUG88	28	88SEN/020/0772
PRE-PRIMARY - AMENDMENT	-		-		1JUL88 -24AUG88	1	89FEC/583/0964
REQUEST FOR ADDITIONAL INFORMATION					1JUL88 -24AUG88	40	88FEC/547/0603
REQUEST FOR ADDITIONAL INFORMATION 2ND					1JUL88 -24AUG88	45	88FEC/551/4423
OCTOBER QUARTERLY		254,168		180,999	25AUG88 -30SEP88	81	88SEN/017/0267
OCTOBER QUARTERLY - AMENDMENT		254,168		180,999	25AUG88 -30SEP88	16	88SEN/020/0800
OCTOBER QUARTERLY - AMENDMENT		-		-	25AUG88 -30SEP88	1	89FEC/583/0965
OCTOBER QUARTERLY - AMENDMENT		-		-	25AUG88 -30SEP88	24	89SEN/003/1341
REQUEST FOR ADDITIONAL INFORMATION					25AUG88 -30SEP88	5	89FEC/581/5268
REQUEST FOR ADDITIONAL INFORMATION 2ND					25AUG88 -30SEP88	24	89FEC/586/1263
PRE-GENERAL		169,023		293,321	10OCT88 -19OCT88	57	88SEN/021/0553
PRE-GENERAL - AMENDMENT		-		-	10OCT88 -19OCT88	1	89FEC/586/3223
REQUEST FOR ADDITIONAL INFORMATION					10OCT88 -19OCT88	8	89FEC/581/5274

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						TYPE OF FILER		
	REQUEST FOR ADDITIONAL INFORMATION 2ND					10CT88 -19OCT88	24	89FEC/586/1239
	POST-GENERAL		271,512		391,725	200CT88 -28NOV88	79	88SEN/025/0743
	POST-GENERAL - AMENDMENT		-			-200CT88 -28NOV88	1	89FEC/586/3222
	REQUEST FOR ADDITIONAL INFORMATION					200CT88 -28NOV88	10	89FEC/581/5283
	REQUEST FOR ADDITIONAL INFORMATION 2ND					200CT88 -28NOV88	24	89FEC/586/1215
	YEAR-END		15,941		14,429	29NOV88 -31DEC88	51	89SEN/001/2374
	1'ST LETTER INFORMATIONAL NOTICE					29NOV88 -31DEC88	1	89FEC/588/4853
1989	STATEMENT OF ORGANIZATION - AMENDMENT					11APR89	3	89SEN/003/3093
	TOTAL	1,772,847	710,644	1,596,594	880,474		1184	TOTAL PAGES

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All reports listed have been reviewed.
Ending cash as of 12/31/88: $6,423
Debts owed to the committee: $0
Debts owed by the committee: $210,822

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Bolin, Michael R.

Attachments A1-A5

Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS
701 4th Ave., S., Minneapolis, MN 55415 — FOR LINE # 11a
PERIOD 07/01/87 TO 12/31/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Thomas Boggs, Jr. 6 E. Kirke St. Chevy Chase MD 20815 YEAR TO DATE $250.00	Patton, Boggs & Blow Attorney	07/27/87	$250.00	Primary -87
M. R. Bolin 2450 Park Ave., S. Minneapolis MN 55404 YEAR TO DATE $1100.00	M. R. Bolin Adv. Chairman	09/15/87 09/24/87	$1000.00 $100.00	Primary -87 General-87
Susan Boren 1937 James Ave., S. Minneapolis MN 55403 YEAR TO DATE $500.00	Mason Edelman et al Attorney	10/02/87	$500.00	Primary -87
Elizabeth Borman 1080 Tonkawa Rd. Long Lake MN 55356 YEAR TO DATE $250.00	Retired	11/13/87	$250.00	Primary -87
Marvin Borman 1800 Midwest Plaza Bldg. Minneapolis MN 55402 YEAR TO DATE $1100.00	Self Attorney	09/29/87 10/05/87 11/13/87 11/13/87	$100.00 $250.00 $150.00 $100.00	Primary -87 Primary -87 Primary -87 General-87
Thomas Borman 1800 Midwest Plaza Bldg. Minneapolis MN 55402 YEAR TO DATE $600.00	Self Attorney	09/24/87	$100.00	Primary -87
Signe Boysen 5805 Shannon Drive Minneapolis MN 55435 YEAR TO DATE $1000.00	L & G Agency President	08/19/87	$1000.00	Primary -87
Stanley Bregman 13532 Travilan Rd. Gaithersburg MD 20878 YEAR TO DATE $250.00	Self Attorney	07/27/87	$250.00	Primary -87
Jennifer Brock Woodstock VT 05091 YEAR TO DATE $2000.00	Self	11/19/87 11/19/87	$1000.00 $1000.00	Primary -87 General-87

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Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS PAGE 3 OF 34
701 Fourth Ave. S. #1800 Mpls. MN 55415 FOR LINE # 11a
PERIOD 04/01/88 TO 06/30/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Charles Benton 585 Ingleside Place Evanston IL 60201 YEAR TO DATE $250.00	Public Media Co.,Inc Chairman	04/12/88	$250.00	Primary -88
Danny Berenberg 5400 Interlachen Blvd. Edina MN 55435 YEAR TO DATE $500.00	Self-Employed Lincoln Del	06/27/88	$500.00	General-88
Patty Berg 9739 Dorset Lane Eden Prairie MN 55344 YEAR TO DATE $500.00	A. M. Myers & Assoc. V.P. of Operations	06/14/88	$500.00	Primary -88
Herbert Bergson 1726 London Road Duluth MN 55812 YEAR TO DATE $450.00	Self-Employed Real Estate	04/08/88 05/23/88	$200.00 $250.00	Primary -88 Primary -88
Richard Bernstein Plaza 1551, Suite 300A 1551 Forum Place West Palm Beach FL 33401 YEAR TO DATE $500.00	R. Bernstein & Assoc President	06/14/88	$500.00	Primary -88
Kenneth Bialkin 211 Central Park West New York NY 10024 YEAR TO DATE $250.00	Milkie, Farr, Etal. Attorney	06/14/88	$250.00	Primary -88
Fred Blackwelder 2060 - 5th Street White Bear Lake MN 55110 YEAR TO DATE $215.00	Retired	06/17/88	$100.00	Primary -88
M. R. Bolin 2450 Park Ave., S. Minneapolis MN 55404 YEAR TO DATE $700.00	M. R. Bolin Adv. Chairman	06/14/88	$500.00	General-88
Jery Borglund 603 Lake, No. 108 Excelsior MN 55331 YEAR TO DATE $250.00	Greenhaven Insurance Insurance Agent	06/14/88	$250.00	Primary -88

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Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 2 OF 22
701 Fourth Ave. S. #1800 Mpls. MN 55415 — FOR LINE # 11a
PERIOD 07/01/88 TO 08/24/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Thomas Berg 2112 Newton Ave., S. Minneapolis MN 55405 YEAR TO DATE $250.00	Self-Employed Attorney	07/28/88	$250.00	Primary -88
Herbert Bergson 1726 London Road Duluth MN 55812 YEAR TO DATE $550.00	Self-Employed Real Estate	08/18/88	$100.00	Primary -88
Kenneth Bialkin 919 Third Avenue New York NY 10024 YEAR TO DATE $350.00	Skadden,Arps,Slate, Meagher,Flom-Lawyer	08/24/88	$100.00	Primary -88
Richard Blahnik 206 Norwest Bank Bldg. Fourth and Main Streets Winona MN 55987 YEAR TO DATE $350.00	Robertson,Blahnik & Jennings - Attorney	07/11/88 08/01/88	$100.00 $250.00	Primary -88 Primary -88
D. Douglas Blanke 611 North Fifth Street Stillwater MN 55082 YEAR TO DATE $275.00	State of Minnesota Attorney	08/05/88	$100.00	Primary -88
M. R. Bolin 2450 Park Ave., S. Minneapolis MN 55404 YEAR TO DATE $900.00	M. R. Bolin Adv. Chairman	07/29/88	$200.00	General-88
Paul Bontempo 139 Brooklake Road Florham Park NJ 07932 YEAR TO DATE $250.00	Self-Employed Investments	07/11/88	$250.00	Primary -88
David Bowman 908 Baylis Street Duluth MN 55811 YEAR TO DATE $450.00	Bowman Corp. President	08/23/88	$200.00	Primary -88

Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 4 OF [redacted]
701 Fourth Ave. S. #1800 Mpls. MN 55415 — FOR LINE # 11a
PERIOD 08/25/88 TO 09/30/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Fred Blackwelder 2060 - 5th Street White Bear Lake MN 55110 YEAR TO DATE $290.00	Retired	09/27/88	$50.00	General-88
Richard Blahnik 206 Norwest Bank Bldg. Fourth and Main Streets Winona MN 55987 YEAR TO DATE $450.00	Robertson, Blahnik & Jennings - Attorney	09/28/88	$100.00	General-88
D. Douglas Blanke 611 North Fifth Street Stillwater MN 55082 YEAR TO DATE $325.00	State of Minnesota Attorney	09/02/88	$50.00	Primary -88
M. R. Bolin 2450 Park Ave., S. Minneapolis MN 55404 YEAR TO DATE $2,500.00	M. R. Bolin Adv. Chairman	09/09/88 09/09/88	$500.00 $500.00	General-88 Memo Reattribution Requested
Scott Bolin 7627 Dallas Lane, N. Maple Grove MN 55369 YEAR TO DATE $500.00	M.R. Bolin Adver. Co Chief Creative Off.	09/09/88	$500.00	Primary -88
Todd Bolin 576 C. North Brown Road Long Lake MN 55356 YEAR TO DATE $500.00	M.R. Bolin Adver. Co President	09/09/88	$500.00	Primary -88
Paul Bontempo 139 Brooklake Road Florham Park NJ 07932 YEAR TO DATE $700.00	Self-Employed Investments	09/27/88	$450.00	General-88
Linda Borman 1800 Midwest Plaza Blvd. Minneapolis MN 55402 YEAR TO DATE $400.00	Homemaker	09/28/88	$400.00	General-88

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ITEMIZED DISBURSEMENTS

Humphrey for Senate
Campaign Committee, Inc.
701 Fourth Ave., S.
Suite 1800
Minneapolis, MN 55415

For Line #20a

Refunds of Contributions
Period 10/20/88 to 11/28/88

NAME AND ADDRESS	PURPOSE	DATE	AMOUNT	ELECTION
Stephen K. Lieberman 100 Washington Square Minneapolis, MN 55401	Refund Contribution	11/28/88	$ 200.00	General-88
Michael Bolin 2450 Park Ave., S. Minneapolis, MN 55404	Refund Contribution	11/28/88	$ 500.00	General-88
Caitlin Curran 1551 - 33rd Street Washington, DC 20007	Refund Contribution	11/02/88	$1000.00	General-88

TOTAL ITEMIZED THIS PERIOD	$1700.00
TOTAL UNITEMIZED THIS PERIOD	$ 0.00
TOTAL REPORTABLE THIS PERIOD	$1700.00

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Boysen, Signe

Attachments B1-B5

Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 5 OF 50
701 4th Ave., S., Minneapolis, MN 55415 — FOR LINE # 11a
PERIOD 07/01/87 TO 12/31/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Thomas Boggs, Jr. 6 E. Kirke St. Chevy Chase MD 20815 YEAR TO DATE $250.00	Patton, Boggs & Blow Attorney	07/27/87	$250.00	Primary -87
M. R. Bolin 2450 Park Ave., S. Minneapolis MN 55404 YEAR TO DATE $1100.00	M. R. Bolin Adv. Chairman	09/15/87 09/24/87	$1000.00 $100.00	Primary -87 General-87
Susan Boren 1937 James Ave., S. Minneapolis MN 55403 YEAR TO DATE $500.00	Mason Edelman et al Attorney	10/02/87	$500.00	Primary -87
Elizabeth Borman 1080 Tonkawa Rd. Long Lake MN 55356 YEAR TO DATE $250.00	Retired	11/13/87	$250.00	Primary -87
Marvin Borman 1800 Midwest Plaza Bldg. Minneapolis MN 55402 YEAR TO DATE $1100.00	Self Attorney	09/29/87 10/05/87 11/13/87 11/13/87	$100.00 $250.00 $150.00 $100.00	Primary -87 Primary -87 Primary -87 General-87
Thomas Borman 1800 Midwest Plaza Bldg. Minneapolis MN 55402 YEAR TO DATE $600.00	Self Attorney	09/24/87	$100.00	Primary -87
Signe Boysen 5805 Shannon Drive Minneapolis MN 55435 YEAR TO DATE $1000.00	L & G Agency President	08/19/87	$1000.00	Primary -87
Stanley Bregman 13532 Travilah Rd. Gaithersburg MD 20878 YEAR TO DATE $250.00	Self Attorney	07/27/87	$250.00	Primary -87
Jennifer Brock Woodstock VT 05091 YEAR TO DATE $2000.00	Self	11/19/87 11/19/87	$1000.00 $1000.00	Primary -87 General-87

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Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 4 OF 34
701 Fourth Ave. S. #1800 Mpls. MN 55415 — FOR LINE # 11a
PERIOD 04/01/88 TO 06/30/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Thomas Borman 1800 Midwest Plaza Bldg. Minneapolis MN 55402 YEAR TO DATE $1300.00	Maslon Law Firm Attorney	05/05/88 06/30/88 06/30/88	$200.00 $100.00 $900.00	Primary -88 Primary -88 General-88
David Bowman 908 Baylis Street Duluth MN 55811 YEAR TO DATE $250.00	Bowman Corp. President	05/12/88	$250.00	Primary -88
Signe Boysen 5805 Shannon Drive Minneapolis MN 55435 YEAR TO DATE $1000.00	L & S Agency President	06/14/88 06/14/88	$1000.00 $1000.00	Primary -88 Memo Redesignation Requested
George Breece P.O. Box 2801 Fayetteville NC 28302 YEAR TO DATE $250.00	Self-Employed Real Estate Agent	06/06/88	$250.00	Primary -88
Robert Burkett 2828 Dumfries Road Los Angeles CA 90064 YEAR TO DATE $1000.00	Self-Employed The Interscope Group	06/30/88	$1000.00	Primary -88
William Burns 1040 Missouri Ave. Duluth MN 55811 YEAR TO DATE $250.00	Self-Employed Lawyer	04/08/88	$250.00	Primary -88
Walter Bush 5704 Camelback Drive Edina MN 55436 YEAR TO DATE $1000.00	MPLS. Auto Auction Co-Owner	06/14/88	$1000.00	Primary -88
Janet Carey 507 S. E. First Street Fairfax MN 55332 YEAR TO DATE $520.00	Homemaker	05/17/88 06/27/88	$250.00 $100.00	Primary -88 Primary -88

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Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 3 OF 22
701 Fourth Ave. S. #1800 Mpls. MN 55415 — FOR LINE # 11a
PERIOD 07/01/88 TO 08/24/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Signe Boysen 5805 Shannon Drive Minneapolis MN 55435 YEAR TO DATE $2000.00	L & B Agency President	08/24/88 08/24/88	$1000.00 $1000.00 Memo Reattribution Requested	Primary -88
Judith Buechner RFD 1160 Pawlets VT 05761 YEAR TO DATE $300.00	Self-Employed Writer	07/19/88	$300.00	Primary -88
Sandra Burkosky 33028 Allenbury Drive Solon OH 44139 YEAR TO DATE $800.00	Homemaker	08/02/88	$800.00	Primary -88
William Burns 1040 Missouri Ave. Duluth MN 55811 YEAR TO DATE $750.00	Self-Employed Lawyer	08/23/88	$500.00	Primary -88
Janet Carey 507 S. E. First Street Fairfax MN 55332 YEAR TO DATE $ 1720.00	Homemaker, office mgr	07/20/88 08/09/88 08/23/88 08/23/88 08/23/88 08/23/88	$250.00 $100.00 $500.00 $470.00 Memo $250.00 $250.00 Memo Redesignation Requested	Primary -88 Primary -88 Primary -88 Primary -88 Primary -88
Florence Chelm 23 Pepper Creek Drive Pepper Pike OH 44124 YEAR TO DATE $2000.00	Homemaker	08/02/88 08/02/88	$1000.00 $1000.00	Primary -88 General-88
Irving Chelm 23 Pepper Creek Drive Pepper Pike OH 44124 YEAR TO DATE $1000.00	Chelm Management Co. President	08/02/88	$1000.00	Primary -88

Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55415

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 07/01/88 to 08/24/88

For Line #N/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
Thomas Barrett 1355 Lincoln Ave. St. Paul, MN 55105	Self-Employed Attorney		06/30/88 Memo 07/14/88	$250.00 ($ 25.00)	Primary-88 Primary-88
YEAR TO DATE	$500.00				
Thomas Barrett - Redesignation		Memo	07/14/88	$ 25.00	General-88
Signe Boysen 5805 Shannon Drive Minneapolis, MN 55435	L & B Agency President		06/14/88 Memo 08/24/88	$1,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$1,000.00				
Signe Boysen - Redesignation		Memo	08/24/88	$1,000.00	General-88
Arnold Chase 9 Rushleigh Road West Hartford, CT 06117	WTIC, CH-61 Executive		06/30/88 Memo 08/24/88	$2,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$2,000.00				
Arnold Chase - Redesignation		Memo	08/24/88	$1,000.00	General-88
Donald Chopp 13732 Wellington Crescent Burnsville, MN 55337	Insurance Ventures Consultant		06/28/88 07/28/88	$2,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$2,000.00				
Donald Chopp - Redesignation		Memo	07/28/88	$1,000.00	General-88
Thomas Foster 332 Westwood Drive N Golden Valley, MN 55422	Self-Employed Attorney	Memo	06/27/88 08/24/88	$100.00 ($100.00)	Primary-88 Primary-88 Reattribution
YEAR TO DATE	$200.00				

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Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55415

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 08/25/88 to 09/30/88

For Line #N/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
Signe Boysen 7250 Lewis Ridge Edina, MN 55435	L & G Agency President		08/24/88 09/01/88	$1000.00 ($1000.00)	Primary-88 Primary-88
YEAR TO DATE	$1000.00				
Wayne Boysen - Reattribution 7250 Lewis Ridge Edina, MN 55435	Self-Employed Consultant	Memo	09/01/88	$1000.00	Primary-88
Janet Carey 507 SE First Street Fairfax, MN 55332	Homemaker		08/23/88 08/30/88 08/23/88 08/30/88	$ 500.00 ($ 470.00) $ 250.00 ($ 250.00)	Primary-88 Primary-88 Primary-88 Primary-88
YEAR TO DATE	$1,720.00	Memo Memo			
Janet Carey - Redesignation		Memo Memo	08/30/88 08/30/88	$ 470.00 $ 250.00	General-88 General-88
S. Harrison Dogole 2503 Lombard St. Philadelphia, PA 19146	Globe Security Executive		08/24/88 08/29/88	$ 500.00 ($ 500.00)	Primary-88 Primary-88
YEAR TO DATE	$ 500.00	Memo			
S. Harrison Dogole	Redesignation	Memo	08/29/88	$ 500.00	General-88
Joy Erickson 2000 Piper Jaffray Minneapolis, MN 55402	Lutheran Church Church Educator		08/24/88 09/02/88	$ 500.00 $(500.00)	Primary-88 Primary-88
YEAR TO DATE	$1500.00	Memo			
Joy Erickson	Redesignation	Memo	09/02/88	$ 500.00	General-88

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Challinor, Joan

Attachments C1 - C3

Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 6 OF 90
701 4th Ave., S., Minneapolis, MN 55415 — FOR LINE # 11a
PERIOD 07/01/87 TO 12/31/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Loretta Cassidy 655 - 15th St., NW. Suite 1100 Washington DC 20005 YEAR TO DATE $1000.00	Homemaker	11/05/87	$1000.00	Primary -87
John Catsimatidis 1 Lincoln Plaza New York NY 10023 YEAR TO DATE $2000.00	Gristeds President	10/01/87	$1000.00	General-87
Courtney Cazden 984 Memorial Drive Cambridge MA 02138 YEAR TO DATE $250.00	Harvard University Professor	10/30/87	$250.00	Primary -87
Joan Challinor 3117 Howthorne St., NW. Washington DC 20008 YEAR TO DATE $1000.00	Self Historian	10/25/87	$1000.00	Primary -87
Dean Chesley 300 Hill Street Red Wing MN 55066 YEAR TO DATE $250.00	Goodhue Hist. Museum Director	10/20/87	$250.00	Primary -87
John Churchill Watertown MA 02172 YEAR TO DATE $250.00	Information Requested	10/30/87	$250.00	Primary -87
Elaine Clark 12201 Meadow Creek Court Potomac MD 20854 YEAR TO DATE $1000.00	Homemaker	12/09/87	$1000.00	Primary -87
Connie Cobb 441 West Co. Rd. C. Westwood Village III St. Paul MN 55113 YEAR TO DATE $530.00	State of Minnesota Public Administrator	12/07/87	$500.00	Primary -87
B. R. Cohen 3060 Garrison St., NW. Washington DC 20008 YEAR TO DATE $250.00	Justice Department Attorney	10/26/87	$250.00	Primary -87

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Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS PAGE 5 OF 22
701 Fourth Ave. S. #1800 Mpls., MN 55415 FOR LINE # 11a
PERIOD 01/01/88 TO 03/31/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Donald Carter 7441 W. Mercer Way Mercer Island WA 98040 YEAR TO DATE $500.00	Ackerly Communicat. Exec. Vice President	02/15/88	$500.00	Primary -88
Carol Casey 1511 Caroline St. NW Washington DC 20009 YEAR TO DATE $500.00	Cassidy & Assoc. Government Relations	03/25/88	$500.00	Primary -88
Gus Chafoulias 1903 S. Broadway Rochester MN 55904 YEAR TO DATE $250.00	C & C Investment	03/29/88	$250.00	Primary -88
Joan Challinor 3117 Hawthorne St., NW. Washington DC 20008 YEAR TO DATE $500.00	Self Historian	02/15/88 02/15/88	$500.00 $500.00	Primary -88 Memo Redesignation Requested
Wallace Cohen 1019 - 19th St. NW Washington DC 20036 YEAR TO DATE $250.00	Landis, Cohen,et.al Lawyer	03/29/88	$250.00	Primary -88
Jeffrey Cole 29001 Cedar Road #200 Cleveland OH YEAR TO DATE $1000.00	Cole National President	02/18/88	$1000.00	Primary -88
Elizabeth Conant 90 Bryant Street Buffalo NY YEAR TO DATE $250.00	Canisius College Teacher	01/14/88	$250.00	Primary -88
J. Cook P.O. Box 50485 Columbia SC 29250 YEAR TO DATE $250.00	Merit System of SC	03/31/88	$250.00	Primary -88
Daniel Cooper 208 Middle Patent Road Bedford NY 10506 YEAR TO DATE $250.00	Myerson & Kuhn Lawyer Partner	03/21/88	$250.00	Primary -88

Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55415

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 04/01/88 to 06/30/88

For Line #N/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
Robert Barrie 4714 Linnean Ave. Washington, DC 20008	Dir. Gov. Relations Gen. Electric	Memo	03/25/88 05/02/88	$500.00 ($250.00)	Primary-88 Primary-88
YEAR TO DATE $500.00					
Robert Barrie - Redesignation		Memo	05/02/88	$250.00	General-88
Joan Challinor 3117 Hawthorne St Washington, DC 20008	Historian Self-employed	Memo	02/15/88 04/29/88	$500.00 ($500.00)	Primary-88 Primary-88
YEAR TO DATE $500.00					
Joan Challinor - Redesignation		Memo	04/29/88	$500.00	General-88
Beverly Deikel 27960 Smithtown Rd. Shorewood, MN 55331	Homemaker	Memo	03/31/88 05/22/88	$2,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE $2,000.00					
Beverly Deikel- Redesignation		Memo	05/22/88	$1,000.00	General-88
Daniel Deikel 27960 Smithtown Rd Shorewood, MN 55331	Student	Memo	03/31/88 05/22/88	$2,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE $2,000.00					
Daniel Deikel - Redesignation		Memo	05/22/88	$1,000.00	General-88

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Deal, Pamela

Attachments D1-D3

Hon. III Exploratory Committee, Inc. ITEMIZED RECEIPTS PAGE 19 OF ..
701 6th Ave., S., Minneapolis, MN 55415 FOR LINE 9 11a
PERIOD 01/01/87 TO 06/30/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Hope Dayton 5100 IDS Center	Self-employed Investment Banker	05/19/87 04/30/87 03/13/87	$307.34 $307.34 $307.34	Primary -87 In-kind General-87
Minneapolis MN 55402 YEAR TO DATE $1307.34				
Pamela Deal 16191 Makah Street, N.W. Box 159 Anoka MN 55303 YEAR TO DATE $1000.00	Nat'l Ag Underwriter Inc. - Co-founder	06/01/87	$1000.00	Primary -87
Hugh DeFazio 33 Ogden Place	Self Builder	06/26/87	$250.00	Primary -87
Morristown NJ 07960 YEAR TO DATE $250.00				
Committee Derus Volunteer 4046 Xeres Avneue North	Cnty Comm's Committee	05/05/87	$500.00	Primary -87
Minneapolis MN 55412 YEAR TO DATE $500.00				
Floyd Dewey 2912 N. Simpson		06/29/87	$15.00	Primary -87
St. Paul MN 55113 YEAR TO DATE $15.00				
Sandy DiBrito 633 - 2nd Avenue South	City of Perham City Manager	05/29/87	$25.00	Primary -87
Perham MN 56573 YEAR TO DATE $25.00				
R. Scott Dickman 215 Oak Grove Street No. 112		06/25/87	$15.00	Primary -87
Minneapolis MN 55403 YEAR TO DATE $15.00				
Nell Dieterich 2171 Knapp Street	Attorney	05/30/87	$50.00	Primary -87
St. Paul MN 55108 YEAR TO DATE $50.00				
Wm. Dietrich 1201 Yale Place	MN. Trade Office Deputy Commissioner	[illegible]	$1000.00	Primary -87
Minneapolis MN 55403 YEAR TO DATE $1000.00				

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ITEMIZED RECEIPTS

PAGE 30 OF 32
FOR LINE # 11a

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
[illegible] Blvd. Eagle Pk., Box 80	[illegible] Trees Accept. CEO	11/13/87	$500.00	Primary -87
Sioux Falls SD 57109 YEAR TO DATE $1000.00				
[illegible] 1585 Avenue of the Americas	Self Attorney	11/19/87	$250.00	Primary -87
New York NY 10019 YEAR TO DATE $250.00				
[illegible] 2500 1/2 Grosse Heights, Dr.	Spitz, Juster et al Attorney	10/07/87	$500.00	Primary -87
Sherrelwood CO 80212 YEAR TO DATE $250.00				
[illegible] 9665 Santa Monica Blvd.	Self Investments	12/10/87 12/10/87	$1000.00 $1000.00	Primary -87 General-87
Beverly Hills Ca 90212 YEAR TO DATE $2000.00				
[illegible] 5100 IDS Center	Self Investment Banker	12/30/87	$692.00	General-87
Minneapolis MN 55402 YEAR TO DATE $2000.00				
[illegible] 1619 Wakah Street, N.W. Box 190	Nat'l Ag Underwriter Inc. - Co-founder	09/23/87 12/08/87	$250.00 $825.00	General-87 General-87
Anoka MN 55303 YEAR TO DATE $1075.00				
Mary Ostermar Box 962	State of Minnesota State Senator	11/30/87	$250.00	Primary -87
Ghent MN 56239 YEAR TO DATE $250.00				
Mary Holbruck 1510 Oakdale Street	Retired	10/30/87	$250.00	Primary -87
Pasadena CA 91106 YEAR TO DATE $250.00				
Mary Diamond 387 Madison Ave., N.	Diamond Publications Publisher	10/01/87	$250.00	Primary -87
Mankato MN 56341 YEAR TO DATE $250.00				

Boschwitz for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 6 OF 22
700 Fourth Ave. S. #1600 Mpls., MN 55415 — FOR LINE # 11a
PERIOD 01/01/88 TO 03/31/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
[illegible] 25 Lenox Road Summit NJ 07901 YEAR TO DATE $250.00	[illegible] Investment Broker	03/25/88	$250.00	Primary -88
[illegible] 5804 Brookside Dr Chevy Chase MD 20815 YEAR TO DATE $300.00	Squire Sanders et.al partner	03/25/88	$300.00	Primary -88
[illegible] 16191 Nakah Street, N.W. Box 189 Anoka MN 55303 YEAR TO DATE $275.00	Nat'l Ag Underwriter Ins. - Co-founder	03/22/88 03/30/88	$25.00 $250.00	Primary -88 Primary -88
[illegible] DeTRAY 27960 Smithtown Road Shorewood MN 55331 YEAR TO DATE $2000.00	Homemaker	03/31/88 03/31/88	$2000.00 $1000.00	Primary -88 Memo Redesignation Requested
[illegible] DeTRAY 27960 Smithtown Road Shorewood MN 55331 YEAR TO DATE $2000.00	Student	03/31/88 03/31/88	$2000.00 $1000.00	Primary -88 Memo Redesignation Requested
Eva DeTRAY 27960 Smithtown Road Shorewood MN 55331 YEAR TO DATE $2000.00	Student	03/31/88 03/31/88	$2000.00 $1000.00	Primary -88 Memo Redesignation Requested
Laura DeTRAY 27960 Smithtown Road Shorewood MN 55331 YEAR TO DATE $2000.00	Student	03/31/88 03/31/88	$2000.00 $1000.00	Primary -88 Memo Redesignation Requested
Theodore DeTRAY 27960 Smithtown Road Shorewood MN 55331 YEAR TO DATE $2000.00	COMD, INC. CEO	03/31/88 03/31/88	$2000.00 $1000.00	Primary -88 Memo Redesignation Requested
George DeWITT 5117 Bayside Troy MI 48098 YEAR TO DATE $300.00	[illegible] DeCROOF-MI Plant Manager	03/15/88	$300.00	Primary -88

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Statutory Committee Committee ITEMIZED RECEIPTS PAGE 7 OF 34

For Calendar Year (Jan. 1, 1988 thru Dec. 31, 1988) FOR LINE # 11a

Itemized Receipts for 04/30/88 to 06/30/88

NAME AND ADDRESS	OCCUPATION AND EMPLOYER	DATE	AMOUNT	ELECTION
Virginia Carroll 2142 Carroll Ave. St. Paul YEAR TO DATE	MN 55104 $200.00	Self-Employed Attorney	06/27/88	$100.00	Primary -88
George Chaplin 305 W. Roosevelt Street New Haven YEAR TO DATE	CT 06511 $1000.00	1988 Haven Investment President	06/30/88	$1000.00	Primary -88
Gilbert Carlquist 67 Midway Drive Virginia YEAR TO DATE	MN 55792 $250.00	Information Requested	05/23/88	$250.00	Primary -88
David Cummings 2230 Marion Road Roseville YEAR TO DATE	MN 55113 $500.00	NW National Life Vice President	06/22/88	$500.00	Primary -88
J. Christopher Cudahy 3203 1/2 Owasso Heights. Dr. Shoreview YEAR TO DATE	MN 55112 $250.00	Smith, Juster et al Attorney	06/12/88	$250.00	Primary -88
David D'Addario 450 Center Street Southport YEAR TO DATE	CT 06490 $1000.00	D'Addario Industries President	06/30/88	$1000.00	Primary -88
Peggy A. Dahl 16191 Makah Street, N.W. Box 159 Anoka YEAR TO DATE	MN 55303 $295.00	Nat'l Ag Underwriter Ins. - Co-founder	04/06/88 05/04/88	$10.00 $10.00	Primary -88 Primary -88
William Delaney 1224 Tudor Place Alexandria YEAR TO DATE	VA 22307 $250.00	Self-Employed Doctor	06/09/88	$250.00	Primary -88
George Demetris 880 Palm Bay Lane Miami YEAR TO DATE	FL 33138 $250.00	Sawyer & Finn Public Relations	06/06/88	$250.00	Primary -88

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Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS PAGE 7 OF 23
701 Fourth Ave., S. #1800 Mpls, MN 55415 FOR LINE # 11a
PERIOD 10/20/88 TO 11/28/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Mark Campbell 1900 S. Eads Street Number 511 Arlington VA 22202 YEAR TO DATE $300.00	Retired	10/27/88	$50.00	General 88
Caitlin Curran 1551 - 33rd Street Washington DC 20007 YEAR TO DATE $2000.00	Beta,Flaherty,etc. Attorney	10/25/88 11/04/88	$1000.00 $1000.00	General-88 General-88
Jack Daly 1201 Yale Place No. 1902 Minneapolis MN 55403 YEAR TO DATE $450.00	Self-Employed Attorney	10/31/88	$250.00	General-88
John Davis 10201 West Pico Blvd. Bldg. 78, Room 3 Los Angeles CA 90035 YEAR TO DATE $800.00	20th Century Fox/ Davis Ent.-Manager	11/07/88	$300.00	General-88
Clarence Day 3475 Central Ave. P. O. Box 11409 Memphis TN 38111 YEAR TO DATE $1000.00	The Day Companies Owner - CEO	10/24/88	$1000.00	General-88
Kenneth Dayton 392 South Ferndale Road Wayzata MN 55391 YEAR TO DATE $1000.00	Retired	10/26/88	$1000.00	General-88
Pamela Deal 16191 Makah Street, N.W. Box 159 Anoka MN 55303 YEAR TO DATE $1295.00	Nat'l Ag Underwriter Ins. - Co-founder	10/25/88	$1000.00	General-88
John Dimich 14 Park Drive Grand Rapids MN 55744 YEAR TO DATE $210.00	Dimich Law Firm Attorney	11/23/88	$40.00	General-88
Gerald Dock 3533 Rum River Drive Anoka MN 55303 YEAR TO DATE $600.00	Greenhaven Mktg Corp Insurance Executive	10/26/88	$250.00	General-88

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Deikel, Laura

Attachments E1-E2

Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 6 OF 22
701 Fourth Ave. S. #1800 Mpls., MN 55415 — FOR LINE # 11a
PERIOD 01/01/88 TO 03/31/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Jon Corzine 25 Lenox Road Summit NJ 07901 YEAR TO DATE $250.00	Goldman Sachs Investment Broker	03/25/88	$250.00	Primary -88
J. Edward Day 5804 Brookside Dr Chevy Chase MD 20815 YEAR TO DATE $300.00	Squire Sanders et.al partner	03/25/88	$300.00	Primary -88
Pamela Deal 16191 Makah Street, N.W. Box 159 Anoka MN 55303 YEAR TO DATE $275.00	Nat'l AG Underwriter Ins. - Co-founder	03/22/88 03/30/88	$25.00 $250.00	Primary -88 Primary -88
Beverly Deikel 27960 Smithtown Road Shorewood MN 55331 YEAR TO DATE $2000.00	Homemaker	03/31/88 03/31/88	$2000.00 $1000.00 Redesignation Requested	Primary -88 Memo
Daniel Deikel 27960 Smithtown Road Shorewood MN 55331 YEAR TO DATE $2000.00	Student	03/31/88 03/31/88	$2000.00 $1000.00 Redesignation Requested	Primary -88 Memo
Eve Deikel 27960 Smithtown Road Shorewood MN 55331 YEAR TO DATE $2000.00	Student	03/31/88 03/31/88	$2000.00 $1000.00 Redesignation Requested	Primary -88 Memo
Laura Deikel 27960 Smithtown Road Shorewood MN 55331 YEAR TO DATE $2000.00	Student	03/31/88 03/31/88	$2000.00 $1000.00 Redesignation Requested	Primary -88 Memo
Theodore Deikel 27960 Smithtown Road Shorewood MN 55331 YEAR TO DATE $2000.00	Comb, Inc. CEO	03/31/88 03/31/88	$2000.00 $1000.00 Redesignation Requested	Primary -88 Memo
George Dennis 5117 Bayside Troy MI 48098 YEAR TO DATE $300.00	Gannett Outdoor-MI Plant Manager	03/15/88	$300.00	Primary -88

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Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55415

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 04/01/88 to 06/30/88

For Line #N/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
Eve Deikel 27960 Smithtown Rd. Shorewood, MN 55331	Account Exec. JPW, Inc.		03/31/88 05/22/88	$2,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$2,000.00				
Eve Deikel - Redesignation		Memo	05/22/88	$1,000.00	General-88
Laura Deikel 27960 Smithtown Road Shorewood, MN 55331	Student		03/31/88 07/14/88	$2,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$2,000.00				
Laura Deikel - Redesignation		Memo	07/14/88	$1,000.00	General-88
Theodore Deikel 27960 Smithtown Rd. Shorewood, MN 55331	CEO Comb, Inc.	Memo	03/31/88 05/22/88	$2,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$2,000.00				
Theodore Deikel - Redesignation		Memo	05/22/88	$1,000.00	General-88
Fred Eychaner 3505 North Ashland Chicago, IL 60657	Owner Newsweb Corp	Memo	03/31/88 04/29/88	$2,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$2,000.00				
Fred Eychaner - Redesignation		Memo	04/29/88	$1,000.00	General-88

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Feinberg, Abraham

Attachments F1-F2

NAME, III Exploratory Committee, Inc. ITEMIZED RECEIPTS PAGE 38 OF 94
700 4th Ave., S., Minneapolis, MN 55415 FOR LINE # 11a
REPORT 01/01/87 TO 06/30/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
[illegible] 48 Rockefeller Plaza, Rm 1904 New York NY 10111 YEAR TO DATE $100.00		06/20/87	$100.00	Primary -87
[illegible] 7840 - 217th Street North Forest Lake MN 55025 YEAR TO DATE $1000.00	Center Corporation President	06/24/87	$1000.00	Primary -87
[illegible] 7060 Kegda Drive Apartment 103A Maple Grove MN 55369 YEAR TO DATE $50.00		06/05/87	$50.00	Primary -87
[illegible] 5354 Parkdale Drive Suite 310 Minneapolis MN 55416 YEAR TO DATE $500.00	Retired	06/05/87	$500.00	Primary -87
[illegible] 400 S. Co. Rd. 18, Suite 475 Minneapolis MN 55426 YEAR TO DATE $100.00		06/25/87	$100.00	Primary -87
[illegible] 231 South La Salle Street Chicago IL 60604 YEAR TO DATE $250.00	Mayer, Brown & Platt Attorney	06/26/87	$250.00	Primary -87
[illegible] 301 W. Minnehaha Pwky. Minneapolis MN 55419 YEAR TO DATE $50.00	State of Minnesota Attorney	06/01/87	$50.00	Primary -87
[illegible] 9712 Charles Omaha NE 68114 YEAR TO DATE $25.00	Doctor	03/13/87	$25.00	Primary -87
[illegible] 5410 N. 97th Street Omaha NE 68134 YEAR TO DATE $25.00		03/13/87	$25.00	Primary -87

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Dempsey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 7 OF 22
[redacted] — Period 01/01/88 TO 03/31/88 — FOR LINE 9 11a

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
[redacted] [redacted] Avenue, N. [redacted] MN 55343 YEAR TO DATE $300.00	[redacted] Publications Publisher	01/20/88	$300.00	Primary -88
[redacted] 10101 Grosvenor Place Suite 900 Rockville MD 20852 YEAR TO DATE $500.00	Self Developer	02/15/88	$500.00	Primary -88
[redacted] 400 Park Ave., S. New York NY 10016 YEAR TO DATE $280.00	Joint Coun. on Econ. Ed. Project Director	03/21/88	$280.00	Primary -88
[redacted] 2000 Piper Jaffray Tower Minneapolis MN 55402 YEAR TO DATE $750.00	Self Attorney	03/04/88	$750.00	Compai-88
[redacted] [redacted] Custer Detroit MI 48202 YEAR TO DATE $400.00	Gannett Outdoor-Det. Plant Manager	03/15/88	$400.00	Primary -88
[redacted] Eychaner 3000 N. Ashland Ave. Chicago IL 60657 YEAR TO DATE $2000.00	Newsweb Corp. Owner Solicitation	03/31/88 05/31/88	$2000.00 $1000.00	Primary -88 Memo Redesignation Requested
[redacted] 45 Rockefeller Plaza, Rm 1964 New York NY 10111 YEAR TO DATE $1000.00	Self-employed Private Investor	01/11/88	$1000.00	Primary -88
Margaret Fellows 7540 - 217th St., N. Forest Lake MN 55025 YEAR TO DATE $2000.00	Homemaker	02/18/88 02/18/88	$2000.00 $1000.00	Primary -88 Memo Redesignation Requested

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Fitzgibbon, Thomas

Attachments G1-G3

Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS
701 4th Ave., S., Minneapolis, MN 55415 — FOR LINE # 11a
PERIOD 07/01/87 TO 12/31/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Thomas Finnerty 1 State Street Boston MA 02109 YEAR TO DATE $250.00	Self Attorney	12/07/87	$250.00	Primary -87
Edward Fish 310 Wellesley St. Weston MA 02193 YEAR TO DATE $500.00	Self Real Estate	12/07/87	$500.00	Primary -87
Thomas Fitz Gibbon 660 Montcalm Place St. Paul MN 55116 YEAR TO DATE $750.00	Retired	07/02/87 10/30/87	$500.00 $250.00	Primary -87 Primary -87
George Flannery 1910 Knox Avenue, South Minneapolis MN 55403 YEAR TO DATE $300.00	Dorsey & Whitney Attorney	07/01/87 10/30/87	$100.00 $200.00	Primary -87 Primary -87
Frank Fleming 100 Park Ave. New York NY 10017 YEAR TO DATE $500.00	Self Attorney	12/15/87	$500.00	Primary -87
William Flynn 4237 Lyndale Avenue South Minneapolis MN 55409 YEAR TO DATE $350.00	O'Connor & Hannan Attorney	12/31/87	$100.00	Primary -87
Eugene Foley 1155 - 15th St., NW, Suite 710 Washington DC YEAR TO DATE $500.00	Self Consultant	11/25/87	$500.00	Primary -87
Patrick Foley 35 Nathan Lane Plymouth MN 55441 YEAR TO DATE $340.00	Self Attorney	10/19/87 12/07/87	$200.00 $25.00	Primary -87 Primary -87
Rory Foley 108 West 27th Street Minneapolis MN 55408 YEAR TO DATE $315.00	State of Minnesota Attorney	12/28/87	$100.00	Primary -87

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Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 8 OF 22
701 Fourth Ave. S. #1800 Mpls., MN 55415 — FOR LINE # 11a
PERIOD 01/01/88 TO 03/31/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Thomas FitzGibbon 660 Montcalm Place St. Paul MN 55116 YEAR TO DATE $ 750.00	Retired	02/08/88 02/23/88 02/23/88	$250.00 $500.00 $500.00 Redesignation Requested	Primary -88 Primary -88 Memo
William FitzSimmons 405 - 8th Avenue, N.W. Waseca MN 56093 YEAR TO DATE $250.00	MUAC Social Service Drctr	02/16/88 03/15/88 03/31/88	$25.00 $50.00 $175.00	Primary -88 Primary -88 Primary -88
Michael Forscey 1615 L Street NW Suite 650 Washington DC 20036 YEAR TO DATE $500.00	Wunder&Diefenderfer Attorney	03/31/88	$500.00	Primary -88
Yvonne Foster 332 Westwood Dr. North Minneapolis MN 55422 YEAR TO DATE $500.00	Homemaker	03/31/88	$500.00	Primary -88
Martin Franks 1730 Pennsylvania Ave. NW Suite 200 Washington DC 20006 YEAR TO DATE $500.00	Charles Walker Assoc Consultant	03/25/88	$500.00	Primary -88
Annette French 5119 Sheridan Ave., S. Minneapolis MN 55410 YEAR TO DATE $1000.00	Homemaker	03/08/88	$1000.00	Primary -88
B. J. French 17 Greenway Gables Minneapolis MN 55403 YEAR TO DATE $500.00	Dayton Hudson	01/27/88	$500.00	Primary -88
Margery French 321 East 25th St. Minneapolis MN 55404 YEAR TO DATE $1000.00	Homemaker	03/08/88	$1000.00	Primary -88
G. S. (Shelby) Friedrichs 1100 Boytras Street New Orleans LA 70103 YEAR TO DATE $250.00	Lawyer	03/31/88	$250.00	Primary -88

Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55415

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 04/01/88 to 06/30/88

For Line #N/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
Margaret Fellows 7540 - 217th Str. Forest Lake, MN 55025	Homemaker		02/18/88	$2,000.00	Primary-88
		Memo	04/13/88	($1,000.00)	Primary-88
YEAR TO DATE $2,000.00					
Margaret Fellows - Redesignation		Memo	04/13/88	$1,000.00	General-88
Thomas Fitzgibbon 660 Montcalm Pl. St. Paul, MN 55116	Retired		02/23/88	$500.00	Primary-88
		Memo	04/26/88	($500.00)	Primary-88
YEAR TO DATE $750.00					
Thomas Fitzgibbon - Redesignation		Memo	04/26/88	$500.00	General-88
Gerard Joyce 2101 Myrtle Str. Scranton, PA 18510	President Patrick Media Group		03/02/88	$2,000.00	Primary-88
		Memo	05/05/88	($1,000.00)	Primary-88
YEAR TO DATE $2,000.00					
Gerard Joyce - Redesignation		Memo	05/05/88	$1,000.00	General-88
Robin Klaus 402 Golden Gate Belvedere, CA 94920	Executive Peregrine Falcon		03/10/88	$1,000.00	Primary-88
		Memo	03/10/88	($200.00)	Primary-88 Reattribution
YEAR TO DATE $1,000.00					
Robert Klaus 402 Golden Gate Belvedere, CA 94920	Student	Memo	07/13/88	$200.00	Primary-88 Reattribution
YEAR TO DATE $200.00					

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Foster, Thomas

Attachments H1-H5

[illegible] III Exploratory Committee, Inc. ITEMIZED RECEIPTS PAGE 27 OF 94
[illegible] Ave., S., Minneapolis, MN 55416 FOR LINE # 11a
Period 01/01/87 TO 06/30/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
[illegible] Foster 332 Westwood Drive North Golden Valley MN 55422 YEAR TO DATE $1000.00	Foster Matosich Lind Attorney	06/05/87	$1000.00	Primary -87
[illegible] Fraser 2022 Dupont Avenue N. Apartment 2 Minneapolis MN 55411 YEAR TO DATE $10.00		06/24/87	$10.00	Primary -87
Michael Freeman 7115 - 16th Avenue South Richfield MN 55423 YEAR TO DATE $100.00		06/29/87	$100.00	Primary -87
John French 2300 Multifoods Tower Minneapolis MN 55402 YEAR TO DATE $500.00	Faegre & Benson Attorney	06/05/87	$500.00	Primary -87
Marion French 7298 Oak Grove Boulevard Richfield MN 55423 YEAR TO DATE $100.00	Gov. Relations	06/05/87	$100.00	Primary -87
Larry Friedman 424 Signature Place 14755 Preston Road Dallas TX 75240 YEAR TO DATE $500.00	Friedman & Ginsburg Attorney	06/17/87	$500.00	Primary -87
Marlene Johnson Friends of 333 Ramsey Street St. Paul MN 55102 YEAR TO DATE $500.00	Committee for Lt Governor	06/05/87	$500.00	Primary -87
Majority Leader Friends of the Committee for State 333 Ramsey Street St. Paul MN 55102 YEAR TO DATE $500.00	Senator	06/05/87	$500.00	Primary -87
George Gassvig YEAR TO DATE $50.00	Housing Director	06/26/87	$50.00	Primary -87

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Attachment H2
Year End Report
Page 1 of 1

[redacted] ITEMIZED RECEIPTS PAGE 16 OF 16
[redacted] FOR [redacted] 11a
[redacted] 07/01/87 TO 12/31/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
[redacted]	[redacted] Attorney	12/22/87	[redacted]	Primary -87
[redacted]	DC 20036 $250.00			
[redacted] Fonciano Arriaga	[redacted] Retired U.S. Citizen	11/13/87	$250.00	Primary -87
[redacted] Mexico	Mexico, DF, Mexico $250.00			
[redacted]	[redacted] Drive North	Self Attorney	08/15/87	$1000.00 General-87
[redacted]	[redacted] Valley MN 55422 $1000.00			
[redacted]	[redacted] Dr. North	Homemaker	07/15/87	$250.00 General-87
	Minneapolis MN 55422 YEAR TO DATE $250.00			
[redacted] Franklin	[redacted]	Univ. of Utah Researcher	10/30/87	$250.00 Primary -87
3870 Millcreek Rd.				
	Salt Lake City UT 84109 YEAR TO DATE $250.00			
[redacted] Franks	[redacted]	Terry L. Franks, DC	08/25/87	$300.00 Primary -87
14425 Portland Ave., S.		Office Manager		
	Burnsville MN 55337 YEAR TO DATE $300.00			
[redacted] Franks	[redacted]	Charles Walker Assoc	12/31/87	$500.00 Primary -87
1730 Pennsylvania Ave. NW Suite 200		Consultant		
	Washington DC 20006 YEAR TO DATE $500.00			
[redacted] Fredin	[redacted]	Self	08/12/87	$250.00 Primary -87
2300 Multifoods Tower		Attorney	09/29/87	$100.00 Primary -87
			10/05/87	$150.00 Primary -87
	Minneapolis MN 55402 YEAR TO DATE $2000.00		10/05/87	$1000.00 General-87
[redacted] Friedell	[redacted]	Larkin Hoffman et al	10/07/87	$250.00 Primary -87
[redacted] Piper Jaffray Tower		Attorney	10/13/87	$250.00 Primary -87
222 South 9th St.				
	Minneapolis MN 55402 YEAR TO DATE $500.00			

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Dukakis for Senate Campaign Committee ITEMIZED RECEIPTS PAGE 10 OF 34
700 Fourth Ave. S. 01000 Minn. MN 55415 FOR LINE # 11a
Dates 04/01/88 TO 06/30/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
[illegible]	Self-Employed	06/12/88	$500.00	Primary -88
3000 I.D.S. Center	Attorney			
Minneapolis MN 55402				
YEAR TO DATE $500.00				
[illegible]		04/27/88	$1000.00	Primary -88
7354 Parkdale Drive	Retired			
Suite 310				
Minneapolis MN 55416				
YEAR TO DATE $1000.00				
Agnes Fischer		04/26/88	$1000.00	Primary -88
9733 Litzsinger Road	Retired			
St. Louis MO 63124				
YEAR TO DATE $1000.00				
Teresa Fischer		04/26/88	$1000.00	Primary -88
9733 Litzsinger Road	Retired			
St. Louis MO 63124				
YEAR TO DATE $1000.00				
Orlando Fitzmaurice	Engel Communications	05/23/88	$250.00	Primary -88
10541 North Shore Drive	Executive			
Duluth MN 55804				
YEAR TO DATE $250.00				
Dolores Flom		06/30/88	$275.00	Primary -88
1554 Transit Ave.	Homemaker			
Roseville MN 55113				
YEAR TO DATE $275.00				
Blaine Fogg	Skadden, Arps etal.	06/14/88	$250.00	Primary -88
1185 Park Aveune	Attorney			
7 A				
New York NY 10028				
YEAR TO DATE $250.00				
Thomas Foster	Self	05/05/88	$100.00	General-88
332 Westwood Drive North	Attorney	06/27/88	$100.00	General-88
		06/27/88	$100.00	Memo
Golden Valley MN 55422				Reattribution Requested
YEAR TO DATE $200.00				
Yolande Fox	Self-Employed	06/09/88	$250.00	Primary -88
3017 N Street, NW.	Real Estate Manager			
Washington DC 20007				
YEAR TO DATE $250.00				

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Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55415

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 07/01/88 to 08/24/88

Page 1 of 5
For Line #8/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
Thomas Barrett 1388 Lincoln Ave. St. Paul, MN 55105	Self-Employed Attorney	Memo	06/30/88 07/14/88	$250.00 ($ 25.00)	Primary-88 Primary-88
YEAR TO DATE	$800.00				
Thomas Barrett - Redesignation		Memo	07/14/88	$ 25.00	General-88
Signe Boyson 5805 Shannon Drive Minneapolis, MN 55435	L & S Agency President	Memo	06/14/88 08/24/88	$1,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$1,000.00				
Signe Boyson - Redesignation		Memo	08/24/88	$1,000.00	General-88
Arnold Chase 9 Rushleigh Road West Hartford, CT 06117	WTIC, CH-61 Executive	Memo	06/30/88 08/24/88	$2,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$2,000.00				
Arnold Chase - Redesignation		Memo	08/24/88	$1,000.00	General-88
Donald Chopp 13732 Wellington Crescent Burnsville, MN 55337	Insurance Ventures Consultant	Memo	06/28/88 07/28/88	$2,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$2,000.00				
Donald Chopp - Redesignation		Memo	07/28/88	$1,000.00	General-88
Thomas Foster 332 Westwood Drive N Golden Valley, MN 55422	Self-Employed Attorney	Memo	06/27/88 08/24/88	$100.00 ($100.00)	Primary-88 Primary-88 Reattribution
YEAR TO DATE	$200.00				

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Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55415

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 07/01/88 to 10/24/88

Page 2 of 5
For Line 6b/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
Yvonne Foster 332 Westwood Drive N Golden Valley, MN 55422	Homemaker	None	08/24/88	$100.00	Primary-88 Reattribution
YEAR TO DATE	$200.00				
Lee Hansen 848 - 119th St. NE Elk, MN 55337	Self-Employed Attorney	None	05/25/88 08/24/88	$200.00 ($100.00)	Primary-88 Primary-88
YEAR TO DATE	$600.00				
Lee Hansen - Redesignation		None	08/24/88	$100.00	General-88
H. Bud Grossman Cogel Management 3500 IDS Center Minneapolis, MN 55402	Cogel Mngmt. Co. CEO	None	06/27/88 07/20/88	$1,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$1,000.00				
H. Bud Grossman - Redesignation		None	07/20/88	$1,000.00	General-88
Rhea Isaacs 2905 E. Lake of the Isles Pkwy Minneapolis, MN 55406	Thorpe Realty Agent	None	06/20/88 07/14/88	$1,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$1,000.00				
Rhea Isaacs - Redesignation		None	07/14/88	$1,000.00	General-88
Tom Kelm [illegible] Brook Lane Chaska, MN 55318		None	06/27/88 07/13/88	$1,000.00 $ 750.00	Primary-88 Primary-88
YEAR TO DATE	$1,000.00				
Tom Kelm - Redesignation		None	07/13/88	$ 750.00	General-88

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Greenwood, Jr., Harold

Attachments I1-I5

HHH, III Exploratory Committee, Inc. ITEMIZED RECEIPTS PAGE 31 OF 94
701 4th Ave., S., Minneapolis, MN 55415 FOR LINE # 11a
PERIOD 01/01/87 TO 06/30/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Harold Greenwood 8145 Woodlawn Blvd. Minneapolis MN 55417 YEAR TO DATE $500.00	Midwest Federal President	06/05/87	$500.00	Primary -87
Harry Greller 8601 - 36th Avenue North New Hope MN 55427 YEAR TO DATE $25.00	State of Minnesota Attorney	05/29/87	$25.00	Primary -87
H. Theodore Grindal 5237 Woodlawn Blvd. Minneapolis MN 55417 YEAR TO DATE $1000.00	Opperman & Paquin Attorney	04/23/87	$1000.00	Primary -87
F. W. Griswold 4440 Tyrol Crest Minneapolis MN 55416 YEAR TO DATE $2000.00	retired	06/29/87 06/01/87	$1000.00 $1000.00	General-87 Primary -87
Stuart Grossfield 8918 Minnehaha Circle North St. Louis Park MN 55426 YEAR TO DATE $50.00		06/26/87	$50.00	Primary -87
Doris Groten 6135 4th Avenue South Minneapolis MN 55419 YEAR TO DATE $10.00	Retired	05/29/87	$10.00	Primary -87
Peggy Gunn 6413 Barrie Road Edina MN 55435 YEAR TO DATE $65.00	Oppenheimer Attorney	06/24/87 05/29/87	$15.00 $50.00	Primary -87 Primary -87
Steven Gunn 1206 E. Skillman Avenue Maplewood MN 55109 YEAR TO DATE $50.00	State of Minnesota Attorney	05/29/87	$50.00	Primary -87
Daniel Gustafson 1605 Florida Avenue North Golden Valley MN 55427 YEAR TO DATE $15.00		06/25/87	$15.00	Primary -87

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Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS PAGE 14 OF 34
701 Fourth Ave. S. #1800 Mpls. MN 55415 FOR LINE # 11a
PERIOD 08/25/88 TO 09/30/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Roland Graham 10450 Morris Road Minneapolis MN 55437 YEAR TO DATE $525.00	Hessign,McKay,Soder Attorney	09/09/88	$500.00	Primary -88
Harold Greenwood 5145 Woodlawn Blvd. Minneapolis MN 55417 YEAR TO DATE $1000.00	Midwest Federal President	09/30/88	$500.00	General-88
Bettina Grimson 203 East 72nd Street New York City NY 10021 YEAR TO DATE $250.00	Retired	09/30/88	$250.00	General-88
Mrs. Jules Gurstel 2544 Huntington Ave., S. St. Louis Park MN 55416 YEAR TO DATE $250.00	Self-Employed Real Estate Sales	09/19/88	$250.00	General-88
Dannie Gustafson 613 East 4th Street Northfield MN 55057 YEAR TO DATE $250.00	D.M. Gustafson Co. President/CEO	09/30/88	$100.00	General-88
Joseph Guzinski 823 9th Street SW Rochester MN 55902 YEAR TO DATE $500.00	Retired	09/26/88	$300.00	General-88
James Hale 5859 Long Brake Tr. Edina MN 55435 YEAR TO DATE $500.00	Dayton Hudson Corp. Attorney (Earmarked) Dayton Hudson Corp. Committee for Effective Federal Government	09/26/88	$500.00	General-88
James Hannasch 7917 - 61st Avenue North Minneapolis MN 55428 YEAR TO DATE $1,250.00	Midwest Federal Sr. V.P.-Marketing	09/09/88 09/09/88	$1000.00 $500.00 Redesignation Requested	Primary -88 Memo

Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 6 OF 19
701 Fourth Ave. S. #1800 Mpls. MN 55415 — FOR LINE # 11a
PERIOD 10/01/88 TO 10/19/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
George Germann Box 516 New Ulm MN 56073 YEAR TO DATE $285.00	Vision Optical Co. Optometrist	10/18/88 10/19/88	$25.00 $25.00	General-88 General-88
George Gillespie 1 Chase Manhattan Plaza New York NY 10005 YEAR TO DATE $500.00	Crovath,Swaine, etal Attorney	10/11/88	$500.00	General-88
Norman Goldetsky 2419 Gettysburg Avenue South St. Louis Park MN 55426 YEAR TO DATE $700.00	Copper Sales, Inc. President	10/13/88	$200.00	General-88
Elinor Goodspeed 1401 N Street, NW. Apt. 601 Washington DC 20005 YEAR TO DATE $900.00	DC Dept. of Correct. Retired/Volunteer	10/07/88	$300.00	General-88
Rebecca Gorman 8901 Crestwood Road Bloomington MN 55437 YEAR TO DATE $210.00	Student	10/04/88	$200.00	General-88
Edwin Graves 4120 Fort Worth Place Alexandria VA 22304 YEAR TO DATE $525.00	Heron,Burchette,etc. Gov't Relations Advi	10/06/88	$200.00	General-88
Daniel Greenberg 8511 Hayden Place Culver City CA 90291 YEAR TO DATE $1000.00	Electro Rent Corp. Chairman/CEO	10/13/88	$1000.00	General-88
Harold Greenwood 5145 Woodlawn Blvd. - Minneapolis MN 55417 YEAR TO DATE $3500.00	Midwest Federal President	10/19/88 10/19/88	$2500.00 $2000.00	General-88 Memo Reattribution Requested
Arlene Hallberg 182 Pike Lake Duluth MN 55811 YEAR TO DATE $250.00	Homemaker	10/10/88	$250.00	General-88

ITEMIZED RECEIPTS

Humphrey for Senate
Campaign Committee, Inc.
701 Fourth Avenue South, #1800
Minneapolis, MN 55415

For Line #N /

Redesignation and Reattribution
Period 10/20/88 to 11/28/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
Harold Greenwood,Jr. 5145 Woodlawn Blvd. Minneapolis, MN 55417	Midwest Federal President		10/19/99 10/26/88	$2500.00 ($2000.00)	General General
YEAR TO DATE $1500.00					
Carol Greenwood 5145 Woodlawn Blvd. Minneapolis, MN 55417	Homemaker	Memo Reattribution	10/26/88	$1000.00	General
YEAR TO DATE $1000.00					
Susan Greenwood Olson 245 Maple Hill Road Hopkins, MN 55343	Midwest Federal Vice President	Memo Reattribution	10/26/88	$1000.00	General
YEAR TO DATE $1400.00					

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HUMPHREY FOR SENATE

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[illegible]	[illegible]	INVOICE DATE	INVOICE AMOUNT	AMOUNT PAID	DISCOUNT TAKEN	NET CHECK AMOU
3005			$1000.00			$1000.0

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HUMPHREY FOR SENATE
SAMUEL B HEINS TREAS
OPERATING ACCOUNT
701-4th AVE . S 01330
MINNEAPOLIS MN 55415

MARQUETTE BANK MINNEAPOLIS, N.A.
SIXTH AND MARQUETTE • MINNEAPOLIS MN 55480

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CHECK DATE	[illegible]	CHECK AMOUNT
02-24-89		********$1000.00

Pay ONE THOUSAND AND NO/100----------DOLLARS----

TO THE ORDER OF
HAROLD GREENWOOD, JR.
5145 WOODLAWN BLVD.
MINNEAPOLIS, MN 55417

HUMPHREY FOR SENATE

[redacted]
AUTHORIZED SIGNATURE

⑈002607⑈ ⑆091000048⑆ 10132858⑈

Exhibit 5

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Helgen, Henry III

Attachments J1-J3

HHH, III Exploratory Committee, Inc. ITEMIZED RECEIPTS PAGE 35 OF 94
701 4th Ave., S., Minneapolis, MN 55415 FOR LINE # 11a
PERIOD 01/01/87 TO 06/30/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Henry Helgen 2630 Nightengale Ct. Chaska MN 55318 YEAR TO DATE $530.00	Emply. Benefit Plan General Counsel	06/30/87 06/25/87	$500.00 $30.00	Primary -87 Primary -87
Dorothy Hemenway Route 3 Box 55 Hayward MN 56043 YEAR TO DATE $10.00	Retired	04/15/87	$10.00	Primary -87
Ray Hemenway 1643 S. Shore Drive Albert Lea MN 56007 YEAR TO DATE $10.00	Stud Fabricator Self employed	04/15/87	$10.00	Primary -87
Joseph Henderson 2637 South Shore Blvd. White Bear Lake MN 55110 YEAR TO DATE $20.00		06/24/87	$20.00	Primary -87
Margie Hendricksen 2345 Paterson #12 Eugene OR 97405 YEAR TO DATE $50.00		06/03/87	$50.00	Primary -87
Laura Henry 455 Dayton Avenue Apt. 306 St. Paul MN 55102 YEAR TO DATE $60.00	legal assistant	06/24/87 06/17/87	$30.00 $30.00	Primary -87 Primary 87
James Hepworth Route #2 Box 69 Lake Crystal MN 56055 YEAR TO DATE $30.00		03/13/87	$30.00	Primary -87
Karl Herman 10364 Rich Road Bloomington MN 55437 YEAR TO DATE $25.00	Attorney	06/24/87	$25.00	Primary -87
Donald Herrmann 2506 Sheridan Place, South Bloomington MN 55431 YEAR TO DATE $25.00		05/28/87	$25.00	Primary -87

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Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS PAGE 16 OF 31
701 Fourth Ave. S. #1800 Mpls. MN 55415 FOR LINE # 11a
PERIOD 08/25/88 TO 09/30/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Julia Heffin 1727 - 19th St. NW Washington DC 20009 YEAR TO DATE $250.00	Self-Employed TV	09/06/88 09/30/88	$25.00 $100.00	Primary -88 General-88
Kenneth Heim 1715 - 8 1/2 Ave. SE Rochester MN 55904 YEAR TO DATE $225.00	State of MN Engineering Spec.	09/14/88	$100.00	General-88
Samuel Heins 1961 Kenwood Parkway Minneapolis MN 55405 YEAR TO DATE $1000.00	Tanick & Heins Attorney	09/27/88	$1000.00	General-88
Henry Helgen 2630 Nightengale Ct. Chaska MN 55318 YEAR TO DATE $550.00	Emply. Benefit Plan General Counsel	08/30/88 08/30/88	$500.00 $50.00	Primary -88 Memo Redesignation Requested
John Heller 6410 Shadow Road Chevy Chase MD 20815 YEAR TO DATE $250.00	Self-Employed Developer	09/30/88	$250.00	General-88
Robert Hennessey 1724 Hampshire Ave. St. Paul MN 55116 YEAR TO DATE $250.00	Larkin Hoffman et al Attorney	09/02/88	$50.00	Primary -88
Samuel Hertogs 1201 West 15th Street Hastings MN 55033 YEAR TO DATE $700.00	Self-Employed Attorney	08/29/88	$100.00	Primary -88
Martin Hird 180 Riverside Drive New York NY 10024 YEAR TO DATE $500.00	Hird/Blaker, Inc. Architect-Woodwork	09/01/88	$500.00	Primary -88

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ITEMIZED RECEIPTS

Humphrey for Senate
Campaign Committee, Inc.
701 Fourth Avenue South, #1800
Minneapolis, MN 55415

For Line #N/A

Redesignation and Reattribution
Period 10/20/88 to 11/28/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
John Elliott 6001 Cricket Road Flourtown, PA 19031	Baskin,Flaherty,Elliott Attorney	10/07/88 Memo 11/28/88	$2000.00 ($1000.00)	General General
YEART TO DATE: $2000.00				
John Elliott	Redesignation	Memo 11/28/88	$1000.00	Primary
Henry Helgen, III 2630 Nightangale Court Chaska, MN 55318	Employee Benefit Plan Attorney	08/30/88 Memo 11/28/88	$ 500.00 ($ 80.00)	Primary Primary
YEAR TO DATE: $ 550.00				
Henry Helgen, III	Redesignation	Memo 11/28/88	$ 80.00	General
Fareed Khoursheed 5704 Crickett Palce Mc Lean, VA 22101	Research & Mgmt. Inc. President	10/19/88 Memo	$2000.00 ($1000.00)	General General
YEAR TO DATE: $2000.00				
Fareed Khoursheed	Redesignation Under Review	Memo	($1000.00)	General
Susan Weiler 169 East 78th New York, NY 10021	Self-Employed Attorney	10/08/88 Memo	$ 500.00 ($ 500.00)	General General
YEAR TO DATE: $ 500.00				
Susan Weiler	Reattribution Under Review	Memo	($ 500.00)	General

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Hentges, Robert

Attachments K1-K3

1987 Year End Report
Attachment# K1
Page 1 of 1

Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS
701 4th Ave., S., Minneapolis, MN 55415 — FOR LINE # 11a
PERIOD 07/01/87 TO 12/31/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Thomas May No. 6 Badger Lane North Oaks MN 55110 YEAR TO DATE $300.00	Dorsey & Whitney Attorney	12/04/87	$50.00	Primary -87
John Roderick Heller 6410 Shadow Road Chevy Chase MD 20815 YEAR TO DATE $500.00	Self Developer	10/30/87	$500.00	Primary -87
Lee Henderson 3420 - 46th Ave., S. Minneapolis MN 55406 YEAR TO DATE $250.00	Hesstan McKasy et al Attorney	10/26/87	$250.00	Primary -87
Robert Hennessey 1724 Hampshire Ave. St. Paul MN 55116 YEAR TO DATE $600.00	Larkin Hoffman et al Attorney	10/26/87 11/09/87	$100.00 $500.00	Primary -87 Primary -87
Robert Hentges 3347 E. Calhoun Pkwy. Minneapolis MN 55408 YEAR TO DATE $1000.00	Faegre & Benson Attorney	12/31/87	$1000.00	Primary -87
[illegible]. Hoffman 100 No. Washington Boston MA 02114 YEAR TO DATE $500.00	Chardoncy Realty President	12/07/87	$500.00	Primary -[illegible]
John Hooker P. O. Box 50890 Nashville TN 37205 YEAR TO DATE $1000.00	Self Businessman	12/10/87	$1000.00	Primary -87
Robert Hosch 732 Medora Ct. Mendota Heights MN 55118 YEAR TO DATE $1000.00	Harris Mechanical President	09/03/87	$1000.00	Primary -87
Elliot Hubbard Winter Street Lincoln MA 01773 YEAR TO DATE $500.00	Retired	10/19/87 12/31/87	$250.00 $250.00	Primary -87 Primary -87

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Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 10 OF 22
701 Fourth Ave. S. #1800 Mpls. MN 55415 — FOR LINE # 11a
PERIOD 07/01/88 TO 08/24/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Robert Hentges 3347 E. Calhoun Pkwy. Minneapolis MN 55408 YEAR TO DATE $1100.00	Faegre & Benson Attorney	08/05/88 08/05/88	$1000.00 $100.00 Memo Reattribution Requested	General-88
Samuel Hertogs 1201 West 15th Street Hastings MN 55033 YEAR TO DATE $500.00	Self Employed Attorney	07/28/88	$250.00	Primary -88
James Holmes 5330 Howards Point Rd. Excelsior MN 55331 YEAR TO DATE $1000.00	Holmes & Graven Attorney	08/24/88	$500.00	General-88
Katherine Holmes 5330 Howards Point Road Excelsior MN 55331 YEAR TO DATE $1500.00	Homemaker	08/24/88 08/24/88	$1000.00 $500.00	Primary -88 General-88
John Hottinger P.O. Box 3183 Mankato MN 56001 YEAR TO DATE $500.00	Self-Employed Attorney	08/24/88	$250.00	Primary -88
John Houchens 111 East Kellogg Apartment #1302 St. Paul MN 55101 YEAR TO DATE $300.00	Retired	08/05/88	$100.00	Primary -88
Demetrius Jelatis 1161 Oak Street Red Wing MN 55066 YEAR TO DATE $1000.00	Retired	08/22/88	$1000.00	Primary -88
William Johnstone 2600 Euclid Place Minneapolis MN 55408 YEAR TO DATE $500.00	Self-Employed Attorney	08/18/88	$100.00	Primary -88

Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55415

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 08/25/88 to 09/30/88

For Line #N/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
Manny Fingerhut 5354 Parkdale Drive Minneapolis, MN 55416	Retired		08/24/88	$ 500.00	Primary-88
		Memo	08/30/88	$(250.00)	Primary-88
YEAR TO DATE	$ 750.00				
Manny Fingerhut	Redesignation	Memo	08/30/88	$ 250.00	General-88
Robert Hentges 3347 E. Calhoun Minneapolis, MN 555408	Faegre & Benson Attorney		08/05/88	$1000.00	Primary-88
		Memo	10/08/88	$(100.00)	Primary-88
YEAR TO DATE	$1000.00				
Judith Hentges 3347 E. Calhoun Minneapolis, MN 55408	Reattribution Homemaker	Memo	10/08/88	$100.00	Primary-88
YEAR TO DATE	$100.00				
David Jones 3501 Irving Ave. Minneapolis, MN 55408	Popham Haik Attorney		07/06/88	$300.00	Primary-88
		Memo	09/30/88	$(50.00)	Primary-88
YEAR TO DATE	$550.00				
David Jones	Redesignation	Memo	09/30/88	$ 50.00	General-88
John Larson 8941 Hidden Oaks Eden Prairie, MN 55344	Holmes & Graven Attorney		08/24/88	$1500.00	Primary-88
		Memo	09/06/88	$(500.00)	Primary-88
YEAR TO DATE	$1500.00				
John Larson	Redesignation	Memo	09/06/88	$ 500.00	General-88

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Jeffery, Nancy

Attachments L1-L2

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Humphrey for Senate Campaign Committee
701 Fourth Ave. S. #1000 Mpls., MN 55415

ITEMIZED RECEIPTS
(during 01/01/88 TO 06/31/88)

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
[illegible] Winter Street Lincoln MA 01773 YEAR TO DATE $250.00	Retired	03/29/88	$250.00	Primary -88
[illegible] 1962 Upshur St., NW. Washington DC 20011 YEAR TO DATE $275.00	[illegible], Volpe, Boskey etc Attorney	02/19/88 02/22/88	$25.00 $250.00	Primary -88 Primary -88
[illegible] 2801 New Mexico Ave., NW FW. 7 Washington DC 20007 YEAR TO DATE $250.00	[illegible] Group Consultant	03/29/88	$250.00	Primary -88
[illegible] 210 East 77th Street New York NY 10016 YEAR TO DATE $250.00	Lawyer	03/29/88	$250.00	Primary -88
[illegible] 1796 Millwood Ave. Roseville MN 55113 YEAR TO DATE $250.00	[illegible] Advertising Advertising	02/11/88	$250.00	Primary -88
[illegible] Box 329 North Falmouth MA 02556 YEAR TO DATE $500.00	Retired	03/29/88	$500.00	Primary -88
[illegible] 3501 Irving Avenue S. Minneapolis MN 55408 YEAR TO DATE $250.00	[illegible], Kelly Attorney	03/08/88	$250.00	Primary -88
[illegible] 5 Red Cedar Lane Minneapolis MN 55410 YEAR TO DATE $500.00	[illegible] Inst. Senior Fellow	03/31/88	$500.00	Primary -88
[illegible] 2301 Myrtle Street Scranton PA 18510 YEAR TO DATE $2000.00	Patrick [illegible] Group President	03/08/88 03/08/88	$1000.00 $1000.00	Primary -88 Redesignation Requested

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Jones, David

Attachments M1-M4

HHH, III Exploratory Committee, Inc. ITEMIZED RECEIPTS PAGE 42 OF 94
701 4th Ave.. S., Minneapolis, MN 55415 FOR LINE # 11a
PERIOD 01/01/87 TO 06/30/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Paul Johnson 500 South Fourth Avenue Apartment 309 Sioux Falls SD 57014 YEAR TO DATE $100.00	Sen. Tom Daschel	06/05/87	$100.00	Primary -87
Raymond Johnson 1450 W. Arden Place St. Paul MN 55112 YEAR TO DATE $100.00	3 M Attorney	06/07/87	$100.00	Primary -87
Robert Johnson 1201 - 3rd Avenue South Anoka MN 55303 YEAR TO DATE $100.00		06/01/87	$100.00	Primary -87
Roger Johnson 10501 Wayzata Blvd. #102 Hopkins MN 55343 YEAR TO DATE $100.00	Accountant	06/05/87	$100.00	Primary -87
Johnson & Johnson Attorneys at Law 2006 First Ave., North, #201 Anoka MN 55303 YEAR TO DATE $100.00	Partnership	06/01/87	$100.00	Primary -87
William Johnstone 2600 Euclid Place Minneapolis MN 55408 YEAR TO DATE $150.00	Attorney	06/29/87	$150.00	Primary -87
David Jones 3501 Irving Avenue S. Minneapolis MN 55408 YEAR TO DATE $500.00	Popham, Haik Attorney	04/[illegible]/87 06/29/87	$250.00 $250.00	Primary -87 Primary -87
David Jones 8365 Fillmore Street, N.E. Spring Lake Park MN 55432 YEAR TO DATE $2.00	Salesman	06/30/87	$2.00	Primary -87
Regina Jorde 1298 - 111th Avenue N.W Coon Rapids MN 55433 YEAR TO DATE $25.00		06/29/87	$25.00	Primary -87

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Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 11 OF 22
701 Fourth Ave. S. #1800 Mpls., MN 55415 — FOR LINE # 11a
PERIOD 01/01/88 TO 03/31/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Elliot Hubbard Winter Street Lincoln MA 01773 YEAR TO DATE $250.00	Retired	03/29/88	$250.00	Primary -88
Edwin Huddleston 1962 Upshur St., NW. Washington DC 20011 YEAR TO DATE $275.00	W. Volpe, Boskey etc Attorney	02/15/88 02/22/88	$25.00 $250.00	Primary -88 Primary -88
Lesley Israel 2801 New Mexico Ave., NW PH. 7 Washington DC 20007 YEAR TO DATE $250.00	Kamber Group Consultant	03/25/88	$250.00	Primary -88
Victor Jacobs 210 East 77th Street New York NY 10016 YEAR TO DATE $250.00	Lawyer	03/29/88	$250.00	Primary -88
Lawrence Jagoe 1796 Millwood Ave. Roseville MN 55113 YEAR TO DATE $250.00	Bolin Advertising Advertising	02/11/88	$250.00	Primary -88
Nancy Jeffery Box 329 North Falmouth MA 02556 YEAR TO DATE $500.00	Retired	03/25/88	$500.00	Primary -88
David Jones 3501 Irving Avenue S. Minneapolis MN 55408 YEAR TO DATE $250.00	Popham, Haik Attorney	03/08/88	$250.00	Primary -88
Geri Joseph 5 Red Cedar Lane Minneapolis MN 55410 YEAR TO DATE $500.00	Humphrey Inst. Senior Fellow	03/31/88	$500.00	Primary -88
Gerald Joyce 2101 Myrtle Street Scranton PA 18510 YEAR TO DATE $2000.00	Patrick Media Group President	03/02/88 03/02/88	$2000.00 $1000.00	Primary -88 Memo Redesignation Requested

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Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 11 OF 22
701 Fourth Ave. S. #1800 Mpls. MN 55415 — FOR LINE # 11a
PERIOD 07/01/88 TO 08/24/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
David Jones 3501 Irving Avenue S. Minneapolis MN 55408 YEAR TO DATE $550.00	Popham, Haik Attorney	07/06/88 07/06/88	$500.00 $50.00 Memo Redesignation Requested	Primary -88
Harvey Jones 1820 Brittany Rd. Hastings MN 55033 YEAR TO DATE $750.00	Self-Employed Attorney	07/26/88	$550.00	Primary -88
Burton Joseph 789 Grain Exchange Building Minneapolis MN 55415 YEAR TO DATE $500.00	Martode Ltd. Vice Chairman	07/28/88	$500.00	General-88
Julian Kahan 1717 The Illuminating Bldg. Cleveland OH 44113 YEAR TO DATE $250.00	Julian Kahan & Assoc Attorney	08/02/88	$250.00	Primary -88
Samuel Kaplan 4200 Dupont Avenue South Minneapolis MN 55409 YEAR TO DATE $500.00	Self-Employed Attorney	08/24/88	$500.00	Primary -88
Peter Kasal Route 4 Hutchinson MN 55350 YEAR TO DATE $395.00	Self-Employed Lawyer	07/28/88 07/28/88	$25.00 $100.00	Primary -88 Primary -88
A. S. Katz 2540 North Moreland Blvd., 211 Shaker Heights OH 44120 YEAR TO DATE $250.00	Stork-Kit, Inc. President	08/02/88	$250.00	Primary -88
Mark Kerns 3134 Ashworth Court Dublin OH 43017 YEAR TO DATE $1000.00	Robins,Preista,Becket Taylor&Gugle-Lawyer	08/24/88	$1000.00	Primary -88
John Knapp 2193 Sargent Avenue St. Paul MN 55105 YEAR TO DATE $500.00	Winthrop & Weinstine Attorney	07/19/88	$500.00	Primary -88

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Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55415

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 08/25/88 to 09/30/88

For Line #N/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
Manny Fingerhut 5354 Parkdale Drive Minneapolis, MN 55416	Retired		08/24/88	$ 500.00	Primary-88
		Memo	08/30/88	$(250.00)	Primary-88
YEAR TO DATE	$ 750.00				
Manny Fingerhut	Redesignation	Memo	08/30/88	$ 250.00	General-88
Robert Hentges 3347 E. Calhoun Minneapolis, MN 55408	Faegre & Benson Attorney		08/05/88	$1000.00	Primary-88
		Memo	10/08/88	$(100.00)	Primary-88
YEAR TO DATE	$1000.00				
Judith Hentges 3347 E. Calhoun Minneapolis, MN 55408	Reattribution Homemaker	Memo	10/08/88	$100.00	Primary-88
YEAR TO DATE	$100.00				
David Jones 3501 Irving Ave. Minneapolis, MN 55408	Popham Haik Attorney		07/06/88	$300.00	Primary-88
		Memo	09/30/88	$(50.00)	Primary-88
YEAR TO DATE	$550.00				
David Jones	Redesignation	Memo	09/30/88	$ 50.00	General-88
John Larson 8941 Hidden Oaks Eden Prairie, MN 55344	Holmes & Graven Attorney		08/24/88	$1500.00	Primary-88
		Memo	09/06/88	$(500.00)	Primary-88
YEAR TO DATE	$1500.00				
John Larson	Redesignation	Memo	09/06/88	$ 500.00	General-88

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Joyce, Gerard (Gerald)

Attachments N1-N2

Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS PAGE 11 OF 22
701 Fourth Ave. S. #1800 Mpls., MN 55415 FOR LINE # 11a
PERIOD 01/01/88 TO 03/31/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Elliot Hubbard Winter Street	Retired	03/29/88	$250.00	Primary -88
Lincoln MA 01773 YEAR TO DATE $250.00				
Edwin Middleston 1962 Upshur St., NW.	W. Volpe, Boskey etc Attorney	02/15/88 02/22/88	$25.00 $250.00	Primary -88 Primary -88
Washington DC 20011 YEAR TO DATE $275.00				
Lesley Israel 2801 New Mexico Ave., NW PH. 7	Kamber Group Consultant	03/25/88	$250.00	Primary -88
Washington DC 20007 YEAR TO DATE $250.00				
Victor Jacobs 210 East 77th Street	Lawyer	03/29/88	$250.00	Primary -88
New York NY 10016 YEAR TO DATE $250.00				
Lawrence Jagoe 1796 Millwood Ave.	Bolin Advertising Advertising	02/11/88	$250.00	Primary -88
Roseville MN 55113 YEAR TO DATE $250.00				
Nancy Jeffery Box 329	Retired	03/25/88	$500.00	Primary -88
North Falmouth MA 02556 YEAR TO DATE $500.00				
David Jones 3501 Irving Avenue S.	Popham, Haik Attorney	03/08/88	$250.00	Primary -88
Minneapolis MN 55408 YEAR TO DATE $250.00				
Serf Joseph 5 Red Cedar Lane	Humphrey Inst. Senior Fellow	03/31/88	$500.00	Primary -88
Minneapolis MN 55410 YEAR TO DATE $500.00				
Gerald Joyce 2101 Myrtle Street	Patriot Media Group President	03/02/88 03/02/88	$2000.00 $1000.00	Primary -88 Memo Redesignation Requested
Scranton PA 18510 YEAR TO DATE $2000.00				

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Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55415

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 04/01/88 to 06/30/88

For Line #N/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
Margaret Fellows 7540 - 217th Str. Forest Lake, MN 55025	Homemaker		02/18/88	$2,000.00	Primary-88
		Memo	04/13/88	($1,000.00)	Primary-88
YEAR TO DATE $2,000.00					
Margaret Fellows - Redesignation		Memo	04/13/88	$1,000.00	General-88
Thomas Fitzgibbon 660 Montcalm Pl. St. Paul, MN 55116	Retired		02/23/88	$500.00	Primary-88
		Memo	04/26/88	($500.00)	Primary-88
YEAR TO DATE $750.00					
Thomas Fitzgibbon - Redesignation		Memo	04/26/88	$500.00	General-88
Gerard Joyce 2101 Myrtle Str. Scranton, PA 18510	President Patrick Media Group		03/02/88	$2,000.00	Primary-88
		Memo	05/05/88	($1,000.00)	Primary-88
YEAR TO DATE $2,000.00					
Gerard Joyce - Redesignation		Memo	05/05/88	$1,000.00	General-88
Robin Klaus 402 Golden Gate Belvedere, CA 94920	Executive Peregrine Falcon		03/10/88	$1,000.00	Primary-88
		Memo	03/10/88	($200.00)	Primary-88 Reattribution
YEAR TO DATE $1,000.00					
Robert Klaus 402 Golden Gate Belvedere, CA 94920	Student	Memo	07/13/88	$200.00	Primary-88 Reattribution
YEAR TO DATE $200.00					

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Khoursheed, Fareed

Attachments 01-03

Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS PAGE 9 OF 19
701 Fourth Ave. S. #1800 Mpls. MN 55415 FOR LINE # 11a
PERIOD 10/01/88 TO 10/19/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
David Jones 3501 Irving Avenue S. Minneapolis MN 55408 YEAR TO DATE $800.00	Popham, Haik Attorney	10/12/88	$250.00	General-88
Theodore Jones 1808 Chopin Drive Baton Rouge LA 70806 YEAR TO DATE $1000.00	Self-Employed Attorney	10/06/88	$1000.00	General-88
Roma Juhl 4620 - 36th Ave. South Minneapolis MN 55406 YEAR TO DATE $340.00	Homemaker	10/13/88	$25.00	General-88
Al Kayser 2002 Montreal Ave. St. Paul MN 55116 YEAR TO DATE $240.00	Retired	10/07/88	$15.00	General-88
Thomas Keller 2629 E. Lake of the Isles Minneapolis MN 55402 YEAR TO DATE $850.00	Self Attorney	10/19/88	$250.00	General-88
Fareed Khoursheed 5704 Crickett Place Mc Lean VA 22101 YEAR TO DATE $2000.00	Research & Magmt. Inc President	10/19/88 10/19/88	$2000.00 $1000.00	General-88 Memo Redesignation Requested
S. Wood Kidner 3837 Lyndale Ave., S. Minneapolis MN 55409 YEAR TO DATE $500.00	Dougherty - Dawkins Vice Pres. Earmarked Dougherty Dawkins Employee Trust Fund	10/06/88	$500.00	General-88
John Kirby 592 Deer Ridge Lane St. Paul MN 55119 YEAR TO DATE $250.00	Dorsey & Whitney Attorney	10/18/88	$100.00	General-88
Evan Klaus 402 Golden Gate Ave. Belvedere CA 94920 YEAR TO DATE $500.00	Student	10/12/88	$500.00	General-88

92040923560 10/20/88 564

ITEMIZED RECEIPTS

Humphrey for Senate
Campaign Committee, Inc.
701 Fourth Avenue South, #1800
Minneapolis, MN 55415

For Line 4N/A

Redesignation and Reattribution
Period 10/20/88 to 11/28/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
John Elliott 6001 Cricket Road Flourtown, PA 19031	Baskin,Flaherty,Elliott Attorney		10/07/88 Memo 11/28/88	$2000.00 ($1000.00)	General General
YEART TO DATE: $2000.00					
John Elliott	Redesignation	Memo	11/28/88	$1000.00	Primary
Henry Helgen, III 2630 Nightengale Court Chaska, MN 55318	Employee Benefit Plan Attorney		08/30/88 Memo 11/28/88	$ 500.00 ($ 80.00)	Primary Primary
YEAR TO DATE: $ 550.00					
Henry Helgen, III	Redesignation	Memo	11/28/88	$ 80.00	General
Fareed Khoursheed 5704 Crickett Palce Mc Lean, VA 22101	Research & Mgmt. Inc. President		10/19/88 Memo	$2000.00 ($1000.00)	General General
YEAR TO DATE: $2000.00					
Fareed Khoursheed	Redesignation Under Review	Memo		($1000.00)	General
Susan Weiler 169 East 78th New York, NY 10021	Self-Employed Attorney		10/08/88 Memo	$ 500.00 ($ 500.00)	General General
YEAR TO DATE: $ 500.00					
Susan Weiler	Reattribution Under Review	Memo		($ 500.00)	General

ITEMIZED RECEIPTS

Humphrey for Senate
Campaign Committee, Inc.
701 Fourth Avenue South, #1800
Minneapolis, MN 55415

For Line #N/A

Redesignation and Reattribution
Period 11/29/88 to 12/31/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
Margaret Bye 9521 Woodbridge Road Bloomington, MN 55438	Homemaker		11/01/88	$ 500.00	General
		Memo	11/01/88	($ 500.00)	General
YEAR TO DATE $1500.00					
Margaret Bye	Redesignation	Memo	12/31/88	$ 500.00	Primary
Conrad Campaign PAC P. O. Box 747 Bismarck, ND 58502			11/04/88	$2000.00	General
		Memo	11/04/88	($1000.00)	General
YEAR TO DATE $2000.00					
Conrad Campaign PAC	Redesignation	Memo	12/28/88	$1000.00	Primary
Fareed Khoursheed 5704 Cricket Place McLean, VA 22101	Research & Management President		10/19/88	$2000.00	General
		Memo	10/19/88	($1000.00)	General
YEAR TO DATE $2000.00					
Fareed Khoursheed	Redesignation	Memo	12/30/88	$1000.00	Primary
John Larson 470 Pillsbury Center Minneapolis, MN 55402	Holmes & Graven Attorney		10/27/88	$ 500.00	General
		Memo	10/27/88	($ 500.00)	General
YEAR TO DATE $1500.00					
Sandra Larson 470 Pillsbury Center Minneapolis, MN 55402	S. L. Design Designer	Memo	12/20/88 Reattribution	$ 500.00	General
YEAR TO DATE $ 500.00					

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Klaus, Patricia

Attachments P1-P2

Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS
701 4th Ave., S., Minneapolis, MN 55415 — FOR LINE 9 21a
PERIOD 07/01/87 TO 12/31/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Patricia Klaus 402 Golden Gate Belvedere CA 94920 YEAR TO DATE $1000.00	Homemaker	07/06/87	$1000.00	Primary -87
Robin Klaus 402 Golden Gate Belvedere CA 94920 YEAR TO DATE $1200.00	Peregrine Falcon Executive	07/06/87 08/03/87	$1000.00 $200.00	Primary -87 General-87
John Knapp 2193 Sargent Avenue St. Paul MN 55105 YEAR TO DATE $400.00	Winthrop & Weinstine Attorney	11/13/87	$200.00	Primary -87
Frederic Kottke, M.D. 2741 Drew Avenue South Minneapolis MN 55416 YEAR TO DATE $350.00	Self Doctor	09/02/87 09/16/87 12/11/87	$50.00 $50.00 $200.00	Primary -87 Primary -87 Primary -87
Andrew Kozak 3104 E. Minnehaha Pkwy. Minneapolis MN 55406 YEAR TO DATE $300.00	North State Advisors Associate	10/13/87	$50.00	Primary -87
R. K. Kraft One Boston Place Boston MA 02108 YEAR TO DATE $1000.00	Int'l Forest Product President	12/23/87	$1000.00	Primary -87
Edward Kranz 10955 - 140th St., E. Hastings MN 55033 YEAR TO DATE $500.00	Self Attorney	11/06/87	$500.00	Primary -87
James Kreindler 100 Park Ave. New York NY 10017 YEAR TO DATE $500.00	Self Attorney	12/16/87	$500.00	Primary -87
Lee Kreindler 100 Park Ave. New York NY 10017 YEAR TO DATE $500.00	Self Attorney	12/16/87	$500.00	Primary -87

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Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS PAGE 12 OF 22
701 Fourth Ave. S. #1800 Mpls., MN 55415 FOR LINE # 11a
PERIOD 01/01/88 TO 03/31/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Peter Kasal Route 4 Hutchinson MN 55350 YEAR TO DATE $250.00	Self-Employed Lawyer	02/09/88	$250.00	Primary -88
Mark Keating 319 W. 48th St. New York NY 10036 YEAR TO DATE $500.00	Sabine Press & Adv. Type Setter	03/21/88	$500.00	Primary -88
Martin Kilroy 1401 Brainerd Avenue Duluth MN 55811 YEAR TO DATE $1000.00	Midwest Federal Sr. Vice-Pres. & Mgr	02/15/88 02/15/88	$550.00 $450.00	Primary -88 General -88
Dorothea Kipnes 9750 Seaaca St. Downey CA 90241 YEAR TO DATE $250.00	Homemaker	03/15/88	$250.00	Primary -88
Irvin Kipnes 9750 Seaaca St. Downey CA 90241 YEAR TO DATE $250.00	Altase Inc. CEO	03/15/88	$250.00	Primary -88
Patricia Klaus 402 Golden Gate Belvedere CA 94920 YEAR TO DATE $1000.00	Homemaker	03/10/88	$1000.00	Primary -88
Robin Klaus 402 Golden Gate Belvedere CA 94920 YEAR TO DATE $1000.00	Peregrine Falcon Executive	03/10/88 03/10/88	$1000.00 $200.00	General -88 Memo Reattribution Requested
Joseph Klock 4000 Southeast Financial Ctr. Miami FL 33131 YEAR TO DATE $350.00	Attorney	01/14/88 03/04/83	$100.00 $250.00	Primary -88 Primary -88
Herman Kohlmeyer One Shell Square, Suite 111 New Orleans LA 70139 YEAR TO DATE $250.00	Broker	03/15/88	$250.00	Primary -88

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Klaus, Robin

Attachments Q1-Q3

1987 Year End Report
Attachment# Q1
Page 1 of 1

Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS
701 4th Ave., S., Minneapolis, MN 55415 FOR LINE 9 11a
PERIOD 07/01/87 TO 12/31/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Patricia Klaus 402 Golden Gate Belvedere CA 94920 YEAR TO DATE $1000.00	Homemaker	07/06/87	$1000.00	Primary -87
Robin Klaus 402 Golden Gate Belvedere CA 94920 YEAR TO DATE $1200.00	Peregrine Falcon Executive	07/06/87 08/03/87	$1000.00 $200.00	Primary -87 General-87
John Knapp 2193 Sargent Avenue St. Paul MN 55105 YEAR TO DATE $400.00	Winthrop & Weinstine Attorney	11/13/87	$200.00	Primary -87
Frederic Kottke, M.D. 2741 Drew Avenue South Minneapolis MN 55416 YEAR TO DATE $350.00	Self Doctor	09/02/87 09/16/87 12/11/87	$50.00 $50.00 $200.00	Primary -87 Primary -87 Primary -87
Andrew Kozak 3104 E. Minnehaha Pkwy. Minneapolis MN 55406 YEAR TO DATE $300.00	North State Advisors Associate	10/13/87	$50.00	Primary -87
R. K. Kraft One Boston Place Boston MA 02108 YEAR TO DATE $1000.00	Int'l Forest Product President	12/23/87	$1000.00	Primary -87
Edward Kranz 10955 - 140th St., E. Hastings MN 55033 YEAR TO DATE $500.00	Self Attorney	11/06/87	$500.00	Primary -87
James Kreindler 100 Park Ave. New York NY 10017 YEAR TO DATE $500.00	Self Attorney	12/16/87	$500.00	Primary -87
Lee Kreindler 100 Park Ave. New York NY 10017 YEAR TO DATE $500.00	Self Attorney	12/16/87	$500.00	Primary -87

Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 12 OF 22
701 Fourth Ave. S. #1800 Mpls., MN 55415 — FOR LINE # 11a
PERIOD 01/01/88 TO 03/31/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Peter Kasal Route 4 Hutchinson MN 55350 YEAR TO DATE $250.00	Self-Employed Lawyer	02/09/88	$250.00	Primary -88
Mark Keating 319 W. 48th St. New York NY 10036 YEAR TO DATE $500.00	Sabine Press & Adv. Type Setter	03/21/88	$500.00	Primary -88
Martin Kilroy 1401 Brainerd Avenue Duluth MN 55811 YEAR TO DATE $1000.00	Midwest Federal Sr. Vice-Pres. & Mgr	02/15/88 02/15/88	$550.00 $450.00	Primary -88 General-88
Dorothea Kipnes 9750 Seaaca St. Downey CA 90241 YEAR TO DATE $250.00	Homemaker	03/15/88	$250.00	Primary -88
Irvin Kipnes 9750 Seaaca St. Downey CA 90241 YEAR TO DATE $250.00	Altase Inc. CEO	03/15/88	$250.00	Primary -88
Patricia Klaus 402 Golden Gate Belvedere CA 94920 YEAR TO DATE $1000.00	Homemaker	03/10/88	$1000.00	Primary -88
Robyn Klaus 402 Golden Gate Belvedere CA 94920 YEAR TO DATE $1000.00	Peregrine Falcon Executive	03/10/88 03/10/88	$1000.00 $200.00	General -88 Memo Reattribution Requested
Joseph Klock 4000 Southeast Financial Ctr. Miami FL 33131 YEAR TO DATE $350.00	Attorney	01/14/88 03/04/83	$100.00 $250.00	Primary -88 Primary -88
Herman Kohlmeyer One Shell Square, Suite 111 New Orleans LA 70139 YEAR TO DATE $250.00	Broker	03/15/88	$250.00	Primary -88

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Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55415

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 04/01/88 to 06/30/88

For Line #N/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
Margaret Fellows 7540 - 217th Str. Forest Lake, MN 55025	Homemaker		02/18/88	$2,000.00	Primary-88
		Memo	04/13/88	($1,000.00)	Primary-88
YEAR TO DATE $2,000.00					
Margaret Fellows - Redesignation		Memo	04/13/88	$1,000.00	General-88
Thomas Fitzgibbon 660 Montcalm Pl. St. Paul, MN 55116	Retired		02/23/88	$500.00	Primary-88
		Memo	04/26/88	($500.00)	Primary-88
YEAR TO DATE $750.00					
Thomas Fitzgibbon - Redesignation		Memo	04/26/88	$500.00	General-88
Gerard Joyce 2101 Myrtle Str. Scranton, PA 18510	President Patrick Media Group		03/02/88	$2,000.00	Primary-88
		Memo	05/05/88	($1,000.00)	Primary-88
YEAR TO DATE $2,000.00					
Gerard Joyce - Redesignation		Memo	05/05/88	$1,000.00	General-88
Robin Klaus 402 Golden Gate Belvedere, CA 94920	Executive Peregrine Falcon		03/10/88	$1,000.00	Primary-88
		Memo	03/10/88	($200.00)	Primary-88 Reattribution
YEAR TO DATE $1,000.00					
Robert Klaus 402 Golden Gate Belvedere, CA 94920	Student	Memo	07/13/88	$200.00	Primary-88 Reattribution
YEAR TO DATE $200.00					

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Lasiuta, Ronald

Attachments R1-R3

Humphrey for Senate Campaign Committee
701 4th Ave., S., Minneapolis, MN 55415

ITEMIZED RECEIPTS
FOR LINE 9 11a
PERIOD 07/01/87 TO 12/31/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Arthur Krim 1888 Century Park East Los Angeles CA 90067 YEAR TO DATE $1000.00	Orion Pictures Chairman of Board	10/05/87	$1000.00	Primary -87
Phyllis Landman 8157 Telegraph Rd. Bloomington MN 55438 YEAR TO DATE $250.00	Brochin's Books Book Buyer	11/13/87	$250.00	Primary -87
Neil Lapidus 221 Oakwood Road Hopkins MN 55343 YEAR TO DATE $500.00	Lurie Eiger Bestkof CPA	08/11/87 11/09/87	$250.00 $250.00	Primary -87 Primary -87
Richard Lappin 7109 Gleason Road South Edina MN 55435 YEAR TO DATE $550.00	Lappin Electric Vice-President	10/07/87 11/23/87	$250.00 $200.00	Primary -87 Primary -87
Cecili Larson 2036 Kenwood Parkway Minneapolis MN 55405 YEAR TO DATE $500.00	Homemaker	10/27/87	$500.00	Primary -87
Robert Larson 10300 - 10th Ave. Circle Minneapolis MN 55420 YEAR TO DATE $1000.00	The Farm - DYL Co. President	11/02/87	$1000.00	Primary -87
Ronald Lasiuta 844 SE. 13th Ave. Forest Lake MN 55025 YEAR TO DATE $1000.00	R. J. Control Serv. Account Executive	09/10/87	$1000.00	Primary -87
Nannie Lau 3120 Falmouth Rd. Shaker Hts. OH 44122 YEAR TO DATE $2000.00	Homemaker	12/29/87 12/29/87	$1000.00 $1000.00	Primary -87 General-87
Stephen Lau 3120 Falmouth Rd. Shaker Hts. OH 44122 YEAR TO DATE $1000.00	Industrial First Inc President	12/29/87	$1000.00	Primary -87

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Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 10 OF 34
701 Fourth Ave. S. #1800 Mpls. MN 55415 — FOR LINE # 11a
PERIOD 04/01/88 TO 06/30/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Ronald Lastuta 844 SE. 13th Ave. Forest Lake MN 55025 YEAR TO DATE $1000.00	R. J. Control Serv. Account Executive	06/14/88 06/14/88	$1000.00 $1000.00 Redesignation Requested	Primary -88 Memo
Lynn Laverdiere 999 Westview Drive Hasting MN 55033 YEAR TO DATE $600.00	Homemaker	06/27/88	$600.00	Primary -88
David Lebenbom 6795 Orinoco Circle Birmingham MI 48010 YEAR TO DATE $250.00	Self-Employed Lawyer	05/06/88	$250.00	Primary -88
David Lentz 390 West Ferndale Road Wayzata MN 55391 YEAR TO DATE $500.00	Federal-Hoffman Corp President	05/05/88 06/30/88	$400.00 $100.00	Primary -88 General-88
Marcia Levine 2678 Rochester Road Shaker Heights OH 44122 YEAR TO DATE $500.00	Jewish Family Serv. Social Worker	06/27/88	$500.00	Primary -88
Carolyn Lieberman 2950 Dean Pkwy. No.1101 Minneapolis MN 55416 YEAR TO DATE $500.00	Homemaker	06/17/88	$500.00	Primary -88
Stephen Lieberman 100 Washington Square Minneapolis MN 55401 YEAR TO DATE $500.00	Lieberman Enterprise President	06/17/88	$500.00	General-88
Barrett Linde 3824 Klingle Place, NW Washington DC 20016 YEAR TO DATE $250.00	B. Linde Builders Builder/Developer	06/13/88	$250.00	Primary -88
Robert Loeffler 10701 Wilshire Blvd. Los Angeles CA 90024 YEAR TO DATE $500.00	Information Requested	06/27/88	$500.00	Primary -88

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Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55414

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 07/01/88 to 08/24/88

For Line #N/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Ronald Lasiuta 844 SE 13th Avenue Forest Lake, MN 55025	R.J. Control Service Acct. Exec.	06/14/88 Memo 08/24/88	$1,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$1,000.00			
Ronald Lasiuta - Redesignation		Memo 08/24/88	$1,000.00	General-88
Miles Lord 900 Midwest Plaza Minneapolis, MN 55402	Sieben, Gross, et.al. Attorney	06/27/88 Memo 07/21/88	$150.00 ($150.00)	Primary-88 Primary-88
YEAR TO DATE	$850.00			
Miles Lord - Redesignation		Memo 07/21/88	$150.00	General-88
Samuel Mills 3 North Oaks Rd. North Oaks, MN 55110	Great Oak Financial President	06/14/88 Memo 08/24/88	$1,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$1,000.00			
Samuel Mills - Redesignation		Memo 08/24/88	$1,000.00	General-88
Dr. H.M. Paisner 22 Westwood Drive N. Minneapolis, MN 55422	Mpls. ENT Clinic Physician	06/27/88 Memo 07/26/88	$500.00 ($ 50.00)	Primary-88 Primary-88
YEAR TO DATE	$600.00			
Dr. H.M. Paisner - Redesignation		Memo 07/26/88	50.00	General-88
Philip Pillsbury, Jr. 5026 Sedgwick St., NW Washington, DC 20016	US Info. Agency Foreign Ser. Officer	06/09/88 Memo 08/05/88	$250.00 ($250.00)	Primary-88 Primary-88
YEAR TO DATE	$750.00			
Philip Pillsbury, Jr. - Redesignation		Memo 08/05/88	$250.00	General-88

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Lieberman, Stephen K.

Attachments S1-S4

HHH, III Exploratory Committee, Inc. ITEMIZED RECEIPTS PAGE 51 OF 91
701 4th Ave., S., Minneapolis, MN 55415 FOR LINE # 11a
PERIOD 01/01/87 TO 06/30/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Mary Leier 1895 Portland Avenue St. Paul MN 55104 YEAR TO DATE $30.00		06/25/87	$30.00	Primary -87
David Lentz 390 West Ferndale Road Wayzata MN 55391 YEAR TO DATE $600.00	Federal-Hoffman Corp Exec. V.P.	06/09/87 05/20/87	$500.00 $100.00	Primary -87 Primary -87
Hazel Leonard 308 E. Kingston Avenue Charlotte NC 28203 YEAR TO DATE $5.00		06/25/87	$5.00	Primary -87
Harry Lerner 2215 N. Willow Lane Minneapolis MN 55416 YEAR TO DATE $1000.00	Lerner Publications Publisher	04/15/87	$1000.00	Primary -87
Mark Levinger 1708 Marshall Avenue St. Paul MN 55104 YEAR TO DATE $30.00		06/25/87	$30.00	Primary -87
Sidney Levinsohn 3224 Cavell Lane Minneapolis MN 55426 YEAR TO DATE $50.00	self-employed	06/17/87	$50.00	Primary -87
Connie Lewis 292 Ryan Avenue St. Paul MN 55102 YEAR TO DATE $100.00	MN Office of Econ. Dev.	06/05/87	$100.00	Primary -87
Stephen Lieberman 100 Washington Square Minneapolis MN 55401 YEAR TO DATE $1200.00	Lieberman Enterprise President	06/29/87 06/05/87	$1000.00 $200.00	Primary -87 General-87
Richard Lockridge 4416 Chowen Avenue South No. 303 Minneapolis MN 55410 YEAR TO DATE $1000.00	Opperman & Paquin Attorney	04/23/87	$1000.00	Primary -87

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Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS PAGE 19 OF 34
701 Fourth Ave. S. #1800 Mpls. MN 55415 FOR LINE # 11a
PERIOD 04/01/88 TO 06/30/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Ronald Lasiuta 844 SE. 13th Ave. Forest Lake MN 55025 YEAR TO DATE $1000.00	R. J. Control Serv. Account Executive	06/14/88 06/14/88	$1000.00 $1000.00 Redesignation Requested	Primary -88 Memo
Lynn Laverdiere 999 Westview Drive Hasting MN 55033 YEAR TO DATE $600.00	Homemaker	06/27/88	$600.00	Primary -88
David Lebenbom 6795 Orinoco Circle Birmingham MI 48010 YEAR TO DATE $250.00	Self-Employed Lawyer	05/06/88	$250.00	Primary -88
David Lentz 390 West Ferndale Road Wayzata MN 55391 YEAR TO DATE $500.00	Federal-Hoffman Corp President	05/05/88 06/30/88	$400.00 $100.00	Primary -88 General-88
Marcia Levine 2678 Rochester Road Shaker Heights OH 44122 YEAR TO DATE $500.00	Jewish Family Serv. Social Worker	06/27/88	$500.00	Primary -88
Carolyn Lieberman 2950 Dean Pkwy. No.1101 Minneapolis MN 55416 YEAR TO DATE $500.00	Homemaker	06/17/88	$500.00	Primary -88
Stephen Lieberman 100 Washington Square Minneapolis MN 55401 YEAR TO DATE $500.00	Lieberman Enterprise President	06/17/88	$500.00	General-88
[illegible]rett Linde 3824 Klingle Place, NW Washington DC 20016 YEAR TO DATE $250.00	B. Linde Builders Builder/Developer	06/13/88	$250.00	Primary -88
Robert Loeffler 10701 Wilshire Blvd. Los Angeles CA 90024 YEAR TO DATE $500.00	Information Requested	06/27/88	$500.00	Primary -88

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Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 20 OF 34
701 Fourth Ave. S. #1800 Mpls. MN 55415 — FOR LINE # 11a
PERIOD 08/25/88 TO 09/30/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Stephen Lieberman 100 Washington Square Minneapolis MN 55401 YEAR TO DATE $1000.00	Lieberman Enterprise President	09/26/88 09/26/88	$500.00 $200.00 Reattribution Requested	General-88 Memo
Curtis Loewe 3860 Westbury Drive Eagan MN 55123 YEAR TO DATE $325.00	State of Minnesota Manager	09/02/88	$50.00	Primary -88
Robert Mack 5600 Twin Lake Terrace Minneapolis MN 55429 YEAR TO DATE $400.00	Crown Oil President	08/31/88	$100.00	Primary -88
Hal Malchow 1751 - 18th St., NW. Basement Washington DC 20009 YEAR TO DATE $250.00	Coyle,Malchow&O'Brie Direct Mail Consult.	09/30/88	$250.00	General-88
Lester Malkerson 1206 East 1st Avenue Shakopee MN 55379 YEAR TO DATE $600.00	Retired	09/09/88	$500.00	Primary -88
Grace Mandel 16940 - 28th Ave. N. Plymouth MN 55447 YEAR TO DATE $700.00	Homemaker	09/09/88	$500.00	Primary -88
Charles Manatt 1200 New Hampshire Ave., NW. Suite 200 Washington DC 20036 YEAR TO DATE $450.00	Self-Employed Attorney	09/30/88	$200.00	General-88
Mark Mandel 5355 Topel Road Golden Valley MN 55422 YEAR TO DATE $500.00	Owner Nursing Home	09/26/88	$500.00	General-88
Jerry Manne Suite 524 327 S. La Salle Chicago IL 60604 YEAR TO DATE $400.00	Self-Employed Commodity Trader	09/29/88	$200.00	General-88

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ITEMIZED DISBURSEMENTS

Humphrey for Senate
Campaign Committee, Inc.
701 Fourth Ave., S.
Suite 1800
Minneapolis, MN 55415

For Line #20a

Refunds of Contributions
Period 10/20/88 to 11/28/88

NAME AND ADDRESS	PURPOSE	DATE	AMOUNT	ELECTION
Stephen K. Lieberman 100 Washington Square Minneapolis, MN 55401	Refund Contribution	11/28/88	$ 200.00	General-88
Michael Bolin 2450 Park Ave., S. Minneapolis, MN 55404	Refund Contribution	11/28/88	$ 500.00	General-88
Caitlin Curran 1591 - 33rd Street Washington, DC 20007	Refund Contribution	11/02/88	$1000.00	General-88

TOTAL ITEMIZED THIS PERIOD.....$1700.00
TOTAL UNITEMIZED THIS PERIOD.....$ 0.00
TOTAL REPORTABLE THIS PERIOD.....$1700.00

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Long, Richard

Attachments T1-T3

MMM, III Exploratory Committee, Inc. ITEMIZED RECEIPTS PAGE 58 OF 94
701 4th Ave., S., Minneapolis, MN 55415 FOR LINE # 11a
PERIOD 01/01/87 TO 06/30/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Curtis Loewe 3860 Westbury Drive Eagan MN 55123 YEAR TO DATE $500.00	State of Minnesota Mgr. Consumer Serv.	03/13/87	$500.00	Primary -87
Richard Long 2130 Lower Street Dennis Road St. Paul Mn 55116 YEAR TO DATE $1000.00	Long Cadillac Owner	06/05/87	$1000.00	Primary -87
Robert Long 2143 Berkeley Avenue St. Paul MN 55105 YEAR TO DATE $65.00	State of Minnesota Attorney	06/24/87 06/01/87	$15.00 $50.00	Primary -87 Primary -87
Miles Lord 8th and Nicollett Midwest Federal Plaza Minneapolis MN YEAR TO DATE $100.00	Attorney	06/05/87	$100.00	Primary -87
Jerry Lothrop 701 Fourth Avenue South #1480 Minneapolis MN 55415 YEAR TO DATE $100.00	Insurance	06/05/87	$100.00	Primary -87
Raymond Lovestrand Hendricks MN 56136 YEAR TO DATE $25.00	Retired	06/16/87	$25.00	Primary -87
Mary Jo Madigan 1148 Parkwood Lane Stillwater MN 55082 YEAR TO DATE $100.00	Washington Cty. Atty Attorney	06/05/87	$100.00	Primary -87
Mary Magnuson 1325 Fairmount Avenue St. Paul MN 55105 YEAR TO DATE $30.00		06/24/87	$30.00	Primary -87
Patricia Magnuson 1333 West 11th Street Willmar MN 56201 YEAR TO DATE $15.00		06/24/87	$15.00	Primary -87

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Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS PAGE 27 OF 50
701 4th Ave., S., Minneapolis, MN 55415 FOR LINE # 11a
PERIOD 07/01/87 TO 12/31/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Kenneth Lipper Lipper & Company, Inc. 375 Park Avenue New York NY 10152 YEAR TO DATE $1000.00	Lipper & Co., Inc. President	11/04/87	$1000.00	Primary -87
Zel Lipsen 1725 DeSales St., NE. Suite 800 Washington DC 20036 YEAR TO DATE $500.00	Self Attorney	11/05/87	$500.00	Primary -87
Richard Lockridge 5345 Grand Ave. Minneapolis MN 55419 YEAR TO DATE $2000.00	Self Attorney	11/12/87	$1000.00	General-87
Richard Long 2130 Lower Street Dennis Road St. Paul Mn 55116 YEAR TO DATE $2000.00	Long Cadillac Owner	12/18/87	$1000.00	General-87
Miles Lord 8th and Nicollett Midwest Federal Plaza Minneapolis MN YEAR TO DATE $300.00	Grose, Vonbottum Attorney	10/13/87	$200.00	Primary -87
Edward Lowenthal 201 Hamilton Rd. Ridgewood NJ 07450 YEAR TO DATE $250.00	Wellsford Group Ins.	11/25/87	$250.00	Primary -87
William Lucas Hirschi Investments 3308 Kemp Wichita Falls TX 76308 YEAR TO DATE $250.00	Self Investments	12/31/87	$250.00	Primary -87
Leland Lynch 100 East 22nd Street Minneapolis MN 55404 YEAR TO DATE $250.00	Advertising Agency CEO	07/10/87	$250.00	Primary -87
William MacLeamy 22 William St. Fairhaven MA 02719 YEAR TO DATE $500.00	St. of Massachusetts State Senator	12/07/87	$500.00	Primary -87

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Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS PAGE 20 OF 34
701 Fourth Ave. S. #1800 Mpls, MN 55415 FOR LINE # 11a
PERIOD 04/01/88 TO 06/30/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Curtis Loewe 3860 Westbury Drive Eagan MN 55123 YEAR TO DATE $225.00	State of Minnesota Mgr. Consumer Serv.	05/13/88 06/21/88 06/27/88	$50.00 $25.00 $50.00	Primary -88 Primary -88 Primary -88
Richard Long 1815 Scheffer Ave. St. Paul MN 55116 YEAR TO DATE $700.00	Long Cadillac Owner	06/13/88	$700.00	Primary -88
Miles Lord 8th and Nicollett Midwest Federal Plaza Minneapolis MN YEAR TO DATE $850.00	Grose, Vonholtum Attorney	06/27/88 06/27/88 06/27/88 06/27/88	$200.00 $500.00 $150.00 $150.00 Redesignation Requested	Primary -88 Primary -88 Primary -88 Memo
Verna Lunz Route 2, Box 215 Fairmont MN 56031 YEAR TO DATE $250.00	Self-Employed Farming	04/19/88 06/01/88	$50.00 $100.00	Primary -88 Primary -88
James Lupient 7100 Wayzata Blvd. Minneapolis MN 55426 YEAR TO DATE $500.00	Self-Employed Car Dealer	04/07/88 05/11/88 06/14/88	$200.00 $100.00 $200.00	Primary -88 Primary -88 Primary -88
Sidney Lutz P.O. Box 9079 Farmington Hills MI 48333 YEAR TO DATE $250.00	Lutz Associates, INC President	05/06/88	$250.00	Primary -88
Ken Mac Gregor 8775 N. Scenic Lake Drive Lafingsburg MI 48848 YEAR TO DATE $250.00	MI State H. of Rep. Dir. Dem. Pub. Aff.	05/06/88	$250.00	Primary -88
Michael Maher 90 E. Livingston Orlando FL 32801 YEAR TO DATE $1000.00	Maher,Overchuck,Lang Attorney	05/09/88	$1000.00	Primary -88

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McGrann, William

Attachments U1-U7

HHH, III Exploratory Committee, Inc. ITEMIZED RECEIPTS PAGE 56 OF 94
701 4th Ave., S., Minneapolis, MN 55415 FOR LINE # 11a
PERIOD 01/01/87 TO 06/30/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Patrick McCormack 1627 Carl Street No. 15 St. Paul MN 55108 YEAR TO DATE $15.00		06/24/87	$15.00	Primary -87
Ronald McFall 1290 Grand Avenue, Apt. 206 St. Paul MN 55105 YEAR TO DATE $50.00		05/28/87	$50.00	Primary -87
Anne McFarland 2420 - 4th Avenue North Anoka Mn 55303 YEAR TO DATE $25.00	Retired	06/03/87	$25.00	Primary -87
Ralph McGinley 21 Haycamp Rd. North Oaks MN 55110 YEAR TO DATE $500.00	Dougherty - Dawkins Senior V.P.	06/05/87	$500.00	Primary -87
William McGrann 2774 West Lake of the Isles Minneapolis MN 55416 YEAR TO DATE $500.00	O'Connor & Hannan Attorney	06/05/87	$500.00	Primary -87
Kenneth McInnis 15005 East Vermillion Circle Northeast Ham Lake MN 55304 YEAR TO DATE $15.00		06/25/87	$15.00	Primary -87
Sarah McKenzie 2004 Portian St. Paul MN 55104 YEAR TO DATE $25.00	State of Minnesota Attorney	05/29/87	$25.00	Primary -87
Roger McKinney 10434 Mississippi Blvd. Coon Rapids MN 55433 YEAR TO DATE $100.00		06/05/87	$100.00	Primary -87
Laura McKnight Galtier Plaza, Suite 3004 195 East 5th Street St. Paul MN 55101 YEAR TO DATE $15.00		06/24/87	$15.00	Primary -87

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1987 Year End Report
Attachment# 02
Page 1 of 1

Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS
701 4th Ave., S., Minneapolis, MN 55415 — FOR LINE # 11a
PERIOD 07/01/87 TO 12/31/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Edward McCormack, Jr. 265 Franklin St. Boston MA 02110 YEAR TO DATE $1000.00	Self Attorney	07/27/87	$1000.00	Primary -87
Timothy McCoy 2114 Girard Ave., S. Minneapolis MN 55405 YEAR TO DATE $550.00	Sieben, Grose, et al Attorney	10/19/87 11/06/87	$50.00 $500.00	Primary -87 Primary -87
Ralph McGinley 21 Haycamp Rd. North Oaks MN 55110 YEAR TO DATE $1500.00	Dougherty - Dawkins Senior V.P.	11/09/87 11/09/87	$500.00 $500.00	Primary -87 General-87
George McGovern 4012 Linnean Ave., NW Washington DC 20008 YEAR TO DATE $250.00	United States Senate Retired	10/30/87	$250.00	Primary -87
William McGrann 2774 West Lake of the Isles Minneapolis MN 55416 YEAR TO DATE $600.00	O'Connor & Hannan Attorney	12/31/87	$100.00	Primary -87
Daryl McKinney Cloquet MN 55720 YEAR TO DATE $500.00	Self Little Stores	10/06/87	$500.00	Primary -87
Ronald Meshbesher 1616 Park Avenue Minneapolis MN 55404 YEAR TO DATE $1250.00	Self Attorney	07/15/87 12/30/87 12/30/87	$250.00 $250.00 $250.00	Primary -87 Primary -87 General-87
Francis Messina 400 Franklin Street P. O. Box N Braintree MA 02184 YEAR TO DATE $500.00	Self Developer	12/07/87	$500.00	Primary -87
Larry Meyer 2715 Park Drive St. Cloud MN 56301 YEAR TO DATE $250.00	Meyer Associates Dinner Expenses	09/14/87	$250.00	In-kind

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Humphrey for Senate Campaign Committee
701 Fourth Ave. S. #1800 Mpls. MN 55415

ITEMIZED RECEIPTS

FOR LINE # 11a

PERIOD 07/01/88 TO 08/24/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
William McGrann 2774 W. Lake of the Isles Pkwy Minneapolis MN 55416 YEAR TO DATE $1100.00	O'Connor & Hannan Attorney (Earmarked) (O'Connor & Hannan 3800 IDS Center 80 S. 8th St. Minneapolis, MN 55402)	07/22/88 07/22/88	$1000.00 $700.00 Memo Redesignation Requested	Primary -88
Susan Meier P.O. Box 778 Wayzata MN 55391 YEAR TO DATE $1000.00	Bascom, Inc. Executive Assistant	08/24/88 08/24/88	$1000.00 $200.00 Memo Redesignation Requested	Primary -88
Ronald Meshbesher 1616 Park Avenue Minneapolis MN 55404 YEAR TO DATE $750.00	Self Attorney	08/24/88	$750.00	General-88
Sam Miller 10800 Brookpark Rd. Cleveland OH 44130 YEAR TO DATE $1000.00	Forrest City Develop Vice Chairman of Bd.	08/02/88	$1000.00	General-88
Roger Moe Rural Route #3, Box 86A Erskine MN 56535 YEAR TO DATE $250.00	MN Senate Senator	08/01/88	$50.00	Primary -88
Maxine Moss 21 Pepper Creek Dr. Pepper Pike OH 44124 YEAR TO DATE $250.00	Homemaker	08/02/88	$250.00	Primary -88
Carol Mossey Blackacre Clearwater MN 55320 YEAR TO DATE $250.00	Self-Employed Consultant	07/23/88	$250.00	Primary -88
Bert Moyer 16257 Aldersyde Drive Shaker Heights OH 44120 YEAR TO DATE $1000.00	Cragrin,Lang,Free & Smythe Inc.-CEO	08/02/88	$1000.00	Primary -88

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Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55414

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 08/25/88 to 09/30/88

For Line #N/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
James Lemley 3316 Shepherd Hills Minneapolis, MN 55431	Holmes & Graven Attorney		08/24/88 Memo 09/13/88	$1500.00 $(500.00)	Primary-88 Primary-88
YEAR TO DATE	$1500.00				
James Lemley	Redesignation	Memo	09/13/88	$ 500.00	General-88
William McGrann 2774 W. Lk of Isles Minneapolis, MN 55416	O'Connor&Hannon Attorney	Memo	07/22/88 08/17/88	$1000.00 $(700.00)	Primary-88 Primary-88
YEAR TO DATE	$1100.00				
William McGrann	Redesignation	Memo	08/17/88	$ 700.00	General-88
Susan Meier PO Box 778 Wayzata, MN 55391	Bascom Inc. Executive Asst.	Memo	08/24/88 08/31/88	$1000.00 $(200.00)	Primary-88 Primary-88
YEAR TO DATE	$1000.00				
Susan Meier	Redesignation	Memo	08/31/88	$ 200.00	General-88
William Murphy 1700 N. Star Ctr. Minneapolis, MN 55402	John G. Kinnard Broker	Memo	08/23/88 09/30/88	$1,000.00 $(1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$1,000.00				
William Murphy -	Refunded	Memo	09/30/88	$ 0.00	Primary-88

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Humphrey for Senate Campaign Committee
701 Fourth Ave., S. #1800 Mpls, MN 55415

ITEMIZED RECEIPTS
PERIOD 10/20/88 TO 11/28/88

FOR LINE # 11a

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Timothy McCoy 2114 Girard Ave., S. Minneapolis MN 55405 YEAR TO DATE $450.00	Sieben, Grose, et al Attorney	11/01/88 11/02/88	$250.00 $100.00	General-88 General-88
William McGrann 2774 W. Lake of the Isles Pkwy Minneapolis MN 55416 YEAR TO DATE $2100.00	O'Connor & Hannan Attorney (Earmarked) O'Connor & Hannan Partnership	11/07/88 11/07/88	$1000.00 $700.00 Reattribution Requested	General-88 Memo
Roger McKinney 10434 Mississippi Blvd. Coon Rapids MN 55433 YEAR TO DATE $600.00	R. McKinney & Assoc. Insurance Agent	10/26/88	$250.00	General-88
David McKnight 2008 Truman Road Charlotte NC 28205 YEAR TO DATE $300.00	Self-Employed Writer - Musician	10/31/88	$100.00	General-88
Donald Miller 405 Lake Street Excelsior MN 55331 YEAR TO DATE $750.00	A.M. Myers & Assoc. Sr. V.P.-Marketing	10/21/88	$250.00	General-88
Mark Miller 2885 Knox Ave. S. Apt. 508 Minneapolis MN 55408 YEAR TO DATE $250.00	Hessian, McKaphy,etc Attorney	11/01/88	$250.00	General-88
Lori Mittag 710 Blair St. Paul MN 55104 YEAR TO DATE $220.00	State of Minnesota Attorney	10/21/88	$25.00	General-88
Max Mohler 106 Graymoor Olympia Fields IL 60461 YEAR TO DATE $1000.00	Forman Realty Chief Financial Offi	11/07/88	$1000.00	General-88

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ITEMIZED RECEIPTS

Humphrey for Senate
Campaign Committee, Inc.
701 Fourth Avenue South, #1800
Minneapolis, MN 55415

For Line #N/A

Redesignation and Reattribution
Period 11/19/88 to 12/31/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
William McGrann 2774 W. Lk. of the Isles Minneapolis, MN 55416	O'Connor & Hannon Attorney		11/07/88 Memo 11/07/88	$1000.00 ($ 700.00)	General General
YEAR TO DATE $1400.00					
William McGrann	Refund Requested	Memo	12/29/88	$ 700.00	General
Susan Weiler 169 East 78th New York, NY 10021	Self-Employed Attorney		10/07/88 Memo 10/07/88	$ 500.00 ($ 500.00)	General General
YEAR TO DATE $ 500.00					
Susan Weiler	Reattribution Under Review	Memo	10/07/88	$ 500.00	General

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HUMPHREY FOR SENATE

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[illegible]	[illegible]	INVOICE DATE	INVOICE AMOUNT	AMOUNT PAID	DISCOUNT TAKEN	NET CHECK AMOU
3005			$700.00			$700.0

POLITICAL PARTICIPATION PROGRAM

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HUMPHREY FOR SENATE
SAMUEL D HEINS TREB
OPERATING ACCOUNT
701-4th AVE. S 01020
MINNEAPOLIS MN 55415

MARQUETTE BANK MINNEAPOLIS, N A
SIXTH AND MARQUETTE • MINNEAPOLIS, MN 55480

CHECK DATE	[illegible]	CHECK AMOUNT
02-24-89		******$700.00

SEVEN HUNDRED AND NO/100----------DOLLARS--

TO THE ORDER OF: O'CONNOR & HANNAN (POLITICAL PARTICIPATION PROGRAM)
WILLIAM MCGRANN
3800 IDS CENTER
MINNEAPOLIS, MN 55402

HUMPHREY FOR SENATE

Margaret H Clark
AUTHORIZED SIGNATURE

⑈002605⑈ ⑆091000048⑆ 10112868⑈

Exhibit 8

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Mills, Samuel

Attachments V1-V3

Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS
701 4th Ave., S., Minneapolis, MN 55415
PERIOD 07/01/87 TO 12/31/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Thomas Micheletti 4100 London Rd. Duluth MN 55804 YEAR TO DATE $400.00	Minnesota Power Attorney	11/23/87	$400.00	Primary -87
Benny Miller Box 1125 Minneapolis MN 55440 YEAR TO DATE $250.00	Miller Bag Company Owner	10/05/87	$250.00	Primary -87
Richard Miller 12 South 6th Street Suite 1208 Minneapolis MN 55402 YEAR TO DATE $350.00	Dain Bosworth VP, Public Finance	07/15/87	$250.00	Primary -87
Robert Miller 7420 West Shore Drive Edina MN 55435 YEAR TO DATE $300.00	REM Incorporated Owner	10/30/87	$100.00	Primary -87
Sam Miller 10800 Brookpark Rd. Cleveland OH 44130 YEAR TO DATE $1000.00	Forrest City Develop Chief Financial Off.	10/09/87	$1000.00	Primary -87
Samuel Mills 3 N. Oaks Rd. North Oaks MN 55110 YEAR TO DATE $1000.00	Great Oak Financial President	08/25/87	$1000.00	Primary -87
Richard Moe 3611 Underwood St. Chevy Chase MD 20015 YEAR TO DATE $550.00	Davis, Polk et al Attorney	07/27/87 11/05/87 12/04/87	$250.00 $250.00 $50.00	Primary -87 Primary -87 Primary -87
Marc Moller 100 Park Ave. New York NY 10017 YEAR TO DATE $500.00	Self Attorney	12/16/87	$500.00	Primary -87
Walter Mondale 2116 Irving Ave., S. Minneapolis MN 55405 YEAR TO DATE $500.00	Dorsey & Whitney Attorney	11/09/87	$500.00	Primary -87

Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 23 OF 34
701 Fourth Ave. S. #1800 Mpls. MN 55415 — FOR LINE # 11a
PERIOD 04/01/88 TO 06/30/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Samuel Mills 3 N. Oaks Rd. North Oaks MN 55110 YEAR TO DATE $1000.00	Greak Oak Financial President	06/14/88 06/14/88	$1000.00 $1000.00 Redesignation Requested	Primary -88 Memo
Gregory Mitmoe 38 Tompkins Road Scarsdale NY 10583 YEAR TO DATE $500.00	Information Requested	06/14/88	$500.00	Primary -88
David Mondry 1433 Lochridge Bloomfield Mills MI 48013 YEAR TO DATE $1000.00	Highland Super Store Chairman of the Bd.	04/12/88 05/06/88	$300.00 $700.00	Primary -88 Primary -88
Kenneth Montgomery 135 La Salle Street Chicago IL 60603 YEAR TO DATE $1000.00	Wilson & McIlvaine Lawyer	04/15/88	$1000.00	Primary -88
Brenda Moskal 7220 Cornellia Drive Edina MN 55435 YEAR TO DATE $250.00	Homemaker	06/27/88	$250.00	Primary -88
Peter Mullen 9 Elm Rock Road Bronxville NY 10708 YEAR TO DATE $250.00	Skadden Arps Attorney	06/14/88	$250.00	Primary -88
William Mullin 1625 West 25th Street Minneapolis MN 55405 YEAR TO DATE $400.00	Bassford Heckt et al Attorney	05/05/88 05/19/88	$100.00 $200.00	General-88 General-88
Timothy Mullowney 3936 River Road South Afton MN 55001 YEAR TO DATE $400.00	Royal Nissan Manager	06/13/88	$400.00	Primary -88
Andrew Myers 18 Island Road North Oaks MN 55127 YEAR TO DATE $1000.00	A. M. Myers Self-employed	05/11/88	$1000.00	General-88

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Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55414

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 07/01/88 to 08/24/88

Page 3 of 5
For Line #N/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Ronald Lasiuta 844 SE 13th Avenue Forest Lake, MN 55025	R.J. Control Service Acct. Exec.	06/14/88 Memo 08/24/88	$1,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$1,000.00			
Ronald Lasiuta - Redesignation		Memo 08/24/88	$1,000.00	General-88
Miles Lord 900 Midwest Plaza Minneapolis, MN 55402	Sieben, Gross, et.al. Attorney	06/27/88 Memo 07/21/88	$150.00 ($150.00)	Primary-88 Primary-88
YEAR TO DATE	$850.00			
Miles Lord - Redesignation		Memo 07/21/88	$150.00	General-88
Samuel Mills 3 North Oaks Rd. North Oaks, MN 55110	Great Oak Financial President	06/14/88 Memo 08/24/88	$1,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$1,000.00			
Samuel Mills - Redesignation		Memo 08/24/88	$1,000.00	General-88
Dr. H.M. Paisner 22 Westwood Drive N. Minneapolis, MN 55422	Mpls. ENT Clinic Physician	06/27/88 Memo 07/26/88	$500.00 ($ 50.00)	Primary-88 Primary-88
YEAR TO DATE	$600.00			
Dr. H.M. Paisner - Redesignation		Memo 07/26/88	$0.00	General-88
Philip Pillsbury, Jr. 5026 Sedgwick St., Washington, DC 20016	US Info. Agency NW Foreign Ser. Officer	06/09/88 Memo 08/05/88	$250.00 ($250.00)	Primary-88 Primary-88
YEAR TO DATE	$750.00			
Philip Pillsbury, Jr. - Redesignation		Memo 08/05/88	$250.00	General-88

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Morrison, John

Attachments W1-W3

HHH, III Exploratory Committee, Inc. ITEMIZED RECEIPTS PAGE 00 OF 94
701 4th Ave., S., Minneapolis, MN 55415 FOR LINE # 11a
PERIOD 01/01/87 TO 06/30/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Earl More, Mrs. 8107 Eden Prairie Road Eden Prairie MN 55344 YEAR TO DATE $25.00	Retired	06/26/87	$25.00	Primary -87
Sissie Morris 3209 Whetler Road Augusta GA 30913 YEAR TO DATE $1000.00	Housewife	06/03/87	$1000.00	Primary -87
John Morrison 801 Tonkawa Road Long Lake MN 55356 YEAR TO DATE $500.00	Metro Financial CEO	06/29/87	$500.00	Primary -87
Terry Morrison R.F.D. 2, Box 169 Belle Plaine MN 56011 YEAR TO DATE $130.00	Trans. Student Tours Sales Rep.	06/17/87 06/05/87	$30.00 $100.00	Primary -87 Primary -87
Bruce Moss 1530 Pennsylvania Ave., N. Golden Valley MN 55427 YEAR TO DATE $100.00		05/28/87	$100.00	Primary -87
James Moylan 1640 Woodmen Tower Omaha NE 68102 YEAR TO DATE $25.00	Garvey-NYE-Crawford Moylan & Riedmann A	03/13/87	$25.00	Primary -87
Bernadette Muck 1350 Edgcumbe Rd. St. Paul MN 55116 YEAR TO DATE $100.00	Banker	05/29/87	$100.00	Primary -87
Virginia Muehlberg 3518 - 124th Lane N.W. Coon Rapids MN 55433 YEAR TO DATE $100.00		06/01/87	$100.00	Primary -87
William Mullin 1625 West 25th Street Minneapolis MN 55405 YEAR TO DATE $500.00	Gaskford, Hackt Attorney	06/09/87	$500.00	Primary -87

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Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS PAGE 18 OF 22
701 Fourth Ave. S. #1800 Mpls., MN 55415 FOR LINE # 11a
PERIOD 01/01/88 TO 03/31/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Howard Merkel Rd. #3, Box 105 Stewartstown PA 17363 YEAR TO DATE $500.00	Self Ship Pilot	03/21/88	$500.00	Primary -88
Herbert Milstein 6608 Lybrook Ct. Bethesda MD 20817 YEAR TO DATE $500.00	Cohen, Milstein, etc Attorney	03/21/88	$500.00	Primary -88
Donald Mintz 643 Magazine St. New Orleans LA YEAR TO DATE $250.00	Self Attorney	03/15/88	$250.00	Primary -88
Louise Moffett 6145 St. Charles Ave. New Orleans LA 70118 YEAR TO DATE $250.00	Homemaker	03/15/88	$250.00	Primary -88
Walter Mondale 2116 Irving Ave., S. Minneapolis MN 55405 YEAR TO DATE $500.00	Dorsey & Whitney Attorney	03/31/88	$500.00	Primary -88
Ernest Mortal 2500 Texaco Center 400 Poydras Street New Orleans LA 70130 YEAR TO DATE $250.00	Self Attorney	03/15/88	$250.00	Primary -88
Billy Morris P. O. Box 936 Augusta GA 30913 YEAR TO DATE $1000.00	Morris Commun. Corp. President	03/04/88	$1000.00	Primary -88
John Morrison 801 Tonkawa Road Long Lake MN 55356 YEAR TO DATE $1000.00	Metro Financial CEO	03/31/88	$1000.00	Primary -88
Susan Morrison Long Lake MN YEAR TO DATE $500.00	Homemaker	03/31/88	$500.00	Primary -88

Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 12 OF 19
701 Fourth Ave. S. #1800 Mpls. MN 55415 — FOR LINE # 11a
PERIOD 10/01/88 TO 10/19/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Kenneth McInnis 15005 East Vermillion Circle Northeast Ham Lake MN 55304 YEAR TO DATE $225.00	IAMAW Dist. 77 Representative	10/19/88	$25.00	General-88
Charles McKusick 7330 Van Buren St. NE Fridley MN 55432 YEAR TO DATE $350.00	Federal Hoffman Executive	10/19/88	$250.00	General-88
John McMillian 2699 S. Bayshore Suite 800 F Coconut Grove FL 33133 YEAR TO DATE $1000.00	John McMillian, Inc. Private Investor	10/04/88	$1000.00	General-88
Larry Merrigan 2900 Energy Centre 1100 Poydras Street New Orleans LA 70163 YEAR TO DATE $500.00	LA Merrigan Invest. President	10/06/88	$500.00	General-88
Marie Montgomery 24 Bridlewood Road Northbrook IL 60062 YEAR TO DATE $500.00	Homemaker	10/11/88	$500.00	General-88
John Morrison 4707 Villa Mare Lane Naples FL 33940 YEAR TO DATE $500.00	Metro Bank CEO	10/19/88	$500.00	General-88
Susan Morrison 4707 Villa Mar Lane Naples FL 33963 YEAR TO DATE $1500.00	Homemaker	10/19/88 10/19/88	$500.00 $1000.00	General-88 General-88
Peter Mullen 9 Elm Rock Road Bronxville NY 10708 YEAR TO DATE $500.00	Skadden Arps Attorney	10/19/88	$250.00	General-88
John Masseff 50 West Kellogg Blvd. St. Paul MN 55102 YEAR TO DATE $500.00	West Publishing President	10/13/88	$500.00	General-88

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Murphy, William C.

Attachments X1-X4

MMH, III Exploratory Committee, Inc. ITEMIZED RECEIPTS PAGE 61 OF 94
701 4th Ave., S., Minneapolis, MN 55415 FOR LINE # 11a
PERIOD 01/01/87 TO 06/30/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Ray Murray Box 398 Hallock MN 56728 YEAR TO DATE $35.00	Retired	06/26/87	$35.00	Primary -87
Jon Murphy 577 - 23rd Avenue, N.W. New Brighton MN 53112 YEAR TO DATE $50.00	State of Minnesota Attorney	05/29/87	$50.00	Primary -87
William Murphy 1700 North Star Center Minneapolis MN 55402 YEAR TO DATE $1000.00	John Kinnard Broker	05/29/87	$1000.00	Primary -87
Mary Murray 343 Ramsey Apartment #1 St. Paul MN 55102 YEAR TO DATE $15.00		06/24/87	$15.00	Primary -87
John Mysiajek 1820 Long Lake Rd. New Brighton MN 55102 YEAR TO DATE $15.00		06/29/87	$15.00	Primary -87
Robert Nardi 1201 Marquette Avenue Suite 330 Minneapolis MN 55430 YEAR TO DATE $50.00	Wilkes & Daniels Attorney	06/01/87	$50.00	Primary -87
Charles Nauen 2109 Boswell St. Paul MN 55108 YEAR TO DATE $1000.00	Opperman & Paquin Attorney	04/23/87	$1000.00	Primary -87
Dean Naumann 1015 - 18 1/2 Avenue, N.E. Minneapolis MN 55418 YEAR TO DATE $15.00		06/24/87	$15.00	Primary -87
Charles Neerland Piper, Jaffray & Hopwood Minneapolis MN 55402 YEAR TO DATE $100.00	Piper, Jaffray & Hop	06/05/87	$100.00	Primary -87

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Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 10 OF 22
701 Fourth Ave. S. #1800 Mpls. MN 55415 — FOR LINE # 11a
PERIOD 07/01/88 TO 08/24/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
William Mills 1026 West 29th Street Minneapolis MN 55405 YEAR TO DATE $750.00	Gaskins Hecht et al Attorney	07/28/88	$250.00	General-88
William Murphy 1700 North Star Center Minneapolis MN 55402 YEAR TO DATE $1000.00	John Kinnard Broker	07/28/88 07/28/88	$1000.00 $1000.00 Memo Redesignation Requested	Primary -88
Dennis Mattson 2100 Wabber Hills Rd. Wayzata MN 55391 YEAR TO DATE $600.00	Federal Norman Vice President	08/24/88 08/24/88	$500.00 100.00 Memo Redesignation Requested	Primary -88
Robert Mickaloff 1201 Marquette Ave. Suite 400 Minneapolis MN 55403 YEAR TO DATE $450.00	First Federal Attorney	08/24/88	$300.00	General-88
Leonard Nyman 5069 Corbin Drive Cleveland OH 44128 YEAR TO DATE $250.00	Drake Construction CEO	08/02/88	$250.00	Primary -88
Evelyn O'Connor 510 Groveland Ave. No. 628 Minneapolis MN 55403 YEAR TO DATE $1000.00	Retired	08/02/88	$1000.00	Primary -88
Patrick O'Connor 6421 Indian Hills Road Edina MN 55436 YEAR TO DATE $1000.00	Kurt A Kramer Attorney	08/02/88 08/02/88	$500.00 $500.00	Primary -88 General-88
Velma Oakland Box 183 Red Lake Falls MN 56750 YEAR TO DATE $500.00	Red Lk. Falls School Teacher	08/01/88 08/12/88	$25.00 $225.00	Primary -88 Primary -88
Gary Olin 2110 Cedar Road Beachwood OH 44122 YEAR TO DATE $250.00	Mazda Gua Mazda Saab Pres. - Auto Dealer	08/16/88	$250.00	Primary -88

Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55414

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 08/25/88 to 09/30/88

For Line 8N/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
James Lemley 3316 Shepherd Hills Minneapolis, MN 55431	Holmes & Graven Attorney		08/24/88 Memo 09/13/88	$1500.00 $(500.00)	Primary-88 Primary-88
YEAR TO DATE	$1500.00				
James Lemley	Redesignation	Memo	09/13/88	$ 500.00	General-88
William McGrann 2774 W. Lk of Isles Minneapolis, MN 55416	O'Connor&Hannon Attorney		07/22/88 Memo 08/17/88	$1000.00 $(700.00)	Primary-88 Primary-88
YEAR TO DATE	$1100.00				
William McGrann	Redesignation	Memo	08/17/88	$ 700.00	General-88
Susan Meier PO Box 778 Wayzata, MN 55391	Bascom Inc. Executive Asst.		08/24/88 Memo 08/31/88	$1000.00 $(200.00)	Primary-88 Primary-88
YEAR TO DATE	$1000.00				
Susan Meier	Redesignation	Memo	08/31/88	$ 200.00	General-88
William Murphy 1700 N. Star Ctr. Minneapolis, MN 55402	John G. Kinnard Broker		08/23/88 Memo 09/30/88	$1,000.00 $(1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$1,000.00				
William Murphy -	Refunded	Memo	09/30/88	$ 0.00	Primary-88

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Humphrey for Senate
Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55415

ITEMIZED DISBURSEMENTS

Page 1 of 1

Refunds of Contributions to Persons
Other Than Political Committees

For Line #28a

Period 09/25/88 to 09/30/88

NAME AND ADDRESS	PURPOSE OF DISBURSEMENT	DATE	AMOUNT	ELECTION
William C. Murphy 1700 North Star Center Minneapolis, MN 55402	Refund Contribution	09/30/88	$1000.00	General-88

TOTAL ITEMIZED THIS PERIOD	$1000.00
TOTAL UNITEMIZED THIS PERIOD	0.00
TOTAL REPORTABLE THIS PERIOD	$1000.00

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Pillsbury, Jr., Phillip

Attachments Y1-Y8

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Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS
701 4th Ave., S., Minneapolis, MN 55415 FOR LINE # 11a
PERIOD 07/01/87 TO 12/31/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Stephen Pflaum 100 S. 5th St., #1500 Minneapolis MN 55402 YEAR TO DATE $500.00	Self Attorney	10/22/87	$500.00	Primary -87
Ann Phillips 350 East 57th Street New York NY 10022 YEAR TO DATE $250.00	Self Antique Business	11/06/87	$250.00	Primary -87
Jay Phillips 4833 E. Lake Harriet Parkway Minneapolis MN 55409 YEAR TO DATE $600.00	Phillips Foundation Director	09/29/87	$100.00	Primary -87
Lawrence Phillips 1290 Avenues of the Americas New York NY 10104 YEAR TO DATE $250.00	Van Hussen Corp. President	11/06/87	$250.00	Primary -87
Philip Pillsbury 5036 Sedgwick St., NW. Washington DC 20016 YEAR TO DATE $500.00	U.S. Inform Agency Foreign Service Off.	11/05/87	$500.00	Primary -87
Lionel Pincus 466 Lexington Ave. 10th Floor New York NY 10017 YEAR TO DATE $250.00	E.M. Norburg, Pinens C.O.B.	10/19/87	$250.00	Primary -87
Robert Pope 533 St. Clair Ave. St. Paul MN 55102 YEAR TO DATE $1000.00	Self Architect	11/02/87	$1000.00	Primary -87
R. Robert Popeo One Financial Center Boston MA 02111 YEAR TO DATE $500.00	Self Attorney	12/07/87	$500.00	Primary -87
Steven Pounian 100 Park Ave. New York NY 10017 YEAR TO DATE $500.00	Self Attorney	12/16/87	$500.00	Primary -87

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Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 17 OF 22
701 Fourth Ave. S. #1800 Mpls., MN 55415 — FOR LINE # 11a
PERIOD 01/01/88 TO 03/31/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Robert Olson 5700 - 21st Ave., S. Minneapolis MN 55417 YEAR TO DATE $400.00	Retired	03/08/88	$400.00	Primary 88
Nancy Paquin 5225 Girard Ave. S. Minneapolis MN 55419 YEAR TO DATE $1000.00	Homemaker	03/31/88	$1000.00	Primary -88
J. Parten 810 Central Sq., 2100 Travis Houston TX 77002 YEAR TO DATE $250.00	Self Oil & Cattle	03/15/88	$250.00	Primary -88
Deanna Peterson 5300 Broad Brook Ct. Centreville VA 22020 YEAR TO DATE $300.00	Peterson,Enberg,Pete Office Manager	03/29/88	$300.00	Primary -88
Dennis Peterson 2705 Briar Court, S.W. Rochester MN 55902 YEAR TO DATE $250.00	Self Attorney	03/29/88	$250.00	General-88
Phillip Pillsbury 5036 Sedgwick St., NW. Washington DC 20016 YEAR TO DATE $500.00	U.S. Inform Agency Foreign Service Off.	02/15/88	$500.00	Primary -88
Lawrence Pinkoff (information requested) Booklyn NY YEAR TO DATE $500.00	Noelle Soderberg realtor	03/29/88	$500.00	Primary -88
Vera Pratt 4314 Klingle Street NW Washington DC 20016 YEAR TO DATE $250.00	Self-Employed Landscaper	03/29/88	$250.00	Primary -88
Thomas Quinn 1919 Pennsylvania Ave. NW Washington DC 20006 YEAR TO DATE $400.00	Lawyer	03/31/88	$400.00	Primary -88

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Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 25 OF 34
701 Fourth Ave. S. #1800 Mpls. MN 55415 — FOR LINE # 11a
PERIOD 04/01/88 TO 06/30/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
H. M. Paisner 22 Westwood Drive North Minneapolis MN 55422 YEAR TO DATE $600.00	Self Doctor	06/27/88 06/27/88	$500.00 $50.00 Redesignation Requested	Primary -88 Memo
Rebecca Paparella 2201 Kenwood Parkway Minneapolis MN 55405 YEAR TO DATE $300.00	Investment Portfolio Manager	06/17/88	$300.00	Primary -88
R. Scott Pastrick 324 N. Fairfax Street Alexandria VA 22314 YEAR TO DATE $250.00	Black,Manafort,Stowe & Kelly-Consultant	06/09/88	$250.00	Primary -88
Constantine Pereos 43-31 - 193rd Street Flushing NY 11358 YEAR TO DATE $500.00	Daphne Corp. President	06/14/88	$500.00	Primary -88
Dorothy Perkins Dept. of Biological Sciences Stanford University Stanford CA 94305 YEAR TO DATE $300.00	Stanford University Biologist	04/25/88	$300.00	Primary -88
Barbara Peterson 2705 Brair Court, South Rochester MN 55902 YEAR TO DATE $350.00	Self-Employed Artist	06/20/88 06/20/88	$150.00 $100.00	Primary -88 Primary -88
Philip Pillsbury 5036 Sedgwick St., NW. Washington DC 20016 YEAR TO DATE $750.00	U.S. Inform Agency Foreign Service Off.	06/09/88 06/09/88	$250.00 $250.00 Redesignation Requested	Primary -88 Memo
Bertram Podell 160 Broadway New York NY 10038 YEAR TO DATE $250.00	Podell,Rothamn, etal Attorney	06/14/88	$250.00	Primary -88
Ani Politis 440 West End Ave. 2B New York NY 10024 YEAR TO DATE $250.00	Harwin Corp. Real Estate Develop.	06/30/88	$250.00	Primary -88

Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS PAGE 24 OF 34
701 Fourth Ave. S. #1800 Mpls. MN 55415 FOR LINE # 11a
PERIOD 08/25/88 TO 09/30/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Dorothea Pile 10495 West 17th Ave. Lakewood CO 80215 YEAR TO DATE $1000.00	Retired	09/26/88	$1000.00	General-88
Phillip Pillsbury 5036 Sedgwick St., NW. Washington DC 20016 YEAR TO DATE $1500.00	U.S. Inform Agency Foreign Service Off.	09/26/88 09/30/88	$500.00 $250.00	General-88 General-88
Michael Pittman 7601 Olympia Ave. N. Golden Valley MN 55427 YEAR TO DATE $600.00	Selectronics President	09/28/88	$500.00	General-88
Sol Price 2550 - 5th Avenue Suite 629 San Diego CA 92101 YEAR TO DATE $250.00	Price Company Chairman of the Bd.	09/06/88	$250.00	Primary -88
Lucy Quatrance 80 Groveland Terrace Minneapolis MN 55403 YEAR TO DATE $250.00	Homemaker	09/28/88	$250.00	General-88
Thomas Quinn 1919 Pennsylvania Ave., NW Washington DC 20006 YEAR TO DATE $500.00	Self-Employed Attorney	09/30/88	$100.00	General-88
Mark Raabe 3300 Circle Hill Road Alexandria VA 22305 YEAR TO DATE $350.00	Merck & Co. Consultant	09/30/88	$250.00	General-88
Michael Ramy P.O. Box 3722 Mankato MN 56001 YEAR TO DATE $2000.00	Ramy Seed Co. Owner	08/29/88	$1000.00	General-88
Harry Rauch 521 Muirfield Drive Atlantis FL 33462 YEAR TO DATE $250.00	Self-Employed Attorney	09/30/88	$250.00	General-88

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Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS PAGE 20 OF
701 Fourth Ave., S. #1800 Mpls, MN 55415 FOR LINE # 11a
PERIOD 10/20/88 TO 11/28/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Philip Pillsbury 5036 Sedgwick St., NW. Washington DC 20016 YEAR TO DATE $2000.00	U.S. Inform Agency Foreign Service Off.	11/04/88 11/04/88	$500.00 $500.00 Reattribution Requested	General 88 Memo
Neal Potter 6801 Brookville Road Chevy Chase MD 20815 YEAR TO DATE $250.00	Montgomery County Councilman	10/31/88	$100.00	General-88
John Preotle 2 World Trade Center New York NY 10008 YEAR TO DATE $1000.00	Dean Witter Realty Manager	11/04/88	$1000.00	Memo Great Plains Victory Fund (line 12)
Robert Rather 4135 Meadow Grove Las Vegas NV 89120 YEAR TO DATE $1000.00	Self-Employed Attorney	11/07/88	$1000.00	General-88
Mary Redmond 444 West Fullerton Chicago IL 60614 YEAR TO DATE $1000.00	Self-Employed Admin. Consultant	11/01/88	$1000.00	General-88
Matthew Reese 1040 Bellview Place Mc Lean VA 22102 YEAR TO DATE $500.00	Reese Communications CEO	10/31/88	$500.00	General-88
Joseph Reid 2039 Portland Avenue St. Paul MN 55104 YEAR TO DATE $290.00	State of Minnesota Office Manager	10/26/88	$50.00	General-88
Louis Reidenberg 1500 First Bank Place West 120 South Sixth Street Minneapolis MN 55402 YEAR TO DATE $1250.00	Self-Employed Lawyer	10/27/88	$500.00	General-88
Donald Reioux No. 1 Island View Lane St. Paul MN 55127 YEAR TO DATE $900.00	Self-Employed Attorney	10/31/88	$500.00	General-88

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ITEMIZED RECEIPTS

Humphrey for Senate
Campaign Committee, Inc.
701 Fourth Avenue South, #1800
Minneapolis, MN 55415

For Line #N/A

Redesignation and Reattribution
Period 11/19/88 to 12/31/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
Mark Mandel 5355 Topel Road Golden Valley, MN 55422	Nursing Home Owner	 Memo	10/21/88 10/21/88	$ 600.00 ($ 100.00)	General General
YEAR TO DATE $1100.00					
Mark Mandel	Redesignation	Memo	12/10/88	$ 100.00	Primary
Susan Olson 245 Maple Hill Road Minneapolis, MN 55343	Midwest Federal Vice President	 Memo	11/01/88 11/01/88	$ 250.00 ($ 250.00)	General General
YEAR TO DATE $1400.00					
Susan Olson	Redesignation	Memo	12/29/88	$ 250.00	Primary
Philip Pillsbury 5036 Sedgwick St. Washington, DC 20016	U.S. Inform. Agency Foreign Service	 Memo	11/04/88 11/04/88	$ 500.00 ($ 500.00)	General General
YEAR TO DATE $2000.00					
Philip Pillsbury	Refund Requested		12/28/88	$ 500.00	
John Spillane 3655 Northome Road Wayzata, MN 55391	Nat'l Purity Inc. Owner	 Memo	11/01/88 11/01/88	$ 500.00 ($ 500.00)	General General
YEAR TO DATE $ 400.00					
Sean Spillane 3101 - 80th Ave., N. Brooklyn Park, MN 55443	Nat'l Purity Inc. Sales Executive	Memo	12/21/88 Reattribution	$ 500.00	General
YEAR TO DATE $1800.00					

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Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55414

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 07/01/88 to 08/24/88

For Line #N/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Ronald Lasiuta 844 SE 13th Avenue Forest Lake, MN 55025	R.J. Control Service Acct. Exec.	06/14/88 Memo 08/24/88	$1,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$1,000.00			
Ronald Lasiuta - Redesignation		Memo 08/24/88	$1,000.00	General-88
Miles Lord 900 Midwest Plaza Minneapolis, MN 55402	Sieben, Grose, et.al. Attorney	06/27/88 Memo 07/21/88	$150.00 ($150.00)	Primary-88 Primary-88
YEAR TO DATE	$300.00			
Miles Lord - Redesignation		Memo 07/21/88	$150.00	General-88
Samuel Mills 3 North Oaks Rd. North Oaks, MN 55110	Great Oak Financial President	06/14/88 Memo 08/24/88	$1,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$1,000.00			
Samuel Mills - Redesignation		Memo 08/24/88	$1,000.00	General-88
Dr. H.M. Paisner 22 Westwood Drive N. Minneapolis, MN 55422	Mpls. ENT Clinic Physician	06/27/88 Memo 07/26/88	$500.00 ($ 50.00)	Primary-88 Primary-88
YEAR TO DATE	$600.00			
Dr. H.M. Paisner - Redesignation		Memo 07/26/88	50.00	General-88
Phillip Pillsbury, Jr. 5026 Sedgwick St., NW Washington, DC 20016	US Info. Agency Foreign Ser. Memo Officer	06/09/88 08/05/88	$250.00 ($250.00)	Primary-88 Primary-88
YEAR TO DATE	$750.00			
Phillip Pillsbury, Jr. - Redesignation		Memo 08/05/88	$250.00	General-88

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HUMPHREY FOR SENATE

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		INVOICE DATE	INVOICE AMOUNT	AMOUNT PAID	DISCOUNT TAKEN	NET CHECK AMOU
3005			$500.00			$500.00

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HUMPHREY FOR SENATE
SAMUEL D HEINEL TREAS
OPERATING ACCOUNT
701-4th AVE S 01600
MINNEAPOLIS MN 55415

MARQUETTE BANK MINNEAPOLIS, N.A.
SIXTH AND MARQUETTE • MINNEAPOLIS MN 55480

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CHECK DATE	CONTROL NUMBER	CHECK AMOUNT
02-24-89		******$500.00

PAY FIVE HUNDRED AND NO/100----------DOLLARS------

TO THE ORDER OF PHILLIP PILLSBURY, JR.
5036 SEDGWICK ST., NW
WASHINGTON, DC 20016

HUMPHREY FOR SENATE

Marjorie H Clark
AUTHORIZED SIGNATURE

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Exhibit 9

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Schatz, James

Attachments Z1-Z2

MBM, III Exploratory Committee, Inc. ITEMIZED RECEIPTS PAGE 76 OF 94
701 4th Ave., S., Minneapolis, MN 55415 FOR LINE 11a
REPORT 01/01/87 TO 06/30/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Max Hutman 1635 Nathan Lane North Minneapolis MN 55441 YEAR TO DATE $500.00		04/11/87	$[illegible]	Primary -87
Marsha Kuzzick 2230 Jefferson Avenue St. Paul MN 55105 YEAR TO DATE $100.00		06/05/87	$100.00	Primary -87
James Salerno Kennedy Road Mendham NJ 07945 YEAR TO DATE $250.00	Self-employed Auto dealership	06/26/87	$250.00	Primary -87
David Sanders 200 Westwood Drive North Minneapolis MN 55422 YEAR TO DATE $100.00		06/05/87	$100.00	Primary -87
Pauline Sarbaum Rural Route #2 Box 20 Glyndon MN 56547 YEAR TO DATE $12.50		06/05/87	$12.50	Primary -87
Steven Schachtman 2121 Austrian Pine Lane Minnetonka MN 55343 YEAR TO DATE $100.00		06/05/87	$100.00	Primary -87
James Schatz 2120 Charlton Rd. St. Paul MN 55118 YEAR TO DATE $1000.00	Opperman & Paquin Attorney	04/23/87	$1000.00	Primary -87
R. G. Scheele 6325 W. Joliet Road La Grange IL 60525 YEAR TO DATE $25.00	Attorney	06/26/87	$25.00	Primary -87
Winfried Scheffler 2922 Lockport Drive, No. 201 Falls Church VA 22042 YEAR TO DATE $10.00		06/20/87	$10.00	Primary -87

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Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 10 OF 22
701 Fourth Ave. S. #1800 Mpls., MN 55415 — FOR LINE # 11a
PERIOD 01/01/88 TO 03/31/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Steven Kittvo 4822 Pryatynia Street New Orleans LA 70115 YEAR TO DATE $250.00	Urban Studies President/Consultant	03/15/88	$250.00	Primary -88
Joseph Robbie 1301 NE 100th St. Miami FL 33138 YEAR TO DATE $500.00	Miami Dolphins Owner	03/31/88	$500.00	Primary -88
David Rosenberg 2 West 67th St. New York NY 10023 YEAR TO DATE $500.00	Lawyer	03/21/88	$500.00	Primary -88
Helene Rosenberg 445 Park Ave. New York NY 10024 YEAR TO DATE $500.00	Retired	03/21/88	$500.00	Primary -88
Franklin Rozak 36425 Churchill Dr. Solon OH 44139 YEAR TO DATE $1000.00	Cole National Vice President	02/18/88	$1000.00	Primary -88
James Rubenstein 5108 Bryant Avenue South Minneapolis MN 55419 YEAR TO DATE $250.00	Self-Employed Attorney	03/31/88	$250.00	Primary -88
Seymour Rubin 1675 - 35th Street, NW Washington DC 20007 YEAR TO DATE $250.00	Amer. Soc. Int'l Law Sr. Consultant	03/25/88	$250.00	Primary -88
James Schatz 2120 Charlton Rd. St. Paul MN 55118 YEAR TO DATE $1000.00	Self-Employed Attorney	03/31/88	$1000.00	Primary -88
Jeffrey Schauer 56 W. 10th St. New York NY 10011 YEAR TO DATE $250.00	Self Writer	03/22/88	$250.00	Primary -88

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Sheehy, Lee

Attachments AA1-AA5

HHH. III Exploratory Committee, Inc. ITEMIZED RECEIPTS PAGE 79 OF 94
701 6th Ave., S., Minneapolis, MN 55415 FOR LINE # 11a
Period 01/01/87 TO 06/30/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
[illegible]		05/17/87	$15.00	Primary -87
1921 Ford Parkway No. 7				
St. Paul MN 55116				
YEAR TO DATE $15.00				
Beverly Severson		06/03/87	$50.00	Primary -87
970 Lydia Drive				
St. Paul MN 55113				
YEAR TO DATE $50.00				
Leonard Shara		06/05/87	$100.00	Primary -87
31 South 8th Street				
Minneapolis MN 55402				
YEAR TO DATE $100.00				
Andrew Shea	O'Connor & Hannan	06/03/87	$250.00	Primary -87
4912 Rolling Green Parkway	Attorney			
Edina MN 55436				
YEAR TO DATE $250.00				
Lee Sheehy	Popham, Haik	04/14/87	$500.00	Primary -87
2620 Robbins Street	Attorney	06/29/87	$500.00	Primary -87
		06/05/87	$100.00	General-87
Minneapolis MN 55410				
YEAR TO DATE $1100.00				
[illegible] Shepard		06/24/87	$15.00	Primary -87
3549 Emerson Avenue South				
Minneapolis MN 55408				
YEAR TO DATE $15.00				
M. W. Sheppard	Retired	06/05/87	$200.00	Primary -87
Point Pleasant	Professor			
Madison Lake MN 56063				
YEAR TO DATE $200.00				
Daniel Sheran		06/17/87	$15.00	Primary -87
3145 Dean Court	Attorney			
Minneapolis MN 55416				
YEAR TO DATE $15.00				
Thomas Sheran	O'Connor & Hannan	06/03/87	$250.00	Primary -87
310 West Minnehaha Parkway	Attorney			
Minneapolis MN 55419				
YEAR TO DATE $250.00				

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1987 Year End Report
Attachment# AA2
Page 1 of 1

Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS
701 4th Ave., S., Minneapolis, MN 55415
Period 07/01/87 TO 12/31/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
[illegible] Shaw 2904 Bardwill Rd. Raleigh NC 27604 YEAR TO DATE $260.00	State of NC Engineer	11/19/87 12/07/87 12/31/87	[illegible] $100.00 $160.00	Primary -87 Primary -87 Primary -87
Andrew Shea 4812 Rolling Green Parkway Edina MN 55436 YEAR TO DATE $350.00	Self Attorney	12/31/87	$100.00	Primary -87
Lee Sheehy 2620 Robbins Street Minneapolis MN 55410 YEAR TO DATE $1125.00	Self Attorney	11/02/87	$25.00	General-87
Burton Sheppard 919 8th Street Charlestown MA 02129 YEAR TO DATE $250.00	Sullivan & Worcester Attorney	07/27/87	$250.00	Primary -87
Thomas Sheran 310 West Minnehaha Parkway Minneapolis MN 55419 YEAR TO DATE $350.00	Self Attorney	12/31/87	$100.00	Primary -87
Morton Short 8 Merilane Edina MN 55436 YEAR TO DATE $700.00	Self Investments	11/02/87	$200.00	Primary -87
Edwin Sidman 140 Dartmouth St. Newton MA 02165 YEAR TO DATE $500.00	Self Developer	12/07/87	$500.00	Primary -87
Harry Sieben 90 Valley Lane Hastings MN 55033 YEAR TO DATE $550.00	Self Attorney	10/26/87	$50.00	Primary -87
William Sieben 1201 Southview Drive Hastings MN 55033 YEAR TO DATE $1000.00	Self Attorney	08/20/87 11/05/87	$500.00 $500.00	Primary -87 Primary -87

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Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 10 OF 22
701 Fourth Ave. S. #1800 Mpls., MN 55415 — FOR LINE # 11a
PERIOD 01/01/88 TO 03/31/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Lee Sheehy 2620 Robbins Street Minneapolis MN 55410 YEAR TO DATE $750.00	Self Attorney	01/30/88 03/08/88	$250.00 $250.00	Primary -88 Primary -88
Marion Short 8 Merilane Edina MN 55436 YEAR TO DATE $300.00	Self Investments	03/08/88	$300.00	Primary -88
Charles Smith 1735 Jefferson Davis Hwy. #1200 Arlington VA 22202 YEAR TO DATE $1000.00	Self-Employed Developed	03/04/88	$1000.00	Primary -88
Elyse Smith 4441 - 33rd Avenue South Minneapolis MN 55406 YEAR TO DATE $7.70	Fredrick & Mains Legal Secretary	01/30/88	$7.70	In-Kind Postage
Warren Spannaus 2200 First Bank Place East Minneapolis MN 55402 YEAR TO DATE $800.00	Self-Employed Attorney	03/31/88 01/14/88 01/27/88	$500.00 $100.00 $200.00	General-88 Primary -88 General-88
Richard Spitzer 5090 Meadville Excelsior MN 55331 YEAR TO DATE $500.00	Lamaur, Inc. President	03/04/88	$500.00	Primary -88
John Spittane 3655 Northome Rd. Wayzata MN 55391 YEAR TO DATE $400.00	Nat'l Purity Inc. Owner	03/18/88	$400.00	General-88
Sean Spittane 3101 - 80th Ave. N. Brooklyn Park MN 55443 YEAR TO DATE $1200.00	National Purity Inc. Sales Manager	03/31/88 03/31/88	$1200.00 $200.00	Primary -88 Memo Redesignation Requested
Elmer Stagers 5011 Overlook Rd., NW Washington DC 20016 YEAR TO DATE $300.00	Self-Employed- Chair	03/25/88	$300.00	Primary -88

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Humphrey for Senate Campaign Committee
701 Fourth Ave. S. #1800 Mpls. MN 55415

ITEMIZED RECEIPTS
PERIOD 04/01/88 TO 06/30/88

FOR LINE # 11a

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
[illegible] Schnobrich 4350 Cedarwood Road Minneapolis MN 55416 YEAR TO DATE $1000.00	Schnepel, Goetz & Sieben - Attorney	06/27/88 06/27/88	$500.00 $500.00	Primary -88 General-88
Alan Segan 22 West 26th Street New York NY 10010 YEAR TO DATE $300.00	Marine Midland Bank Vice President	06/30/88	$250.00	Primary -88
Lee Sheehy 2620 Robbins Street Minneapolis MN 55410 YEAR TO DATE $1250.00	Self Attorney	06/30/88 06/30/88	$500.00 $375.00	General-88 Memo Reattribution Requested
Brian Short 215 South 11th Street Minneapolis MN 55403 YEAR TO DATE $500.00	1014 Property Co. Officer	06/01/88	$500.00	Primary -88
Marion Short 8 Merilane Edina MN 55436 YEAR TO DATE $800.00	The Leamington Co. Business	06/27/88	$500.00	General-88
Michael Sieben 1625 Wild Ridge Court South Newport MN 55055 YEAR TO DATE $1100.00	Self Employed Attorney	06/27/88 06/27/88	$1000.00 $200.00	Primary -88 Memo Redesignation Requested
William Sieben 1201 Southview Drive Hastings MN 55033 YEAR TO DATE $1000.00	Self Attorney	06/27/88 06/27/88	$1000.00 $1000.00	Primary -88 Memo Redesignation Requested
Herbert Sillman 1633 Broadway New York NY 10019 YEAR TO DATE $250.00	Touche Ross Int'l. CPA	05/23/88	$250.00	Primary -88
Arlen Simi 2268 S. 26th Ave. St. Cloud MN 56301 YEAR TO DATE $300.00	Self-Employed Dentist	06/25/88	$100.00	Primary -88

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Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55415

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 07/01/88 to 08/24/88

For Line #N/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
Lee Sheehy 2620 Robbins St. Minneapolis, MN 55410	Control Data Corp. Marketing Dir.	Memo	06/30/88 07/14/88	$500.00 ($375.00)	General-88 Primary-88 Reattribution
YEAR TO DATE	$875.00				
Linda Jadwin 2620 Robbins St. Minneapolis, MN 55410	Homemaker	Memo	07/14/88	$375.00	Primary-88 Reattribution
YEAR TO DATE	$375.00				
Michael Sieben 1625 Wild Ridge Court S. Newport, MN 55055	Self-Employed Attorney	Memo	06/27/88 08/25/88	$1,000.00 ($ 200.00)	Primary-88 Primary-88
YEAR TO DATE	$1,100.00				
Michael Sieben - Redesignation		Memo	08/25/88	$200.00	General-88
William Sieben 1201 Southview Dr. Hastings, MN 55033	Schoebel, Goetz, et.al. Attorney	Memo	06/27/88 07/18/88	$1,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$1,000.00				
William Sieben - Redesignation		Memo	07/18/88	$1,000.00	General-88
Ronald Simms 101000 Santa Monica Blvd. Suite 900 Los Angeles, CA 90067	Self-Employed Dvlpr.	Memo	06/30/88 08/22/88	$2,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$2,000.00				
Ronald Simms - Redesignation		Memo	08/22/88	$1,000.00	General-88
Bruce Smiley P.O. Box 778 Wayzata, Mn 55391	Bascom, Inc. Pres., CEO	Memo	06/20/88 08/24/88	$1,500.00 ($ 500.00)	Primary-88 Primary-88
YEAR TO DATE	$1,500.00				

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Smiley, Bruce

Attachments BB1-BB3

Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS PAGE 30 OF 34
701 Fourth Ave. S. #1800 Mpls, MN 55415 FOR LINE # 11a
PERIOD 04/01/88 TO 06/30/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Ronald Simms 101000 Santa Monica Boulevard Suite 900 Los Angeles CA 90067 YEAR TO DATE $2000.00	Self-Employed Developer	06/30/88 06/30/88	$2000.00 $1000.00 Memo Redesignation Requested	Primary -88
Alma Simpson 117 East Lake Street Waterville MN 56096 YEAR TO DATE $300.00	Retired Reverand	06/21/88	$300.00	Primary -88
Bruce Smiley P.O. Box Wayzata MN 55391 YEAR TO DATE $1500.00	Bascom, Inc. President, CEO	06/20/88 06/20/88	$1500.00 $500.00 Memo Redesignation Requested	Primary -88
Charles Smith 1735 Jefferson Davis Hwy. #1200 Arlington VA 22202 YEAR TO DATE $1250.00	Self-Employed Construction	06/13/88	$250.00	General-88
Harlan Smith 2705 Pecos Street Autin TX 78703 YEAR TO DATE $250.00	Univ. of TX, Austin Astronomy Prof.	04/14/88	$250.00	Primary -88
Donald Snede 489 Pleasant View Road Chanhassen MN 55317 YEAR TO DATE $500.00	Midwest Federal Treasurer	06/20/88	$500.00	Primary -88
Marlys Soderberg 1218 Summit Avenue St. Paul MN 55403 YEAR TO DATE $500.00	Liemandts Connection Owner	06/20/88	$500.00	Primary -88
Norma Sommerdorf One Kennard Court St. Paul Mn 55106 YEAR TO DATE $250.00	Travel Assoc. Travel Agent	05/13/88	$50.00	Primary -88

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Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55415

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 07/01/88 to 08/24/88

For Line #N/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Lee Sheehy 2620 Robbins St. Minneapolis, MN 55410	Control Data Corp. Marketing Dir.	06/30/88 Memo 07/14/88	$500.00 ($375.00)	General-88 Primary-88 Reattribution
YEAR TO DATE	$875.00			
Linda Jedwin 2620 Robbins St. Minneapolis, MN 55410	Homemaker	Memo 07/14/88	$375.00	Primary-88 Reattribution
YEAR TO DATE	$375.00			
Michael Sieben 1625 Wild Ridge Court Newport, MN 55055	Self-Employed S. Attorney	06/27/88 Memo 08/25/88	$1,000.00 ($ 900.00)	Primary-88 Primary-88
YEAR TO DATE	$1,100.00			
Michael Sieben - Redesignation		Memo 08/25/88	$200.00	General-88
William Sieben 1201 Southview Dr. Hastings, MN 55033	Schwebel, Goetz, et.al. Attorney	06/27/88 Memo 07/18/88	$1,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$1,000.00			
William Sieben - Redesignation		Memo 07/18/88	$1,000.00	General-88
Ronald Simms 101000 Santa Monica Blvd. Suite 900 Los Angeles, CA 90067	Self-Employed Dvlpr.	06/30/88 Memo 08/22/88	$2,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$2,000.00			
Ronald Simms - Redesignation		Memo 08/22/88	$1,000.00	General-88
Bruce Smiley P.O. Box 778 Wayzata, MN 55391	Bascom, Inc. Pres., CEO	06/20/88 Memo 08/24/88	$1,500.00 ($ 500.00)	Primary-88 Primary-88
YEAR TO DATE	$1,500.00			

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Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55415

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 07/01/88 to 08/24/88

For Line #N/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Bruce Smiley - Redesignation	Memo	08/24/88	$1,500.00	General-88
Martha Tolman 1 Calvin Circle C-209 Evanston, IL 60201	Retired	05/25/88 06/30/00 Redesignation Requested Under Review	$250.00 Memo $250.00 Memo	

YEAR TO DATE $1,250.00

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Snede, Donald

Attachments CC1-CC4

HHH, III Exploratory Committee, Inc. ITEMIZED RECEIPTS PAGE 82 OF 94
701 4th Ave., S., Minneapolis, MN 55415 FOR LINE # 11a
PERIOD 01/01/87 TO 06/30/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Daniel Smith 4418 W. Lake Harriet Pkwy Apartment 302 Minneapolis MN 55410 YEAR TO DATE $30.00	Attorney	06/17/87	$30.00	Primary -87
Robert Smith 1005 First Bank Place West Minneapolis MN 55402 YEAR TO DATE $50.00	Attorney	06/11/87	$50.00	Primary -87
Tresa Smith Lazy T. Ranch Boulder MT 59632 YEAR TO DATE $100.00		05/20/87	$100.00	Primary -87
Donald Snedd 489 Pleasant View Road Chanhassen MN 55317 YEAR TO DATE $250.00	Midwest Federal Treasurer	06/04/87	$250.00	Primary -87
Jerry Snider 2300 Multifoods Tower Minneapolis MN 55402 YEAR TO DATE $100.00	Faegre & Benson Attorney	06/05/87	$100.00	Primary -87
Douglas Soderberg 5209 Park Avenue South Minneapolis MN 55417 YEAR TO DATE $25.00	Physician	06/03/87	$25.00	Primary -87
Harold Soderberg 1218 Summit Avenue Minneapolis MN 55403 YEAR TO DATE $1000.00	Hessian, McKasy Attorney	06/05/87	$1000.00	Primary -87
Cynthia Soderstrom 525 Lake Avenue South Duluth MN 55802 YEAR TO DATE $500.00	Paulucci Int. Consultant	05/20/87	$500.00	Primary -87
C. Bruce Solomonson 1480 Bohns Point Road Orono MN 55356 YEAR TO DATE $1000.00	Regional Financial Agencies - President	06/29/87	$1000.00	Primary -87

Humphrey for Senate Campaign Committee
701 Fourth Ave. S. #1800 Mpls. MN 55415

ITEMIZED RECEIPTS
PERIOD 04/01/88 TO 06/30/88

FOR LINE # 11a

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Ronald Simms 101000 Santa Monica Boulevard Suite 900 Los Angeles CA 90067 YEAR TO DATE $2000.00	Self-Employed Developer	06/30/88 06/30/88	$2000.00 $1000.00 Memo Redesignation Requested	Primary -88
Alma Simpson 117 East Lake Street Waterville MN 56096 YEAR TO DATE $300.00	Retired Reverand	06/21/88	$300.00	Primary -88
Bruce Smiley P.O. Box Wayzata MN 55391 YEAR TO DATE $1500.00	Bascom, Inc. President, CEO	06/20/88 06/20/88	$1500.00 $500.00 Memo Redesignation Requested	Primary -88
Charles Smith 1735 Jefferson Davis Hwy. #1200 Arlington VA 22202 YEAR TO DATE $1250.00	Self-Employed Construction	06/13/88	$250.00	General-88
Harlan Smith 2705 Pecos Street Autin TX 78703 YEAR TO DATE $250.00	Univ. of TX, Austin Astronomy Prof.	04/14/88	$250.00	Primary -88
Donald Snede 489 Pleasant View Road Chanhassen MN 55317 YEAR TO DATE $500.00	Midwest Federal Treasurer	06/20/88	$500.00	Primary -88
Marlys Soderberg 1218 Summit Avenue St. Paul MN 55403 YEAR TO DATE $500.00	Liemandts Connection Owner	06/20/88	$500.00	Primary -88
Norma Sommerdorf One Kennard Court St. Paul Mn 55106 YEAR TO DATE $250.00	Travel Assoc. Travel Agent	05/13/88	$50.00	Primary -88

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Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS PAGE 29 OF 31
701 Fourth Ave. S. #1800 Mpls. MN 55415 FOR LINE # 11a
PERIOD 08/15/88 TO 09/30/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Marlon Short 8 Merilane Edina MN 55436 YEAR TO DATE $1300.00	The Leaminton Co. President	09/28/88	$500.00	General-88
Melvin Sigel 2831 Glenhurst Ave., S. Minneapolis MN 55416 YEAR TO DATE $250.00	Self-Employed Physician	09/26/88	$250.00	General-88
Charles Silverman 1825 Major Drive Golden Valley MN 55422 YEAR TO DATE $500.00	Johnson Printing/Pkg Co-Owner	09/26/88	$500.00	General-88
Sam Singer 2190 Bayard Ave. St. Paul MN 55116 YEAR TO DATE $500.00	Retired	08/30/88	$500.00	Primary -88
William Sipple 2252 Valkyrie Dr. NW Rochester MN 55901 YEAR TO DATE $550.00	Midwest Federal Sr. Vice President	09/09/88	$500.00	Primary -88
William Skolnick 4100 Wendover Court Minnetonka MN 55345 YEAR TO DATE $280.00	Self-Employed Attorney	09/08/88 09/08/88	$30.00 $100.00	Primary -88 Primary -88
Maxine Smiley 3531 Oakton Drive #1006 Minnetonka MN 55343 YEAR TO DATE $500.00	Homemaker	09/26/88	$500.00	General-88
Donald Snede 489 Pleasant View Road Chanhassen MN 55317 YEAR TO DATE $1500.00	Midwest Federal Treasurer	09/09/88 09/09/88	$1000.00 $750.00	Primary -88 Memo Redesignation Requested
Michael Snow 2649 Arcola Lane Wayzata MN 55391 YEAR TO DATE $500.00	Maslin,Edelman,Brand Borman - Attorney	09/28/88	$500.00	General-88

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ITEMIZED RECEIPTS

Humphrey for Senate
Campaign Committee, Inc.
701 Fourth Avenue South, #1800
Minneapolis, MN 55415

For Line #N/A

Redesignation and Reattribution
Period 10/20/88 to 11/28/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
Donald Snede 489 Pleasant View Road Chanhassen, MN 55317	Midwest Federal Treasurer	 Memo	09/09/88 11/22/88	$1000.00 ($ 750.00)	Primary Primary
YEAR TO DATE $1500.00					
Donald Snede	Redesignation	Memo	11/22/88	$ 750.00	General
Herbert Tenzer 405 Lexington Ave. 23rd Floor New York, NY 10174	Self-Employed Attorney	 Memo	10/12/88 11/23/88	$ 500.00 ($ 500.00)	General General
YEAR TO DATE $1000.00					
Florence Tenzer 405 Lexington Ave. 23rd Floor New York, NY 10174	Homemaker	Memo Reattribution	11/23/88	$ 500.00	General
YEAR TO DATE $ 500.00					
Thomas Hay No. 6 Badger Lane North Oaks, MN 55110	Dorsey-Whitney Attorney	 Memo	10/19/88 11/28/88	$ 250.00 ($ 50.00)	General General
YEAR TO DATE: $1700.00					
Nancy Hay No. 6 Badger Lane North Oaks, MN 55110	Homemaker	Memo Reattribution	11/28/88	$ 50.00	General
YEAR TO DATE: $ 50.00					
Thomas Knox 150 West Chestnut Hill Philadelphia, PA 19118	The Knox Group Executive	 Memo	10/07/88 11/28/88	$2000.00 ($1000.00)	General General
YEAR TO DATE: $2000.00					
Thomas Knox	Redesignation	Memo	11/28/88	$1000.00	Primary

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Tolman, Martha W.

Attachments DD1-DD7

1987 Year End Report
Attachment# DD1
Page 1 of 1

Humphrey for Senate Campaign Committee
701 4th Ave., S., Minneapolis, MN 55415

ITEMIZED RECEIPTS FOR LINE # 11a

PERIOD 07/01/87 TO 12/31/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Martha Tolman 1 Calvin Circle C-209 Evanston IL 60201 YEAR TO DATE $250.00	Retired	10/14/87	$250.00	Primary -87
James Torrey 345 East 84th Street New York NY 10028 YEAR TO DATE $600.00	First Boston Corp. Stockbroker	11/06/87	$500.00	Primary -87
Jane Tschida 906 Midwest Plaza East Eigth and Marquette Minneapolis MN 55402 YEAR TO DATE $55.00	MN. Trial Lawyers Executive Director	08/26/87	$55.00	In-kind
Thomas Tully 330 W. Diversey Pkwy. Chicago IL 60657 YEAR TO DATE $2000.00	Self Attorney	09/03/87 09/03/87	$1000.00 $1000.00	General-87 Primary -87
John Tunheim 6103 Jarvis Avenue, North Stillwater MN 55082 YEAR TO DATE $775.00	State of Minnesota Attorney	12/07/87 12/31/87	$25.00 $500.00	Primary -87 Primary -87
John Turner 4575 W. Hidden Valley Trail Savage MN 55378 YEAR TO DATE $250.00	Turner Excavating President	07/15/87	$250.00	Primary -87
Conrad Valanos 7810 Wind Key Drive Boca Raton FL 33434 YEAR TO DATE $500.00	Monocle Restaurant Restaurant Owner	08/14/87	$500.00	Primary -87
Jack Valenti Motion Picture Assoc. of Amer. 1600 Eye St., NW. Washington DC 20006 YEAR TO DATE $500.00	Motion Picture Assn. Director	11/05/87	$500.00	Primary -87
Cyrus Vance 1 Battery Park Plaza New York NY 10004 YEAR TO DATE $250.00	Simpson, Thatcher Attorney	11/06/87	$250.00	Primary -87

Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 20 OF 22
701 Fourth Ave. S. #1800 Mpls., MN 55415 — FOR LINE # 11a
PERIOD 01/01/88 TO 03/31/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Geoffrey Stack 5120 Campus Dr. Newport Beach CA 92660 YEAR TO DATE $250.00	Regis Homes Developer	01/14/88	$250.00	Primary -88
Barbara Stettner 703 - 1st Ave., S. S. St. Paul MN 55075 YEAR TO DATE $500.00	State of MN Data Entry Operator	02/11/88 03/18/88	$250.00 $250.00	Primary -88 Primary -88
Christine Stevens 1686 - 34th St. NW Washington DC 20007 YEAR TO DATE $500.00	Animal Welfare Activist	03/25/88	$500.00	Primary -88
Robert Sullivan 60 Gerard Avenue New Hyde NY 11040 YEAR TO DATE $1000.00	Self-Employed Attorney	01/20/88	$1000.00	Primary -88
Marc Sussman 24 Fifth Avenue New York NY 10011 YEAR TO DATE $750.00	Attorney	03/04/88 03/21/88	$250.00 $500.00	Primary -88 Primary -88
Herbert Tenzer 405 Lexington Ave. 23rd Floor New York NY 10174 YEAR TO DATE $1000.00	Self-Employed Attorney	03/25/88 03/25/88	$1000.00 $1000.00 Redesignation Requested	Primary -88 Memo - 88
Martha Tolman 1 Calvin Circle C-209 Evanston IL 60201 YEAR TO DATE $250.00	Retired	02/16/88	$250.00	Primary -88
Herbert Trader 990 Downing Street St. Paul MN 55118 YEAR TO DATE $500.00	Control Data Executive	03/28/88	$500.00	Primary -88
Mark Walbran Attorney at Law 334 East Broadway Owatonna MN 55060 YEAR TO DATE $230.00	Self Attorney	03/22/88	$230.00	Primary -88

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Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 32 OF 34
701 Fourth Ave. S. #1800 Mpls. MN 55415 — FOR LINE # 11a
PERIOD 04/01/88 TO 06/30/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Robert Strub Los Angeles Turf Club Inc. Santa Anita Park Arcadia CA 91006 YEAR TO DATE $500.00	Information Requested	04/06/88	$500.00	Primary -88
Robert Swart 5131 Ewing Avenue North Brooklyn Center MN 55429 YEAR TO DATE $250.00	Henn. Cty. Med. Ctr. Supply Aide	05/01/88 05/13/88 06/27/88	$25.00 $60.00 $50.00	Primary -88 Primary -88 Primary -88
James Symington 3019 44th Street NW Washington DC 20016 YEAR TO DATE $250.00	Self Attorney	06/09/88	$250.00	Primary -88
Gary Tankenoff 440 Century Plaza 1111 - 3rd Ave. S. Minneapolis MN 55404 YEAR TO DATE $500.00	Hillcrest Developmen Owner	05/02/88	$500.00	Primary -88
James Thomas 4057 - 2nd Ave., S. Minneapolis MN 55409 YEAR TO DATE $335.00	Retired	05/23/88 06/27/88	$25.00 $150.00	Primary -88 Primary -88
Jeffrey Tindell 15 West 81st Street New York NY 10024 YEAR TO DATE $250.00	Skadden Arps Attorney	06/14/88	$250.00	Primary -88
Martha Tolman 1 Calvin Circle C-209 Evanston IL 60201 YEAR TO DATE $1250.00	Retired	05/09/88 05/25/88 05/25/88 06/30/88 06/30/88	$500.00 $250.00 $250.00 $250.00 $250.00	Primary -88 Primary -88 Memo Primary -88 Memo Redesignation Requested
Alexander Trowbridge 1823 - 23rd St. NW Washington DC 20008 YEAR TO DATE $250.00	Nat'l Asso. Manufact President	06/06/88	$250.00	Primary -88

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Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS PAGE 17 OF
701 Fourth Ave. S #1800 Mpls. MN 55415 FOR LINE # 11a
PERIOD 10/01/88 TO 10/19/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Daniel Solomon Suite 338 P. O. Box 808 Boston MA 02117 YEAR TO DATE $1000.00	Law Student	10/19/88	$1000.00	General-88
Sam Solon 616 West 3rd Street Duluth MN 55806 YEAR TO DATE $515.00	Duluth Schools Principal	10/10/88	$15.00	General-88
Susan Steinhauser 8511 Hayden Place Culvert City CA 90291 YEAR TO DATE $1000.00	S. Cal. Gas Co. Attorney	10/13/88	$1000.00	General-88
J. T. Stephens 114 East Capitol Ave. Little Rock AR 72201 YEAR TO DATE $1000.00	Stephens, Inc. Investments	10/12/88	$1000.00	General-88
Thomas Strand 9 Oriole Lane North Oaks MN 55110 YEAR TO DATE $1000.00	Dougherty, Dawkins Exec. V.P. Earmarked Dougherty Dawkins Employee Trust Fund	10/06/88	$1000.00	General-88
Oliver Swanson 4951 Emerson Ave. N. Minneapolis MN 55430 YEAR TO DATE $225.00	Retired	10/12/88 10/17/88 10/18/88	$20.00 $20.00 $20.00	General-88 General-88 General-88
Herbert Tenzer 405 Lexington Ave. 23rd Floor New York NY 10174 YEAR TO DATE $1500.00	Self-Employed Attorney	10/12/88 10/12/88	$500.00 $500.00 Reattribution Requested	General-88 Memo
Martha Tolman 1 Calvin Circle C-209 Evanston IL 60201 YEAR TO DATE $1750.00	Retired	10/11/88	$500.00	General-88
Joe Tonahill P.O. Box 670 Jasper TX 75951 YEAR TO DATE $500.00	Self-employed Attorney	10/14/88	$500.00	General-88

Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55415

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 07/01/88 to 08/24/88

For Line #N/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Bruce Smiley - Redesignation	Memo	08/24/88	$1,500.00	General-88
Martha Tolman 1 Calvin Circle C-209 Evanston, IL 60201	Retired	05/25/88 06/30/00 Redesignation Requested Under Review	$250.00 Memo $250.00 Memo	

YEAR TO DATE $1,250.00

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Humphrey for Senate Campaign Committee, Inc.
701 Fourth Avenue, S.
Minneapolis, MN 55415

ITEMIZED RECEIPTS
Redesignations or Reattributions
Period 07/01/88 to 08/24/88

For Line #N/A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
CWA - Cope PAC 1925 K St., NW, #211 Washington, DC 20006			08/11/88	$ 5000.00	Primary-88
		Memo	09/13/88	$(5000.00)	Primary-88
YEAR TO DATE $5000.00					
CWA - Cope PAC	Redesignation	Memo	09/13/88	$ 5000.00	General-88
Seafarers PAC 5201 Auth Way Camp Springs, MD 20746			08/23/88	$ 5000.00	Primary-88
		Memo	09/27/88	$(5000.00)	Primary-88
YEAR TO DATE $5000.00					
Seafarers PAC	Redesignation	Memo	09/27/88	$ 5000.00	General-88
Transportation Political Ed. PAC 14600 Detroit Ave. Cleveland, OH 44107-4250			08/08/88	$ 5000.00	Primary-88
		Memo	09/23/88	$(600.00)	Primary-88
YEAR TO DATE $5000.00					
Transportation Political Ed. PAC		Memo	09/23/88	$ 600.00	General-88
Martha Tolman 1 Calvin Circle C-209 Evanston, IL 60201	Retired		05/25/88	$(250.00)	Memo
			06/30/88	$(250.00)	Memo
			Redesignation Requested		
			Under Review		
YEAR TO DATE $1,250.00					
Martha Tolman	Retired	Memo	09/01/88	$250.00	General-88
	Redesignation	Memo	09/01/88	$ $250.00	General-88

SECRETARY OF THE SENATE
[illegible] D 43
U.S.

Council for a Livable World

[illegible]
20 Park Plaza
Boston, Mass. 02116
Phone: (617) 542-5552

[illegible]
[illegible]
[illegible]
[illegible]
[illegible]

7 100 Maryland Avenue, N.E.
Washington, D.C. 20002
Phone: (202) 543-4100

[illegible]

BOARD OF DIRECTORS

[illegible]
[illegible]
[illegible]
[illegible]

9204092336639

Weiler, Susan

Attachments EE1-EE5

HHH III Exploratory Committee, Inc. ITEMIZED RECEIPTS PAGE 91 Of --
701 4th Ave., S., Minneapolis, MN 55415 FOR LINE # 11a
PERIOD 01/01/87 TO 06/30/87

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Carol Wawrzyniak Rural Route #3 Foley MN 56329 YEAR TO DATE $15.00		06/24/87	$15.00	Primary -87
Elroy Webster Route 2 Nicollet MN 56074 YEAR TO DATE $100.00		06/05/87	$100.00	Primary -87
Harold Webster 10426 Aquila Circle Bloomington MN 55438 YEAR TO DATE $50.00		06/29/87	$50.00	Primary -87
Charles Wegner 3025 Autumn Woods Drive Chaska MN 55318 YEAR TO DATE $500.00	Attorney	06/05/87	$500.00	Primary -87
Susan Weller 169 East 78th New York NY 10021 YEAR TO DATE $2000.00	Under Review Attorney	06/26/87 06/26/87	$1000.00 $1000.00	Primary -87 General-87
[illegible] Weinblatt 754 Upper Colonial Drive St. Paul MN 55118 YEAR TO DATE $100.00	Attorney	06/29/87	$100.00	Primary -87
Michael Weiner 3530 West 29th Street Minneapolis MN 55416 YEAR TO DATE $30.00	Attorney	06/12/87	$30.00	Primary -87
Martin Weinstein 4645 Emerson Avenue South Minneapolis MN 55409 YEAR TO DATE $250.00	Mason-Edelman Attorney	06/10/87	$250.00	Primary -87
Howard Weisskopf Weisskopf Realty Company 38 Greenway Gables Minneapolis MN 55403 YEAR TO DATE $100.00	Under review	05/29/87	$100.00	Primary -87

B7 0 0 0 3 7 0

Humphrey for Senate Campaign Committee ITEMIZED RECEIPTS PAGE 18 OF 19
701 Fourth Ave. S. #1800 Mpls. MN 55415 FOR LINE # 11a
PERIOD 10/01/88 TO 10/19/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Lawrence Tornetta 833 East Germantown Pike Norristown PA 10401 YEAR TO DATE $1000.00	Information Requested	10/07/88	$1000.00	General-88
Norman Tripp P. O. Box 22776 Fort Lauderdale FL 33308 YEAR TO DATE $1000.00	Alamo Rent-A-Car Board of Director	10/19/88	$1000.00	General-88
Deera Tychman 6566 France Ave., S. Apt. 401 Minneapolis MN 55435 YEAR TO DATE $550.00	Retired	10/13/88	$500.00	General-88
Don Tyson Tyson Foods, Inc. P. O. Drawer E Sprindale AR 72765 YEAR TO DATE $500.00	Tyson Food Corp. Owner (Earmarked) TyPac	10/06/88	$500.00	General-88
Sidney Unger 76a Hartsdale Ave. White Plains NY 10605 YEAR TO DATE $250.00	Lord Manuf. Co. President	10/11/88	$250.00	General-88
George Wallerstein 538 NE 92nd Seattle WA 98115 YEAR TO DATE $1000.00	Univ. of Washington Prof. of Astronomy	10/17/88	$500.00	General-88
A. Lee Warden 1425 W. 28th Street W. Unit 108 Minneapolis MN 55408 YEAR TO DATE $250.00	Warden Oil Co. Inc President	10/17/88	$100.00	General-88
Susan Weiler 169 East 78th New York NY 10021 YEAR TO DATE $500.00	Self-Employed Attorney	10/07/88 10/07/88	$500.00 $500.00	General-88 Memo Reattribution Requested
David Weiner 6562 Smithtown Road Excelsior MN 55331 YEAR TO DATE $700.00	North Central Enter. President	10/12/88 10/13/88	$250.00 $100.00	General-88 General-88

9204023641 3

ITEMIZED RECEIPTS

Humphrey for Senate
Campaign Committee, Inc.
701 Fourth Avenue South, #1800
Minneapolis, MN 55415

For Line #N/A

Redesignation and Reattribution
Period 10/20/88 to 11/28/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
John Elliott 6001 Cricket Road Flourtown, PA 19031	Baskin,Flaherty,Elliott Attorney		10/07/88 Memo 11/28/88	$2000.00 ($1000.00)	General General
YEART TO DATE: $2000.00					
John Elliott	Redesignation	Memo	11/28/88	$1000.00	Primary
Henry Helgen, III 2630 Nightengale Court Chaska, MN 55318	Employee Benefit Plan Attorney		08/30/88 Memo 11/28/88	$ 500.00 ($ 80.00)	Primary Primary
YEAR TO DATE: $ 550.00					
Henry Helgen, III	Redesignation	Memo	11/28/88	$ 80.00	General
Fareed Khoursheed 5704 Crickett Palce Mc Lean, VA 22101	Research & Mgmt. Inc. President	Memo	10/19/88	$2000.00 ($1000.00)	General General
YEAR TO DATE: $2000.00					
Fareed Khoursheed	Redesignation Under Review	Memo		($1000.00)	General
Susan Weiler 169 East 78th New York, NY 10021	Self-Employed Attorney	Memo	10/08/88	$ 500.00 ($ 500.00)	General General
YEAR TO DATE: $ 500.00					
Susan Weiler	Reattribution Under Review	Memo		($ 500.00)	General

ITEMIZED RECEIPTS

Humphrey for Senate
Campaign Committee, Inc.
701 Fourth Avenue South, #1800
Minneapolis, MN 55415

For Line #N A

Redesignation and Reattribution
Period 11/19/88 to 12/31/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
William McGrann 2774 W. Lk. of the Isles Minneapolis, MN 55416	O'Connor & Hannon Attorney		11/07/88 Memo 11/07/88	$1000.00 ($ 700.00)	General General
YEAR TO DATE $1400.00					
William McGrann	Refund Requested	Memo	12/29/88	$ 700.00	General
Susan Weiler 169 East 78th New York, NY 10021	Self-Employed Attorney		10/07/88 Memo 10/07/88	$ 500.00 ($ 500.00)	General General
YEAR TO DATE $ 500.00					
Susan Weiler	Reattribution Under Review	Memo	10/07/88	$ 500.00	General

92,204,982

HUMPHREY FOR SENATE

2608

OUR INV. NUMBER	YOUR INVOICE NUMBER	INVOICE DATE	INVOICE AMOUNT	AMOUNT PAID	DISCOUNT TAKEN	NET CHECK AMOU
3005			$500.00			$500.00

2606

HUMPHREY FOR SENATE
SAMUEL D MC'AL TREE
OPERATING ACCOUNT
700-6th AVE . S 01000
MINNEAPOLIS MN 55415

MARQUETTE BANK MINNEAPOLIS N A
SIXTH AND MARQUETTE • MINNEAPOLIS MN 55480

17-4
910

CHECK DATE	CONTROL NUMBER	CHECK AMOUNT
02-24-89		******$500.00

PAY FIVE HUNDRED AND NO/100----------DOLLARS----------

TO THE ORDER OF SUSAN WEILER
169 EAST 78TH
NEW YORK, NY 10021

HUMPHREY FOR SENATE

Margaret H Chabl
AUTHORIZED SIGNATURE

⑈002606⑈ ⑆091000048⑆ 10132868⑈

Exhibit 3

9204092236645

Holmes & Graven PAC

Attachments FF1-FF3

Humphrey for Senate Campaign Committee
701 Fourth Ave. S. #1800 Mpls., MN 55415

ITEMIZED RECEIPTS
PERIOD 01/01/88 TO 03/31/88

FOR LINE # 11c

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Holmes & Graven PAC 470 Pillsbury Center Minneapolis MN 55402 YEAR TO DATE $2000.00		03/31/88 03/31/88	$2000.00 $1000.00 Memo - 88 Redesignation Requested	Primary -88
IBEW COPE PAC 1125 15th Street NW Washington DC 20005 YEAR TO DATE $5000.00		03/31/88	$5000.00	General-88
Int'l Chiropractors PAC 1901 L. Street, NW. Washington DC 20036 YEAR TO DATE $250.00		03/04/88	$250.00	Primary -88
Ironworkers PAC Att: Mr. James Cole, Treasurer 1750 New York Avenue, N.W. Washington DC 20006 YEAR TO DATE $1000.00		02/04/88	$1000.00	Primary -88
Laborers' Political PAC League - Hollis Larsen, Treas. 905 - 16th St., NW. Washington DC 20006 YEAR TO DATE $3000.00		02/15/88 03/10/88	$1000.00 $2000.00	Primary -88 Primary -88
Larkin, Hoffman, etc Lindgren Attn: Mr. Forschler 7900 Xerxes Ave., S. NFC 1500 Minneapolis MN 55431 YEAR TO DATE $100.00	PAC	03/04/88	$100.00	Primary -88
Leg. Education Comm. of Building Laborers 347 University Ave. St. Paul MN 55103 YEAR TO DATE $50.00	PAC	03/28/88	$50.00	Primary -88
Mark Andrew Vol. Com 3347 E. Calhoun Parkway Minneapolis MN 55408 YEAR TO DATE $300.00	PAC Hennepin County County Commissioner	03/31/88	$300.00	Primary -88
MBWA PAC Attn: Ms. Carole J. Basil 1350 Dain Tower Minneapolis MN 55402 YEAR TO DATE $250.00		03/08/88	$250.00	Primary -88

1988 AMENDED
APRIL QUARTERLY
REPORT

ATTACHMENT
FF2

Hubert Humphrey III

H.D.

Humphrey for Senate Campaign Committee, [illegible] Park Street, Suite 310, St. Paul, Minnesota 55103
Telephone: (612) [illegible]

May 19, 1988

Mr. Walter J. Stewart
Secretary of the Senate
119 D. Street, N.W.
Washington, DC 20510

Ms. Joan Growe
Secretary of State
180 State Office Building
St. Paul, MN 55155

Re: Humphrey for Senate Campaign Committee, Inc.
April 15, 1988 Quarterly Report
FEC ID: C00214593

Dear Mr. Stewart and Ms. Growe:

REPORT AMENDMENT

It recently came to our attention that we inadvertently listed a $2000.00 contribution from Holmes & Graven as a primary contribution in our last report. Because Holmes & Graven PAC is not a multicandidate PAC, we have asked them to redesignate $1000.00 to the general election. The enclosed amendment correctly shows the status of its contribution.

Thank you for your attention to this matter.

Sincerely,

Margaret H. Chutich
Assistant Treasurer

MHC:sbm

Attachment

cc. Pat Sheppard, FEC Analyst

Paid for by the Humphrey for Senate Campaign Committee, Samuel D. Heron [illegible]

92040923847 FEC : 07013

ATTACHMENT # FF3

Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 3 OF 6
701 Fourth Ave. S. #1800 Mpls., MN 55415 — FOR LINE # 11c
PERIOD 01/01/88 TO 03/31/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Holmes & Graven PAC 470 Pillsbury Center Minneapolis MN 55402 YEAR TO DATE $2000.00		03/31/88 03/31/88	$2000.00 $1000.00 Memo - Redesignation Requested	Primary -88
IBEW COPE PAC 1125 15th Street NW Washington DC 20005 YEAR TO DATE $5000.00		03/31/88	$5000.00	General-88
Int'l Chiropractors PAC 1901 L. Street, NW. Washington DC 20036 YEAR TO DATE $250.00		03/04/88	$250.00	Primary -88
Ironworkers PAC Att: Mr. James Cole, Treasurer 1750 New York Avenue, N.W. Washington DC 20006 YEAR TO DATE $1000.00		02/04/88	$1000.00	Primary -88
Laborers' Political PAC League - Hollis Larsen, Treas. 905 - 16th St., NW. Washington DC 20006 YEAR TO DATE $3000.00		02/15/88 03/10/88	$1000.00 $2000.00	Primary -88 Primary -88
Larkin, Hoffman, etcPAC Lindgren Attn: Mr. Forschler 7900 Xerxes Ave., S. NFC 1500 Minneapolis MN 55431 YEAR TO DATE $100.00		03/04/88	$100.00	Primary -88
Leg. Education Comm.PAC of Building Laborers 347 University Ave. St. Paul MN 55103 YEAR TO DATE $50.00		03/28/88	$50.00	Primary -88
Mark Andrew Vol. ComPAC 3347 E. Calhoun Parkway Minneapolis MN 55408 YEAR TO DATE $300.00	Hennepin County County Commissioner	03/31/88	$300.00	Primary -88
MBWA PAC Attn: Ms. Carole J. Basil 1350 Dain Tower Minneapolis MN 55402 YEAR TO DATE $250.00		03/08/88	$250.00	Primary -88

9204092336449

Oxford Energy PAC

Attachments GG1-GG2

Humphrey for Senate Campaign Committee — ITEMIZED RECEIPTS — PAGE 5 OF
701 Fourth Ave., S. #1800 Mpls, MN 55415 — FOR LINE # 11c
PERIOD 10/20/88 TO 11/28/88

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
NARFE PAC Federal Employees 1533 New Hampshire Ave. NW Washington DC 20036 YEAR TO DATE $6000.00		10/27/88	$2000.00	General-88
Nat'l Council of Sr.PAC 925 15th Street, NW Mr. Lawrence Smedley, Treasure Washington DC 20005 YEAR TO DATE $7500.00		10/24/88	$5000.00	General-88
Neighbors for NiemiePAC 4239 Harriet Minneapolis MN 55409 YEAR TO DATE $25.00		10/21/88	$25.00	General-88
Northwestern Bell PAC Federal Political Action Comm 1314 Douglas On the Mall 14th Omaha NE 68102 YEAR TO DATE $1500.00		10/27/88	$500.00	General-88
Novak for Senate PAC 747 Redwood Drive Steven B. Schmidt, Treasurer New Brighton MN 55112 YEAR TO DATE $150.00		10/25/88	$150.00	General-88
Office & ProfessionaPAC International Union 815-16th Street NW, Suite 606 Washington DC 20006 YEAR TO DATE $1000.00		11/04/88	$1000.00	General-88
Oppenheimer Pol. Fd PAC Political Fund 1700 First National Bank Bldg. St. Paul MN 55101 YEAR TO DATE $1850.00		11/01/88	$1000.00	General-88
Oxford Energy PAC Fountain Grove Corp. Ctr. 3510 Unocal Place, 3rd Floor Santa Rosa CA 95403 YEAR TO DATE $3000.00		11/10/88	$3000.00	General-88
Pacific Pac PAC 10100 Santa Monica Blvd. #500 Att: Mr. Carl Rhueban Los Angeles CA 90067 YEAR TO DATE $5000.00		11/07/88	$5000.00	General-88

92040923650

HUMPHREY FOR SENATE

2564

3205				$1000.00		$1000.00

2564

HUMPHREY FOR SENATE
SAMUEL S. HEADS TREE
OPERATING ACCOUNT
[illegible]

CHECK DATE		CHECK AMOUNT
02-16-89		********$1000.00

ONE THOUSAND AND NO/100----------DOLLARS----

TO THE ORDER OF
OXFORD PAC
FOUNTAIN GROVE CORP., OWNER
3510 UNOCAL PLACE, 3RD FLOOR
SANTA ROSA, CA 95403

HUMPHREY FOR SENATE
NON-NEGOTIABLE
AUTHORIZED SIGNATURE

FOO2564 C0910000436 10132630

Exhibit 6

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

MAY 24 [illegible]

Samuel D. Heins, Treasurer
Humphrey for Senate Campaign
Committee, Inc.
701 Fourth Avenue, South, Suite 1800
Minneapolis, MN 55415

Identification Number: C00214593

Reference: April Quarterly Report (1/1/88-3/31/88)

Dear Mr. Heins:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report (pertinent portion attached) discloses a contribution(s) which appears to exceed the limit set forth in the Act. No individual or political committee other than a multicandidate committee may make contributions to a candidate for Federal office in excess of $1,000 per election. The Holmes & Graven PAC did not meet the requirements for multicandidate status as of the date the contribution(s) was made to your committee. The term "contribution" includes any gift, subscription, loan, advance or deposit of money and anything of value made by any person for the purpose of influencing any election for Federal office. (2 U.S.C. §§441a(a) and (f); 11 CFR 110.1(b))

If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your original report with the clarifying information. If the contribution(s) you received exceeds the limit, you should either refund to the donor(s) the amount in excess of $1,000 or get the donor(s) to redesignate the contribution(s) in writing. The Commission should be notified if a refund is necessary. All refunds and redesignations must be made within sixty days of the treasurer's receipt. Refunds are reported on Line 20 of the Detailed Summary Page and on Schedule B of the report covering the period in which they are made. Redesignations are reported as memo entries on Schedule A of the report covering the period in which the authorization for the redesignation is received. (11 CFR 104.8(d)(2) and (4))

9204092336952

Although the Commission may take further legal steps, prompt action by you to refund or seek redesignation of the excessive amount will be taken into consideration.

-Debt payments for this period (Schedule D) are greater than the payments itemized on Schedule B. Each expenditure to a person which in the aggregate is greater than $200 for the year must be reported on Schedule B. "Person" includes an individual, partnership, corporation, association, and public or private organization -- other than an agency of the United States Government. Please explain the discrepancies in the payments made to IRS, Commissioner of Revenue and Minn. Department of Jobs. (11 CFR 104.3(b)(4)(i)(A) and 100.10)

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Secretary of the Senate, 232 Hart Senate Office Building, Washington, DC 20510 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 376-2480.

Sincerely,

Pat Sheppard

Pat Sheppard
Senior Reports Analyst
Reports Analysis Division

Hubert Humphrey III

Humphrey for Senate Campaign Committee, 555 Park Street, Suite 310, St. Paul, Minnesota 55103
Telephone: (612) 228-0999

June 2, 1988

Mr. Walter J. Stewart
Secretary of the Senate
119 D. Street, N.W.
Washington, DC 20510

Ms. Joan Growe
Secretary of State
180 State Office Building
St. Paul, MN 55155

Re: Humphrey for Senate Campaign Committee, Inc.; REPORT AMENDMENT
April 15, 1988 Quarterly Report
FEC ID: C00214593

Dear Mr. Stewart and Ms. Growe:

This letter is in response to the questions raised by the Federal Election Commission concerning information contained in the above report. The question concerning the status of the Holmes & Graven PAC has already been remedied by our previous Amendment of May 19, 1988. We have asked for, and received, a letter from the Holmes & Graven PAC redesignating $1,000.00 to the General Election. We will report the redesignation as a memorandum entry on our next quarterly report.

Secondly, we have obtained letters of redesignation from most, if not all, of the other contributors highlighted in the attachments to the FEC letter. We will also report those redesignations in our next report.

Finally, we are filing an Amended Schedule D and new Summary page because we inadvertantly included as debts and obligations, amounts owed to the IRS, Commissioner of Revenue and Minnesota Department of Jobs and Training. These payments were not due until April 1, 1988. As we paid them on that date, the payments will show up as expenditures in our next quarterly report.

Thank you for your attention to these matters.

Sincerely,

Margaret H. Chutich
Assistant Treasurer

MHC:sbm

Attachment

cc: Pat Sheppard, FEC Analyst

9220402302655

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

FEC-2

SEP 20 1988

Samuel D. Heins, Treasurer
Humphrey For Senate Campaign
Committee Inc.
701 Fourth Avenue, South
Suite 1800
Minneapolis, MN 55415

Identification Number: C00214593

Reference: July Quarterly Report (4/1/88-6/30/88)

Dear Mr. Heins:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Commission Regulations define the term "purpose" to mean a brief statement or description of why a disbursement was made. Examples are "dinner expense", "media", "salary", "polling", "travel", "party fees", "phone banks", "travel expenses", "travel expense reimbursement" and "catering costs". Unacceptable (reimbursement, professional services, contract services) descriptions include "advance", "election day expense", "expenses", "other expenses", "expense reimbursement", "miscellaneous", "outside services", "get-out-the-vote" and "voter registration". (11 CFR 104.3(b)(4)) Please amend Schedule B of your report to clarify the descriptions which do not meet the requirements of the Regulations.

-Schedule A of your report (pertinent portion attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act. An individual or a political committee other than a multicandidate committee may not make a contribution to a candidate for Federal office in excess of $1,000 per election. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for Federal office. (2 U.S.C. §§441a(a) and (f); 11 CFR 110.1(b), (e) and (k))

If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your original report with the clarifying information. If the contribution(s) you received exceeds the limits, you should either refund to the donor the amount in excess

3 8 0 3 5 4 7 0 6 4 4

of $1,000 or get the donor to redesignate and/or reattribute the contribution in writing. All refunds, redesignations, and reattributions must be made within sixty days of the treasurer's receipt of the contribution. Copies of refund checks and copies of letters reattributing or redesignating the contributions in question may be used to respond to this letter. Refunds are reported on Line 20 of the Detailed Summary Page and on Schedule B of the report covering the period in which they are made. Redesignations and reattributions are reported as memo entries on Schedule A of the report covering the period in which the authorization for the redesignation and/or reattribution is received. (11 CFR 104.8(d)(2), (3) and (4))

Although the Commission may take further legal steps, prompt action by you to refund or seek redesignation and/or reattribution of the excessive amount will be taken into consideration.

-Column A, Line 7(c) of the Summary Page should equal the difference of Line 7(a) and Line 7(b).

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Secretary of the Senate, 232 Hart Senate Office Building, Washington, DC 20510 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 376-2480.

Sincerely,

Pat Sheppard

Pat Sheppard
Senior Reports Analyst
Reports Analysis Division

39249702635357

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

SEP 20 1988

Samuel D. Heins, Treasurer
Humphrey For Senate Campaign
Committee, Inc.
701 Fourth Avenue, South
Suite 1800
Minneapolis, MN 55415

Identification Number: C00214593

Reference: 12 Day Pre-Primary Report (7/1/88-8/24/88)

Dear Mr. Heins:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report (pertinent portion attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act. No individual or political committee other than a multicandidate committee may make contributions to a candidate for Federal office in excess of $1,000 per election. Additionally, a multicandidate committee may not make a contribution to a candidate for Federal office in excess of $5,000 per election. The term "contribution" includes any gift, subscription, loan, advance or deposit of money or anything of value made by any person for the purpose of influencing any election for Federal office. (2 U.S.C. §§441(a) and (f); 11 CFR 110.1(b) and (e))

If the contribution(s) in question was incompletely or incorrectly reported, you should amend your original report with the clarifying information. If the contribution(s) you received exceeds the limit, you should either refund to the donor(s) the amount in excess of $1,000 or $5,000 per election or get the donor(s) to redesignate the contribution(s) in writing. The Commission should be notified if a refund is necessary. Refunds are reported on Line 20 of the Detailed Summary Page and on Schedule B of the report covering the period in which the refund is made. Redesignations and/or reattributions are reported as memo entries on Schedule A of the report covering the period in which the authorization for the redesignation is received. (11 CFR 104.8(d)(2) and (4))

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Although the Commission may take further legal steps, prompt action by you to refund or seek redesignation and/or reattribution of the excessive amount(s) will be taken into consideration.

-Please amend your report to include a supporting Schedule A for the activity reported on Line 12 of the Detailed Summary Page. All transfers to your committee from authorized committees must be itemized on Schedule A, regardless of the amount transferred. (11 CFR 104.3(a)(4)(iii)) Line 12, Column A of the Detailed Summary Page discloses $5,000 in transfers. Memo Schedules A supporting Line 12 itemizes $4,650 in transfers. Please explain the discrepancy and amend your report(s) accordingly. (11 CFR 104.3(a)) Please note that the Memo Schedules A should itemize your gross share of the joint fundraising receipt. If any contributions are less than $200 and do not need to be itemized, please give a total amount of these contributions or the last page of the memo Schedule.

-Commission Regulations require the continuous reporting of all outstanding debts. Review of this report indicates an omission of debts itemized on your previous report(s). (11 CFR 104.3(d) and 104.11) Please amend your report to indicate the current status of these omitted debts:

- Squier & Eskew Committee

-On Schedule B of your report, you have itemized disbursements for which you have failed to include the purpose. Please amend your report to include the missing information for each of these entries. (11 CFR 104.3(b)(4))

-Commission Regulations define the term "purpose" to mean a brief statement or description of why a disbursement was made. Examples are "dinner expense", "media", "salary", "polling", "travel", "party fees", "phone banks", "travel expenses", "travel expense reimbursement" and "catering costs". Unacceptable reimbursement, professional services, contract services, descriptions include "advance", "election day expense", "expenses", "other expenses", "expense reimbursement", "miscellaneous", "outside services", "get-out-the-vote" and "voter registration". (11 CFR 104.3(b)(4)) Please amend Schedule B of your report to clarify the descriptions which do not meet the requirements of the Regulations.

9820334947026065459

FEDERAL ELECTION COMMISSION
WASHINGTON D.C. 20463

RQ-3

October 13, 1988

Samuel D. Heins, Treasurer
Humphrey for Senate Campaign
Committee, Inc.
701 Fourth Avenue, South, Suite 1800
Minneapolis, MN 55415

Identification Number: C00214593

Reference: July Quarterly (4/1/88-6/30/88) and 12 Day Pre-Primary (7/1/88-8/24/88) Reports

Dear Mr. Heins:

This letter is to inform you that as of October 12, 1988, the Commission has not received your response to our requests for additional information, dated September 20, 1988. Those notices requested information essential to full public disclosure of your Federal election financial activity and to ensure compliance with provisions of the Federal Election Campaign Act (the Act). Copies of our original requests are enclosed.

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions related to this matter, please contact Pat Sheppard on our toll-free number (800) 424-9530 or our local number (202) 376-2480.

Sincerely,

John D. Gibson

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosure

8 3 3 3 5 1 4 2 3
9 2 0 4 0 9 2 3 5 8 6 0

1988 Amended July Quarterly Reports (and 12 Day Pre-Primary)
Attachment# [redacted]
Page 1 of 2

SECRETARY OF THE SENATE
88 OCT 20 AM 10 43

Hubert Humphrey III

Humphrey for Senate Campaign Committee, [redacted] Park Street, Suite 310, St. Paul, Minnesota [redacted]
Telephone: (612) [redacted]

October 19, 1988

Walter J. Stewart
Secretary of the Senate
232 Hart Senate Office Building
Washington, DC 20510

Re: July Quarterly (4/1/88 - 6/30/88),
12 Day Pre-Primary (7/1/88 - 8/24/88),
and October Quarterly
(8/25/88 - 9/30/88) Reports of the
Humphrey for Senate Campaign
Committee, Inc.

Identification No. C00214593

Dear Mr. Secretary:

This letter is in response to the Federal Election Commission's request for further information dated October 13, 1988, concerning the July Quarterly and the 12 Day Pre-Primary Reports. Unfortunately, we did not receive the Commission's previous letter, dated September 20, 1988, which requested such information. In addition to responding to the Commission's request, we are enclosing amendments to our October Quarterly Report.

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The July Quarterly Report

Concerning the July Quarterly Report, we have now amended Schedule B of the Report to clarify the descriptions of disbursements. Please see Exhibit A for the amended Schedule B. Additionally, concerning the contribution which appeared to exceed the Act's limit, we received a letter of redesignation from the individual in question. This redesignation was reported on the October Report. Enclosed for your information, and attached as Exhibit B, is a copy of the individual's written redesignation letter. Finally, regarding Column A, Line 7(c) of

the Summary Page, we inadvertently added Line 7(a) and Line 7(b) instead of subtracting one from the other. The corrected Summary Page is attached as Exhibit C.

The 12 Day Pre-Primary Report

The following information pertains to questions about the 12 Day Pre-Primary Report:

1) The Campaign has now received redesignations or reattributions from all of the individuals or entities which the Commission highlighted in its request. All of these redesignations or reattributions have been reported on the October Quarterly Report. Additionally, one individual's reattribution was erroneously reported as a redesignation. Enclosed as Exhibit D is that individual's reattribution letter. Also enclosed as Exhibit E is an amended page for the Schedule A which shows this reattribution.

2) We are enclosing an amended Memorandum Schedule A which reflects the gross share of the joint fund-raising receipt and includes $350 in unitemized contributions. Please see Exhibit F for the Supplement for Schedule A in Support of Line 12.

3) Schedule D of the July 15 Quarterly Report incorrectly showed that the Campaign owed $179,765 to Squier & Eskew Communications when $10,000 of that sum had already been paid on June 17, 1988. Please find an amended Schedule D for the July Quarterly Report which correctly shows the Squier & Eskew debt and a receipt from Squier & Eskew for the $10,000 payment in question. See Exhibit G and H, respectively.

4) Please find enclosed and attached as Exhibit I, an amended Schedule B for the 12 Day Pre-Primary Report. This Amended Schedule clarifies the descriptions of disbursements.

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The October Quarterly Report

We are also taking this opportunity to [illegible] amended Schedule B to the October quarterly report. [illegible] Schedule, attached as Exhibit A, clarifies the [illegible] descriptions. [illegible] amended Detailed Summary [illegible] accidentally transposed [illegible]

Thank you for your attention to these matters. We hope this information resolves your questions.

Very truly yours,

Samuel D. Heins
Treasurer

SDH:lc
Enclosures
cc: Ms. Pat Sheppard
The Honorable Joan A. Growe
Joe Tessmer

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

JAN 31 1989

Samuel D. Heins, Treasurer
Humphrey for Senate Campaign
Committee Inc.
701 Fourth Avenue, South
Suite 1800
Minneapolis, MN 55415

Identification Number: C00214593

Reference: October Quarterly Report (8/25/88-9/30/88)

Dear Mr. Heins:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Please amend your report to include a supporting Schedule A for the activity reported on Line 12 of the Detailed Summary Page. All transfers to your committee from authorized committees must be itemized on Schedule A, regardless of the amount transferred. (11 CFR 104.3(a)(4)(iii)) Please note that the Memo Schedules A should itemize your gross share of the joint fundraising receipt. If any contributions are less than $200 and do not need to be itemized, please give a total amount of these contributions or the last page of the memo Schedule.

-Schedule A of your report (pertinent portion attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act. An individual or a political committee other than a qualified multicandidate committee may not make a contribution to a candidate for Federal office in excess of $1,000 per election. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for Federal office. (2 U.S.C. §§441a(a) and (f))

The Commission notes your refund, redesignation and/or redesignation of the excessive contribution(s). Although the Commission may take further legal steps, your action in refunding, redesignating and/or reattributing the excessive amount(s) will be taken into consideration.

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[redacted]

Attachment #8
page 2 of 2

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Secretary of the Senate, 232 Hart Senate Office Building, Washington, DC 20510 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 376-2480.

Sincerely,

Pat Sheppard

Pat Sheppard
Senior Reports Analyst
Reports Analysis Division

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

JAN 31 1989

Samuel D. Heins, Treasurer
Humphrey for Senate Campaign
Committee Inc.
701 Fourth Avenue, South
Suite 1800
Minneapolis, MN 55415

Identification Number: C00214593

Reference: 12 Day Pre-General Report (10/1/88-10/19/88)

Dear Mr. Heins:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report (pertinent portion attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act. An individual or a political committee other than a qualified multicandidate committee may not make a contribution to a candidate for Federal office in excess of $1,000 per election. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for Federal office. (2 U.S.C. §§441a(a) and (f); 11 CFR 110.1(b), (e) and (k))

If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your original report with the clarifying information. If the contribution(s) you received exceeds the limits, you should either refund to the donor the amount in excess of $1,000 or get the donor to redesignate and/or reattribute the contribution in writing. All refunds, redesignations, and reattributions must be made within sixty days of the treasurer's receipt of the contribution. Copies of refund checks and copies of letters reattributing or redesignating the contributions in question may be used to respond to this letter. Refunds are reported on Line 20 of the Detailed Summary Page and on Schedule B of the report covering the period in which they are made. Redesignations and reattributions are reported as memo

page 2 of 2

entries on Schedule A of the report covering the period in which the authorization for the redesignation and/or reattribution is received. (11 CFR 104.8(d)(2), (3) and (4))

The Commission notes your partial reattribution of the contribution from Harold Greenwood. Please note that reattributions can only be done between spouses. Although the Commission may take further legal steps, prompt action by you to refund or seek redesignation and/or reattribution of the remaining excessive amount will be taken into consideration.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington, DC 20515 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 376-2480.

Sincerely,

Pat Sheppard

Pat Sheppard
Senior Reports Analyst
Reports Analysis Division

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FEDERAL ELECTION COMMISSION
WASHINGTON D.C. 20463

RQ-2

JAN 31 1989

Samuel D. Heins, Treasurer
Humphrey for Senate Campaign Committee
701 Fourth Avenue South
Suite 1800
Minneapolis, MN 55415

Identification Number: C00214593

Reference: 30 Day Post-General Report (10/20/88-11/28/88)

Dear Mr. Heins:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report (pertinent portion attached) discloses a contribution(s) which appears to exceed the limit set forth in the Act. No individual or political committee other than a qualified multicandidate committee may make contributions to a candidate for Federal office in excess of $1,000 per election. Additionally, the Oxford Energy PAC did not meet the requirements for qualified multicandidate status as of the date the contribution(s) was made to your committee. The term "contribution" includes any gift, subscription, loan, advance or deposit of money and anything of value made by any person for the purpose of influencing any election for Federal office. (2 U.S.C. §§441a(a) and (f); 11 CFR 110.1(b))

If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your original report with the clarifying information. If the contribution(s) you received exceeds the limit, you should either refund to the donor(s) the amount in excess of $1,000 or get the donor(s) to redesignate the contribution(s) in writing. All refunds and redesignations must be made within sixty days of the treasurer's receipt of the contribution. Copies of refund checks and copies of letters reattributing or redesignating the contributions in question may be used to respond to this letter. Refunds are reported on Line 20 of the Detailed Summary Page and on Schedule B of the report covering the period in which they are made. Redesignations are reported as memo entries on Schedule A of the report covering the period in which

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the authorization for the redesignation is received. (11 CFR 104.8(d)(2) and (4))

Although the Commission may take further legal steps, prompt action by you to refund or seek redesignation of the excessive amount will be taken into consideration.

-Please amend your report to include a supporting Schedule A for the activity reported on Line 12 of the Detailed Summary Page. All transfers to your committee from authorized committees must be itemized on Schedule A, regardless of the amount transferred. (11 CFR 104.3(a)(4)(iii)) Please note that the Memo Schedules A should itemize your gross share of the joint fundraising receipt. If any contributions are less than $200 and do not need to be itemized, please give a total amount of these contributions or the last page of the memo Schedule.

-Your report includes computer produced schedules of itemized loans and/or debts. Computer produced schedules may be used upon prior approval of the Commission. Please submit a separate request (including an example of the proposed format) for consideration. Until your format has been approved, FEC schedules must be used. (11 CFR 104.2(d))

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Secretary of the Senate, 232 Hart Senate Office Building, Washington, DC 20510 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 376-2480.

Sincerely,

Pat Sheppard

Pat Sheppard
Senior Reports Analyst
Reports Analysis Division

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Attachment # 11
Page ___ of ___

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-3

February 23, 1989

Samuel D. Heins, Treasurer
Humphrey for Senate Campaign
Committee
701 Fourth Avenue South, Suite 1800
Minneapolis, MN 55415

Identification Number: C00214593

Reference: October Quarterly (8/25/88-9/30/88), 12 Day Pre-General (10/1/88-10/19/88) and 30 Day Post-General (10/20/88-11/28/88) Reports

Dear Mr. Heins:

This letter is to inform you that as of February 22, 1989 the Commission has not received your response to our requests for add'tional information, dated January 31, 1989. Those notices requested information essential to full public disclosure of your Federal election financial activity and to ensure compliance with provisions of the Federal Election Campaign Act (the Act). Copies of our original requests are enclosed.

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions related to this matter, please contact Pat Sheppard on our toll-free number (800) 424-9530 or our local number (202) 376-2480.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosures

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1988 Amended October Quarterly,
12 Day Pre General, and 30 Day
Post General Reports
Attachment 12
Page 1 of 3

Hubert Humphrey III

Humphrey for Senate Campaign Committee, 555 Park Street, Suite 205 St. Paul, Minnesota 55103
Telephone (612) 222-5555

February 27, 1989

Mr. Walter J. Stewart
Secretary of the Senate
232 Hart Senate Office Bldg.
Washington, DC 20510

Re: Humphrey for Senate
Campaign Committee, Inc.
C00214593

Dear Mr. Secretary:

This letter is in response to the three letters dated January 31, 1989 from Pat Shepard regarding the Humphrey for Senate Campaign Committee, Inc. ("the Committee") Federal Election Commission report filings. We did not receive the Commission's three letters until February 14, 1989. The Committee is thus responding to your request for information with in fifteen days of its receipts of the request. We have addressed your questions on each report separately as follows.

I. October Quarterly Report - 08/25/88 to 09/30/88

A. The contributions supporting line 12 (Transfers from Other Authorized Committees) were not shown on a supporting Schedule A, but were integrated into Schedule A as memos. The contributors supporting line 12 are listed in Schedule A on pages 4, 5, and 7 for section 11C (see Exhibit 1). Also, included as Exhibit 2 is a separate supporting Schedule A which shows the contributors to the Great Plains Victory Fund.

B. As stated in subsequent reports, the Humphrey for Senate Campaign Committee, Inc. did receive redesignations for Donald Snedo and Henry Helgen, III. The Committee also refunded contributions to Stephen Lieberman and Michael Solin.

II. Twelve Day Pre General Report - 10/01/88 to 10/19/88

A. In response to the statement regarding contribution limits on Schedule A:

1. Susan Waller has verbally given the Committee permission to reattribute a portion of her contribution. As of February 24, 1989, she had not, as promised, sent the Committee a written reattribution. Because of the lack of written documentation, the contribution has been refunded (see Exhibit 3).

2. The redesignation of Farzad Khoursheed redesignation appears on the Year End Report 11/29/88 to 12/31/88. A copy of the page is attached (see Exhibit 4).

3. Harold Greenwood's reattribution of $1000.00 to Susan Greenwood Olson has been refunded (see Exhibit 5).

III. Thirty Day Post General Report - 10/20/88 to 11/28/88

A. Regarding the contribution given by the Oxford Pac, the Humphrey for Senate Campaign Committee, Inc. was erroneously informed that it qualified as a Multi-Candidate Pac. The excessive amount of $1000.00 has been refunded (see Exhibit 6).

B. The following concerns the Schedule A contribution limits:

1. Mark Mandel, John Larson, Margaret Bye, Susan Olson, and The Conrad PAC, have all properly redesignated and reattributed their contributions and are listed on the Year End Report. A copy of the report pages are attached (see Exhibit 7).

2. William McGrann's contribution was earmarked and has been refunded to the proper organization (see Exhibit 8).

3. Phillip Pillsbury requested a refund of his contribution and it has been refunded (see Exhibit 9).

C. The contributions supporting line 12 of the report were individually incorporated within Schedule A as memos. The contributions for line 11 are listed in Schedule A on page 20 for line 11A and on pages 7 and 8 for line 11C (see Exhibit 10). Also, please find a separate supporting Schedule A to verify the amount on line 12 (see Exhibit 11).

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D. Finally, regarding Schedules C and D, we are requesting permission to use our own computer produced schedules. Please find attached copies of our schedules for your review (see Exhibits 12 and 13).

Thank you for your attention to the above stated matters.

Sincerely,

Humphrey for Senate
Campaign Committee, Inc.

Samuel D. Heins
Treasurer

SDH/sbm

Enclosures

cc: Margaret H. Chutich, Ass't Treasurer
Joe Tessmer, Campaign Manager
Hubert H. Humphrey, III
Joan Growe, MN Secretary of State

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RECEIVED
FEDERAL ELECTION COMMISSION
89 AUG 16 PM 2:44

FEDERAL ELECTION COMMISSION
999 E Street, N.W.
Washington, D.C. 20463

SENSITIVE

FIRST GENERAL COUNSEL'S REPORT

RAD Referral # 89L-15
STAFF MEMBER: Kenneth Kellner

SOURCE: I N T E R N A L L Y G E N E R A T E D

RESPONDENTS: Humphrey For Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer

Oxford Energy Company PAC and Patrick O'Brien, as treasurer

RELEVANT STATUTE: 2 U.S.C. § 441a(a)(1)(A)
2 U.S.C. § 441a(f)
11 C.F.R. § 103.3(b)(3)

INTERNAL REPORTS CHECKED: Disclosure Reports

FEDERAL AGENCIES CHECKED: None

I. GENERATION OF MATTER

This matter was referred to the Office of the General Counsel on May 11, 1989, by the Reports Analysis Division (Attachment 1). The referral concerns the acceptance of apparent excessive contributions by the Humphrey For Senate Campaign Committee, Inc. (the "Committee"). The referral is based on a review of reports filed by the Committee.

II. FACTUAL AND LEGAL ANALYSIS

Under 2 U.S.C. § 441a(a)(1)(A), it is unlawful for any person to make contributions to any candidate and his authorized

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committee with respect to any election for Federal office, which, in the aggregate, exceed $1,000. The term "person" includes a political committee. 2 U.S.C. § 431(11).

Under 2 U.S.C. § 441a(f), it is unlawful for an officer or employee of a political committee to knowingly accept a contribution made for the use or benefit of a candidate in violation of the limitations imposed on contributions under 2 U.S.C. § 441a.

Under 11 C.F.R. § 103.3(b)(3), the treasurer of a political committee is responsible for examining all contributions received to determine whether such contributions are illegal or whether, when aggregated with other contributions from the same contributor, exceed the contribution limitations. Excessive contributions may be deposited in a campaign depository or returned to the contributor. If an excessive contribution is deposited by a committee, the treasurer of the committee may request redesignation or reattribution of the contribution by the contributor. If a redesignation or reattribution is not obtained, the contribution must be refunded to the contributor within 60 days of the receipt of the contribution.

Review of the Committee's 1988 April Quarterly, 1988 July Quarterly, 1988 12 Day Pre-Primary, 1988 October Quarterly, 1988 12 Day Pre-General and 1988 30 Day Post-General Reports, revealed apparent excessive contributions totaling $22,100 made by 31 individuals and two (2) non-qualified political committees. Of the apparent excessive amount, the Committee has refunded, redesignated or reattributed a total of $14,955 as of the date of

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the RAD referral. In addition, the Committee has received $3,050 in apparent excessive contributions for which corrective action was taken within 60 days of receipt. Accordingly, these latter contributions are not included in the referable amount.[2]

Accordingly, this Office recommends that the Commission find reason to believe that the Humphrey For Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer, violated 2 U.S.C. § 441a(f), and that the Oxford Energy Company PAC and Patrick O'Brien, as treasurer, violated 2 U.S.C. § 441a(a)(1)(A).

The factual and legal analysis prepared for the Committee includes as an addendum the chart prepared by RAD listing all the

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2. The referral materials include a chart which summarizes the apparent excessive contributions. The chart is an alphabetical listing of all the contributions from individuals and political committees who have apparently contributed in excess of their limits. The contributions for which corrective action was taken with 60 days of receipt are included on the chart and are designated with two (2) asterisks (**).

excessive contributions. To avoid confusing the Committee, the chart includes the $3,050 in apparent excessive contributions for which corrective action was taken within 60 days of receipt.

III. RECOMMENDATIONS

1. Open a MUR.

2. Find reason to believe that the Humphrey For Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer, violated 2 U.S.C. § 441a(f).

3. Find reason to believe that the Oxford Energy Company PAC and Patrick O'Brien, as treasurer violated 2 U.S.C. § 441a(a)(1)(A).

4. Approve the attached letters and factual and legal analyses, and interrogatories and request for production of documents.

Lawrence M. Noble
General Counsel

Date 8/16/89

BY: Lois G. Lerner
Associate General Counsel

Attachments
1. Referral Materials
2. Proposed letters (2), factual and legal analyses (2), and interrogatories and request for production of documents (1)

9204092336777

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
Humphrey For Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer	)	RAD Referral #89L-15 (MUR2937)
	)	
Oxford Energy Company PAC and Patrick O'Brien, as treasurer	)	

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on August 21, 1989, the Commission decided by a vote of 5-0 to take the following actions in RAD Referral #89L-15:

1. Open a MUR.

2. Find reason to believe that the Humphrey For Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer, violated 2 U.S.C. § 441a(f).

3. Find reason to believe that the Oxford Energy Company PAC and Patrick O'Brien, as treasurer violated 2 U.S.C. § 441a(a)(1)(A).

4. Approve the letters and factual and legal analyses, and interrogatories and request for production of documents as recommended in the General Counsel's Report dated August 16, 1989.

Commissioners Aikens, Elliott, Josefiak, McDonald and McGarry voted affirmatively for the decision; Commissioner Thomas did not vote.

Attest:

8-21-89
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

Received in the Secretariat:	Wednesday, August 16, 1989	3:44 p.m.
Circulated to the Commission:	Thursday, August 17, 1989	11:00 a.m.
Deadline for vote:	Monday, August 21, 1989	11:00 a.m.

9204092367 8

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

September 6, 1989

Samuel D. Heins, Treasurer
Humphrey For Senate
Campaign Committee, Inc.
701 Fourth Avenue South
Suite 1800
Minneapolis, MN 55415

RE: MUR 2937
Humphrey For Senate
Campaign Committee, Inc.
and Samuel D. Heins, as
treasurer

Dear Mr. Heins:

On August 21 , 1989, the Federal Election Commission found that there is reason to believe the Humphrey For Senate Campaign Committee, Inc. (the "Committee") and you, as treasurer, violated 2 U.S.C. § 441a(f), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act"). The Factual and Legal Analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against the Committee and you, as treasurer. You may submit any factual or legal materials that you believe are relevant to the Commission's consideration of this matter. Please submit such materials to the General Counsel's Office within 15 days of your receipt of this letter along with answers to the enclosed questions. Where appropriate, statements should be submitted under oath.

In the absence of any additional information demonstrating that no further action should be taken against the Committee and you, as treasurer, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

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If you are interested in pursuing pre-probable cause conciliation, you should so request in writing. See 11 C.F.R. § 111.18(d). Upon receipt of the request, the Office of the General Counsel will make recommendations to the Commission either proposing an agreement in settlement of the matter or recommending declining that pre-probable cause conciliation be pursued. The Office of the General Counsel may recommend that pre-probable cause conciliation not be entered into at this time so that it may complete its investigation of the matter. Further, the Commission will not entertain requests for pre-probable cause conciliation after briefs on probable cause have been mailed to the respondent.

Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address, and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Kenneth E. Kellner, the attorney assigned to this matter, at (202) 376-8200.

Sincerely,

Danny L. McDonald
Chairman

Enclosures
Factual and Legal Analysis
Procedures
Designation of Counsel Form
Questions

cc: Hubert H. Humphrey, III

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of) MUR)

INTERROGATORIES AND REQUEST FOR PRODUCTION OF DOCUMENTS

TO: Samuel D. Heins, Treasurer
Humphrey For Senate Campaign Committee, Inc.
701 Fourth Avenue South
Suite 1800
Minneapolis, MN 55415

In furtherance of its investigation in the above-captioned matter, the Federal Election Commission hereby requests that you submit answers in writing and under oath to the questions set forth below within 15 days of your receipt of this request. In addition, the Commission hereby requests that you produce the documents specified below, in their entirety, for inspection and copying at the Office of the General Counsel, Federal Election Commission, Room 659, 999 E Street, N.W., Washington, D.C. 20463, on or before the same deadline, and continue to produce those documents each day thereafter as may be necessary for counsel for the Commission to complete their examination and reproduction of those documents. Clear and legible copies or duplicates of the documents which, where applicable, show both sides of the documents may be submitted in lieu of the production of the originals.

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INSTRUCTIONS

In answering these interrogatories and request for production of documents, furnish all documents and other information, however obtained, including hearsay, that is in possession of, known by or otherwise available to you, including documents and information appearing in your records.

Each answer is to be given separately and independently, and unless specifically stated in the particular discovery request, no answer shall be given solely by reference either to another answer or to an exhibit attached to your response.

The response to each interrogatory propounded herein shall set forth separately the identification of each person capable of furnishing testimony concerning the response given, denoting separately those individuals who provided informational, documentary or other input, and those who assisted in drafting the interrogatory response.

If you cannot answer the following interrogatories in full after exercising due diligence to secure the full information to do so, answer to the extent possible and indicate your inability to answer the remainder, stating whatever information or knowledge you have concerning the unanswered portion and detailing what you did in attempting to secure the unknown information.

Should you claim a privilege with respect to any documents, communications, or other items about which information is requested by any of the following interrogatories and requests for production of documents, describe such items in sufficient detail to provide justification for the claim. Each claim of privilege must specify in detail all the grounds on which it rests.

Unless otherwise indicated, the discovery request shall refer to the time period from January 1, 1988 to the present.

The following interrogatories and requests for production of documents are continuing in nature so as to require you to file supplementary responses or amendments during the course of this investigation if you obtain further or different information prior to or during the pendency of this matter. Include in any supplemental answers the date upon which and the manner in which such further or different information came to your attention.

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DEFINITIONS

For the purpose of these discovery requests, including the instructions thereto, the terms listed below are defined as follows:

"You" shall mean the named respondent in this action to whom these discovery requests are addressed, including all officers, employees, agents or attorneys thereof.

"Persons" shall be deemed to include both singular and plural, and shall mean any natural person, partnership, committee, association, corporation, or any other type of organization or entity.

"Document" shall mean the original and all non-identical copies, including drafts, of all papers and records of every type in your possession, custody, or control, or known by you to exist. The term document includes, but is not limited to books, letters, contracts, notes, diaries, log sheets, records of telephone communications, transcripts, vouchers, accounting statements, ledgers, checks, money orders or other commercial paper, telegrams, telexes, pamphlets, circulars, leaflets, reports, memoranda, correspondence, surveys, tabulations, audio and video recordings, drawings, photographs, graphs, charts, diagrams, lists, computer print-outs, and all other writings and other data compilations from which information can be obtained.

"Identify" with respect to a document shall mean state the nature or type of document (e.g., letter, memorandum), the date, if any, appearing thereon, the date on which the document was prepared, the title of the document, the general subject matter of the document, the location of the document, the number of pages comprising the document.

"Identify" with respect to a person shall mean state the full name, the most recent business and residence addresses and the telephone numbers, the present occupation or position of such person, the nature of the connection or association that person has to any party in this proceeding. If the person to be identified is not a natural person, provide the legal and trade names, the address and telephone number, and the full names of both the chief executive officer and the agent designated to receive service of process for such person.

"And" as well as "or" shall be construed disjunctively or conjunctively as necessary to bring within the scope of these interrogatories and requests for the production of documents any documents and materials which may otherwise be construed to be out of their scope.

INTERROGATORIES AND REQUEST FOR PRODUCTION OF DOCUMENTS

1. Regarding the $22,100 in excessive contributions accepted by the Humphrey For Senate Campaign Committee, Inc. (the "Committee") described in the factual and legal analysis and enumerated in the chart attached to the factual and legal analysis:

   a. State whether there have been any other refunds, redesignations or reattributions of the excessive contributions other than those enumerated in the chart attached to the factual and legal analysis. If so, for each, specify whether the contribution was refunded, redesignated or reattributed, the date of this action, the amount of the refund, redesignation or reattribution, and in which Report of Receipts and Disbursements filed with the Secretary of the Senate the refund, redesignation or reattribution was reported.

2. Produce all documents concerning the above interrogatory including both sides of all relevant refund checks and copies of signed writings from a Committee agent and contributors evincing all redesignations or reattributions of excessive contributions.

9204092 3684

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

September 6, 1989

Patrick O'Brien, Treasurer
Oxford Energy Company PAC
675 Third Avenue
New York, New York 10017

RE: MUR 2937
Oxford Energy Company PAC
and Patrick O'Brien, as
treasurer

Dear Mr. O'Brien:

On August 21 , 1989, the Federal Election Commission found that there is reason to believe the Oxford Energy Company PAC (the "Committee") and you, as treasurer, violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act"). The Factual and Legal Analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against the Committee and you, as treasurer. You may submit any factual or legal materials that you believe are relevant to the Commission's consideration of this matter. Please submit such materials to the General Counsel's Office within 15 days of your receipt of this letter. Where appropriate, statements should be submitted under oath.

In the absence of any additional information demonstrating that no further action should be taken against the Committee and you, as treasurer, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

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If you are interested in pursuing pre-probable cause conciliation, you should so request in writing. See 11 C.F.R. § 111.18(d). Upon receipt of the request, the Office of the General Counsel will make recommendations to the Commission either proposing an agreement in settlement of the matter or recommending declining that pre-probable cause conciliation be pursued. The Office of the General Counsel may recommend that pre-probable cause conciliation not be entered into at this time so that it may complete its investigation of the matter. Further, the Commission will not entertain requests for pre-probable cause conciliation after briefs on probable cause have been mailed to the respondent.

Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address, and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Kenneth E. Kellner, the attorney assigned to this matter, at (202) 376-8200.

Sincerely,

Danny L. McDonald
Chairman

Enclosures
Factual and Legal Analysis
Procedures
Designation of Counsel Form

9204092336886

OGC 4083

RECEIVED
FEDERAL ELECTION COMMISSION
MAIL ROOM
89 SEP 22 AM 10:06

HUMPHREY FOR SENATE CAMPAIGN COMMITTEE, INC.
2200 WASHINGTON SQUARE
100 WASHINGTON AVENUE SOUTH
MINNEAPOLIS, MINNESOTA 55401

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL
89 SEP 22 AM 11:04

September 21, 1989

EXPRESS MAIL

Kenneth E. Kellner, Esq.
Federal Election Commission
999 E. Street, N.W.
Washington, D.C. 20463

Re: MUR 2937;
Humphrey For Senate Campaign Committee, Inc.
and Samuel D. Heins, as treasurer

Dear Mr. Kellner:

Please find enclosed designations of counsel by the Humphrey For Senate Campaign Committee, Inc. and by Samuel D. Heins, Treasurer.

By this letter, the Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins also respectfully request an extension of time in which to respond until October 20, 1989. This request is made in a timely manner because the Humphrey For Senate Campaign Committee, Inc. and Samuel D. Heins did not receive the attachments to the letter informing us of MUR 2937, including the factual and legal analysis and the interrogatories and request for production of documents, until September 15, 1989.

We believe that an extension of time is necessary to conduct a thorough investigation and analysis of our records concerning the thirty-three contributors that you highlighted. Moreover, the person who has had primary responsibility in compiling records

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concerning contributions is not available until next week, hindering our efforts to begin our review. Thus, we believe that an extension of time of twenty days is crucial to allow us to respond to your materials in an organized and thorough fashion.

Thank you for your consideration of this matter.

Very truly yours,

HUMPHREY FOR SENATE
CAMPAIGN COMMITTEE, INC.

Margaret H Chutich

Margaret H. Chutich
Assistant Treasurer

MHC:ems

Enclosure

cc: Hubert H. Humphrey, III
Frank J. Walz, Esq.
Eric Johnson, Esq.

9204092236888

CRANES CREST

STATEMENT OF DESIGNATION OF COUNSEL

MUR 2937

NAME OF COUNSEL: Frank J. Walz, Esq.

ADDRESS: Best & Flanagan
3500 IDS Centre
Minneapolis, MN 55402-2113

TELEPHONE: 612/339-7121

The above-named individual is hereby designated as my counsel and is authorized to receive any notifications and other communications from the Commission and to act on my behalf before the Commission.

September 20, 1989
Date

Signature Samuel D. Heins

RESPONDENT'S NAME: Samuel D. Heins

ADDRESS: 2200 Washington Square
100 Washington Avenue South
Minneapolis, MN 55401

HOME PHONE: 612/377-8318

BUSINESS PHONE: 612/339-6900

9204092336889

STATEMENT OF DESIGNATION OF COUNSEL

MUR 2937

NAME OF COUNSEL: Frank J. Walz, Esq.

ADDRESS: Best & Flanagan

3500 IDS Centre

Minneapolis, MN 55402-2113

TELEPHONE: 612/339-7121

The above-named individual is hereby designated as my counsel and is authorized to receive any notifications and other communications from the Commission and to act on my behalf before the Commission.

September 21, 1989
Date

Margaret H. Chutich
Signature Margaret H. Chutich
Assistant Treasurer

RESPONDENT'S NAME: Humphrey For Senate Campaign Committee, Inc.

ADDRESS: 2200 Washington Square

100 Washington Avenue South

Minneapolis, MN 55401

HOME PHONE: 612/824-0673

BUSINESS PHONE: 612/339-6900

92040923690

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

September 27, 1989

Frank J. Walz, Esq.
Best & Flanagan
3500 IDS Centre
Minneapolis, MN 55402

RE: MUR 2937
Humphrey For Senate
Campaign Committee and
Samuel D. Heins, as
treasurer

Dear Mr. Walz:

This is in response to your letter dated September 21, 1989, which we received on September 22, 1989, requesting an extension of twenty (20) days to respond to the above-captioned matter. After considering the circumstances presented in your letter, I have granted the requested extension. Accordingly, your response is due by the close of business on October 20, 1989.

If you have any questions, please contact Kenneth E. Kellner, the attorney assigned to this matter, at (202) 376-8200.

Sincerely,

Lawrence M. Noble
General Counsel

BY: Lois G. Lerner
Associate General Counsel

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OGC 4154

RECEIVED
FEDERAL ELECTION COMMISSION
MAIL ROOM

89 OCT -2 AM 11:04

HUMPHREY FOR SENATE CAMPAIGN COMMITTEE, INC.
2200 WASHINGTON SQUARE
100 WASHINGTON AVENUE SOUTH
MINNEAPOLIS, MINNESOTA 55401

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL
89 OCT -2 PM 12:52

September 29, 1989

Kenneth E. Kellner, Esq.
Federal Election Commission
999 E Street N.W.
Washington, D.C. 20463

Re: MUR 2937
Humphrey for Senate Campaign Committee, Inc.
and Samuel D. Heins, as treasurer

Dear Mr. Kellner:

On September 21, 1989, the Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, Treasurer, forwarded to you designation of counsel. In addition to those designations, please find enclosed the Designation of Counsel signed and approved by the former candidate, Hubert H. Humphrey, III.

Thank you for your attention to this matter.

Very truly yours,

HUMPHREY FOR SENATE CAMPAIGN
COMMITTEE, INC.

Margaret H. Chutich

Margaret H. Chutich
Assistant Treasurer

MHC:dka
Enclosure

cc: Hubert H. Humphrey, III
Frank J. Walz, Esq.
Eric Johnson, Esq.

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STATEMENT OF DESIGNATION OF COUNSEL

MUR 2937

NAME OF COUNSEL: Frank J. Walz, Esq.

ADDRESS: Best & Flanagan
3500 IDS Centre
Minneapolis, MN 55402-2113

TELEPHONE: 612/339-7121

The above-named individual is hereby designated as my counsel and is authorized to receive any notifications and other communications from the Commission and to act on my behalf before the Commission.

9/22/89.
Date

Signature Hubert H. Humphrey, III

RESPONDENT'S NAME: Hubert H. Humphrey, III

ADDRESS: 102 State Capitol
St. Paul, MN 55155

HOME PHONE:

BUSINESS PHONE: 612/297-4272

9204092336933

MUR 2937
GC 4228

JOHN R. CARROLL
JAMES D. OLSON
ARCHIBALD SPENCER
ROBERT M. SLADE
ROBERT L. CROSBY
LEONARD M. ADDINGTON
ROBERT R. BARTH
N. WALTER GRAFF
ALLEN D. BARNARD
RICHARD A. PETERSON
ROBERT J. CHRISTIANSON, JR.
FRANK J. WALZ
THOMAS D. CARLSON
FRANK VOGL
MARINUS W. VAN PUTTEN, JR.
JOHN A. BURTON, JR.
JAMES C. DIRACLES
ROBERT L. MELLER, JR.
SCOTT D. ELLER
CHARLES C. BERQUIST
GEORGE O. LUDCKE
E. JOSEPH LAFAVE III
GREGORY D. SOULE
CATHY E. GORLIN
PATRICK B. HENNESSY
CHRISTINE K. SOLSO
TIMOTHY A. SULLIVAN
TAMMY L. PUST
BRIAN F. RICE

BEST & FLANAGAN
ATTORNEYS AT LAW
3500 IDS CENTER
MINNEAPOLIS, MINNESOTA 55402-2113
TELEPHONE (612) 339-7121
TELECOPIER (612) 339-5897

ROBERT G. DANIELSEN
TRACY J. VAN STEENBURGH
DAVID J. ZUBKE
STEVEN R. KRUGER
JAMES P. MICHELS
PAUL E. KAMINSKI
ELIZABETH W. VOBACH
CHRISTOPHER J. CHAPUT
CINDY J. LARSON
JOHN P. BOYLE
ROSS C. FORMELL
CHRISTOPHER C. FOY
CARYN SCHERB GLOVER
SARAH S. GODFREY

OF COUNSEL
CHARLES S. BELLOWS
WARD B. LEWIS

RETIRED
GEORGE MALONEY
LEONARD W. SIMONET

JAMES I. BEST
1902-1966
ROBERT J. FLANAGAN
1896-1974

October 6, 1989

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL
89 OCT 10 PM 1:14

Mr. Kenneth E. Kellner
Federal Election Commission
999 E Street, N.W.
Washington, D. C. 20463

Re: MUR 2937 - Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins as Treasurer

Dear Mr. Kellner:

Confirming our telephone conversation of yesterday, please be advised that the Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as Treasurer wish to pursue pre-probable cause conciliation in this matter, pursuant to 11 C.F.R. § 111.18(d). We await your direction in that regard.

In the meantime, as you requested, we will complete the preparation of our response to the Interrogatories and Request for Production of Documents which accompanied the letter to Mr. Heins dated September 6, 1989. We understand that our response is due on October 20, 1989.

Yours very truly,

Frank Walz

Frank J. Walz

FJW:emw
cc: Mr. Samuel D. Heins
Ms. Margaret H. Chutich
6856Q

RECEIVED
FEDERAL ELECTION COMMISSION
MAIL ROOM
89 OCT 10 AM 10:27

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OGC 4250

RECEIVED
FEDERAL ELECTION COMMISSION
MAIL ROOM

89 OCT 13 AM 10:38

THE OXFORD ENERGY COMPANY
POLITICAL ACTION COMMITTEE
3510 UNOCAL PLACE, SUITE 312
SANTA ROSA, CA 95403

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL

89 OCT 13 PM 12:32

October 10, 1989

Kenneth E. Kellner, Esq.
Federal Election Commission
Washington, DC 20463

Re: MUR 2937: Oxford Energy Company PAC
and Patrick O'Brien, as Treasurer

Dear Mr. Kellner:

Thank you for your letter of September 6, 1989, notifying us of the above-referenced matter, which was not received by the PAC until September 25, 1989 at its new California address. The PAC will file an amended statement of organization to reflect its new address.

In connection with the above-referenced matter, as you requested in your October 5, 1989 telephone conversation with our attorney, Stuart Rosen, enclosed is a copy of the letter dated February 6, 1989 from Joan M. Brown, Assistant Treasurer of the Oxford Energy Company PAC (the "PAC"), to Ms. Margo Herbert, Reports Analyst for the Federal Election Commission (the "Commission"). Also enclosed is a copy of the semiannual report of the PAC for the period from January 1, 1989 through June 30, 1989 referred to therein. Finally, enclosed is a Statement of Designation of Counsel on behalf of the PAC in connection with MUR 2937.

As stated in the letter to Ms. Herbert, the PAC's contribution to the Humphrey for Senate Campaign Committee (the "Committee") was reallocated so that $1,000 was contributed to the Committee for the 1988 federal general election, $1,000 was contributed towards the Committee's outstanding debt with respect to the primary, and $1,000 was refunded by the Committee to the PAC. Because the initial contribution was inadvertently in excess of the federal contribution limitation, and because the reallocation was made quickly and in accordance with Ms. Herbert's suggestion that this would resolve the matter satisfactorily, it was our understanding that this matter was closed. In any case, it is our hope that these factors will be taken into account in determining what further action, if any, the Commission will take in this matter.

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If further action is deemed necessary, we would very much like to pursue pre-probable cause conciliation in order to resolve this matter quickly and amicably. Accordingly, please contact Mr. Rosen at the address or telephone number set forth in the Statement of Designation of Counsel in order to complete the pre-probable cause conciliation process.

Very truly yours,

THE OXFORD ENERGY COMPANY
POLITICAL ACTION COMMITTEE

Patrick O'Brien

by: Patrick O'Brien
its Treasurer

Enclosures

cc: Matthew Nimetz, Esq.
Stuart I. Rosen, Esq.

BY TELECOPY

POB:dlb
A-10-198

92040923696

STATEMENT OF DESIGNATION OF COUNSEL

MUR 2937

NAME OF COUNSEL: Stuart I. Rosen

ADDRESS: Paul, Weiss, Rifkind, Wharton & Garrison
1285 Avenue of the Americas
New York, NY 10019

TELEPHONE: (212) 373-3000

The above-named individual is hereby designated as my counsel and is authorized to receive any notifications and other communications from the Commission and to act on my behalf before the Commission.

October 11, 1989
Date

Patrick O'Brien
Signature

RESPONDENT'S NAME: Patrick O'Brien

ADDRESS: 3510 Unocal Place
Santa Rosa, CA 95403

BUSINESS PHONE: (707) 575-3939

9204092336997

THE OXFORD ENERGY COMPANY

Corporate Headquarters: Oxford Energy Group • 3510 Unocal Place • Santa Rosa, CA 95403
Telephone: (707) 575-3939 • Fax: (707) 575-9852

February 6, 1989

Ms. Margo Herbert
Reports Analyst
Federal Election Commission
Reports Analysis Division
Washington, D.C. 20463

Re: The Oxford Energy PAC
3510 Unocal Place
Fountaingrove Corporate Centre One
Santa Rosa, CA 95403
FEC ID NUMBER C00228759

Dear Ms. Herbert:

In response to your letter dated January 13, 1989, and our subsequent telephone conversations, this letter will confirm the following:

(1) The Oxford Energy PAC is a registered "political committee" and has filed a Statement of Organization pursuant to the Federal Election Campaign Act of 1971, as amended, receipt of which Statement of Organization was acknowledged by the Federal Election Commission on June 14, 1988; and

(2) The $3,000 contribution from The Oxford Energy PAC to the Humphrey for Senate Campaign Committee (the "Committee") will be distributed as follows: (a) $1,000 will be contributed to the Committee for the 1988 federal general election; (b) $1,000 will be contributed towards the Committee's outstanding debt with respect to the primary; and (c) the Committee will refund $1,000 to The Oxford Energy PAC. This refund should be reflected in the the next semiannual report filed with the Federal Election Commission by The Oxford Energy PAC.

I believe that the above should resolve the issues raised in your January 13, 1989 letter. Please do not hesitate to contact me if you need additional information.

Thank you for your cooperation in this matter.

Sincerely,

Joan M. Brown
Assistant Treasurer
The Oxford Energy PAC

JMB:hs

REPORT OF RECEIPTS AND DISBURSEMENTS
Other Than An Authorized Committee
(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME
C00228759 N 235 061489
PATRICK O'BRIEN
OXFORD ENERGY COMPANY PAC: THE
~~675 THIRD AVENUE~~
~~NEW YORK NY 10017~~
3510 UNOCAL PLACE SUITE 312
SANTA ROSA CA 95403

ADDRESS: THE
35
CITY: SA

2. FEC IDENTIFICATION NUMBER
C00228759

3. ☐ This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date).

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report
☐ July 15 Quarterly Report
☐ October 15 Quarterly Report
☐ January 31 Year End Report
☒ July 31 Mid Year Report (Non-election Year Only)
☐ Termination Report

Monthly Report Due On:
☐ February 20 ☐ June 20 ☐ October 20
☐ March 20 ☐ July 20 ☐ November 20
☐ April 20 ☐ August 20 ☐ December 20
☐ May 20 ☐ September 20 ☐ January 31

☐ Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____

☐ Thirtieth day report following the General Election on _____ in the State of _____.

(b) Is this Report an Amendment? ☐ YES ☒ NO

SUMMARY

5. Covering Period 1/1/89 through 6/30/89

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a)	Cash on Hand January 1, 1989		$ 2475.00
(b)	Cash on Hand at Beginning of Reporting Period	$ 2,475.00	
(c)	Total Receipts (from Line 18)	$ 1,000.00	$ 1,000.00
(d)	Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 3,475.00	$ 3,475.00
7.	Total Disbursements (from Line 28)	$ 254.28	$ 254.28
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 3,220.72	$ 3,220.72
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$ -0-	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$ -0-	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-376-3120

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
PATRICK O'BRIEN

Signature of Treasurer
Patrick O'Brien

Date
9/22/89

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 4/87)

92040923699

DETAILED SUMMARY PAGE

of Receipts and Disbursements
(Page 2, FEC FORM 3X)

Name of Committee (in full): THE OXFORD ENERGY POLITICAL ACTION COMMITTEE

Report Covering the Period From: 1/1/89 To: 6/30/89

I. RECEIPTS	COLUMN A Total This Period	COLUMN B Calendar Year-To-Date	
11. CONTRIBUTIONS (other than loans) FROM:			
(a) Individuals/Persons Other Than Political Committees			
(i) Itemized (use Schedule A)	-0-	-0-	11(a)(i)
(ii) Unitemized	-0-	-0-	11(a)(ii)
(iii) Total of contributions from individuals	-0-	-0-	11(a)(iii)
(b) Political Party Committees	-0-	-0-	11(b)
(c) Other Political Committees (such as PACs)	-0-	-0-	11(c)
(d) TOTAL CONTRIBUTIONS (add 11(a)(iii), (b), and (c))	-0-	-0-	11(d)
12. TRANSFERS FROM AFFILIATED/OTHER PARTY COMMITTEES	-0-	-0-	12
13. ALL LOANS RECEIVED	-0-	-0-	13
14. LOAN REPAYMENTS RECEIVED	-0-	-0-	14
15. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)	-0-	-0-	15
16. REFUNDS OF CONTRIBUTIONS MADE TO FEDERAL CANDIDATES AND OTHER POLITICAL COMMITTEES	1,000.00	1,000.00	16
17. OTHER RECEIPTS (Dividends, Interest, etc.)	-0-	-0-	17
18. TOTAL RECEIPTS (add 11(d), 12, 13, 14, 15, 16 and 17)	1,000.00	1,000.00	18
II. DISBURSEMENTS			
19. OPERATING EXPENDITURES	254.28	254.28	19
20. TRANSFERS TO AFFILIATED/OTHER PARTY COMMITTEES	-0-	-0-	20
21. CONTRIBUTIONS TO FEDERAL CANDIDATES AND OTHER POLITICAL COMMITTEES	-0-	-0-	21
22. INDEPENDENT EXPENDITURES (use Schedule E)	-0-	-0-	22
23. COORDINATED EXPENDITURES MADE BY PARTY COMMITTEES (2 U.S.C. 441a(d)) (use Schedule F)	-0-	-0-	23
24. LOAN REPAYMENTS MADE	-0-	-0-	24
25. LOANS MADE	-0-	-0-	25
26. REFUNDS OF CONTRIBUTIONS TO:			
(a) Individuals/Persons Other Than Political Committees	-0-	-0-	26(a)
(b) Political Party Committees	-0-	-0-	26(b)
(c) Other Political Committees (such as PACs)	-0-	-0-	26(c)
(d) TOTAL CONTRIBUTION REFUNDS (add 26(a), (b), and (c))	-0-	-0-	26(d)
27. OTHER DISBURSEMENTS	-0-	-0-	27
28. TOTAL DISBURSEMENTS (add 19, 20, 21, 22, 23, 24, 25, 26(d) and 27)	254.28	254.28	28
III. NET CONTRIBUTIONS/OPERATING EXPENDITURES			
29. TOTAL CONTRIBUTIONS (other than loans) (from Line 11(d))	-0-	-0-	29
30. TOTAL CONTRIBUTION REFUNDS (from Line 26(d))	-0-	-0-	30
31. NET CONTRIBUTIONS (other than loans) (subtract Line 30 from 29)	-0-	-0-	31
32. TOTAL OPERATING EXPENDITURES (from Line 19)	254.28	254.28	32
33. OFFSETS TO OPERATING EXPENDITURES (from Line 15)	-0-	-0-	33
34. NET OPERATING EXPENDITURES (subtract Line 33 from 32)	254.28	254.28	34

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SCHEDULE A — ITE... RECEIPTS

separate schedule(s) h category of the Detailed Summary Page

PAGE 1 OF 1

FOR LINE NUMBER 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

THE OXFORD ENERGY POLITICAL ACTION COMMITTEE

A. Full Name, Mailing Address and ZIP Code HUMPHREY FOR SENATE CAMPAIGN 555 PARK STREET ST. PAUL, MN 55103	Name of Employer Occupation Aggregate Year-to-Date > $	Date (month, day, year) 2/16/89	Amount of Each Receipt this Period $1,000.-
Receipt For: ☐ Primary ☐ General ☒ Other (specify): CONTRIBUTION REFUND			
B. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):			
C. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):			
D. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):			
E. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):			
F. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):			
G. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):			

SUBTOTAL of Receipts This Page (optional)	$1,000.-
TOTAL This Period (last page this line number only)	$1,000.-

9204092370 1

SCHEDULE B — ITEMIZED DISBURSEMENTS

Use [illegible] Schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1

FOR LINE NUMBER 19

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)
THE OXFORD ENERGY POLITICAL ACTION COMMITTEE

	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
A. Full Name, Mailing Address and ZIP Code CITIBANK, N.A. 153 EAST 53RD STREET NEW YORK, NY 10022	MONTHLY BANK FEE Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	1/31/89	25.00
B. Full Name, Mailing Address and ZIP Code (SAME AS ABOVE) A.	CHECK PRINTING CHARGE Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	2/27/89	86.54
C. Full Name, Mailing Address and ZIP Code (SAME AS ABOVE) A.	MONTHLY BANK FEE Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	2/28/89	25.00
D. Full Name, Mailing Address and ZIP Code (SAME AS ABOVE) A.	CHECK ENDORSEMENT STAMP Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	3/23/89	17.74
E. Full Name, Mailing Address and ZIP Code (SAME AS ABOVE) A.	MONTHLY BANK FEE Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	3/31/89	25.00
F. Full Name, Mailing Address and ZIP Code (SAME AS ABOVE) A.	MONTHLY BANK FEE Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	4/30/89	25.00
G. Full Name, Mailing Address and ZIP Code (SAME AS ABOVE) A.	MONTHLY BANK FEE Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	5/31/89	25.00
H. Full Name, Mailing Address and ZIP Code (SAME AS ABOVE) A.	MONTHLY BANK FEE Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	6/30/89	25.00
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)	254.28
TOTAL This Period (last page this line number only)	254.28

OGC 4311

RECEIVED
FEDERAL ELECTION COMMISSION
MAIL ROOM

89 OCT 20 AM 10:59

JOHN R. CARROLL
JAMES D. OLSON
ARCHIBALD SPENCER
ROBERT M. SKARE
ROBERT L. CROSBY
LEONARD M. ADDINGTON
ROBERT R. BARTH
N. WALTER GRAFF
ALLEN D. BARNARD
RICHARD A. PETERSON
ROBERT J. CHRISTIANSON, JR.
FRANK J. WALZ
THOMAS D. CARLSON
FRANK VOGL
MARINUS W. VAN PUTTEN, JR.
JOHN A. BURTON, JR.
JAMES C. DIRACLES
ROBERT L. MELLER, JR.
SCOTT D. ELLER
CHARLES C. BERQUIST
GEORGE O. LUDCKE
E. JOSEPH LAFAVE III
GREGORY D. SOULE
CATHY E. GORLIN
PATRICK B. HENNESSY
CHRISTINE K. SOLSO
TIMOTHY A. SULLIVAN
TAMMY L. PUST
BRIAN F. RICE

BEST & FLANAGAN
ATTORNEYS AT LAW
3500 IDS CENTER
MINNEAPOLIS, MINNESOTA 55402-2113
TELEPHONE (612) 339-7121
TELECOPIER (612) 339-5897

ROBERT G. DANIELSEN
TRACY J. VAN STEENBURGH
DAVID J. ZUBKE
STEVEN R. KRUGER
JAMES P. MICHELS
PAUL E. KAMINSKI
ELIZABETH W. VOBACH
CHRISTOPHER J. CHAPUT
CINDY J. LARSON
JOHN P. BOYLE
ROSS C. FORMELL
CHRISTOPHER C. FOY
CARYN SCHERB GLOVER
SARAH S. GODFREY

OF COUNSEL
CHARLES S. BELLOWS
WARD B. LEWIS

RETIRED
GEORGE MALONEY
LEONARD W. SIMONET

JAMES I. BEST
1902-1986
ROBERT J. FLANAGAN
1896-1974

October 19, 1989

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL
89 OCT 20 AM 11:58

9204092370 3

BY FEDERAL EXPRESS

Mr. Kenneth E. Kellner
Federal Election Commission
999 E Street, N.W.
Washington, D. C. 20463

Re: MUR 2937 - Humphrey for Senate Campaign
Committee, Inc. and Samuel D. Heins as Treasurer

Dear Mr. Kellner:

Enclosed please find the Response of the Humphrey Committee and its Treasurer to Interrogatories and Document Request, with exhibits; the Affidavit of Shelly B. Martin; and the Affidavit of John Phillips in the above matter.

I believe that the enclosures are fully responsive to the Interrogatories and Document Request submitted with the letter to Mr. Heins dated September 6, 1989, in that they address separately each of the apparent excessive contributions listed on the chart which accompanied the Factual and Legal Analysis for which no refunds, redesignations or reattributions are shown.

If you require additional information, or have any questions, please let me know.

Yours very truly,

Frank Walz

Frank J. Walz

FJW:emw/6948Q
enclosures
cc: Mr. Samuel D. Heins w/encs.
Ms. Margaret H. Chutich w/encs.

BEFORE THE FEDERAL ELECTION COMMISSION

OF THE UNITED STATES

IN THE MATTER OF:

HUMPHREY FOR SENATE CAMPAIGN COMMITTEE, INC. AND SAMUEL D. HEINS, as Treasurer

MUR 2937

RESPONSE OF HUMPHREY COMMITTEE AND ITS TREASURER TO INTERROGATORIES AND DOCUMENT REQUEST

9204092370 4

The respondents Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as Treasurer ("Committee") submit the following response to the Interrogatories and Request for Production of Documents which accompanied the Factual and Legal Analysis and letter from the Commission to the Committee dated September 6, 1989.

The response, with the attached exhibits and the accompanying Affidavits of Shelly B. Martin and John Phillips, is intended to address each of the "apparent excessive contributions" noted in the chart accompanying the Factual and Legal Analysis for which no refunds, redesignations or reattributions are shown. The contributors identified are addressed individually here, in the order in which they appear on the chart.

1. Pamela Deal. The contribution of $1,000 received

on June 1, 1987 should have been split between Pamela Deal and her husband, James Deal. The Committee received a reattribution of $500 to Mr. Deal signed by Mr. and Mrs. Deal, a copy of which is attached as Exhibit A (the reattribution is undated but is believed to have been obtained by the Committee in March 1988), but inadvertently neglected to report the reattribution to the Commission. The contributions of $50 received on September 23, 1987 and $25 received on December 9, 1987 were received from Pamela Deal during the primary election. The Commission has these contributions attributed to the general election; the Committee's records have the contributions attributed to the primary election. [See Exhibit B.] The Committee's records reflect total contributions from Pamela Deal of $1,890, with $890 attributed to the primary election and $1,000 attributed to the general election.

2. Abraham Feinberg. Mr. Feinberg's contribution of $1,000 on January 19, 1988 resulted in the Committee's receipt of contributions from him for the primary election in excess of the allowable limit by $100, by reason of the Committee's prior receipt of a $1,000 contribution from Mr. Feinberg on June 26, 1987. When the 1988 contribution was encoded into the computer system, however, the Committee was unaware of a deficiency in its "Campaign Manager" software program whereby a contributor's prior contributions were aggregated on a calendar-year-to-date basis, and not on a per-election basis, as they should have been. [See the accompanying Affidavits of Shelly B. Martin and John

9204092370 5

Phillips.] Due to this deficiency in the program, the apparent excessive contribution of $100 to the primary election, and the need for a redesignation by Mr. Feinberg to the general election, went undetected. Subsequent to the receipt of the Factual and Legal Analysis from the Commission, the Committee has contacted Mr. Feinberg and has received permission to redesignate the excess portion to the general election. [See Exhibit C.]

3. Thomas Foster. The $100 contribution received from Mr. Foster on May 5, 1988, which created an excessive contribution from him for the general election in that amount, was reattributed to Mr. Foster's wife, Yvonne Foster. [See Exhibit D (the reattribution is undated, but is believed to have been received by the Committee in June 1988).] The Committee inadvertently failed to report the reattribution.

4. Nancy Jeffery. Mrs. Jeffery's contribution of $500 on March 25, 1988 resulted in the Committee's receipt of contributions for the primary election from her in excess of the allowable limit by that amount, by reason of the Committee's prior receipt of a $1,000 contribution from Mrs. Jeffery on May 20, 1987. When the 1988 contribution was encoded into the computer system, the Committee was unaware of a deficiency in its software program whereby a contributor's prior contributions were aggregated on a calendar-year-to-date basis, and not on a per-election basis, as they should have been. [See the accompanying Affidavits of Shelly B. Martin and John Phillips.]

9204092370 6

Due to this deficiency in the program, the apparent excessive contribution of $500 to the primary election, and the need for a redesignation by Mrs. Jeffery to the general election, went unnoticed. Subsequent to its receipt of the Factual and Legal Analysis from the Commission, the Committee has contacted Mrs. Jeffery and has received permission to redesignate the excess portion to the general election.. [See Exhibit E.]

5. Patricia Klaus. Mrs. Klaus' contribution of $1,000 on March 10, 1988 resulted in the Committee's receipt of contributions for the primary election from her in excess of the allowable limit by that amount, by reason of the Committee's prior receipt of a $1,000 contribution from Mrs. Klaus on July 6, 1987. When the 1988 contribution was encoded into the computer system, the Committee was unaware of a deficiency in its software program whereby a contributor's prior contributions were aggregated on a calendar-year-to-date basis, and not on a per-election basis, as they should have been. [See the accompanying Affidavits of Shelly B. Martin and John Phillips.] Due to this deficiency in the program, the apparent excessive contribution of $1,000 to the primary election, and the need for a redesignation by Mrs. Klaus to the general election, went unnoticed. Subsequent to its receipt of the Factual and Legal Analysis from the Commission, the Committee has contacted Mrs. Klaus and has received permission to redesignate the 1988 contribution to the general election. [See Exhibit F.]

9204092307

6. Richard Long. The chart accompanying the Commission's Factual and Legal Analysis attributes three contributions to one individual named Richard Long. The Committee received contributions from two Richard Longs, namely, two contributions of $1,000 each from "Richard J. Long" for the primary and general elections, and one contribution of $700 on June 13, 1988 from "Richard N. Long". [See Exhibit G.]

7. John Morrison. Mr. Morrison's contribution of $1,000 on March 31, 1988 resulted in the Committee's receipt of contributions for the primary election from him in excess of the allowable limit by $500, by reason of the Committee's prior receipt of a $500 contribution from Mr. Morrison on June 29, 1987. When the 1988 contribution was encoded into the computer system, the Committee was unaware of a deficiency in its software program whereby a contributor's prior contributions were aggregated on a calendar-year-to-date basis, and not on a per-election basis, as they should have been. [See the accompanying Affidavits of Shelly B. Martin and John Phillips.] Due to this deficiency in the program, the apparent excessive contribution of $500 to the primary election, and the need for a redesignation by Mr. Morrison to the general election, went unnoticed. Subsequent to its receipt of the Factual and Legal Analysis from the Commission, the Committee has contacted Mr. Morrison. Mr. Morrison requested a refund of the excess portion of his contributions to the primary election, and the Committee has made the refund requested. [See Exhibit H.]

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8. James Schatz. Mr. Schatz' contribution of $1,000 on March 31, 1988 resulted in the Committee's receipt of contributions for the primary election from him in excess of the allowable limit by that amount, by reason of the Committee's prior receipt of a $1,000 contribution from Mr. Schatz on April 23, 1987. When the 1988 contribution was encoded into the computer system, the Committee was unaware of a deficiency in its software program whereby a contributor's prior contributions were aggregated on a calendar-year-to-date basis, and not on a per-election basis, as they should have been. [See the accompanying Affidavits of Shelly B. Martin and John Philips.] Due to this deficiency in the program, the apparent excessive contribution of $1,000 to the primary election, and the need for a redesignation by Mr. Schatz to the general election, went unnoticed. Subsequent to its receipt of the Factual and Legal Analysis from the Commission, the Committee has contacted Mr. Schatz and has received permission to redesignate the excess portion to the general election. [See Exhibit I.]

9. Lee Sheehy. Mr. Sheehy's contributions of $500 on January 20, 1988 and $250 on March 8, 1988 were redesignated by Mr. Sheehy to the general election. [See Exhibit J (the redesignation is undated, but is believed to have been received by the Committee in April 1988).] The Committee inadvertently neglected to report the redesignation to the FEC. Following the redesignation, when the $500 contribution for the general election was received on June 30, 1988, an excessive contribution

9204092370 9

of $375 to the general election resulted, which was reattributed on July 14, 1988.

10. Bruce Smiley. The redesignation of Mr. Smiley's $1,500 contribution to the general election on the Committee's Memorandum Redesignation schedule was the result of an encoding error. The correct amount which should have been redesignated to the general election is $500, and not $1,500. [See Exhibit K.]

11. Holmes & Graven PAC. The Holmes & Graven PAC properly redesignated the excess portion of the $2,000 contribution made for the primary election on March 31, 1988 to the general election, on May 20, 1988. [See Exhibit L.] The Committee inadvertently failed to include the redesignation date on its May and June responses.

9204092371O

The foregoing response was prepared on the basis of information and documents provided by Shelly B. Martin, with the assistance of counsel, and I am informed and believe that it is true and correct.

HUMPHREY FOR SENATE CAMPAIGN COMMITTEE, INC.

Margaret H Chutich

MARGARET H. CHUTICH
Assistant Treasurer

Subscribed and sworn to before me this 19th day of October, 1989.

Elyse M. Smith

Notary Public

69420

ELYSE M. SMITH
NOTARY PUBLIC—MINNESOTA
HENNEPIN COUNTY
My Commission Expires Apr. 1, 1993

HUMPHREY FOR SENATE
CAMPAIGN COMMITTEE, INC.
TREASURER OFFICE
701 FOURTH AVENUE SOUTH
SUITE 1800
MINNEAPOLIS, MN 55415

Samuel D. Heins, Treasurer
Margaret H. Chutich, Asst. Treasurer

Please select and sign only one of these options. Then detach and send to the above address, at your earliest convenience.

1. Please redesignate the excess portion of my contribution to the general election.

James Deal
16191 Makah St NW
Anoka
427-7897

James d Deal
Signature of Contributor

Pamela Deal
James Deal
Print Name of Contributor

$500
6/1/87

2. Please refund the excess portion of my contribution to me.

Pamela Deal

Signature of Contributor

Print Name of Contributor

9220409237111

EXHIBIT
A

The large contribution should have been equally split between my husband - James Deal and myself. Thanks!

09-23-1989 09:40 Campaign Manager PLUS CAPS LOCK OFF INSERT OFF

LAST NAME	Deal	EMPLOYER	Nat'l AG Underwriter
FIRST NAME	Pamela	OCCUPATION	Ins. - Co-founder
MI		TELEPHONE 1	612-427-7897
SALUTATION	Dear Ms. Deal:	TELEPHONE 2	- -
MAIL NAME	Ms. Pamela Deal	BIRTH DATE	/ /
ADDRESS 1	16191 Makah Street, N.W.	ETHNICITY	PARTY SEX
ADDRESS 2	Box 159	MAIL CODE	
CITY	Anoka	ST MN ZIP 55303	PRECINCT

DATE	AMOUNT	CODES	DOCUMENT	ISSUE	AUTHOR
06/01/87	$ 500.00	!PRI			1
09/23/87	$ 50.00	!PRI	7351		MR
12/09/87	$ 25.00	!PRI 1106	7821		rf
03/22/88	$ 25.00	!PRI 1109 B91	8143		jp
03/30/88	$ 250.00	!PRI 1250 B97	8167		SM

NOTES

Line: 1 James is her spouse.
Received reattribution letter requesting we put $500.00 under her husbands name.

1Repeat 2Fill In 3 6Delete Record 7Print 8Word Processor 9HELP!!!10Done

09-23-1989 09:41 Campaign Manager PLUS CAPS LOCK OFF INSERT OFF

LAST NAME	Deal	EMPLOYER	Nat'l AG Underwriter
FIRST NAME	Pamela	OCCUPATION	Ins. - Co-founder
MI		TELEPHONE 1	612-427-7897
SALUTATION	Dear Ms. Deal:	TELEPHONE 2	- -
MAIL NAME	Ms. Pamela Deal	BIRTH DATE	/ /
ADDRESS 1	16191 Makah Street, N.W.	ETHNICITY	PARTY SEX
ADDRESS 2	Box 159	MAIL CODE	
CITY	Anoka	ST MN ZIP 55303	PRECINCT

DATE	AMOUNT	CODES	DOCUMENT	ISSUE	AUTHOR
04/06/88	$ 10.00	!PRI 1004 MYRS			SM 5
05/04/88	$ 10.00	!PRI 1004 MYRS			SM
10/25/88	$ 1000.00	TGEN 1523 B228	8877		SM
04/06/88	$ 20.00	!PRI 1004 MYRS	PRINTOUT		SM

NOTES

Line: 1 James is her spouse.
Received reattribution letter requesting we put $500.00 under her husbands name.

1Repeat 2Fill In 3 6Delete Record 7Print 8Word Processor 9HELP!!!10Done

EXHIBIT
B

CONTRIBUTOR REDESIGNATION FORM

HUMPHREY FOR SENATE
CAMPAIGN COMMITTEE, INC.
2200 WASHINGTON SQUARE BULIDING
100 WASHINGTON AVENUE, SOUTH
MINNEAPOLIS, MN 55401

This confirms that I, Abraham Feinberg, redesignate the total contribution which exceeds $1,000.00 to the Humphrey for Senate Campaign Committee, Inc. General Election.

Signature: Abraham Feinberg
Abraham Feinberg

Date: 10/17/89

Occupation: Investments

Employer: Self-Employed

The above information is required by the Federal Election Commission.

9204092371 3

EXHIBIT
C

CONTRIBUTOR REATTRIBUTION FORM

[Campaign: fill in name and address of contributor and specifics of contribution.]

Tom Foster

My contribution of $ 100.00 , check no. 6006 , dated May 4, 1988 , should be reattributed in part to the following contributor, as indicated belows:

Name: Yvonne Foster
Address:

Occupation:
Employer:
Percent of total contribution: $_____

Signature: Yvonne B Foster

Name:
Address:

Occupation:
Employer:
Percent of total contribution: $_____

Signature: _____

Signed: _____

Dated: _____

EXHIBIT D

9204092371 4

CONTRIBUTOR REDESIGNATION FORM

HUMPHREY FOR SENATE
CAMPAIGN COMMITTEE, INC.
2200 WASHINGTON SQUARE BULIDING
100 WASHINGTON AVENUE, SOUTH
MINNEAPOLIS, MN 55401

This confirms that I, Nancy Jeffery, redesignate the total contribution which exceeds $1,000.00 to the Humphrey for Senate Campaign Committee, Inc. General Election.

Signature: Nancy Jeffery
Nancy Jeffery

Date: 10/18/89

Occupation: Homemaker

Employer: _____

The above information is required by the Federal Election Commission.

92040923715

EXHIBIT
E

CONTRIBUTOR REDESIGNATION FORM

HUMPHREY FOR SENATE
CAMPAIGN COMMITTEE, INC.
2200 WASHINGTON SQUARE BULIDING
100 WASHINGTON AVENUE, SOUTH
MINNEAPOLIS, MN 55401

This confirms that I, Patricia Klaus, redesignate the total contribution which exceeds $1,000.00 to the Humphrey for Senate Campaign Committee, Inc. General Election.

Signature: Patricia Klaus
Patricia Klaus

Date: Oct 18, 1985

Occupation: Writer

Employer: _____

The above information is required by the Federal Election Commission.

92040923716

EXHIBIT
F

[redacted]

RICHARD J OR MARY V. LONG
2130 LOWER ST. DENNIS RD.
ST. PAUL, MN 55116

10062

6/2 19 87

22-10/990

Cadillac

PAY TO THE ORDER OF Humphrey Volunteer Committee $ 1,000

One Thousand 00 DOLLARS

Norwest Bank St. Paul, N.A.
55 East Fifth Street
St. Paul, MN 55101

NORWEST BANKS

MEMO

⑆096000108⑆ 13-90-872⑈ 0062

9204092371 7

[redacted]

☐ Yes, I will attend the Humphrey reception with special guest Walter Mondale. Enclosed is my donation of $ 1000 for _____ tickets.

☐ I cannot attend but wish to contribute. Enclosed is my donation of $ _____.

Please make checks payable to the Humphrey Exploratory Committee.

Name Richard J. Long

Address 2130 Lower St. Dennis Rd

City St. Paul State Mn Zip 55116

Occupation/Employer OWNER / LONG CADILLAC

Prepared and paid for by Humphrey Exploratory Committee, Sam Heins, Treas., P.O. Box 75050, St. Paul, MN 55175

EXHIBIT G

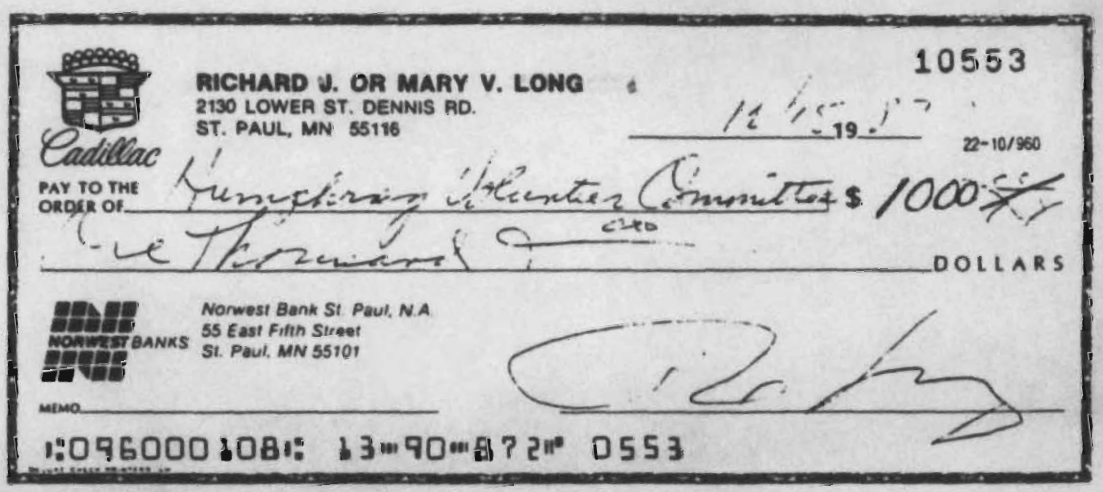
Richard J. Long

MASTER DEALER
DEDICATED TO EXCELLENCE

1102 IND.

12/18/87

9204092237118



10553

RICHARD J. OR MARY V. LONG
2130 LOWER ST. DENNIS RD.
ST. PAUL, MN 55116

12/15/87 19

22-10/960

Cadillac

PAY TO THE ORDER OF Fundraising Volunteer Committee $ 1000.00

CEO

DOLLARS

NORWEST BANKS

Norwest Bank St. Paul, N.A.
55 East Fifth Street
St. Paul, MN 55101

MEMO

⑆096000108⑆ 13-90-872⑈ 0553

-13-88

1757
(NEW CODE -
AUTO DEALERS)

RICHARD N. OR TRICIA A. LONG
L-520-738-626-613 L-520-676-071-227
1815 SCHEFFER AVE.
ST. PAUL, MN 55116

3227

9/9 1988 22-91/800

PAY TO THE ORDER OF Humphry Volunteer Comm $ 700.00

seven hundred and 00/100 DOLLARS

First Bank Grand
Member First Bank System
First Bank Grand, National Association
1071 Grand Ave. • P.O. Box 43227
St. Paul, MN 55164-0227

MEMO

⑆096000917⑆ 49 16008 3227

9204092237119

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Curtis Loewe 3860 Westbury Drive Eagan MN 55123 YEAR TO DATE $225.00	State of Minnesota Mgr. Consumer Serv.	05/13/88 06/21/88 06/27/88	$50.00 $25.00 $50.00	Primary -88 Primary -88 Primary -88
Richard Long 1815 Scheffer Ave. St. Paul MN 55116 YEAR TO DATE $700.00	Long Cadillac Owner	06/13/88	$700.00	Primary -88
Miles Lord 8th and Nicollett Midwest Federal Plaza Minneapolis MN YEAR TO DATE $850.00	Grose, Vonholtum Attorney	06/27/88 06/27/88 06/27/88 06/27/88	$200.00 $500.00 $150.00 $150.00	Primary -88 Primary -88 Primary -88 Memo Redesignation Requested
Verna Lunz Route 2, Box 215 Fairmont MN 56031 YEAR TO DATE $250.00	Self-Employed Farming	04/19/88 06/01/88	$50.00 $100.00	Primary -88 Primary -88
James Lupient 7100 Wayzata Blvd. Minneapolis MN 55426 YEAR TO DATE $500.00	Self-Employed Car Dealer	04/07/88 05/11/88 06/14/88	$200.00 $100.00 $200.00	Primary -88 Primary -88 Primary -88
Sidney Lutz P.O. Box 9079 Farmington Hills MI 48333 YEAR TO DATE $250.00	Lutz Associates, Inc President	05/06/88	$250.00	Primary -88
Ken Mac Gregor 8775 N. Scenic Lake Drive Laingsburg MI 48848 YEAR TO DATE $250.00	MI State H. of Rep. Dir. Dem. Pub. Aff.	05/06/88	$250.00	Primary -88
Michael Maher 90 E. Livingston Orlando FL 32801 YEAR TO DATE $1000.00	Maher,Overchuck,Lang Attorney	05/09/88	$1000.00	Primary -88

92040923720

HUMPHREY FOR SENATE

2763

OUR REF. NUMBER	YOUR INVOICE NUMBER	INVOICE DATE	INVOICE AMOUNT	AMOUNT PAID	DISCOUNT TAKEN	NET CHECK AMOUNT
			$500.00			$500.00

2763

HUMPHREY FOR SENATE
SAMUEL D HEINS, TRES.
OPERATING ACCOUNT
701-4th AVE., S. #1800
MINNEAPOLIS, MN 55415

MARQUETTE BANK MINNEAPOLIS, N.A.
SIXTH AND MARQUETTE • MINNEAPOLIS, MN 55480

17-4
910

CHECK DATE	CONTROL NUMBER	CHECK AMOUNT
10-18-89		*********$500.00

PAY FIVE HUNDRED AND NO/100----------DOLLARS-----

TO THE ORDER OF
JOHN MORRISON
C/O CENTRAL FINANCIAL SERCVICES
5500 WAYZATA BLVD.
SUITE 950
GOLDEN VALLEY, MN 55416

HUMPHREY FOR SENATE

AUTHORIZED SIGNATURE

"002763" :091000048: 10132868"

9204023721

EXHIBIT
H

CONTRIBUTOR REDESIGNATION FORM

HUMPHREY FOR SENATE
CAMPAIGN COMMITTEE, INC.
2200 WASHINGTON SQUARE BULIDING
100 WASHINGTON AVENUE, SOUTH
MINNEAPOLIS, MN 55401

This confirms that I, James Schatz, redesignate the total contribution which exceeds $1,000.00 to the Humphrey for Senate Campaign Committee, Inc. General Election.

Signature: James Schatz
James Schatz

Date: 10/15/89

Occupation: Attorney

Employer: Opperman Heins & Paquin

The above information is required by the Federal Election Commission.

92040923722

EXHIBIT
I

HUMPHREY FOR SENATE
CAMPAIGN COMMITTEE, INC.
TREASURER OFFICE
701 FOURTH AVENUE SOUTH
SUITE 1800
MINNEAPOLIS, MN 55415

Samuel D. Heins, Treasurer
Margaret H. Chutich, Asst. Treasurer

Please select and sign only one of these options. Then detach and send to the above address, at your earliest convenience.

1. Please redesignate the excess portion of my contribution to the general election.

L. E. Sh
Signature of Contributor

LEE E. SHEEHY
Print Name of Contributor

2. Please refund the excess portion of my contribution to me.

Signature of Contributor

Print Name of Contributor

92040923723

EXHIBIT
J

NAME AND ADDRESS	EMPLOYER AND OCCUPATION		DATE	AMOUNT	ELECTION
Lee Sheehy 2620 Robbins St. Minneapolis, MN 55410	Control Data Corp. Marketing Dir.	Memo	06/30/88 07/14/88	$500.00 ($375.00)	General-88 Primary-88 Reattribution
YEAR TO DATE	$875.00				
Linda Jadwin 2620 Robbins St. Minneapolis, MN 55410	Homemaker	Memo	07/14/88	$375.00	Primary-88 Reattribution
YEAR TO DATE	$375.00				
Michael Sieben 1625 Wild Ridge Court S. Newport, MN 55055	Self-Employed Attorney	Memo	06/27/88 08/25/88	$1,000.00 ($ 200.00)	Primary-88 Primary-88
YEAR TO DATE	$1,100.00				
Michael Sieben - Redesignation		Memo	08/25/88	$200.00	General-88
William Sieben 1201 Southview Dr. Hastings, MN 55033	Schwebel, Goetz, et.al. Attorney	Memo	06/27/88 07/18/88	$1,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$1,000.00				
William Sieben - Redesignation		Memo	07/18/88	$1,000.00	General-88
Ronald Simms 101000 Santa Monica Blvd. Suite 900 Los Angeles, CA 90067	Self-Employed Dvlpr.	Memo	06/30/88 08/22/88	$2,000.00 ($1,000.00)	Primary-88 Primary-88
YEAR TO DATE	$2,000.00				
Ronald Simms - Redesignation		Memo	08/22/88	$1,000.00	General-88
Bruce Smiley P.O. Box 778 Wayzata, Mn. 55391	Bascom, Inc. Pres., CEO	Memo	06/23/88 08/24/88	$1,500.00 ($ 500.00)	Primary-88 Primary-88
YEAR TO DATE	$1,500.00				

next page

EXHIBIT K

92040923724

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Bruce Smiley - Redesignation		Memo 08/24/88	~~$1,500.00~~ $500.00	General-88
Martha Tolman 1 Calvin Circle C-209 Evanston, IL 60201	Retired	05/25/88 06/30/00 Redesignation Requested Under Review	$250.00 Memo $250.00 Memo	
YEAR TO DATE	$1,250.00			

92040923725

CONTRIBUTOR REDESIGNATION FORM

HUMPHREY FOR SENATE
CAMPAIGN COMMITTEE, INC.
SUITE 1800
701 FOURTH AVENUE SOUTH
MINNEAPOLIS, MN 55415

This confirms that I, Duane Johnson, acting on behalf of Holmes & Graven PAC, hereby redesignate the total contribution which exceeds $1000.00 to the Humphrey for Senate Campaign Committee, Inc. General Election.

Signature: Duane Johnson
Duane G. Johnson

Date: 5/20/88

Occupation: Attorney

Employer: Holmes & Graven

The above information is required by the Federal Election Commission.

92040923726

EXHIBIT
L

BEFORE THE FEDERAL ELECTION COMMISSION

OF THE UNITED STATES

IN THE MATTER OF: MUR 2937

HUMPHREY FOR SENATE CAMPAIGN COMMITTEE, INC. AND SAMUEL D. HEINS, as Treasurer

AFFIDAVIT OF SHELLY B. MARTIN

STATE OF MINNESOTA)
)ss.
COUNTY OF HENNEPIN)

SHELLY B. MARTIN, being first duly sworn, upon oath deposes and says:

1. I am the assistant to the Treasurer and the Assistant Treasurer of the Humphrey for Senate Campaign Committee, Inc. ("Committee"). I am also a Legal Assistant with the law firm of Opperman, Heins & Paquin, 2200 Washington Square, 100 Washington Avenue South, Minneapolis, Minnesota and the person who provided record-keeping services to the Committee during the period from February 1, 1988 to the present for the election campaign for the United States Senate in Minnesota. All such services were provided to the Committee pursuant to 11 C.F.R. § 100.7(b)(14), and were reported by the Committee pursuant to 11 C.F.R. § 104.3(h).

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2. My primary responsibilities included encoding all contributions received into the computer software program, applying the FEC regulations, obtaining proper redesignations and reattributions for excessive contributions, and preparing reports for filing with the FEC.

3. The standard procedure I used for obtaining redesignations and reattributions for excessive contributions depended heavily on the computer software program. Upon receipt of a contribution, the information was encoded into the computer from photocopies of the contribution. When a contributor's account was called up on the system to enter new data, the person encoding would check the previous contributions to see where the contributor stood. If the new contribution resulted in the account exceeding the limits, the account was flagged with a code, TMEM, to indicate that a redesignation or reattribution was necessary. During the months of January through April 1988, four people were encoding contributions into the system.

4. After an account was flagged, the contributor was contacted by phone, the FEC regulations were explained to him or her, and a verbal permission to redesignate or reattribute an otherwise excessive contribution was received. A letter was then sent out as a follow-up to the conversation, to receive the written permission necessary.

5. The Committee purchased its software program, a

9204092372 8

program called "Campaign Manager", from Aristotle Industries of Washington, D.C. The version of the program which the Committee purchased aggregated a contributor's account on a calendar-year-to-date basis, and not by running totals of all contributions received by election, regardless of the year received. Thus, at the beginning of 1988, when the computer totaled contributions, the system only totalled contributions received in that year, and did not include contributions received in 1987. In order to determine proper aggregate totals for a contributor's account to see if they were within the allowable limits, the totals therefore should have been manually checked to see if any contributions had been received from that contributor in 1987.

6. The deficiency in the software system, however, was not detected by the Committee until approximately the beginning of April 1988. Unfortunately, due to our initial reliance on the computer to properly aggregate all contributions in an account, it now appears that excessive contributions from several contributors who made contributions in both 1987 and 1988 were not detected. Those contributors were Abraham Feinberg, Nancy Jeffrey, Patricia Klaus, John Morrison and James Schatz.

8. I have reviewed the FEC's Legal and Factual Analysis in the above-entitled matter. I have reviewed all records of the Committee regarding those persons listed in the Committee's response, and have provided the Committee and its

9204092237 29

Treasurer with the information necessary to answer the FEC's Interrogatories, and all available documents.

9. The Committee did not knowingly or intentionally accept contributions at any time in excess of the allowable limits prescribed by applicable law and regulations.

Shelly B. Martin
SHELLY B. MARTIN

Subscribed and sworn to before me
this 19th day of October, 1989.

Elyse M. Smith
Notary Public
69430

ELYSE M. SMITH
NOTARY PUBLIC—MINNESOTA
HENNEPIN COUNTY
My Commission Expires Apr. 1, 1993

9204092373O

BEFORE THE FEDERAL ELECTION COMMISSION

OF THE UNITED STATES

IN THE MATTER OF: MUR 2937

HUMPHREY FOR SENATE CAMPAIGN COMMITTEE, INC. AND SAMUEL D. HEINS, as Treasurer

AFFIDAVIT OF JOHN PHILLIPS

DISTRICT OF COLUMBIA)ss.

JOHN PHILLIPS, being first duly sworn, upon oath deposes and says:

1. I am the president of Aristotle Industries, 205 Pennsylvania Avenue SE, Washington, D.C. Aristotle Industries is a computer software developer and marketing firm. Aristotle Industries markets the software program "Campaign Manager Plus" to aid political campaigns in their record-keeping of contributions and the filing of Federal Election Commission reports.

2. Aristotle Industries sold the "Campaign Manager Plus" program to the Humphrey for Senate Campaign Committee, Inc. in 1987.

3. In using our software program, when a

9204092373 1

contribution is received and entered in the program by encoding, a master contributor record is created containing information about the contributor (if not already created) and a contribution record is "linked" to that contributor containing information about the contribution, including amount and date of contribution. When a report is due to the FEC, the computer generates a list of those contributors who need to be included in the record according to FEC regulations based on user input "start" and "end" dates. The computer produces a report stating the contributor's name, address, occupation, employer, amount contributed, date contribution made, election period contribution is attributed to, and the contributor's account total.

4. As it functioned when the program was sold to the Humphrey Committee, the Campaign Manager Plus FEC reporting function of the program aggregates contributions by contributor on a calendar year basis, not on a per-election, or primary/general election, basis. Thus, in 1988, when the computer was asked by the Humphrey Committee to print out FEC contribution totals, the totals printed out for contributors included only amounts contributed in 1988, and not amounts contributed in 1987.

5. This feature would not detect excessive contributions by individuals who gave in both 1987 and 1988, and who therefore required redesignations or reattributions for the excess portion of their contributions.

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6. Based upon my review of the facts with Shelly Martin of the Humphrey Committees, it is my firm belief that this calculating feature in the software program, "Campaign Manager Plus", was a significant contributing factor in the Humphrey Committee's inadvertent oversight of several apparent excessive contributions which overlapped the 1987 and 1988 calendar years.

JOHN PHILLIPS

Subscribed and sworn to before me
this 19th day of October, 1989.

Notary Public

My Commission Expires November 14, 1993

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RECEIVED
FEDERAL ELECTION COMMISSION
SECRETARIAT

90 JAN 11 PM 12:54

BEFORE THE FEDERAL ELECTION COMMISSION

SENSITIVE

In the Matter of	)	
	)	
Oxford Energy Company PAC and Patrick O'Brien, as treasurer	)	MUR 2937
	)	
	)	

GENERAL COUNSEL'S REPORT

I. BACKGROUND

During the 1988 30 Day Post-General Report reporting period, the Oxford Energy Company PAC ("Oxford PAC") made a $3,000 contribution for the general election to the Humphrey For Senate Campaign Committee (the "Humphrey Committee"). This contribution exceeded the Oxford PAC's contribution limitation by $2,000.[1]

On August 21, 1989, the Commission found reason to believe Oxford PAC and its treasurer violated 2 U.S.C. § 441a(a)(1)(A) by making an excessive contribution to the Humphrey Committee.

II. ANALYSIS

Oxford PAC submitted a response to the Commission's findings which included a request for pre-probable cause conciliation. The response is included as an attachment to this report.

According to Oxford PAC, its $3,000 contribution was reallocated so that $1,000 was contributed to the Humphrey Committee for the 1988 general election, $1,000 was contributed towards the Humphrey Committee's outstanding debt with respect to the 1988 primary election, and $1,000 was refunded by the Humphrey Committee to Oxford PAC. Documents submitted by Oxford PAC and a review of the public record corroborate the assertions

1. Under the provisions of 2 U.S.C. § 441a, Oxford PAC's contribution limitation was $1,000.

9204092337 34

made by Oxford PAC.

The reallocation and partial refund of its contribution do not obviate a violation of 2 U.S.C. § 441a(a)(1)(A), since the reallocation and refund were made approximately three months after the date of the contribution. 11 C.F.R. § 103.3(b)(3). Therefore, Oxford PAC violated 2 U.S.C. § 441a(a)(1)(A) by making an excessive contribution to the Humphrey Committee.

III. DISCUSSION OF CONCILIATION PROVISIONS AND CIVIL PENALTIES

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IV. RECOMMENDATIONS

1. Enter into conciliation with the Oxford Energy Company PAC and Patrick O'Brien, as treasurer, prior to a finding of probable cause to believe.

2. Approve the attached proposed conciliation agreement and letter.

Lawrence M. Noble
General Counsel

1-10-90
Date

Lois G. Lerner
Associate General Counsel

Attachments
1. Response of the Oxford Energy Company PAC and Patrick O'Brien, as treasurer
2. Proposed Conciliation Agreement and letter

Staff assigned: Kenneth Kellner

92040923736

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	MUR 2937
Oxford Energy Company PAC	)	
and Patrick O'Brien, as	)	
treasurer	)	

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on January 16, 1990, the Commission decided by a vote of 6-0 to take the following actions in MUR 2937:

1. Enter into conciliation with the Oxford Energy Company PAC and Patrick O'Brien, as treasurer, prior to a finding of probable cause to believe.

2. Approve the proposed conciliation agreement and letter, as recommended in the General Counsel's Report dated January 10, 1990.

Commissioners Aikens, Elliott, Josefiak, McDonald, McGarry and Thomas voted affirmatively for the decision.

Attest:

1-17-90
Date

Marjorie W. Emmons
Secretary of the Commission

Received in the Secretariat: Thurs., Jan. 11, 1990 12:54 p.m.
Circulated to the Commission: Thurs., Jan. 11, 1990 4:00 p.m.
Deadline for vote: Tues., Jan. 16, 1990 4:00 p.m.

9204092337

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

January 23, 1990

Stuart I. Rosen, Esq.
Paul, Weiss, Rifkind, Wharton
& Garrison
1285 Avenue of the Americas
New York, New York 10019

RE: MUR 2937
Oxford Energy Company PAC
and Patrick O'Brien, as
treasurer

Dear Mr. Rosen:

On August 21, 1989, the Federal Election Commission found reason to believe that the Oxford Energy Company PAC and Patrick O'Brien, as treasurer, violated 2 U.S.C. § 441a(a)(1)(A). On January 16, 1990, at your request, the Commission determined to enter into negotiations directed towards reaching a conciliation agreement in settlement of this matter prior to a finding of probable cause to believe.

Enclosed is a conciliation agreement that the Commission has approved in settlement of this matter. If your clients agree with the provisions of the enclosed agreement, please sign and return it, along with the civil penalty, to the Commission. In light of the fact that conciliation negotiations, prior to a finding of probable cause to believe, are limited to a maximum of 30 days, you should respond to this notification as soon as possible.

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If you have any questions or suggestions for changes in the agreement, or if you wish to arrange a meeting in connection with a mutually satisfactory conciliation agreement, please contact Kenneth E. Kellner, the attorney assigned to this matter, at (202) 376-8200.

Sincerely,

Lawrence M. Noble
General Counsel

BY: Lois G. Lerner
Associate General Counsel

Enclosure
Conciliation Agreement

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OGC9515

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

February 8, 1990

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Stuart I. Rosen, Esq.
Paul, Weiss, Rifkind, Wharton
& Garrison
1285 Avenue of the Americas
New York, New York 10019

RE: MUR 2937
Oxford Energy Company PAC
and Patrick O'Brien, as
treasurer

Dear Mr. Rosen:

On January 23, 1990, you were notified that the Federal Election Commission determined to enter into negotiations directed toward reaching a conciliation agreement in settlement of this matter prior to a finding of probable cause to believe. On that same date you were sent a conciliation agreement offered by the Commission in settlement of this matter.

Please note that conciliation negotiations entered into prior to a finding of probable cause to believe are limited to a maximum of 30 days. To date, you have not responded to the proposed agreement. The 30 day period for negotiations will soon expire. Unless we receive a response from you within five days, this Office will consider these negotiations terminated and will proceed to the next stage of the enforcement process.

Should you have any questions, please contact Kenneth E. Kellner, the attorney assigned to this matter, at (202) 376-8200.

Sincerely,

Lawrence M. Noble
General Counsel

BY: Lois G. Lerner
Associate General Counsel

9204092374 0

MUR 2937

PAUL, WEISS, RIFKIND, WHARTON & GARRISON

1285 AVENUE OF THE AMERICAS

NEW YORK, NEW YORK 10019-6064

TELEPHONE (212) 373-3000
TELECOPIER (212) 757-3990
TELEX WUI 666-843

1615 L STREET, N. W.
WASHINGTON, D. C. 20036-5694
TELEPHONE (202) 223-7300
TELECOPIER (202) 223-7420
TELEX 248237 PWA UR

RANDOLPH E. PAUL (1946-1956)
LOUIS S. WEISS (1927-1950)
JOHN F. WHARTON (1927-1977)

ADRIAN W. DeWIND
LLOYD K. GARRISON
JOSEPH S. ISEMAN
JAMES B. LEWIS
PAUL J. NEWLON
MORDECAI ROCHLIN
HOWARD A. SEITZ
SAMUEL J. SILVERMAN
NORMAN ZELENKO
COUNSEL

DOMINIQUE FARGUE**
EUROPEAN COUNSEL

199, BOULEVARD SAINT GERMAIN
75007 PARIS, FRANCE
TELEPHONE (33-1) 45.49.33.85
TELECOPIER (33-1) 42.22.64.38
TELEX 203178F

2008 TWO EXCHANGE SQUARE
8 CONNAUGHT PLACE, CENTRAL
HONG KONG
TELEPHONE (852) 5-220041
TELECOPIER (852) 8-680124
TELEX HX66208

AKASAKA TWIN TOWER
17-22, AKASAKA 2-CHOME
MINATO-KU, TOKYO 107, JAPAN
TELEPHONE (81-3) 505-0291
TELECOPIER (81-3) 505-4540
TELEX 02428120 PWRWGT

NICHOLAS [illegible]BERT
MARK [illegible]COTT
ALLAN [illegible]FFA
DANIEL [illegible]SELLER
MARK A. [illegible]SELNICK
ALLAN BLUMSTEIN
RICHARD S. BORISOFF
JOHN F. BREGLIO
DAVID C. BRODHEAD
RICHARD J. BRONSTEIN
JOSEPH E. BROWDY
CAMERON CLARK
LEWIS R. CLAYTON
JAY COHEN
JEROME ALAN COHEN
EDWARD N. COSTIKYAN
JAMES M. DUBIN
LESLIE GORDON FAGEN
PETER L. FELCHER
GEORGE P. FELLEMAN
BERNARD FINKELSTEIN
MITCHELL S. FISHMAN
ROBERT C. FLEDER
MARTIN FLUMENBAUM
TERENCE J. FORTUNE*
MAX GITTER
RICHARD D. GOLDSTEIN
BERNARD H. GREENE
JAY GREENFIELD
GAINES GWATHMEY, III
PETER R. HAJE
ALBERT P. HAND
GERARD E. HARPER
SEYMOUR HERTZ
ROBERT M. HIRSH
JAMIE P. HORSLEY*
ARTHUR KALISH
LEWIS A. KAPLAN
ANTHONY B. KUKLIN
JEROME KURTZ
STEVEN E. LANDERS
ROBERT L. LAUFER
WALTER F. LEINHARDT
ARTHUR L. LIMAN
MARTIN LONDON
JOHN P. McENROE
COLLEEN McMAHON
ROBERT E. MONTGOMERY, JR.
ROBERT H. MONTGOMERY, JR.
DONALD F. MOORE
MATTHEW NIMETZ
KEVIN J. O'BRIEN
LIONEL H. OLMER*
JOHN J. O'NEIL
STUART I. ORAN
MARC E. PERLMUTTER
JAMES L. PURCELL
LEONARD V. QUIGLEY
CAREY R. RAMOS
CARL L. REISNER
SIMON H. RIFKIND
STUART ROSINOWITZ
SIDNEY S. ROSDEITCHER
RICHARD A. ROSEN
STEVEN B. ROSENFELD
PETER J. ROTHENBERG
ERNEST RUBENSTEIN
TERRY E. SCHIMEK
JOHN A. SILBERMAN
MOSES SILVERMAN
EILEEN S. SILVERS
STEVEN SIMKIN
ROBERT S. SMITH
MARILYN SOBEL
THEODORE C. SORENSEN*
JOHN C. TAYLOR, 3RD
ALLEN L. THOMAS
JUDITH R. THOYER
JAY TOPKIS
JOSE E. TRIAS
DAVID T. WASHBURN
ALFRED D. YOUNGWOOD

*NOT ADMITTED TO NEW YORK BAR.
**CONSEIL JURIDIQUE IN FRANCE ONLY.

(212) 373-3029

February 9, 1990

HAND DELIVERED

RECEIVED
FEDERAL ELECTION COMMISSION
MAIL ROOM
90 FEB 12 AM 11:54

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL
90 FEB 16 PM 3:32

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The Federal Election Commission
999 E Street, N.W.
Washington, DC 20463
Attention: Lois G. Lerner, Esq.
Associate General Counsel

MUR 2937: Oxford Energy Company PAC and Patrick O'Brien as Treasurer

Dear Ms. Lerner:

In connection with the above-referenced matter and in accordance with the letter to me, dated January 23, 1990, from Lawrence M. Noble, General Counsel of The Federal Election Commission, enclosed is an executed conciliation agreement and a check in the amount of $500, payable to the order of the Federal Election Commission, representing payment in full of the civil penalty prescribed by the terms of conciliation agreement.

Upon approval of the conciliation agreement by the Commission, please deliver to me a fully executed copy of the conciliation agreement. If anything further needs to be done in this matter, please feel free to call me at (212)373-3029.

Very truly yours,

Stuart Rosen

Stuart I. Rosen

SIR/aw
Enclosure

cc: Evan Garber

RECEIVED
FEDERAL ELECTION COMMISSION
SECRETARIAT

90 FEB 28 PM 4:15

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	**SENSITIVE**
	)	
Oxford Energy Company PAC and Patrick O'Brien, as treasurer	)	MUR 2937
	)	

GENERAL COUNSEL'S REPORT

I. BACKGROUND AND DISCUSSION OF CONCILIATION PROVISIONS

On August 21, 1989, the Commission found reason to believe that the Oxford Energy Company PAC (the "Committee") violated 2 U.S.C. § 441a(a)(1)(A) by making an excessive contribution to the Humphrey for Senate Campaign Committee. Subsequent to the Commission's findings, the Committee requested pre-probable cause conciliation. On January 16, 1990, the Commission approved a conciliation agreement containing a five hundred hundred dollar ($500) civil penalty. The conciliation agreement was mailed to the Committee on January 23, 1990.

On February 12, 1990, a check for the full amount of the civil penalty and the conciliation agreement, signed by the president of the Oxford Energy Company, were received by the Commission. No changes were made in the conciliation agreement that was mailed to the respondents. This Office recommends that the Commission accept the attached conciliation agreement with the Oxford Energy Company PAC and Patrick O'Brien, as treasurer, and close the file as to these respondents.

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II. **RECOMMENDATIONS**

1. Accept the attached conciliation agreement with the Oxford Energy Company PAC and Patrick O'Brien, as treasurer.

2. Close the file as to the Oxford Energy Company PAC and Patrick O'Brien, as treasurer.

3. Approve the attached letter.

Lawrence M. Noble
General Counsel

2/28/90
Date

BY: Lois G. Lerner
Associate General Counsel

Attachments
1. Letter from Committee and Conciliation Agreement
2. Copy of civil penalty check
3. Letter to Respondents

Staff Assigned: Kenneth Kellner

9204092337 43

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) MUR 2937
Oxford Energy Company PAC and Patrick)
O'Brien, as treasurer)

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on March 5, 1990, the Commission decided by a vote of 5-0 to take the following actions in MUR 2937:

1. Accept the conciliation agreement with the Oxford Energy Company PAC and Patrick O'Brien, as treasurer, as recommended in the General Counsel's report dated February 28, 1990.

2. Close the file as to the Oxford Energy Company PAC and Patrick O'Brien, as treasurer, as recommended in the General Counsel's report dated February 28, 1990.

3. Approve the letter, as recommended in the General Counsel's report dated February 28, 1990.

Commissioners Aikens, Elliott, Josefiak, McDonald, and McGarry voted affirmatively for the decision; Commissioner Thomas did not cast a vote.

Attest:

3-5-90
Date

Hilda Arnold
for Marjorie W. Emmons
Secretary of the Commission

Received in the Secretariat: Wed., Feb. 28, 1990 4:15 p.m.
Circulated to the Commission: Thurs., March 1, 1990 11:00 a.m.
Deadline for vote: Mon., March 5, 1990 11:00 a.m.

9204092374 4

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

March 8, 1990

Stuart I. Rosen, Esq.
Paul, Weiss, Rifkind, Wharton & Garrison
1285 Avenue of the Americas
New York, New York 10019

RE: MUR 2937
Oxford Energy Company PAC
and Patrick O'Brien, as
treasurer

Dear Mr. Rosen:

On March 5, 1990, the Federal Election Commission accepted the signed conciliation agreement submitted on your clients' behalf in settlement of a violation of 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended. Accordingly, the file has been closed in this matter as it pertains to your clients. This matter will become a part of the public record within 30 days after it has been closed with respect to all other respondents involved. If you wish to submit any factual or legal materials to appear on the public record, please do so within ten days. Such materials should be sent to the Office of the General Counsel.

Please be advised that information derived in connection with any conciliation attempt will not become public without the written consent of the respondent and the Commission. See 2 U.S.C. § 437g(a)(4)(B). The enclosed conciliation agreement, however, will become a part of the public record.

The Commission reminds you that the confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter has been closed. The Commission will notify you when the entire file has been closed.

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Enclosed you will find a copy of the fully executed conciliation agreement for your files. If you have any questions, please contact Kenneth E. Kellner, the attorney assigned to this matter, at (202) 376-8200.

Sincerely,

Lawrence M. Noble
General Counsel

BY: Lois G. Lerner
Associate General Counsel

Enclosure
Conciliation Agreement

92040923746

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
Oxford Energy Company PAC and Patrick O'Brien, as treasurer	)	MUR 2937
	)	
	)	

CONCILIATION AGREEMENT

This matter was initiated by the Federal Election Commission ("Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found reason to believe that the Oxford Energy Company PAC and Patrick O'Brien, as treasurer, ("Respondents") violated 2 U.S.C. § 441a(a)(1)(A).

NOW, THEREFORE, the Commission and the Respondents, having participated in informal methods of conciliation, prior to a finding of probable cause to believe, do hereby agree as follows:

I. The Commission has jurisdiction over the Respondents and the subject matter of this proceeding, and this agreement has the effect of an agreement entered pursuant to 2 U.S.C. § 437g(a)(4)(A)(i).

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. The Oxford Energy Company PAC ("Oxford PAC") is a political committee within the meaning of 2 U.S.C. § 431(4).

2. Patrick O'Brien is the treasurer of Oxford PAC.

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3. On November 10, 1988, Oxford PAC made a $3,000 contribution to the Humphrey For Senate Campaign Committee (the "Humphrey Committee").

4. On February 16, 1989, the Humphrey Committee refunded $1,000 to Oxford PAC. In addition, the remainder of Oxford PAC's contribution was reallocated so that $1,000 was contributed to the Humphrey Committee for the 1988 general election and $1,000 was contributed to the Humphrey Committee's outstanding debt with respect to the 1988 primary election.

5. Under 2 U.S.C. § 441a(a)(1)(A), it is unlawful for any person to make contributions to any candidate and his authorized committee with respect to any election for Federal office, which, in the aggregate, exceed $1,000. The term person includes a political committee. 2 U.S.C. § 431(11).

V. The Oxford Energy Company PAC and Patrick O'Brien, as treasurer, made a contribution to a political committee which exceeded the limitations by $2,000 in violation of 2 U.S.C. § 441a(a)(1)(A).

VI. Respondents will pay a civil penalty to the Federal Election Commission in the amount of Five Hundred dollars ($500), pursuant to 2 U.S.C. § 437g(a)(5)(A).

VII. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the

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District of Columbia.

VIII. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

IX. Respondents shall have no more than 30 days from the date this agreement becomes effective to comply with and implement the requirement contained in this agreement and to so notify the Commission.

X. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written agreement shall be enforceable.

FOR THE COMMISSION:

Lawrence M. Noble
General Counsel

BY: _____ Date 3/8/90
Lois G. Lerner
Associate General Counsel

FOR THE RESPONDENTS:

Robert Coleman _____ Date 1/31/90
(Name)
(Position) President

9204092374 9

RECEIVED
FEDERAL ELECTION COMMISSION
SECRETARIAT

91 FEB 26 AM 11:29

BEFORE THE FEDERAL ELECTION COMMISSION

SENSITIVE

In the Matter of)
)
Humphrey for Senate Campaign Committee, Inc.) MUR 2937
and Samuel D. Heins, as treasurer)
)

GENERAL COUNSEL'S REPORT

I. BACKGROUND

This matter was referred to the Office of General Counsel by the Reports Analysis Division and concerns the acceptance of apparent excessive contributions by the Humphrey for Senate Campaign Committee, Inc. The Commission found reason to believe that the Humphrey for Senate Campaign Committee, Inc. (the "Committee") and Samuel D. Heins, as treasurer, violated 2 U.S.C. § 441a(f). The Committee responded to the Commission's reason to believe notification and requested pre-probable cause conciliation. For the reasons set forth below, this Office recommends that the Commission grant the Committee's request.

II. ANALYSIS

Under 2 U.S.C. § 441a(a)(1)(A), it is unlawful for any person to make contributions to any candidate and his authorized committee with respect to any election for federal office, which, in the aggregate, exceed $1000. The term "person" includes a political committee. 2 U.S.C. § 431(11).

11 C.F.R. § 110.1(b)(2) provides that if a contribution is not designated in writing by the contributor for a particular election, it is deemed to be made for the next election for that federal office after the contribution is made.

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Under 2 U.S.C. § 441a(f), it is unlawful for an officer or employee of a political committee to knowingly accept a contribution made for the use or benefit of a candidate in violation of the limitations imposed on contributions under 2 U.S.C. § 441a.

Under 11 C.F.R. § 103.3(b)(3), the treasurer of a political committee is responsible for examining all contributions received to determine whether such contributions are illegal or whether, when aggregated with other contributions from the same contributor, exceed the contribution limitations. Excessive contributions may be deposited in a campaign depository or returned to the contributor. If an excessive contribution is deposited by a committee, the treasurer of the committee may request redesignation or reattribution of the contribution by the contributor. If a redesignation or reattribution is not obtained, the contribution must be refunded to the contributor within 60 days of receipt of the contribution.

A review of the Committee's 1988 April Quarterly, 1988 July Quarterly, 1988 12 Day Pre-Primary, 1988 October Quarterly, 1988 12 Day Pre-General and 1988 30 Day Post-General Reports revealed apparent excessive contributions totaling $22,100 made by 31 individuals and two non-qualified political committees. Although the Committee had taken corrective action with respect to $14,955 of the apparent excessive contributions prior to the date of the RAD referral, the corrective action was not taken within 60 days of the date of receipt of these contributions. In addition, the Committee also received $3050 in apparent

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excessive contributions for which corrective action was taken within 60 days of the date of receipt, and which were not included in the $22,100 of excessive contributions referred to this Office.

The Committee's response to the Commission's reason to believe notification addressed each of the apparent excessive contributions identified by RAD for which corrective action had not been taken as of the date of the RAD referral (totaling $7145), with the exception of the excessive contribution received from the Oxford Energy Company PAC.[1] Of these excessive contributions, the Committee has demonstrated that the $1000 excessive contribution received from the Holmes & Graven political committee was redesignated by the contributor committee within 60 days of the contribution's receipt. (Attachment 1, pages 9, 25). In addition, the Committee has also demonstrated that an apparent excessive contribution in the amount of $700 from Richard J. Long was actually received from another individual contributor, Richard N. Long. (Attachment 1, pages 16-18). However, it now appears from the documentation submitted by the Committee with its response to the Commission that the Committee may have accepted an excessive contribution

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1. With respect to the excessive contribution from the Oxford Energy Company PAC, it appears that the PAC made a $3000 contribution to the Committee on November 10, 1988. Of this amount, $1000 was designated to the 1988 general election, $1000 was redesignated to the Committee' outstanding debt with respect to the 1988 primary election, and $1000 was refunded to the PAC on February 16, 1989. A signed conciliation agreement with the Oxford Energy Company PAC for its making an excessive contribution to the Committee was accepted by the Commission on March 5, 1990.

from Richard J. Long in the amount of $1000. The Committee submitted copies of the two checks written by Richard J. Long to the Humphrey Volunteer Committee in the amount of $1000 each. (Attachment 1, pages 16-17). The first check is dated June 2, 1987, and the second check is dated December 18, 1987. The Committee reported the December 18, 1987, contribution as for the 1988 general election, although there is no documentation in support of this designation. Therefore, it appears that the Committee received an excessive contribution from Richard J. Long in the amount of $1000 on December 18, 1987. The remaining $5445 in apparent excessive contributions has been refunded, redesignated, or reattributed by the Committee, although not within the 60 day regulatory time frame.[2]

Furthermore, in its response to the Commission's reason to believe notification, the Committee stated that it was unaware of a deficiency in its software program whereby a contributor's prior contributions were aggregated on a calendar year-to-date basis, rather than on a per-election basis. This software program deficiency was related to five of the excessive contributions addressed in the Committee's response. The Committee submitted with its response affidavits from Shelly B. Martin, Assistant Treasurer of the Committee, and John Phillips, President of Aristotle Industries, the computer

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2. In several instances, the Committee submitted redesignations which are undated. (Attachment 1, pages 10, 13, 22). Thus, the Committee has not demonstrated that the redesignations were secured within 60 days of the date on which the contributions involved were received.

software developer and marketing firm which sold the software program to the Committee. (Attachment 1, pages 26-32). The affidavits of Ms. Martin and Mr. Phillips confirmed that the Committee relied upon the software program to identify excessive contributions. The Committee did not detect the deficiency in the software program until April of 1988, resulting in excessive contributions from contributors who made contributions in both 1987 and 1988, which were not previously identified. (Attachment 1, page 28).

Of the total $22,100 in apparent excessive contributions, the Committee has demonstrated that $1000 was redesignated within 60 days of receipt of the contribution, and that $700 received from an individual contributor was not an excessive contribution. The Committee has now remedied the remaining $20,400 in excessive contributions. Because corrective action was not taken within 60 days of receipt of the contributions, the fact that the Committee has taken action to redesignate, reattribute, or refund the excessive contributions does not vitiate the violation of 2 U.S.C. § 441a(f). In addition, it appears that the Committee accepted an additional $1000 excessive contribution from an individual contributor. Therefore, the Committee violated 2 U.S.C. § 441a(f) by receiving contributions in excess of the limitations set forth in 2 U.S.C. § 441a.

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III. DISCUSSION OF CONCILIATION PROVISIONS AND CIVIL PENALTY

IV. RECOMMENDATIONS

1. Enter into conciliation with Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer, prior to a finding of probable cause to believe.

2. Approve the attached proposed conciliation agreement and the appropriate letter.

Lawrence M. Noble
General Counsel

Date 2/25/91

BY: [signature]
Lois G. Lerner
Associate General Counsel

Attachments
1. Request for conciliation and response
2. Proposed Conciliation Agreement

Staff assigned: Mary P. Mastrobattista

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	MUR 2937
Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer.	)	

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on February 28, 1991, the Commission decided by a vote of 5-0 to take the following actions in MUR 2937:

1. Enter into conciliation with Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer, prior to a finding of probable cause to believe.

2. Approve the proposed conciliation agreement and letter, as recommended in the General Counsel's Report dated February 25, 1991.

Commissioners Aikens, Elliott, Josefiak, McDonald and McGarry voted affirmatively for the decision; Commissioner Thomas did not cast a vote.

Attest:

3-1-91
Date

Marjorie W. Emmons
Secretary of the Commission

Received in the Secretariat: Tuesday, Feb. 26, 1991 11:29 a.m.
Circulated to the Commission: Tuesday, Feb. 26, 1991 4:00 p.m.
Deadline for vote: Thursday, Feb. 28, 1991 4:00 p.m.

dh

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

March 6, 1991

Frank J. Walz, Esq.
Best & Flanagan
3500 IDS Center
Minneapolis, MN 55402-2113

RE: MUR 2937
Humphrey for Senate Campaign Committee, Inc. and
Samuel D. Heins, as treasurer

Dear Mr. Walz:

On August 21, 1989, the Federal Election Commission found reason to believe that Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer violated 2 U.S.C. § 441a(f). At your request, on February 28, 1991, the Commission determined to enter into negotiations directed towards reaching a conciliation agreement in settlement of this matter prior to a finding of probable cause to believe.

Enclosed is a conciliation agreement that the Commission has approved in settlement of this matter which contains an admission of a violation of 2 U.S.C. § 441a(f) for receipt of excessive contributions in the amount of $21,400. This amount represents $20,400 in excessive contributions received by the Committee, for which the Committee was unable to demonstrate that corrective action had been taken within 60 days. Further, it appears that an additional $1000 excessive contribution was received from Richard J. Long, for which corrective action has not been taken. The Committee's response to the Commission's reason to believe notification demonstrated that the Committee received two contributions from Richard J. Long on June 2, 1987, and December 18, 1987, in the amount of $1000 each. The Committee reported the December 18, 1987, contribution as for the 1988 general election, although there is no documentation in support of this designation. Under the provisions of 11 C.F.R. § 110.1(b)(2), it appears that the Committee received an excessive primary election contribution from Richard J. Long in the amount of $1000 on December 18, 1987.

If your client agrees with the provisions of the enclosed agreement, please sign and return it, along with the civil penalty, to the Commission. In light of the fact that

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conciliation negotiations, prior to a finding of probable cause to believe, are limited to a maximum of 30 days, you should respond to this notification as soon as possible.

If you have any questions or suggestions for changes in the agreement, or if you wish to arrange a meeting in connection with a mutually satisfactory conciliation agreement, please contact Mary P. Mastrobattista, the attorney assigned to this matter, at (202) 376-8200.

Sincerely,

Lawrence M. Noble
General Counsel

BY: Lois G. Lerner
Associate General Counsel

Enclosure
Conciliation Agreement

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JOHN R. CARROLL
JAMES D. OLSON
ROBERT M. SKARE
ROBERT L. CROSBY
LEONARD M. ADDINGTON
ROBERT R. BARTH
N. WALTER GRAFF
ALLEN D. BARNARD
RICHARD A. PETERSON
ROBERT J. CHRISTIANSON, JR.
FRANK J. WALZ
THOMAS D. CARLSON
FRANK VOGL
MARINUS W. VAN PUTTEN, JR.
DAVID B. MORSE
JOHN A. BURTON, JR.
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SCOTT D. ELLER
CHARLES C. BERQUIST
GEORGE O. LUDCKE
E. JOSEPH LAFAVE
GREGORY D. SOULE
CATHY E. GORLIN
PATRICK B. HENNESSY
TIMOTHY A. SULLIVAN
TAMMY L. PUST
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DAVID J. ZUBKE

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CINDY J. LARSON
JOHN P. BOYLE
ROSS C. FORMELL
CHRISTOPHER C. FOY
CARYN SCHERB GLOVER
SARAH S. GODFREY
MARY E. SHEAREN
CATHERINE J. COURTNEY
KEITH J. NELSEN
TRACY F. KOCHENDORFER
JEANNICE M. REDINO

OF COUNSEL
ARCHIBALD SPENCER
CHARLES S. BELLOWS
WARD B. LEWIS

RETIRED
LEONARD W. SIMONET

JAMES I. BEST
1902-1966
ROBERT J. FLANAGAN
1898-1974
GEORGE MALONEY
1909-1989

April 3, 1991

BY FEDERAL EXPRESS

Ms. Mary P. Mastrobattista
Attorney at Law
Federal Election Commission
999 E Street, N.W.
Washington, D. C. 20463

Re: MUR 2937 - Humphrey for Senate Campaign Committee, Inc., Samuel D. Heins, Treasurer

Dear Ms. Mastrobattista:

Enclosed in the above matter please find the Affidavit of Margaret H. Chutich

The Affidavit addresses the contributions from Robert J. Long referred to in the letter to me from Associate General Counsel Lois G. Lerner dated March 6, 1991.

As I mentioned in our phone conversation last week, the Respondents in the above matter are also Respondents in MUR 2745 which is presently assigned to Jose M. Rodriguez, Esq., and in which we have also invited pre-probable cause conciliation. By a copy of this letter to Mr. Rodriguez, I am requesting that the Commission give consideration to resolving both MURs, with respect to these Respondents, at one time.

Yours very truly,

Frank J. Walz

FJW:emw/9749Q
enclosure
cc: Jose M. Rodriguez, Esq. w/out enc.
Mr. Samuel D. Heins w/enc.

91 APR -4 AM 9:25
FEDERAL ELECTION COMMISSION
91 APR -5 PM 12:20
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BEFORE THE FEDERAL ELECTION COMMISSION
OF THE UNITED STATES

IN THE MATTER OF:

Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as Treasurer

MUR 2937

STATE OF MINNESOTA)
)ss.
COUNTY OF HENNEPIN)

AFFIDAVIT OF MARGARET H. CHUTICH

Margaret H. Chutich, being first duly sworn, upon oath deposes and states as follows:

1. I am the Assistant Treasurer of the Humphrey for Senate Campaign Committee, Inc. ("Committee"). I am also an attorney with the law firm of Opperman Heins & Paquin, 2200 Washington Square, 100 Washington Avenue South, Minneapolis, Minnesota, and the person who primarily supervised the Treasurer's support staff from 1987 to the present. All such services were provided to the Committee pursuant to 11 C.F.R. § 100.7(b)(14), and were reported by the Committee pursuant to 11 C.F.R. § 104.3(h).

2. I make this affidavit in response to the question raised in the Commission's March 6, 1991 letter regarding contributions received by the Committee from Richard J. Long.

3. The first contribution of $1,000.00 received on June 2, 1987 from Richard J. Long was properly attributed to the primary election. Based upon my review of the Committee's records and my

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knowledge of the Committee's practices, I believe that the second contribution of $1,000.00 received December 18, 1987 was properly attributed to the general election within the applicable sixty day time period. Attached as Exhibit A is a copy of Richard J. Long's signature redesignating the December 18, 1987 $1,000.00 contribution from the primary to the general election. Also attached as Exhibit B, is a copy of the page from our 1987 year-end report showing Richard J. Long's contribution as being attributed to the general election.

4. Although the redesignation of Mr. Long is undated, it was the Committee's established practice at the close of a reporting period to report all contributions which lacked a written redesignation as follows:

$ Primary - redesignation requested

As Assistant Treasurer, I stressed the importance of reporting contributions with outstanding designations in that manner and our reports show that this practice was followed.

5. In sum, if the contribution received by Richard J. Long on December 18, 1987 had not been redesignated by December 31, 1987, the close of the reporting period, it would not have been attributed, in the year-end report, to the general election. See Exhibit B. Thus I believe that the contributions of Richard J. Long were reported correctly in accordance with FEC regulations.

6. Further, to the best of my knowledge, the Committee did not knowingly or intentionally accept contributions at any time in

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excess of the allowable limits prescribed by applicable law and regulations.

FURTHER AFFIANT SAITH NOT.

Margaret H. Chutich

Subscribed and sworn to before me
this 20th day of March 1991.

Notary Public

15dka.chutich.af

DENISE K. ABBOTT
NOTARY PUBLIC—MINNESOTA
HENNEPIN COUNTY
My Commission Expires Apr. 5, 1993

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HUMPHREY FOR SENATE
CAMPAIGN COMMITTEE, INC.
TREASURER OFFICE
701 FOURTH AVENUE SOUTH
SUITE 1800
MINNEAPOLIS, MN 55415

Samuel D. Heins, Treasurer
Margaret H. Chutich, Asst. Treasurer

Please select and sign only one of these options. Then detach and send to the above address, at your earliest convenience.

1. Please redesignate the excess portion of my contribution to the general election.

Signature of Contributor

RICHARD J. LONG
Print Name of Contributor

2. Please refund the excess portion of my contribution to me.

Signature of Contributor

Print Name of Contributor

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EXHIBIT A

NAME AND ADDRESS	EMPLOYER AND OCCUPATION	DATE	AMOUNT	ELECTION
Kenneth Lipper Lipper & Company, Inc. 375 Park Avenue New York NY 10152 YEAR TO DATE $1000.00	Lipper & Co., Inc. President	11/04/87	$1000.00	Primary -87
Zel Lipsen 1725 DeSales St., NE. Suite 800 Washington DC 20036 YEAR TO DATE $500.00	Self Attorney	11/05/87	$500.00	Primary -87
Richard Lockridge 5345 Grand Ave. Minneapolis MN 55419 YEAR TO DATE $2000.00	Self Attorney	11/12/87	$1000.00	General-87
Richard Long 2130 Lower Street Dennis Road St. Paul Mn 55116 YEAR TO DATE $2000.00	Long Cadillac Owner	12/18/87	$1000.00	General-87
Miles Lord 8th and Nicollett Midwest Federal Plaza Minneapolis MN YEAR TO DATE $300.00	Grose, Vonholtum Attorney	10/13/87	$200.00	Primary -87
Edward Lowenthal 201 Hamilton Rd. Ridgewood NJ 07450 YEAR TO DATE $250.00	Wellsford Group Ins.	11/25/87	$250.00	Primary -87
William Lucas Hirschi Investments 3308 Kemp Wichita Falls TX 76308 YEAR TO DATE $250.00	Self Investments	12/31/87	$250.00	Primary -87
Leland Lynch 100 East 22nd Street Minneapolis MN 55404 YEAR TO DATE $250.00	Advertising Agency CEO	07/10/87	$250.00	Primary -87
William MacLeann 22 William St. Fairhaven MA 02719 YEAR TO DATE $500.00	St. of Massachusetts State Senator	12/07/87	$500.00	Primary -87

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EXHIBIT B

RECEIVED
F.E.C.
SECRETARIAT

91 AUG 20 PM 3:49

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	MUR 2937
Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer	)	

GENERAL COUNSEL'S REPORT **SENSITIVE**

The Office of the General Counsel is prepared to close the investigation in this matter as to the Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer, based on the assessment of the information presently available.

8/19/91
Date

Lawrence M. Noble
General Counsel

Staff assigned: Mary P. Mastrobattista

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 23, 1991

MEMORANDUM

TO: The Commission

FROM: Lawrence M. Noble
General Counsel

SUBJECT: MUR 2937
Humphrey for Senate Campaign Committee, Inc. and
Samuel D. Heins, as treasurer

Attached for the Commission's review is a brief stating the position of the General Counsel on the legal and factual issues of the above-captioned matter. A copy of this brief and letter notifying the respondents of the General Counsel's intent to recommend to the Commission a finding of probable cause to believe were mailed on August 23, 1991. Following receipt of the respondents' reply to this notice, this Office will make a further report to the Commission.

Attachments
1. Brief
2. Letter to respondents

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 23, 1991

Frank J. Walz, Esq.
Best & Flanagan
3500 IDS Center
Minneapolis, MN 55402-2113

RE: MUR 2937
Humphrey for Senate Campaign Committee, Inc. and
Samuel D. Heins, as treasurer

Dear Mr. Walz:

Based on information ascertained in the normal course of carrying out its supervisory responsibilities, on August 21, 1989, the Federal Election Commission found reason to believe that the Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer, violated 2 U.S.C. § 441a(f), and instituted an investigation in this matter.

After considering all the evidence available to the Commission, the Office of the General Counsel is prepared to recommend that the Commission find probable cause to believe that a violation has occurred.

The Commission may or may not approve the General Counsel's recommendation. Submitted for your review is a brief stating the position of the General Counsel on the legal and factual issues of the case. Within 15 days of your receipt of this notice, you may file with the Secretary of the Commission a brief (ten copies if possible) stating your position on the issues and replying to the brief of the General Counsel. (Three copies of such brief should also be forwarded to the Office of the General Counsel, if possible.) The General Counsel's brief and any brief which you may submit will be considered by the Commission before proceeding to a vote of whether there is probable cause to believe a violation has occurred.

If you are unable to file a responsive brief within 15 days, you may submit a written request for an extension of time. All requests for extensions of time must be submitted in writing five days prior to the due date, and good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

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A finding of probable cause to believe requires that the Office of the General Counsel attempt for a period of not less than 30, but not more than 90 days, to settle this matter through a conciliation agreement.

Should you have any questions, please contact Mary P. Mastrobattista, the attorney assigned to this matter, at (202) 376-8200.

Sincerely,

Lawrence M. Noble
General Counsel

Enclosure
Brief

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer	)	MUR 2937
	)	

GENERAL COUNSEL'S BRIEF

I. STATEMENT OF THE CASE

On August 21, 1989, the Commission found reason to believe that the Humphrey for Senate Campaign Committee, Inc. ("the Committee") and Samuel D. Heins, as treasurer, violated 2 U.S.C. § 441a(f).

II. ANALYSIS

2 U.S.C. § 441a(a)(1)(A) provides that no person shall make contributions to any candidate and his authorized political committees with respect to any election for Federal office which, in the aggregate, exceed $1,000.

Under 2 U.S.C. § 441a(f), no officer or employee of a political committee shall knowingly accept a contribution made for the benefit or use of a candidate in violation of any limitation imposed on contributions under 2 U.S.C. § 441a.

Under 11 C.F.R. § 103.3(b), the treasurer of a political committee shall be responsible for examining all contributions received for evidence of illegality and for ascertaining whether contributions received, when aggregated with other contributions from the same contributor, exceed the contribution limitations. 11 C.F.R. § 103.3(b)(3) provides that contributions which on their face exceed the contribution limitations, and contributions which do not appear to be excessive on their face,

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but which exceed the contribution limits when aggregated with other contributions from the same contributor, may be either deposited in a campaign depository or returned to the contributor. If any such excessive contribution is deposited, the treasurer of the committee may request redesignation or reattribution of the contribution by the contributor. If a redesignation or reattribution is not obtained, the treasurer shall, within 60 days of the treasurer's receipt of the contribution, refund the contribution to the contributor.

11 C.F.R. § 110.1(b)(2)(ii) provides that if a contribution is not designated in writing by the contributor for a particular election, it is deemed to be made for the next election for that federal office after the contribution is made.

The Commission's reason to believe finding in this matter was predicated on an analysis of reports filed by the Committee. A review of the Committee's 1988 April Quarterly, 1988 July Quarterly, 1988 12 Day Pre-Primary, 1988 October Quarterly, 1988 12 Day Pre-General and 1988 30 Day Post-General Reports revealed apparent excessive contributions totaling $22,100 made by 31 individuals and two non-qualified political committees. Of the total $22,100 in apparent excessive contributions, the Committee has demonstrated that a $1,000 excessive contribution received from the Holmes & Graven political committee was redesignated by the contributor committee within 60 days of the Committee's receipt of the contribution. In addition, the Committee has also demonstrated that an apparent excessive contribution in the amount of $700 from Richard J. Long was actually received from

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another individual contributor, Richard N. Long. Thus, the $1,000 contribution received from the Holmes & Graven political committee and the $700 contribution received from Richard N. Long did not result in excessive contributions.

With respect to the remaining excessive contributions ($20,400), the Committee asserts that it did not knowingly or intentionally accept contributions in excess of the allowable limits prescribed by applicable law and regulations. The Committee makes two arguments in support of its position. First, the Committee contends that a functional deficiency in its computer software program contributed significantly to its acceptance of several excessive contributions. The Committee contends that it was unaware of a deficiency in its software program whereby a contributor's prior contributions were aggregated on a calendar year-to-date basis, rather than on a per-election basis. According to an affidavit submitted by Shelly B. Martin, an assistant to the Treasurer of the Committee, the Committee relied upon the software program to identify excessive contributions. The Committee asserts that it did not detect the deficiency in the software program until April of 1988, resulting in excessive contributions from contributors who made contributions in both 1987 and 1988, which were not previously identified.

Secondly, the Committee argues that it obtained redesignations or reattributions from contributors for other excessive contributions, or refunded other excessive contributions, but not within 60 days of receipt of the

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contributions. In several instances, the Committee submitted redesignations of excessive contributions which were undated. As of October 19, 1989, the Committee had refunded, redesignated or reattributed all of the $20,400 in excessive contributions.

In addition to the $20,400 in excessive contributions previously identified, further investigation into this matter has revealed an excessive contribution from Richard J. Long in the amount of $1000. According to Committee records, Richard J. Long made two contributions to the Committee totaling $2,000. Copies of the two checks written by Richard J. Long to the Humphrey Volunteer Committee in the amount of $1000 each were submitted by the Committee to this Office. The first check is dated June 2, 1987, and the second check is dated December 18, 1987. The Committee reported the June 2, 1987 contribution as for the 1988 primary election, and the December 18, 1987 contribution as for the 1988 general election. There was, however, no indication that the December 18, 1987 contribution was designated for the 1988 general election. Therefore, in accordance with 11 C.F.R. § 110.1(b)(2)(ii), the December 18, 1987 contribution was deemed to have been made for the 1988 primary election, resulting in an excessive contribution.

Regarding the $1,000 excessive contribution from Richard J. Long, the Committee contends that the first contribution of $1,000 received on June 2, 1987 was properly attributed to the 1988 primary election, and that the December 18, 1987 contribution was properly attributed to the 1988 general election. In support of its position, the Committee submitted

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an affidavit from Margaret H. Chutich, the Assistant Treasurer of the Committee, stating that she believed that the second contribution received from Richard J. Long was properly attributed to the general election within the applicable sixty day time period, based upon her review of the Committee's records and knowledge of the Committee's practices. The Committee also produced a statement of redesignation from Richard J. Long redesignating the excessive portion of his contribution to the general election. The statement of redesignation, however, was undated. Thus, the Committee has failed to demonstrate that the contribution from Richard J. Long was redesignated within 60 days of receipt of the contribution.

11 C.F.R. § 103.3(b) requires the treasurer of a political committee to examine all contributions received to determine whether such contributions, when aggregated with other contributions from the same contributor, exceed the contribution limitations. 11 C.F.R. § 103.3(b)(3) provides that any excessive contribution which is deposited by a committee must be refunded to the contributor, if a redesignation or reattribution is not obtained, within 60 days of receipt of the contribution.

The evidence in this matter demonstrates that the Committee accepted $21,400 in excessive contributions. The Committee's acceptance of the excessive contributions was due in part to the treasurer's failure to determine whether such contributions exceeded the contribution limitations when aggregated with other contributions from the same contributor, as required by 11 C.F.R. § 103.3(b). Furthermore, the Committee also failed to

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refund, redesignate, or reattribute several of the excessive contributions within 60 days of receipt, as required by 11 C.F.R. § 103.3(b)(3). Therefore, the Office of the General Counsel recommends that the Commission find probable cause to believe that the Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer, violated 2 U.S.C. § 441a(f).

III. GENERAL COUNSEL'S RECOMMENDATION

Find probable cause to believe that Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer, violated 2 U.S.C. § 441a(f).

8/22/91
Date

Lawrence M. Noble
General Counsel

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TAMMY L. PUST
BRIAN F. RICE
TRACY J. VAN STEENBURGH
DAVID J. ZUBKE
STEVEN R. KRUGER

JAMES P. MICHELS
PAUL E. KAMINSKI
CINDY J. LARSON
JOHN P. BOYLE
ROSS C. FORMELL
CHRISTOPHER C. FOY
CARYN SCHERB GLOVER
SARAH S. GODFREY
MARY E. SHEAREN
CATHERINE J. COURTNEY
KEITH J. NELSEN
TRACY F. KOCHENDORFER
JEANNICE M. REDINO
DAVID D. ETZWILER

OF COUNSEL
CHARLES A. GEER
ARCHIBALD SPENCER
CHARLES S. BELLOWS
WARD B. LEWIS

RETIRED
LEONARD W. SIMONET

JAMES I. BEST
1902-1986
ROBERT J. FLANAGAN
1926-1974
GEORGE MALONEY
1909-1989

September 5, 1991

Mr. Lawrence M. Noble
General Counsel
Federal Election Commission
999 E Street, N.W.
Washington, D. C. 20463

Attention: Mary P. Mastrobattista, Esq.

Re: MUR 2937 - Humphrey for Senate Campaign Committee, Inc., Samuel D. Heins, Treasurer

Dear Ms. Mastrobattista:

Please consider this letter as the request of the Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, its treasurer, for a 20-day extension of time within which to respond to the General Counsel's Brief in the above matter. We received your letter of August 23, 1991, enclosing the General Counsel's Brief, on August 28, 1991. Our response is therefore due on September 12, and our requested extension of time is therefore to and including Wednesday, October 2, 1991.

I am required to attend all-day depositions on Monday through Thursday of next week, September 9 through 12. I also have a three-day jury trial scheduled to begin on Tuesday, September 17, 1991. I am therefore not in a position to adequately prepare a response to the General Counsel's Brief, without the requested extension.

Thank you for your consideration.

Yours very truly,

Frank Walz

Frank J. Walz

FJW:emw/0578Q
cc: Mr. Samuel D. Heins

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OFFICE OF GENERAL COUNSEL

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

September 12, 1991

Frank J. Walz, Esq.
Best & Flanagan
3500 IDS Center
80 South 8th Street
Minneapolis, MN 55402-2113

RE: MUR 2937
Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer

Dear Mr. Walz:

This is in response to your letter dated September 5, 1991, which we received on September 9, 1991, requesting an extension of 20 days to respond to the General Counsel's Brief. After considering the circumstances presented in your letter, I have granted the requested extension. Accordingly, your response is due by the close of business on October 2, 1991.

If you have any questions, please contact Mary P. Mastrobattista, the attorney assigned to this matter, at (202) 219-3400.

Sincerely,

Lawrence M. Noble
General Counsel

BY: Lois G. Lerner
Associate General Counsel

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OGC 8021

JOHN R. CARROLL
JAMES D. OLSON
ROBERT M. SKARE
ROBERT L. CROSBY
LEONARD M. ADDINGTON
ROBERT R. BARTH
N. WALTER GRAFF
ALLEN D. BARNARD
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FRANK J. WALZ
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E. JOSEPH LAFAVE
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RETIRED
LEONARD W. SIMONET

JAMES I. BEST
1902-1986
ROBERT J. FLANAGAN
1906-1974
GEORGE MALONEY
1909-1969

October 2, 1991

92040923777

Mr. Lawrence M. Noble
General Counsel
Federal Election Commission
999 E Street, N.W.
Washington, D. C. 20463

Attention: Mary P. Mastrobattista, Esq.

Re: MUR 2937 - Humphrey For Senate Campaign Committee, Inc., Samuel D. Heins, Treasurer

Dear Ms. Mastrobattista:

Enclosed please find three (3) copies of the Brief of Humphrey Committee and Its Treasurer in the above matter.

Yours very truly,

Frank J. Walz

FJW:emw
enclosures
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OFFICE OF GENERAL COUNSEL
91 OCT -4 PM 3:35

BEFORE THE FEDERAL ELECTION COMMISSION
OF THE UNITED STATES

IN THE MATTER OF: MUR 2937

HUMPHREY FOR SENATE CAMPAIGN COMMITTEE, INC. AND SAMUEL D. HEINS, as Treasurer

---

BRIEF OF HUMPHREY COMMITTEE AND ITS TREASURER

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I. STATEMENT OF THE CASE.

On August 21, 1989, based upon its review of pre- and post-election reports filed by the Humphrey For Senate Campaign Committee, Inc. ("Committee"), the Commission found reason to belief that the Committee and Samuel D. Heins, as treasurer (collectively, the "Respondents") violated 2 U.S.C. § 441a(f).

On October 6, 1989, the Respondents advised the Commission that the Respondents wished to pursue pre-probable cause conciliation pursuant to 11 C.F.R. § 111.18(d).

On February 28, 1991, the Commission determined to enter into negotiations with the Respondents directed toward reaching a conciliation agreement prior to a finding of probable cause to believe under 11 C.F.R. § 111.17. The Respondents were advised of that determination on March 11, 1991. Negotiations

were thereafter initiated and continued, unsuccessfully, until July 21, 1991, at which time the Respondents were advised by the Commission's General Counsel that the matter would proceed to the next stage of the enforcement process.

II. STATEMENT OF FACTS.

During the period from January 19, 1988 through November 10, 1988, the Committee accepted excessive contributions totalling $18,900 from 29 individuals and one non-qualified political committee, but did not obtain appropriate redesignations of the excess portions of the contributions from the contributors to the general election, or appropriate reattributions by joint contributors, or did not refund the excess portions of the contributions, within 60 days of their receipt, as required by 11 C.F.R. § 103.3(b)(3).

The Respondents' acceptance of the excessive contributions, and their failure to obtain appropriate redesignations or reattributions or refund the excess portions of the contributions on a timely basis, was wholly inadvertent.

All of the contributions were reported by the Respondents to the Commission in the Committee's 1988 reports. In fact, the Committee's reports reflected redesignations, reattributions or refunds to 21 of the 29 individuals involved, together with the dates on which they had occurred.

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Of the remaining contributors, a functional deficiency in the Committee's computer software program caused the oversight with respect to five contributors. The computer aggregated contributions by a contributor on a calendar year basis, and not on a per-election, or primary/general election, basis, as should have been done. As a result, in 1988, the Committee's computer print-outs of contributor totals included only amounts contributed in 1988 and not amounts contributed in 1987. The deficiency in the software program was not noticed or corrected by the Committee until April 1988. The excessive contributions were inadvertently accepted prior to that time, and were not detected until notification was received from the Commission. As indicated, however, all of the contributions were reported by the Committee in its reports.

With respect to the remaining excessive contributions, the Committee sought and obtained the required redesignations from the contributors prior to the receipt of notification from the Commission, but the redesignations were inadvertently not dated.

As of October 19, 1989, the Committee had refunded, redesignated or reattributed all of the excessive contributions.

III. ANALYSIS.

First, with respect to the excessive contributions on

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which the Committee itself reported untimely redesignations, reattributions or refunds, the General Counsel does not address them at all. Thus, neither the contributions nor the redesignations, reattributions or refunds relating to them provide any basis for a finding of probable cause to believe that a violation of 2 U.S.C. § 441a(f) occurred.

Next, with respect to the functional deficiency in its computer software program, which occasioned excessive contributions by five contributors who made contributions in both 1987 and 1988 which went undetected, the Committee submitted the Affidavit of Shelly B. Martin, the Assistant Treasurer of the Committee, concerning the Committee's reliance on the computer software program to detect excessive contributions, and the Committee's failure to detect the deficiency in the software program until early in April 1988. The Committee also submitted the Affidavit of John Phillips, the President of Aristotle Industries, Washington, D. C., which supplied the software program, attesting to the deficiency in its program.

The General Counsel does not respond to, or comment upon, these proofs [General Counsel's Br., p. 3], except by indirection in his assertion or conclusion that the Committee's acceptance of excessive contributions "was due in part to the treasurer's failure to determine whether such contributions exceeded the contribution limitations when aggregated with other contributions from the same contributor . . . ." [General

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Counsel's Br., p. 5.]

The Respondents submit that the error with respect to these contributions was wholly inadvertent and excusable, reflects no lack of diligence by the treasurer, and could under no circumstances constitute the knowing receipt of excessive contributions by the Respondents in violation of 2 U.S.C. § 441a(f).

With respect to the remaining excessive contributions, the Committee submitted the sworn response of Assistant Treasurer Margaret H. Chutich to the Commission's Interrogatories and Request for Production of Documents, which addresses each contribution individually and provides the appropriate documentation from the Commission's files. The General Counsel notes that "in several instances, the Committee submitted redesignations of excessive contributions which were undated." [General Counsel's Br., p. 4.] While it is true that in three instances redesignations received from contributors were undated, that is the condition in which the redesignations were found in the Committee's records, and, in each instance, the Committee's response indicated, to the best of its knowledge, when the redesignation had actually been received.

The General Counsel also says that "further investigation" has revealed an excessive contribution of $1,000 from Richard J. Long, who wrote separate $1,000 checks to the

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Committee on June 2 and December 18, 1987. With respect to that issue, the Committee submitted a separate Affidavit of Assistant Treasurer Margaret H. Chutich stating that Mr. Long's December 18 contribution had been redesignated to the general election on a timely basis. The General Counsel says that the Committee has "failed to demonstrate" that a timely redesignation occurred because the redesignation was undated. [General Counsel's Br., p. 5.] As Ms. Chutich's Affidavit indicates, however, while Mr. Long's redesignation, as found in the Committee's files is undated, the Committee's 1987 year-end report reported the contribution as a general election contribution, and would not have done so had the redesignation by Mr. Long not already been received.

The General Counsel concludes that because the Committee failed to obtain reattributions or redesignations within 60 days of receipt, as required by 11 C.F.R. § 103.3(b)(3), "probable cause to believe" should be found that the Respondents violated 2 U.S.C. § 441a(f).

The Respondents respectfully submit that on the facts presented here, the Respondents' failure to obtain timely redesignations or reattributions provides no cause whatever, much less "probable cause to believe" that the Respondents knowingly accepted excessive contributions in violation of 2 U.S.C. § 441a(f).

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IV. RESPONDENTS' RECOMMENDATION.

Find no probable cause to believe that the Respondents violated 2 U.S.C. § 441a(f).

BEST & FLANAGAN

By Frank J. Wa~~lz~~ # 124327
Frank J. Wa~~lz~~, # 124327
3500 IDS Center
80 South 8th Street
Minneapolis, MN 55402
612-339-7121

ATTORNEYS FOR HUMPHREY FOR SENATE CAMPAIGN COMMITTEE, INC. AND SAMUEL D. HEINS, AS TREASURER

DATED: October 2, 1991.

07049

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer	)	MUR 2937
	)	
	)	SENSITIVE

GENERAL COUNSEL'S REPORT

I. BACKGROUND

This matter was referred to the Office of the General Counsel by the Reports Analysis Division. On August 21, 1989, the Commission opened a MUR and found reason to believe that the Humphrey for Senate Campaign Committee, Inc. (the "Committee") and Samuel D. Heins, as treasurer, violated 2 U.S.C. § 441a(f). On February 28, 1991, the Commission determined to enter into conciliation with the Respondents prior to a finding of probable cause to believe. Attempts to resolve this matter through pre-probable cause conciliation were unsuccessful, and on July 10, 1991, the Commission rejected the counteroffer submitted by the Respondents. This Office forwarded a brief regarding probable cause to the Respondents on August 23, 1991, and counsel for the Respondents submitted a reply brief on October 4, 1991. This report contains a recommendation on probable cause.

II. ANALYSIS

This Office's analysis of the Respondents' liability in this matter is contained in the General Counsel's brief signed August 22, 1991. The factual and legal analysis set forth in the General Counsel's brief is incorporated as if fully set forth herein.

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This matter concerns the Respondents' acceptance of excessive contributions totaling $20,400 from the period of January 19, 1988 through November 10, 1988. In his reply brief, counsel for the Respondents contends that the General Counsel's brief does not address the excessive contributions for which the Committee reported untimely redesignations, reattributions or refunds. Contrary to counsel's contention, these contributions are included in the total of excessive contributions received by the Committee and are addressed at pages three through four and pages five through six of the General Counsel's brief.

Counsel for the Respondents also contends that the Respondents' acceptance of the excessive contributions was inadvertent and, therefore, the Respondents did not knowingly accept excessive contributions in violation of 2 U.S.C. § 441a(f). While section 441a(f) of the Act prohibits only "knowing" acceptance of an excessive contribution, it is clear that the Respondents knowingly accepted the contributions at issue in this matter. See FEC v. John A. Dramesi for Congress Committee, 640 F. Supp. 985, 987 (D.N.J. 1986)("knowing" standard of 2 U.S.C. § 441a(f) "does not require knowledge that one is violating a law, but merely requires an intent to act.")

Finally, with respect to the $1,000 excessive contribution received from Richard J. Long, counsel for the Respondents argues that the $1,000 contribution was properly redesignated for the 1988 general election. Although the Respondents submitted a statement from Richard J. Long redesignating the December 18, 1987 contribution to the general election, the

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redesignation statement was undated. Counsel for the Respondents argues that the affidavit submitted by Margaret Chutich, Assistant Treasurer for the Committee, demonstrates that the Committee received the redesignation statement within 60 days: "As Ms. Chutich's Affidavit indicates, however, while Mr. Long's redesignation, as found in the Committee's files is undated, the Committee's 1987 year-end report reported the contribution as a general election contribution, and would not have done so had the redesignation by Mr. Long not already been received."

In her affidavit, Ms. Chutich states that it was the Committee's established practice at the close of a reporting period to report all contributions which lacked a written redesignation as follows: "Primary - redesignation requested." Because the contribution received from Mr. Long was not reported in this manner, the Committee asserts that it had received the statement of redesignation prior to the filing of the 1987 year-end report. A review of reports filed with the Commission reveals that the Committee did report contributions in the manner described in Ms. Chutich's affidavit in several instances. Thus, it appears that the contribution received by the Committee from Richard J. Long on December 18, 1987 was properly redesignated to the 1988 general election within 60 days. 11 C.F.R. § 103.3(b)(3). Accordingly, this Office has not included the $1,000 contribution received from Richard J. Long in the total of excessive contributions received by the Committee.

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In summary, the evidence demonstrates that the Respondents accepted excessive contributions totaling $20,400 from 30 individuals and one non-qualified political committee. Therefore, this Office recommends that the Commission find probable cause to believe that the Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer, violated 2 U.S.C. § 441a(f).

III. DISCUSSION OF CONCILIATION PROVISIONS AND CIVIL PENALTY

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IV. RECOMMENDATIONS

1. Find probable cause to believe that Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer, violated 2 U.S.C. § 441a(f).

2. Approve the attached conciliation agreement and appropriate letter.

1/2/92
Date

Lawrence M. Noble
General Counsel

Attachment:
Conciliation Agreement

Staff assigned: Mary P. Mastrobattista

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Humphrey for Senate Campaign Committee,) MUR 2937
Inc. and Samuel D. Heins, as treasurer.)

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on January 6, 1992, the Commission decided by a vote of 5-0 to take the following actions in MUR 2937:

1. Find probable cause to believe that Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer, violated 2 U.S.C. § 441a(f).

2. Approve the conciliation agreement and appropriate letter, as recommended in the General Counsel's Report dated January 2, 1992.

Commissioners Aikens, Elliott, McDonald, McGarry and Potter voted affirmatively for the decision; Commissioner Thomas did not cast a vote.

Attest:

1-7-92
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

Received in the Secretariat: Thurs., Jan. 2, 1992 12:19 p.m.
Circulated to the Commission: Thurs., Jan. 2, 1992 4:00 p.m.
Deadline for vote: Mon., Jan. 6, 1992 4:00 p.m.

dr

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

January 16, 1992

Frank J. Walz, Esq.
Best & Flanagan
3500 IDS Center
80 South 8th Street
Minneapolis, MN 55402-2113

RE: MUR 2937
Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer

Dear Mr. Walz:

On January 6, 1992, the Federal Election Commission found that there is probable cause to believe your clients violated 2 U.S.C. § 441a(f), a provision of the Federal Election Campaign Act of 1971, as amended, in connection with excessive contributions received during the period from January 19, 1988 through November 10, 1988.

The Commission has a duty to attempt to correct such violations for a period of 30 to 90 days by informal methods of conference, conciliation, and persuasion, and by entering into a conciliation agreement with a respondent. If we are unable to reach an agreement during that period, the Commission may institute a civil suit in United States District Court and seek payment of a civil penalty.

Enclosed is a conciliation agreement that the Commission has approved in settlement of this matter. If you agree with the provisions of the enclosed agreement, please sign and return it, along with the civil penalty, to the Commission within ten days. I will then recommend that the Commission accept the agreement. Please make your check for the civil penalty payable to the Federal Election Commission.

If you have any questions or suggestions for changes in the enclosed conciliation agreement, or if you wish to arrange a meeting in connection with a mutually satisfactory conciliation

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agreement, please contact Mary P. Mastrobattista, the attorney assigned to this matter, at (202) 219-3400.

Sincerely,

Lawrence M. Noble
General Counsel

Enclosure
Conciliation Agreement

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

February 19, 1992

Frank J. Walz, Esq.
Best & Flanagan
3500 IDS Center
80 South 8th Street
Minneapolis, MN 55402-2113

RE: MUR 2937
Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer

Dear Mr. Walz:

On January 16, 1992, you were notified that the Federal Election Commission found probable cause to believe that your clients violated 2 U.S.C. § 441a(f). On that same date, you were sent a conciliation agreement offered by the Commission in settlement of this matter.

Please note that pursuant to 2 U.S.C. § 437g(a)(4)(A)(i), the conciliation period in this matter may not extend for more than 90 days, but may cease after 30 days. Insofar as more than 30 days have elapsed without a response from you, a recommendation concerning the filing of a civil suit will be made to the Commission by the Office of the General Counsel unless we receive a response from you within 15 days of receipt of this letter.

Should you have any questions, please contact me at (202) 219-3400.

Sincerely,

Mary P. Mastrobattista

Mary P. Mastrobattista
Attorney

9204092379 2

ROBERT L. CROSBY
LEONARD M. ADDINGTON
ROBERT R. BARTH
N. WALTER GRAFF
ALLEN D. BARNARD
RICHARD A. PETERSON
ROBERT J. CHRISTIANSON, JR.
FRANK J. WALZ
FRANK VOGL
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DAVID B. MORSE
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JAMES C. DIRACLES
ROBERT L. MELLER, JR.
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DAVID B. JOHNSON

OF COUNSEL
JOHN R. CARROLL
JAMES D. OLSON
ROBERT M. SKARE
ARCHIBALD SPENCER
CHARLES S. BELLOWS
WARD B. LEWIS

RETIRED
LEONARD W. SIMONET

April 20, 1992

BY FACSIMILE

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL
92 APR 22 PM 3:31

Ms. Mary P. Mastrobattista
Attorney
Federal Election Commission
999 E Street, N.W.
Washington, D. C. 20463

Re: MUR 2937 - Humphrey For Senate Campaign Committee, Inc., Samuel D. Heins, Treasurer

Dear Ms. Mastrobattista:

Confirming our telephone conversation today, please consider this letter as a full authorization for Robert F. Bauer, Esq. to represent the Humphrey For Senate Campaign Committee, Inc. and Samuel D. Heins, its Treasurer in the above matter.

Mr. Bauer has agreed to associate with me as counsel for the Respondents.

Yours very truly,

Frank J. Walz

FJW:emw
cc: Mr. Robert F. Bauer
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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) MUR 2937
Humphrey for Senate Campaign Committee, Inc.)
and Samuel D. Heins, as treasurer)

SENSITIVE

JUN 16 1992

EXECUTIVE SESSION

GENERAL COUNSEL'S REPORT

I. BACKGROUND

On January 6, 1992, the Commission found probable cause to believe that the Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer, ("Respondents") violated 2 U.S.C. § 441a(f), and approved a proposed conciliation agreement to settle this violation.

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II. ANALYSIS

Attached for Commission approval is the counteroffer that was submitted by counsel for the respondents. For the reasons stated below, this Office does not recommend that the Commission approve this counteroffer.

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In light of the extended negotiations to date, however, this Office further recommends that the Commission authorize suit against respondents if the attached counterproposal is not accepted within ten days of receipt.

III. RECOMMENDATIONS

1. Reject the counteroffer of the Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer.

2. Approve the attached counterproposal and the appropriate letter.

3. Authorize the Office of the General Counsel to file a civil suit for relief in United States District Court against the Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer, if the attached counterproposal is not accepted within ten days.

6/5/92
Date

Lawrence M. Noble
General Counsel

Staff Assigned: Mary P. Mastrobattista

92040923800

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) MUR 2937
Humphrey for Senate Campaign Committee, Inc.)
and Samuel D. Heins, as treasurer)

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session on June 23, 1992, do hereby certify that the Commission decided by a vote of 6-0 to take the following actions in MUR 2937:

1. Reject the counteroffer of the Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer.

2. Approve the counterproposal and the appropriate letter as recommended in the General Counsel's report dated June 5, 1992.

3. Authorize the Office of the General Counsel to file a civil suit for relief in United States District Court against the Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer, if the FEC counterproposal is not accepted within ten days.

Commissioners Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision.

Attest:

6-23-92
Date

Marjorie W. Emmons
Secretary of the Commission

9204092380 1

FEDERAL ELECTION COMMISSION
WASHINGTON D.C. 20463

July 2, 1992

Frank J. Walz, Esq.
Best & Flanagan
3500 IDS Center
80 South 8th Street
Minneapolis, MN 55402-2113

RE: MUR 2937
Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer

Dear Mr. Walz:

This letter is to confirm the Federal Election Commission's receipt of the proposed conciliation agreement submitted on behalf of your client on March 6, 1992, as well as your supplemental response submitted on May 11, 1992. The Commission has reviewed the counterproposal

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Although I am hopeful that this matter can be settled through a conciliation agreement, please be advised that in the absence of your client's acceptance of the enclosed agreement within ten days of receipt of this letter, the Commission has authorized this Office to institute a civil suit in the U.S. District Court.

Should you have any questions, please contact Mary P. Mastrobattista, the attorney assigned to this matter, at (202) 219-3400.

Sincerely,

Lawrence M. Noble
General Counsel

Enclosure
Conciliation Agreement

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BEST & FLANAGAN

ATTORNEYS AT LAW

3500 IDS CENTER
80 SOUTH 8TH STREET
MINNEAPOLIS MN 55402-2113
TELEPHONE (612) 339-7121
TELECOPIER (612) 339-5897

ROBERT L. CROSBY
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E. JOSEPH LAFAVE
GREGORY D. SOULE
CATHY E. GORLIN
PATRICK B. KENNESSY
TIMOTHY A. SULLIVAN
TAMMY L. PUST
BRIAN F. RICE
TRACY J. VAN STEENBURGH
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CINDY J. LARSON
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ROSS C. FORNELL
CAHYN SCHERB GLOVER
SARAH S. GODFREY
MARY E. SHEAREN
CATHERINE J. COURTNEY
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JEANNICE M. REDINO
SARAH CRIPPEN MADISON
ROBERT D. MAHER
DAVID H. JOHNSON
OF COUNSEL
JOHN R. CARROLL
JAMES D. OLSON
ROBERT M. SKARE
ARCHIBALD SPENCER
CHARLES S. BELLOWS
WARD B. LEWIS
JAMES I. BEST
1902-1966
ROBERT J. FLANAGAN
1906-1974

July 16, 1992

Mr. Lawrence M. Noble
General Counsel
Federal Election Commission
999 E Street, N.W.
Washington, D. C. 20463

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL
92 JUL 20 PM 3:26

92040923804

Attention: Stephen Hershkowitz, Esq.

Re: MUR 2937 - Humphrey For Senate Campaign Committee, Inc., Samuel D. Heins, Treasurer

Dear Mr. Hershkowitz:

Subsequent to our telephone conversation on Tuesday, July 14, 1992, I have spoken briefly to Mr. Heins, the Committee's Treasurer, but have been unable to reach either Mr. Humphrey, or Mr. Bauer, the Committee's Special Counsel in this matter, due to their attendance at the Democratic National Convention this week.

Mr. Heins, an attorney, is out of town on depositions for the balance of this week, and will be out of town on depositions until Thursday next week, July 23. He has requested that I try to arrange a meeting on Thursday or Friday next week with Mr. Humphrey and his assistant who is conversant with this matter to review the Committee's position and the Commission's counterproposal. He also wants to discuss the Commission's counterproposal with Mr. Bauer before reaching a final decision on the conclusion of the matter.

We therefore respectfully request an extension of time within which to respond to the Commission's counterproposal and letter dated July 2, 1992 to and including Monday, July 27, 1992.

Yours very truly,

Frank J. Walz

FJW:emw/2370Q
cc: Mr. Samuel D. Heins
Mr. Hubert H. Humphrey, III
Mr. Robert F. Bauer

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

17 12:17

SENSITIVE

August 17, 1992

MEMORANDUM

TO: The Commission

FROM: Lawrence M. Noble
General Counsel

Richard B. Bader
Associate General Counsel

Stephen E. Hershkowitz
Assistant General Counsel

SUBJECT: Conciliation Agreement in MUR 2937

On January 6, 1992, the Commission found probable cause to believe that the Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer ("the Committee") violated 2 U.S.C. § 441a(f). On June 23, 1992, the Commission authorized the Office of General Counsel to file suit in the United States District Court if the Commission's final counterproposal was not accepted by the Committee.

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RECOMMENDATION

Accept the conciliation agreement with Respondents Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer, and close this matter.

ATTACHMENTS

Proposed conciliation agreement.
Proposed response.

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	MUR 2937
Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer	)	

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session on August 25, 1992, do hereby certify that the Commission decided by a vote of 6-0 to take the following actions in MUR 2937:

1. Accept the conciliation agreement with Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer, as recommended in the General Counsel's memorandum dated August 17, 1992.

2. Close the file.

Commissioners Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision.

Attest:

8-25-92
Date

Marjorie W. Emmons
Secretary of the Commission

9204092380 6

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 26, 1992

Frank J. Walz
Best & Flanagan
3500 IDS Center
80 South 8th Street
Minneapolis, MN 55402-2113

Re: MUR 2937
Federal Election Commission v. Humphrey
for Senate Campaign Commmittee, Inc. and
Samuel D. Heins, as treasurer.

Dear Mr. Walz:

This is to notify you that on August 25, 1992, the Commission voted to accept the signed conciliation agreement you submitted in settlement of the above-captioned matter. A copy of that agreement, which has now been executed on behalf of the Commission, is enclosed for your files.

This concludes the Commission's consideration of the matter. The original signed copy of the conciliation agreement will now be forwarded, together with other portions of the Commission's permanent file in MUR 2937, to the Commission's Public Disclosure Division for placement on the public record. See 11 C.F.R. § 4.4. Should you wish to submit any additional legal or factual materials to be placed on the public record, please do so within ten days. Such materials should be sent to the Office of the General Counsel.

Thank you again for your cooperation. Should you have any questions, please contact me immediately at 202-219-3400.

Sincerely,

Stephen E. Hershkowitz
Assistant General Counsel

Enclosure.

9204092380 7

BEFORE THE FEDERAL ELECTION COMMISSION
OF THE UNITED STATES

IN THE MATTER OF: MUR 2937

HUMPHREY FOR SENATE CAMPAIGN COMMITTEE, INC. AND SAMUEL D. HEINS, as Treasurer

---

CONCILIATION AGREEMENT

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This matter was initiated by the Federal Election Commission ("Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found probable cause to believe that the Humphrey for Senate Campaign Committee, Inc. and Samuel D. Heins, as treasurer, ("Respondents") violated 2 U.S.C. § 441a(f).

NOW, THEREFORE, the Commission and the Respondents, having duly entered into conciliation pursuant to 2 U.S.C. § 437g(a)(4)(A)(i), do hereby agree as follows:

I. The Commission has jurisdiction over the Respondents and the subject matter of this proceeding.

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this Agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. The Humphrey for Senate Campaign Committee, Inc. (the "Committee") is a political committee within the meaning of 2 U.S.C. § 431(4).

2. Samuel D. Heins is the Committee's treasurer.

3. During the period of January 19, 1988 through November 10, 1988, the Committee accepted excessive contributions totalling $20,400 from 30 individuals and one non-qualified political committee, but the Committee failed to obtain the requisite redesignations of the excessive contributions to the general election, or reattributions by joint contributors, or did not refund the excessive contributions to the contributors within 60 days of their receipt, in accordance with 11 C.F.R. § 103.3(b)(3).

4. All of the excessive contributions were reported to the Commission in the Committee's 1988 reports. The Committee's reports reflected redesignations, reattributions or refunds totalling $14,995 to 23 of the individuals involved, together with the dates on which they had occurred.

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5. Respondents contend that a functional deficiency in the Committee's computer software program caused the Respondents to accept five of the excessive contributions totalling $3,100.

6. As of October 19, 1989, the Committee had refunded, redesignated or reattributed all of the excessive contributions.

7. Under 2 U.S.C. § 441(a)(1)(A), it is unlawful for any person to make contributions to any candidate and his authorized committee with respect to any election for federal office which, in the aggregate, exceed $1,000. The term "person" includes a political committee. 2 U.S.C. § 431(11).

8. Under 2 U.S.C. § 441a(f), it is unlawful for a political committee to knowingly accept any contribution in violation of 2 U.S.C. § 441a, and it is unlawful for an officer or employee of a political committee to knowingly accept a contribution made for the use or benefit of a candidate in violation of the limitations imposed on contributions under 2 U.S.C. § 441a.

9. Under 11 C.F.R. § 103.3(b)(3), the treasurer of a political committee is responsible for examining all contributions received to determine whether such contributions are illegal or whether, when aggregated with other contributions from the same contributor, they exceed the contribution limitations. Contributions which on their face exceed the

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contribution limitations, and contributions which do not appear to be excessive on their face, but which exceed the contribution limits when aggregated with other contributions from the same contributor, may be either deposited in a campaign depository or returned to the contributor. If any such contribution is deposited, the treasurer may request redesignation or reattribution of the contribution by the contributor. If a redesignation or reattribution is not obtained, the contribution must be refunded to the contributor within 60 days of receipt of the contribution.

V. Respondents accepted $20,400 in excessive contributions from 30 individuals and one non-qualified political committee in violation of 2 U.S.C. § 441a(f). Respondents contend that the violation was not knowing and willful.

VI. Respondents will pay a civil penalty to the Federal Election Commission in the amount of Four Thousand Dollars ($4,000.00).

VII. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this Agreement. If the Commission believes that this Agreement or any requirement thereof has been violated, it may institute a

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civil action for relief in the United States District Court for the District of Columbia.

VIII. This Agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

IX. Respondents shall have no more than 30 days from the date this Agreement becomes effective to comply with and implement the requirement contained in this Agreement and to so notify the Commission.

X. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written Agreement shall be enforceable.

FOR THE COMMISSION:

Lawrence M. Noble
General Counsel

DATED: August 26, 1992.

By Lois G. Lerner
Lois G. Lerner
Associate General Counsel

FOR THE RESPONDENTS:

DATED: 7/27, 1992.

Samuel D. Heins, Treasurer
Humphrey for Senate Campaign
Committee, Inc.

24250

92040923812

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 28, 1992

Stuart I. Rosen, Esq.
Paul, Weiss, Rifkind, Wharton & Garrison
1285 Avenue of the Americas
New York, NY 10019

RE: MUR 2937
Oxford Energy Company PAC
and Patrick O'Brien, as
treasurer

Dear Mr. Rosen:

This is to advise you that this matter is now closed. The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record before receiving your additional materials, any permissible submissions will be added to the public record upon receipt.

If you have any questions, please contact me at (202) 219-3400.

Sincerely,

Mary P. Mastrobattista

Mary P. Mastrobattista
Attorney

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 2937

DATE FILMED 9/25/92 CAMERA NO. 3

CAMERAMAN EES

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FEDERAL ELECTION COMMISSION
WASHINGTON, D C 20463

✓ Microfilm

_____ Public Records

_____ Press

THE FOLLOWING DOCUMENTATION IS ADDED TO

THE PUBLIC RECORD IN CLOSED MUR 2937.

9/22/92

92040924094

BEST & FLANAGAN

ATTORNEYS AT LAW

3500 IDS CENTER
80 SOUTH 8TH STREET
MINNEAPOLIS MN 55402-2113
TELEPHONE (612) 339-7121
TELECOPIER (612) 339-5897

ROBERT L. CROSBY
LEONARD M. ADDINGTON
ROBERT R. BARTH
N. WALTER GRAFF
ALLEN D. BARNARD
RICHARD A. PETERSON
ROBERT J. CHRISTIANSON, JR.
FRANK J. WALZ
FRANK VOGL
MARINUS W. VAN PUTTEN, JR.
DAVID B. MORSE
JOHN A. BURTON, JR.
JAMES C. DIRACLES
ROBERT L. MELLER, JR.
SCOTT D. ELLER

CHARLES C. BERQUIST
GEORGE O. LUDCKE
E. JOSEPH LAFAVE
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ARCHIBALD SPENCER
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WARD B. LEWIS

JAMES I. BEST
1902-1986
ROBERT J. FLANAGAN
1898-1974

August 31, 1992

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL
92 SEP -4 AM 11:27

Mr. Lawrence M. Noble
General Counsel
Federal Election Commission
999 E Street, N.W.
Washington, D. C. 20463

Attention: Stephen Hershkowitz, Esq.

Re: MUR 2937 - Humphrey For Senate Campaign Committee, Inc., Samuel D. Heins, Treasurer

Dear Mr. Hershkowitz:

Enclosed please find the check of the Humphrey For Senate Campaign Committee, Inc. in the amount of $4,000 payable to the Federal Election Commission, in payment of the civil penalty specified in the Conciliation Agreement.

It is my understanding that the payment of the civil penalty implements the requirements of the Conciliation Agreement, and thereby concludes the matter.

Yours very truly,

Frank Walz

Frank J. Walz

FJW:emw
enclosure
cc: Mr. Samuel D. Heins w/enc.
2648Q

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MAIL ROOM

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Folder Copy

FEDERAL ELECTION COMMISSION
WASHINGTON, D C 20463

Sept 3, 1992

92 SEP -4 AM 11:21
RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL

TWO WAY MEMORANDUM

TO: Virginia Whitted
OGC, Docket

FROM: Philomena Brooks
Accounting Technician

SUBJECt: Account Determination for Funds Received

We recently received a check from Humphrey for Senate, check number 2862, dated July 28, 1992, and in the amount of $ 4000.00. Attached is a copy of the check and any correspondence that was forwarded. Please indicate below the account into which it should be deposited, and the MUR number and name.

TO: Philomena Brooks
Accounting Technician

FROM: Virginia Whitted
OGC, Docket

In reference to the above check in the amount of $ 4,000.00, the MUR number is 2937 and in the name of HUMPHREY FOR SENATE. The account into which it should be deposited is indicated below:

XXX Budget Clearing Account (OGC), 95F3875.16

__ Civil Penalties Account, 95-1099.160

__ Other: _____

Virginia Whitted
Signature

09/09/92
Date

9204092240096

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF SECRETARY
92 SEP -4 AM 11:27

2862

HUMPHREY FOR SENATE
SAMUEL D HEINS TRES
OPERATING ACCOUNT
[redacted]
100 WASHINGTON AVENUE SOUTH
SUITE 2200
MINNEAPOLIS, MN 55401

MARQUETTE BANK MINNEAPOLIS, N A
SIXTH AND MARQUETTE • MINNEAPOLIS, MN 55480

17-4
910

CHECK DATE	CONTROL NUMBER	CHECK AMOUNT
JULY 28, 1992		**********$4000.00

PAY FOUR THOUSAND AND NO/100 DOLLARS

TO THE ORDER OF FEDERAL ELECTION COMMISSION

HUMPHREY FOR SENATE

AUTHORIZED SIGNATURE

"002862" :091000046: 1013286B"

92409240987