

31 MAY 1977

William F. Morrison
347 South Ogden St.
Los Angeles, California 90036

RE: HUR 202 (76)

Dear Mr. Morrison:

This is to notify you that the Commission has accepted the conciliation agreement, and has deemed this matter officially closed. A copy of the signed document is enclosed herewith.

Sincerely yours,

/s/

William C. Oldaker
General Counsel

RECEIVED
MAY 27 1977
U.S. DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

KK:caw:5/27/77



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

May 31, 1977

MEMORANDUM TO: The Commission

FROM: William Oldaker *Bill*

RE: MUR 202

For your information we append hereto the conciliation agreement in the above-numbered matter (a copy attached), which was approved by the Commission on April 22, 1977. The agreement has been signed by the Respondent. Further, the amended statements of organization (also attached) have been received.



BEFORE THE FEDERAL ELECTION COMMISSION

Friends for Hayakawa, Students)
for Hayakawa, Collegians for Hayakawa,)
Businessmen for Hayakawa, Senior)
Citizens for Hayakawa, and) MUR 202(76)
Howard Jarvis and William Morrison,)
in their respective capacities as)
Chairman and Treasurer of each of the)
above Committees)
Respondents)

CONCILIATION AGREEMENT

7774001118
This matter having been initiated by the Federal Election Commission (hereinafter, the Commission) pursuant to information obtained in the normal course of carrying out its supervisory responsibilities, an investigation having been conducted, and reason to believe having been found that respondents violated 2 U.S.C. §433(b) and 441a(a).

Now therefore, the respective parties herein, the Federal Election Commission and the respondents having duly entered into conciliation pursuant to §437g(a)(5), do hereby agree as follows:

I. That the Federal Election Commission has jurisdiction over the respondents and the subject matter of this proceeding.

II. That the respondents having had a reasonable opportunity to demonstrate that no action should be taken in this matter.

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FEDERAL ELECTION COMMISSION
COMMUNICATIONS SECTION
MAY 1976

III. That the pertinent facts in this matter are as follows:

A. That the respondent committees are unauthorized campaign committees. Each states the following in their Statement of Organization filed with the Commission:

- i. The committee address is 6200 Wilshire Blvd., Suite 903, Los Angeles, CA, 90048, Tel. no. 213-545-1246.
- ii. They are affiliated with no other organization.
- iii. They will operate in more than one state.
- iv. They will operate on a state-wide basis in one state.
- v. They will not primarily support candidates seeking local office.
- vi. They will support a candidate for Federal office in an aggregate amount in excess of \$1,000 in the calendar year.
- vii. The only candidate supported is Dr. S.I. Hayakawa.
- viii. The chairman of each committee is Howard Jarvis, the treasurer of each is William F. Morrison.
- ix. The campaign depository is the Security Pacific National Bank.

B. That the five committees, taken as a whole, did not report receiving contributions from any individual which in the aggregate exceeded \$1,000.

C. That the five committees did not report making any contributions to any candidate in the 1976 elections.

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OFFICE OF LEGAL COUNSEL

D. That the five committees did not indicate on their Statements of Organization that they were affiliated with one another.

WHEREFORE, the respondent agrees:

A. That each of the five committees were "established or financed or maintained or controlled" by the same person, within the meaning of 2 U.S.C. §441a(a)(5), as construed in §110.3(a)(1)(ii)(E) of the Commission's regulations, and are thus subject to a common limit from contributors. This limit is \$1,000 as set forth in 2 U.S.C. §441a(a)(1)(A).

B. That each of the committees are affiliated within the meaning of §100.14(c)(2)(i)(E) of the Commission's regulations and must report such affiliation pursuant to 2 U.S.C. §433(b)(2). Accordingly, respondents will amend their reports within 30 days of the time this agreement is approved by the Commission.

IV. General Conditions

A. The Commission on request of anyone filing a complaint under 2 U.S.C. §437g(a)(1) concerning the matters at issue herein or on its own motion may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

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JAN 10 1971
U.S. DISTRICT COURT
DISTRICT OF COLUMBIA

B. It is mutually agreed that this agreement shall become effective as to the date that all parties hereto have executed some and the Commission has approved the entire agreement.

C. It is agreed that the Respondent shall have no more than 30 days from the date this agreement becomes effective to comply with and implement the requirement contained in this agreement and to so notify the requirement contained in this agreement and to so notify the Commission.

FEDERAL ELECTION COMMISSION

DATE:

4/27/77

by:

[Signature]

General Counsel
1325 K Street, N.W.
Washington, D.C. 20463

Friends for Hayakawa,
Students for Hayakawa,
Businessmen for Hayakawa,
Senior Citizens for Hayakawa,
Collegians for Hayakawa

DATE:

6-17-77

by:

[Signature]

WILLIAM F. MORRISON, Treasurer

347 South Ogden Drive
Suite 221
Los Angeles, California 90036

[Faint stamp: OFFICE OF GENERAL COUNSEL]

770400:210

Statement of Organization For a Political Committee

Supporting any candidate(s) for federal office and anticipating contributions or expenditures in excess of \$1,000 in any calendar year in support of such candidate(s).

(See Reverse Side For Instructions.)

Note: Committees authorized by a candidate to receive contributions and make expenditures in connection with more than one election must maintain separate records with respect to each election.

1(a) Name of Committee (in full) <input type="checkbox"/> Check if name or address is changed STUDENTS FOR HAYAKAWA	2 Identification Number C 000 44214
(b) Address (number and street) 347 So. Ogden Drive	3 Date May 1977
(c) City, State and ZIP code Los Angeles, CA 90036	4 Is this an amended statement <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes" fill in only those lines on which there has been a change

5 Check one:

☐ (a) This committee has been designated as the principal campaign committee for _____ (Name of Candidate)
a candidate for _____ in the _____ Election
(Federal office sought) (Year of election)
to be held in the State of _____
(State in which election is held)
(THE PRINCIPAL CAMPAIGN COMMITTEE WILL FORWARD TO THE COMMISSION A COPY OF THE STATEMENT OF ORGANIZATION FOR EACH AFFILIATED COMMITTEE REQUIRED TO FILE WITH IT.)

☐ (b) This committee is supporting only one candidate, and is authorized by _____ (Name of Candidate)
to receive contributions and make expenditures with respect to the _____ Election(s)
(General, Primary, Runoff, etc.)
held in _____, and will file all reports and statements with the candidate's principal campaign committee.
(Year of election in State)
(Full name of principal campaign committee)
(ATTACH A COPY OF CANDIDATE'S WRITTEN AUTHORIZATION.) (FEC FORM 2a)

☐ (c) This committee supports only one candidate, _____ S. I. HAYAKAWA _____ but is not an authorized committee.
(Name of Candidate)

☐ (d) This committee supports more than one Federal candidate and is not a party committee.

☐ (e) This committee is a _____ committee of the _____ Party.
(National, State, county, city) (Democratic, Republican, etc.)

6 Name(s) of affiliated and/or connected organizations	Mailing address and ZIP code	Relationship
FRIENDS FOR HAYAKAWA	347 So. Ogden Drive, Los Angeles, CA 90036	Affiliated
COLLEGIANS FOR HAYAKAWA	347 So. Ogden Drive, Los Angeles, CA 90036	Affiliated
BUSINESSMEN FOR HAYAKAWA	347 So. Ogden Drive, Los Angeles, CA 90036	Affiliated
SENIOR CITIZENS FOR HAYAKAWA	347 So. Ogden Drive, Los Angeles, CA 90036	Affiliated

UNITED STATES COMMISSION
ON THE
ELECTIONS
OFFICE OF GENERAL COUNSEL

If the registered political committee has identified a "connected organization" above, please indicate type of relationship:
☐ Corporation ☐ Labor organization ☐ Membership organization ☐ Trade association ☐ Cooperative
☐ Corporation without capital stock ☐ Other (describe relationship)

Provide additional information on an separate continuation sheet (appropriately labeled and attached to this Statement of Organization. Indicate in the appropriate box above when information is continued on separate page(s).

Statement of Organization For a Committee

(Page 2)

Name of Committee

7 Area, Scope and Jurisdiction of Committee:

- (a) Will this committee operate in more than one State? ☐ Yes ☐ No
 (b) Will it operate on a statewide basis in one State? ☐ Yes ☐ No
 (c) Will it primarily support candidates seeking State or local office? ☐ Yes ☐ No
 (d) Will it support or does it anticipate supporting directly or indirectly, candidates for Federal office in excess of \$1,000 in a calendar year? ☐ Yes ☐ No

8 (a) List by name, address, office sought, and party affiliation, any candidate for Federal office that this committee is supporting:

Full name(s) of candidate(s)	Mailing address and ZIP code	Office sought	Party

(b) List by name, address, office sought, and party affiliation, any candidate(s) for any other public office(s) that this committee is supporting (unless the committee is supporting the entire ticket of a party as indicated in line 9)

Full name(s) of candidate(s)	Mailing address and ZIP code	Office sought	Party

9 If this committee is supporting the entire ticket of a party, give name of party: >

10 Identify by name, address and position, the person in possession of committee books and reports:

Full name	Mailing address and ZIP code	Title or position
WILLIAM F. MORRISON	347 So. Ogden Drive Los Angeles, CA 90036	Treasurer

Submit additional information on separate continuation sheets appropriately labeled and attached to this Statement of Organization. Indicate in the appropriate section where such information is contained on separate page(s).

Statement of Organization
For a Political Committee

Supporting any candidate(s) for federal office and anticipating contribu-
tions or expenditures in excess of \$1,000 in any calendar year in support
of such candidate(s).

(See Reverse Side For Instructions.)

Note: Committees authorized by a candidate to receive contributions and make expenditures in connection with more than one election must maintain separate records with respect to each election.

1(a) Name of Committee (in full) <input type="checkbox"/> Check if name or address is changed SENIOR CITIZENS FOR HAYAKAWA		2 Identification Number C 000 44180
(b) Address (number and street) 347 South Ogden Drive		3 Date MAY 1977
(c) City, State and ZIP code Los Angeles, CA 90036		4 Is this an amended statement? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If "YES" FILL IN ONLY THOSE LINES ON WHICH THERE HAS BEEN A CHANGE
5 Check one: <input type="checkbox"/> (a) This committee has been designated as the principal campaign committee for _____ (Name of Candidate) a candidate for _____ in the _____ Election (Federal office sought) (Year of election) to be held in the State of _____ (State in which election is held) (THE PRINCIPAL CAMPAIGN COMMITTEE WILL FORWARD TO THE COMMISSION A COPY OF THE STATEMENT OF ORGANIZATION FOR EACH AFFILIATED COMMITTEE REQUIRED TO FILE WITH IT.) <input type="checkbox"/> (b) This committee is supporting only one candidate, and is authorized by _____ (Name of Candidate) to receive contributions and make expenditures with respect to the _____ Election(s) (General, Primary, Runoff, etc.) held in _____, and will file all reports and statements with the candidate's principal campaign committee. (Year of election in State) (Full name of principal campaign committee) (ATTACH A COPY OF CANDIDATE'S WRITTEN AUTHORIZATION) (FEC FORM 20) <input type="checkbox"/> (c) This committee supports only one candidate <u>S. I. HAYAKAWA</u> but is not an authorized committee. (Name of Candidate) <input type="checkbox"/> (d) This committee supports more than one Federal candidate and is not a party committee. <input type="checkbox"/> (e) This committee is a _____ committee of the _____ Party. (National, State, county, city) (Democratic, Republican, etc.)		

6 Names of affiliated and/or connected organizations	Mailing address and ZIP code	Relationship
FRIENDS FOR HAYAKAWA	347 So. Ogden Drive, Los Angeles CA 90036	Affiliated
STUDENTS FOR HAYAKAWA	347 So. Ogden Drive, Los Angeles CA 90036	Affiliated
COLLEGIANS FOR HAYAKAWA	347 So. Ogden Drive, Los Angeles CA 90036	Affiliated
BUSINESSMEN FOR HAYAKAWA	347 So. Ogden Drive, Los Angeles CA 90036	Affiliated

If the reporting political committee has identified a "connected organization" above, please indicate type of organization:
☐ Corporation ☐ Labor organization ☐ Minor party organization ☐ Trade association ☐ Cooperative
☐ Cooperative with a capital stock ☐ Other (please specify)

Submit additional information on separate continuation sheets appropriately labeled and attached to this Statement of Organization. Indicate in the appropriate location above when information is continued on separate page(s).

7 Area, Scope and Jurisdiction of Committee:

- (a) Will this committee operate in more than one State? ☐ Yes ☐ No
- (b) Will it operate on a statewide basis in one State? ☐ Yes ☐ No
- (c) Will it primarily support candidates seeking State or local office? ☐ Yes ☐ No
- (d) Will it support or does it anticipate supporting directly or indirectly, candidates for Federal office in excess of \$1,000 in a calendar year? ☐ Yes ☐ No

8 (a) List by name, address, office sought, and party affiliation, any candidate for Federal office that this committee is supporting:

(b) List by name, address, office sought, and party affiliation, any candidate(s) for any other public office(s) that this committee is supporting (unless the committee is supporting the entire ticket of a party as indicated in line 9)

3. If this committee is supporting the entire ticket of a party, give name of party >

1) *Worms*: *Hydra*, *Planaria*, and *Triclad*. The purpose of this experiment is to observe the behavior of these three organisms in response to light and touch.

Submit additional information on separate continuation sheets appropriately marked and attached to this statement of Organization. Indicate in the appropriate section above when information is continued on separate pages.

Statement of Organization Form Committee

(Page 3)

EC Form 1
July 1976
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Name of Committee

11 List by name, address and position, other principal officers of the committee (include chairman, treasurer, secretary, assistant treasurer, assistant secretary, members of finance committee):

Full name	Mailing address and ZIP code	Title or position

12 Does this committee plan to stay in existence beyond the current calendar year? ☐ Yes ☒ No
If "Yes" for how long? ☐ NOT SURE AT THIS TIME.

13 In the event of dissolution, what disposition will be made of residual funds? ☐ NOT SURE AT THIS TIME.

14 List all banks or other repositories in which the committee deposits funds, holds accounts, rents safety deposit boxes or maintains funds:

Name of bank, repository, etc.	Mailing address and ZIP code

15 List all election reports required to be filed by this committee with States and local jurisdictions, together with the names, addresses, and positions of the recipients of the reports (other than reports filed with Secretaries of State pursuant to USC 439(a)):

Report title	Dates required	Name and position of recipient	Mailing address and ZIP code
		Office of the Secretary of State	

Submit additional information on separate continuation sheets appropriately labeled and attached to this Statement of Organization. Indicate in the appropriate section above when information is continued on separate page(s).

I certify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete.

William P. ...

(Signature of Treasurer)

May 17, 1977

(Date)

Note: Submission of false, erroneous, or incomplete information may subject the person signing this Statement to the penalties of 2 U.S.C. § 437j or § 437k (see instructions)

For further
information
contact:

Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Statement of Organization
For a Political Committee

Supporting any candidate(s) for federal office and anticipating contribu-
tions or expenditures in excess of \$1,000 in any calendar year in support
of such candidate(s).

(See Reverse Side For Instructions.)

Note: Committees authorized by a candidate to receive contributions and make expenditures in connection with more than one election must maintain separate records with respect to each election.

1(a) Name of Committee (in full) <input type="checkbox"/> Check if name or address is changed COLLEGIANS FOR HAYAKAWA	2 Identification Number C 000 44206
(b) Address (number and street) 347 So. Ogden Drive	3 Date May 1977
(c) City, State and ZIP code Los Angeles, CA 90036	4 Is this an amended statement <input type="checkbox"/> Yes <input type="checkbox"/> No If "YES" FILL IN ONLY THOSE LINES ON WHICH THERE HAS BEEN A CHANGE

5 Check one.

☐ (a) This committee has been designated as the principal campaign committee for _____ (Name of Candidate)
a candidate for _____ in the _____ Election
(Federal office sought) (Year of election)
to be held in the State of _____
(State in which election is held)

(THE PRINCIPAL CAMPAIGN COMMITTEE WILL FORWARD TO THE COMMISSION A COPY OF THE STATEMENT OF ORGANIZATION FOR EACH AFFILIATED COMMITTEE REQUIRED TO FILE WITH IT.)

☐ (b) This committee is supporting only one candidate, and is authorized by _____ (Name of Candidate)
to receive contributions and make expenditures with respect to the _____ Election(s)
(General, Primary, Runoff, etc.)
held in _____, and will file all reports and statements with the candidate's principal campaign
(Year of election in State)
committee, _____
(Full name of principal campaign committee)

(ATTACH A COPY OF CANDIDATE'S WRITTEN AUTHORIZATION.) (FEC FORM 2a)

☐ (c) This committee supports only one candidate **S. I. HAYAKAWA** but is not an authorized committee.
(Name of Candidate)

☐ (d) This committee supports more than one Federal candidate and is not a party committee.

☐ (e) This committee is a _____ committee of the _____ Party.
(National, State, county, city) (Democratic, Republican, etc.)

6 Names of affiliated and/or connected organizations	Mailing address and ZIP code	Relationship
FRIENDS FOR HAYAKAWA	347 So.Ogden Drive, Los Angeles CA 90036	Affiliated
STUDENTS FOR HAYAKAWA	347 So.Ogden Drive, Los Angeles CA 90036	Affiliated
BUSINESSMEN FOR HAYAKAWA	347 So.Ogden Drive, Los Angeles CA 90036	Affiliated
SENIOR CITIZENS FOR HAYAKAWA	347 So.Ogden Drive, Los Angeles CA 90036	Affiliated

If the committee is a political committee, has "other" and/or "connected" organization(s) above, please indicate type of organization:
☐ Corporation ☐ Labor organization ☐ Membership organization ☐ Association ☐ Cooperative
☐ Corporation without capital stock ☐ Other (please specify) _____

Statement of Organization For a Committee

(Page 2)

Name of Committee

7 Area, Scope and Jurisdiction of Committee:

- (a) Will this committee operate in more than one State? ☐ Yes ☐ No
(b) Will it operate on a statewide basis in one State? ☐ Yes ☐ No
(c) Will it primarily support candidates seeking State or local office? ☐ Yes ☐ No
(d) Will it support or does it anticipate supporting directly or indirectly, candidates for Federal office in excess of \$1,000 in a calendar year? ☐ Yes ☐ No

8 (a) List by name, address, office sought, and party affiliation, any candidate for Federal office that this committee is supporting:

Full name(s) of candidate(s)	Mailing address and ZIP code	Office sought	Party

(b) List by name, address, office sought, and party affiliation, any candidate(s) for any other public office(s) that this committee is supporting (unless the committee is supporting the entire ticket of a party as indicated in line 9)

Full name(s) of candidate(s)	Mailing address and ZIP code	Office sought	Party

9 If this committee is supporting the entire ticket of a party, give name of party >

10 Identify by name, address and position, the person in possession of committee books and records

Full name	Mailing address and ZIP code	Title or position
WILLIAM F. MORRISON	347 So. Ogden Drive Los Angeles, CA 90036	Treasurer

Submit additional information on separate continuation sheets appropriately labeled and attached to this Statement of Organization. Indicate in the appropriate section above when information is continued on separate page(s).

Statement of Organization For Committee

(Page 3)

EC Form 1
July 1976
Federal Election Commission
325 K Street, N.W.
Washington, D.C. 20463

Name of Committee

11 List by name, address and position, other principal officers of the committee (include chairman, treasurer, secretary, assistant treasurer, assistant secretary, members of finance committee):

Full name	Mailing address and ZIP code	Title or position

12 Does this committee plan to stay in existence beyond the current calendar year? ☐ Yes ☒ No
If "Yes" for how long? ☐ >

13 In the event of dissolution, what disposition will be made of residual funds? NOT SURE AT THIS TIME

14 List all banks or other repositories in which the committee deposits funds, holds accounts, rents safety deposit boxes or maintains funds:

Name of bank, repository, etc.	Mailing address and ZIP code

15 List all election reports required to be filed by this committee with States and local jurisdictions, together with the names, addresses, and positions of the recipients of the reports (other than reports filed with Secretaries of State pursuant to USC 439(a)):

Report title	Dates required	Name and position of recipient	Mailing address and ZIP code

Submit additional information on separate continuation sheets, appropriately labeled and attached to this Statement of Organization. Indicate in the appropriate section above when information is continued on separate page(s).

I certify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete.

H. Alan Phares
(Signature of Treasurer)

May 17, 1977
(Date)

Note: Submission of false, erroneous, or incomplete information may subject the person signing this statement to the penalties of 2 U.S.C. § 437g or § 441, (see instructions)

For further
information
contact:

Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Statement of Organization For a Political Committee

Supporting any candidate(s) for federal office and anticipating contributions or expenditures in excess of \$1,000 in any calendar year in support of such candidate(s).

(See Reverse Side For Instructions.)

Note: Committees authorized by a candidate to receive contributions and make expenditures in connection with more than one election must maintain separate records with respect to each election.

1(a) Name of Committee (in full) ☐ Check if name or address is changed

BUSINESSMEN FOR HAYAKAWA

2 Identification Number

C 000 44198

(b) Address (number and street)

347 South Ogden Drive

3 Date

May 1977

(c) City, State and ZIP code

Los Angeles, CA 90036

4 Is this an amended statement ☐ Yes ☐ No
If "YES" FILL IN ONLY THOSE LINES ON WHICH THE NAME HAS BEEN A CHANGE

5 Check one:

- ☐ (a) This committee has been designated as the principal campaign committee for _____ (Name of Candidate)
a candidate for _____ in the _____ Election
(Federal office sought) (Year of election)
to be held in the State of _____
(State in which election is held)

(THE PRINCIPAL CAMPAIGN COMMITTEE WILL FORWARD TO THE COMMISSION A COPY OF THE STATEMENT OF ORGANIZATION FOR EACH AFFILIATED COMMITTEE REQUIRED TO FILE WITH IT.)

- ☐ (b) This committee is supporting only one candidate, and is authorized by _____ (Name of Candidate)
to receive contributions and make expenditures with respect to the _____ Election(s)
(General, Primary, Runoff, etc.)
held in _____, and will file all reports and statements with the candidate's principal campaign committee, _____
(Year of election in State) (Full name of principal campaign committee)

(ATTACH A COPY OF CANDIDATE'S WRITTEN AUTHORIZATION) (FEC FORM 2a)

- ☐ (c) This committee supports only one candidate, S. I. HAYAKAWA but is not an authorized committee.
(Name of Candidate)
☐ (d) This committee supports more than one Federal candidate and is not a party committee.
☐ (e) This committee is a _____ committee of the _____ Party.
(National, State, county, city) (Democratic, Republican, etc.)

6 Names of affiliated and/or connected organizations

Mailing address and ZIP code

Relationship

FRIENDS FOR HAYAKAWA

347 So.Ogden Drive, Los Angeles CA 90036

Affiliated

SENIOR CITIZENS FOR HAYAKAWA

347 So.Ogden Drive, Los Angeles CA 90036

Affiliated

STUDENTS FOR HAYAKAWA

347 So.Ogden Drive, Los Angeles CA 90036

Affiliated

COLLEGIANS FOR HAYAKAWA

347 So.Ogden Drive, Los Angeles CA 90036

Affiliated

If the supporting political committee has identified a "connected organization" above, please indicate type of organization:

- ☐ Corporation ☐ Labor organization ☐ Membership organization ☐ Trade association ☐ Cooperative
☐ Corporation without capital stock ☐ Other (please specify)

Submit additional information on separate continuation sheets appropriately labeled and attached to this Statement of Organization. Indicate in the appropriate section above when information is continued on separate page(s).

Statement of Organization For a Committee

(Page 2)

Name of Committee

7 Area, Scope and Jurisdiction of Committee:

- (a) Will this committee operate in more than one State? ☐ Yes ☐ No
(b) Will it operate on a statewide basis in one State? ☐ Yes ☐ No
(c) Will it primarily support candidates seeking State or local office? ☐ Yes ☐ No
(d) Will it support or does it anticipate supporting directly or indirectly, candidates for Federal office in excess of \$1,000 in a calendar year? ☐ Yes ☐ No

8 (a) List by name, address, office sought, and party affiliation, any candidate for Federal office that this committee is supporting:

Full name(s) of candidate(s)	Mailing address and ZIP code	Office sought	Party

(a) List by name, address, office sought, and party affiliation, any candidate(s) for any other public office(s) that this committee is supporting (unless the committee is supporting the entire ticket of a party as indicated in line 9)

Full name(s) of candidate(s)	Mailing address and ZIP code	Office sought	Party

9 If this committee is supporting the entire ticket of a party, give name of party >

10 Identify, by name, address and position, the person in possession of committee books and records

Full name	Mailing address and ZIP code	Title or position
WILLIAM F. MORRISON	347 So. Ogden Drive Los Angeles, CA 90036	Treasurer

Supply additional information on separate continuation sheets appropriately labeled and attached to this Statement of Organization. Indicate in the appropriate space above where information is continued on separate page(s).

Statement of Organization Form Committee

(Page 3)

EC Form 1
July 1976
Federal Election Commission
325 K Street, N.W.
Washington, D.C. 20463

Name of Committee

11 List by name, address and position, other principal officers of the committee (include chairman, treasurer, secretary, assistant treasurer, assistant secretary, members of finance committee):

Full name	Mailing address and ZIP code	Title or position

12 Does this committee plan to stay in existence beyond the current calendar year? ☐ Yes ☒ No
If "Yes" for how long? ☐

13 In the event of dissolution, what disposition will be made of residual funds? NOT SURE AT THIS TIME.

14 List all banks or other repositories in which the committee deposits funds, holds accounts, rents safety deposit boxes or maintains funds:

Name of bank, repository, etc.	Mailing address and ZIP code

15 List all election reports required to be filed by this committee with States and local jurisdictions, together with the names, addresses, and positions of the recipients of the reports (other than reports filed with Secretaries of State pursuant to USC 433(a)):

Report title	Dates required	Name and position of recipient	Mailing address and ZIP code

Submit additional information on separate continuation sheets appropriately labeled and attached to this Statement of Organization. Indicate in the appropriate section at the end when information is continued on separate page(s).

I certify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete.

William P. Harrison
(Signature of Treasurer)

July 17, 1977
(Date)

Note: Submission of false, erroneous, or incomplete information may subject the person signing this Statement to the penalties of 2 U.S.C. § 437g or § 441j (see instructions)

For further
information
contact:

Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Statement of Organization For a Political Committee

Supporting any candidate(s) for federal office and anticipating contributions or expenditures in excess of \$1,000 in any calendar year in support of such candidate(s).

(See Reverse Side For Instructions.)

Note: Committees authorized by a candidate to receive contributions and make expenditures in connection with more than one election must maintain separate records with respect to each election.

1(a) Name of Committee (in full) <input type="checkbox"/> Check if name or address is changed FRIENDS FOR HAYAKAWA	2 Identification Number C 000 44172
(b) Address (number and street) 347 So. Ogden Drive	3 Date May 1977
(c) City, State and ZIP code Los Angeles, CA 90036	4 Is this an amended statement <input type="checkbox"/> Yes <input type="checkbox"/> No If "YES," FILL IN ONLY THOSE LINES ON WHICH THERE HAS BEEN A CHANGE

5 Check one:

☐ (a) This committee has been designated as the principal campaign committee for _____ (Name of Candidate), a candidate for _____ in the _____ Election (Federal office sought) (Year of election) to be held in the State of _____ (State in which election is held).

(THE PRINCIPAL CAMPAIGN COMMITTEE WILL FORWARD TO THE COMMISSION A COPY OF THE STATEMENT OF ORGANIZATION FOR EACH AFFILIATED COMMITTEE REQUIRED TO FILE WITH IT.)

☐ (b) This committee is supporting only one candidate, and is authorized by _____ (Name of Candidate) to receive contributions and make expenditures with respect to the _____ Election(s) (General, Primary, Runoff, etc.) held in _____, and will file all reports and statements with the candidate's principal campaign committee _____ (Year of election in State) _____ (Full name of principal campaign committee).

(AT EACH A COPY OF CANDIDATE'S WRITTEN AUTHORIZATION.) (FEC FORM 21)

☐ (c) This committee supports only one candidate, S. I. HAYAKAWA (Name of Candidate), but is not an authorized committee.

☐ (d) This committee supports more than one Federal candidate and is not a party committee.

☐ (e) This committee is a _____ committee of the _____ Party. (National, State, county, city) (Democratic, Republican, etc.)

6 Names of affiliated and/or connected organizations	Mailing address and ZIP code	Relationship
SENIOR CITIZENS FOR HAYAKAWA	347 So. Ogden Dr., Los Angeles, CA 90036	Affiliated
STUDENTS FOR HAYAKAWA	347 So. Ogden Dr., Los Angeles, CA 90036	Affiliated
COLLEGIANS FOR HAYAKAWA	347 So. Ogden Dr., Los Angeles, CA 90036	Affiliated
BUSINESSMEN FOR HAYAKAWA	347 So. Ogden Dr., Los Angeles, CA 90036	Affiliated

If the registering political committee has identified a "connected organization" above, please indicate:

☐ Corporation ☐ Labor organization ☐ Membership organization ☐ Trust or foundation ☐ Cooperative

☐ Corporation without capital stock ☐ Other (please specify) _____

Submit additional information on separate continuation sheets appropriately labeled and attached to this Statement of Organization. Indicate in the appropriate section above when information is continued on separate page(s).

7704000000

Statement of Organization For a Committee

(Page 2)

Name of Committee

7 Area, Scope and Jurisdiction of Committee:

- (a) Will this committee operate in more than one State? ☐ Yes ☐ No
(b) Will it operate on a statewide basis in one State? ☐ Yes ☐ No
(c) Will it primarily support candidates seeking State or local office? ☐ Yes ☐ No
(d) Will it support or does it anticipate supporting directly or indirectly, candidates for Federal office in excess of \$1,000 in a calendar year? ☐ Yes ☐ No

8 (a) List by name, address, office sought, and party affiliation, any candidate for Federal office that this committee is supporting:

Full name(s) of candidate(s)	Mailing address and ZIP code	Office sought	Party

(b) List by name, address, office sought, and party affiliation, any candidate(s) for any other public office(s) that this committee is supporting (unless the committee is supporting the entire ticket of a party as indicated in line 9):

Full name(s) of candidate(s)	Mailing address and ZIP code	Office sought	Party

9 If this committee is supporting the entire ticket of a party, give name of party >

10 Identify by name, address and position, the person in possession of committee books and records

Full name	Mailing address and ZIP code	Title or position
WILLIAM F. MORRISON	347 So. Ogden Drive Los Angeles, CA 90035	Treasurer

Submit additional information on separate continuation sheets appropriately labeled and attached to this Statement of Organization. Indicate in the appropriate section above when information is continued on separate page(s).

Statement of Organization For a Committee

(Page 3)

Name of Committee

11 List by name, address and position, other principal officers of the committee (include chairman, treasurer, secretary, assistant treasurer, assistant secretary, members of finance committee):

Full name	Mailing address and ZIP code	Title or position

12 Does this committee plan to stay in existence beyond the current calendar year? ☐ Yes ☒ No
If "Yes" for how long? ☐

13 In the event of dissolution, what disposition will be made of residual funds? NOT SURE AT THIS TIME.

14 List all banks or other repositories in which the committee deposits funds, holds accounts, rents safety deposit boxes or maintains funds:

Name of bank, repository, etc.	Mailing address and ZIP code

15 List all election reports required to be filed by this committee with States and local jurisdictions, together with the names, addresses, and positions of the recipients of the reports (other than reports filed with Secretaries of State pursuant to USC 433(a)):

Report title	Dates required	Name and position of recipient	Mailing address and ZIP code

Submit additional information on separate continuation sheets appropriately labeled and attached to this Statement of Organization. Indicate in the appropriate continuation sheet when information is continued on separate page(s).

I certify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete.

(Signature of Treasurer)

May 17, 1977
(Date)

Note: Submission of false, erroneous, or incomplete information may subject the person signing this Statement to the penalties of 2 U.S.C. § 437j or § 441j (see instructions).

For further
information
contact:

Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Urbil

Urbil

[illegible][illegible]

Urbilobus

Urbil

[illegible]

Urbil

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7704001203

April 5, 1977 Matter assigned to Kathryn Kavanagh.


April 8, 1977 Draft of conciliation agreement transmitted.

April 13, 1977 Telephone conversation between K. Kavanagh and William F. Morrison. He stated that he had believed that the October 11, 1976 letter was the final step in the compliance procedure. She advised him that a conciliation agreement was necessary and that she would be sending the agreement to him for his signature.

April 21, 1977 Conciliation agreement transmitted to Commission. Approval of the six Commissioners received, April 22, 1977.

April 26, 1977 Letter, with conciliation agreement, mailed to William Morrison.

May 10, 1976 Mr. Morrison telephoned K. Kavanagh for guidance in amending the affiliation sections of the statements of organization. He said that he would mail the signed conciliation agreements and the amended statements of organization within the next few days.



CERTIFIED MAIL
RETURN RECEIPT RETURN

Mr. William F. Morrison
347 South Ogden Drive
Suite 221
Los Angeles, California 90036

April 26, 1977

Re: MUR 202 (76)

Dear Mr. Morrison:

This is to confirm our telephone conversation of April 13, 1977, in which you agreed to sign the attached Conciliation Agreement and amend the Statements of Organization for Friends for Hayakawa, Students for Hayakawa, Collegians for Hayakawa, Businessmen for Hayakawa, and Senior Citizens for Hayakawa.

In addition to the Conciliation Agreement, I have enclosed forms for amending the Statements of Organization. Section E of the instructions states that "amendments to the statement need only contain the date and identity of the committee and the changed or corrected information appropriately identified."

As you requested, I have also included copies of the Federal Election Campaign Laws and the Commission's Rules and Regulations.

If the Conciliation Agreement meets with your approval, please sign and return it within 10 days. If you have any questions, please contact Kathryn Kavanagh (202/523-4073), the staff member assigned to this matter.

Sincerely yours,

Charles N. Steele
Acting General Counsel

Enclosures

RECEIVED
OFFICE OF THE ATTORNEY GENERAL
OFFICE OF GENERAL COUNSEL

BEFORE THE FEDERAL ELECTION COMMISSION

Friends for Hayakawa, Students)	
for Hayakawa, Collegians for Hayakawa,)	
Businessmen for Hayakawa, Senior)	
Citizens for Hayakawa, and)	MUR 202(76)
)	
Howard Jarvis and William Morrison,)	
in their respective capacities as)	
Chairman and Treasurer of each of the)	
above Committees)	
)	
Respondents)	

CONCILIATION AGREEMENT

This matter having been initiated by the Federal Election Commission (hereinafter, the Commission) pursuant to information obtained in the normal course of carrying out its supervisory responsibilities, an investigation having been conducted, and reason to believe having been found that respondents violated 2 U.S.C. §433(b) and 441a(a).

Now therefore, the respective parties herein, the Federal Election Commission and the respondents having duly entered into conciliation pursuant to §437g(a)(5), do hereby agree as follows:

I. That the Federal Election Commission has jurisdiction over the respondents and the subject matter of this proceeding.

II. That the respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. That the pertinent facts in this matter are as follows:

A. That the respondent committees are unauthorized campaign committees. Each states the following in their Statement of Organization filed with the Commission:

- i. The committee address is 6200 Wilshire Blvd., Suite 903, Los Angeles, CA, 90048, Tel. no. 213-545-1246.
- ii. They are affiliated with no other organization.
- iii. They will operate in more than one state.
- iv. They will operate on a state-wide basis in one state.
- v. They will not primarily support candidates seeking local office.
- vi. They will support a candidate for Federal office in an aggregate amount in excess of \$1,000 in the calendar year.
- vii. The only candidate supported is Dr. S.I. Hayakawa.
- viii. The chairman of each committee is Howard Jarvis, the treasurer of each is William F. Morrison.
- ix. The campaign depository is the Security Pacific National Bank.

B. That the five committees, taken as a whole, did not report receiving contributions from any individual which in the aggregate exceeded \$1,000.

C. That the five committees did not report making any contributions to any candidate in the 1976 elections.

D. That the five committees did not indicate on their Statements of Organization that they were affiliated with one another.

WHEREFORE, the respondent agrees:

A. That each of the five committees were "established or financed or maintained or controlled" by the same person, within the meaning of 2 U.S.C. §441a(a)(5), as construed in §110.3(a)(1)(ii)(E) of the Commission's regulations, and are thus subject to a common limit from contributors. This limit is \$1,000 as set forth in 2 U.S.C. §441a(a)(1)(A).

B. That each of the committees are affiliated within the meaning of §100.14(c)(2)(i)(E) of the Commission's regulations and must report such affiliation pursuant to 2 U.S.C. §433(b)(2). Accordingly, respondents will amend their reports within 30 days of the time this agreement is approved by the Commission.

IV. General Conditions

A. The Commission on request of anyone filing a complaint under 2 U.S.C. §437g(a)(1) concerning the matters at issue herein or on its own motion may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

B. It is mutually agreed that this agreement shall become effective as to the date that all parties hereto have executed some and the Commission has approved the entire agreement.

C. It is agreed that the Respondent shall have no more than 30 days from the date this agreement becomes effective to comply with and implement the requirement contained in this agreement and to so notify the requirement contained in this agreement and to so notify the Commission.

FEDERAL ELECTION COMMISSION

DATE: _____ by: _____

General Counsel
1325 K Street, N.W.
Washington, D.C. 20463

Friends for Hayakawa,
Students for Hayakawa,
Businessmen for Hayakawa,
Senior Citizens for Hayakawa,
Collegians for Hayakawa

DATE: _____ by: _____

347 South Ogden Drive
Suite 221
Los Angeles, California 90036

PS Form 3821, Mar. 1978

RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

SENDER: Complete items 1, 2, 3. Add your address in the reverse.			JAN 10 1978	
1. The following service is requested (check one).				
<input type="checkbox"/> Show to whom and date delivered.....			15¢	
<input checked="" type="checkbox"/> Show to whom, date, & address of delivery.....			33¢	
<input type="checkbox"/> RESTRICTED DELIVERY. Show to whom and date delivered.....			65¢	
<input type="checkbox"/> RESTRICTED DELIVERY. Show to whom, date, and address of delivery.....			85¢	
2. ARTICLE ADDRESSED TO: Mr. William F. Morrison 347 South Ogden Drive Los Angeles, California 90035				
3. ARTICLE DESCRIPTION:				
REGISTERED NO.		CERTIFIED NO.	INSURED NO.	
438348		1		
(Always obtain signature of addressee or agent)				
I have received the article described above.				
SIGNATURE <input type="checkbox"/> Addressee <input type="checkbox"/> Authorized agent				
4. <i>William F. Morrison</i>			POSTMARK	
5. ADDRESS (Complete only if requested)				
6. UNABLE TO DELIVER BECAUSE:			CLERK'S INITIALS	



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

April 22, 1977

MEMORANDUM TO: Charles Steele
FROM: Marjorie W. Emmons *MWE*
SUBJECT: Approval of Commission Action in MUR 202 (76)

The conciliation agreement was transmitted to the Commissioners on April 21, 1977.

The approval of the six Commissioners has been received in the Office of Commission Secretary.

OFFICE OF GENERAL COUNSEL



BEFORE THE FEDERAL ELECTION COMMISSION

In the matter of)
)
Friends for Hayakawa, Students) MUR 202 (76)
for Hayakawa, Collegians for Hayakawa)
Businessmen for Hayakawa, Senior)
Citizens for Hayakawa,)
)
Respondents)

COMMISSION ACTION

The Federal Election Commission has read and approved the attached conciliation agreement which requires that the respondents ammend the statements of organization for the five committees.

77340002215

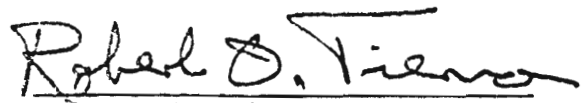
Vernon Thomson
Chairman

Thomas Harris
Vice Chairman

Neil Staebler
Commissioner

William L. Springer
Commissioner

Joan S. Aikens
Commissioner



Robert O. Tiernan
Commissioner

OFFICE OF GENERAL COUNSEL

BEFORE THE FEDERAL ELECTION COMMISSION

In the matter of)

Friends for Hayakawa, Students)
for Hayakawa, Collegians for Hayakawa,)
Businessmen for Hayakawa, Senior)
Citizens for Hayakawa,)

MUR 202(76)

Respondents)

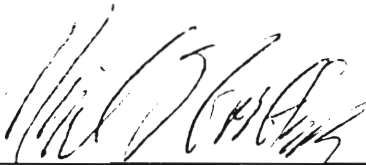
COMMISSION ACTION

The Federal Election Commission has read and approved the attached conciliation agreement which requires that the respondents amend the statements of organization for the five committees.

7704101221

Vernon Thomson
Chairman

Thomas Harris
Vice Chairman



Neil Staebler
Commissioner

William L. Springer
Commissioner

Joan D. Aikens
Commissioner

Robert O. Tiernan
Commissioner

BEFORE THE FEDERAL ELECTION COMMISSION

In the matter of

Friends for Hayakawa, Students
for Hayakawa, Collegians for Hayakawa,
Businessmen for Hayakawa, Senior
Citizens for Hayakawa,

Respondents

MUR 202(76)

COMMISSION ACTION

The Federal Election Commission has read and approved the attached conciliation agreement which requires that the respondents amend the statements of organization for the five committees.



Vernon W. Thomson
Chairman

Thomas Harris
Vice Chairman

Neil Staebler
Commissioner

William L. Springer
Commissioner

Joan D. Aikens
Commissioner

Robert O. Tiernan
Commissioner

OFFICE OF GENERAL COUNSEL

BEFORE THE FEDERAL ELECTION COMMISSION

In the matter of

Friends for Hayakawa, Students
for Hayakawa, Collegians for Hayakawa,
Businessmen for Hayakawa, Senior
Citizens for Hayakawa,

Respondents

MUR 202(76)

COMMISSION ACTION

The Federal Election Commission has read and approved the attached conciliation agreement which requires that the respondents amend the statements of organization for the five committees.

Vernon Thomson
Chairman

Thomas S. Harris

Thomas Harris
Vice Chairman

Neil Staebler
Commissioner

William L. Springer
Commissioner

Joan D. Aikens
Commissioner

Robert O. Tiernan
Commissioner

OFFICE OF GENERAL COUNSEL

BEFORE THE FEDERAL ELECTION COMMISSION

In the matter of)

Friends for Hayakawa, Students)
for Hayakawa, Collegians for Hayakawa,)
Businessmen for Hayakawa, Senior)
Citizens for Hayakawa,)

MUR 202(76)

Respondents)

COMMISSION ACTION

The Federal Election Commission has read and approved the attached conciliation agreement which requires that the respondents amend the statements of organization for the five committees.

Vernon Thomson
Chairman

Thomas Harris
Vice Chairman

Neil Staebler
Commissioner

William L. Springer
Commissioner



Joan D. Aikens
Commissioner

Robert O. Tiernan
Commissioner

OFFICE OF GENERAL COUNSEL

BEFORE THE FEDERAL ELECTION COMMISSION

In the matter of)
)
Friends for Hayakawa, Students) MUR 202 (76)
for Hayakawa, Collegians for Hayakawa)
Businessmen for Hayakawa, Senior)
Citizens for Hayakawa,)
)
Respondents)

COMMISSION ACTION

The Federal Election Commission has read and approved the attached conciliation agreement which requires that the respondents amend the statements of organization for the five committees.

Vernon Thomson
Chairman

Thomas Harris
Vice Chairman

Neil Staebler
Commissioner

William L. Springer
William L. Springer
Commissioner

Joan S. Aikens
Commissioner

Robert O. Tiernan
Commissioner

Burkhart



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

April 21, 1977

MEMORANDUM TO: THE COMMISSIONERS
FROM: Marjorie W. Emmons
SUBJECT: MUR 202 (76)

mwe

The Office of General Counsel has requested that the attached conciliation agreement to MUR 202 (76) be circulated for Commission approval.

Please return the enclosed papers to the Office of Commission Secretary.



BEFORE THE FEDERAL ELECTION COMMISSION

In the matter of)
)
Friends for Hayakawa, Students) MUR 202 (76)
for Hayakawa, Collegians for Hayakawa)
Businessmen for Hayakawa, Senior)
Citizens for Hayakawa,)
)
Respondents)

COMMISSION ACTION

7704001223
The Federal Election Commission has read and approved
the attached conciliation agreement which requires that the
respondents ammend the statements of organization for the
five committees.

Vernon Thomson
Chairman

Thomas Harris
Vice Chairman

Neil Staebler
Commissioner

William L. Springer
Commissioner

Joan S. Aikens
Commissioner

Robert O. Tiernan
Commissioner

OFFICE OF THE SECRETARY OF THE COMMISSION

BEFORE THE FEDERAL ELECTION COMMISSION

Friends for Hayakawa, Students)
for Hayakawa, Collegians for Hayakawa,)
Businessmen for Hayakawa, Senior)
Citizens for Hayakawa, and)

MUR 202 (76)

Howard Jarvis and William Morrison,)
in their respective capacities as)
Chairman and Treasurer of each of the)
above Committees)

Respondents)

CONCILIATION AGREEMENT

This matter having been initiated by the Federal Election Commission (hereinafter, the Commission) pursuant to information obtained in the normal course of carrying out its supervisory responsibilities, an investigation having been conducted, and reason to believe having been found that respondents violated 2 U.S.C. §433(b) and 441a(a).

Now therefore, the respective parties herein, the Federal Election Commission and the respondents having duly entered into conciliation pursuant to §437g(a)(5), do hereby agree as follows:

I. That the Federal Election Commission has jurisdiction over the respondents and the subject matter of this proceeding.

II. That the respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. That the pertinent facts in this matter are as follows:

A. That the respondent committees are unauthorized campaign committees. Each states the following in their Statement of Organization filed with the Commission:

- i. The committee address is 6200 Wilshire Blvd., Suite 903, Los Angeles, CA, 90048, Tel. no. 213-545-1246.
- ii. They are affiliated with no other organization.
- iii. They will operate in more than one state.
- iv. They will operate on a state-wide basis in one state.
- v. They will not primarily support candidates seeking local office.
- vi. They will support a candidate for Federal office in an aggregate amount in excess of \$1,000 in the calendar year.
- vii. The only candidate supported is Dr. S.I. Hayakawa.
- viii. The chairman of each committee is Howard Jarvis, the treasurer of each is William F. Morrison.
- ix. The campaign depository is the Security Pacific National Bank.

B. That the five committees, taken as a whole, did not report receiving contributions from any individual which in the aggregate exceeded \$1,000.

C. That the five committees did not report making any contributions to any candidate in the 1976 elections.

D. That the five committees did not indicate on their Statements of Organization that they were affiliated with one another.

WHEREFORE, the respondent agrees:

A. That each of the five committees were "established or financed or maintained or controlled" by the same person, within the meaning of 2 U.S.C. §441a(a)(5), as construed in §110.3(a)(1)(ii)(E) of the Commission's regulations, and are thus subject to a common limit from contributors. This limit is \$1,000 as set forth in 2 U.S.C. §441a(a)(1)(A).

B. That each of the committees are affiliated within the meaning of §100.14(c)(2)(i)(E) of the Commission's regulations and must report such affiliation pursuant to 2 U.S.C. §433(b)(2). Accordingly, respondents will amend their reports within 30 days of the time this agreement is approved by the Commission.

IV. General Conditions

A. The Commission on request of anyone filing a complaint under 2 U.S.C. §437g(a)(1) concerning the matters at issue herein or on its own motion may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

B. It is mutually agreed that this agreement shall become effective as to the date that all parties hereto have executed some and the Commission has approved the entire agreement.

C. It is agreed that the Respondent shall have no more than 30 days from the date this agreement becomes effective to comply with and implement the requirement contained in this agreement and to so notify the requirement contained in this agreement and to so notify the Commission.

FEDERAL ELECTION COMMISSION

DATE: _____ by: _____

General Counsel
1325 K Street, N.W.
Washington, D.C. 20463

Friends for Hayakawa,
Students for Hayakawa,
Businessmen for Hayakawa,
Senior Citizens for Hayakawa,
Collegians for Hayakawa

DATE: _____ by: _____

347 South Ogden Drive
Suite 221
Los Angeles, California 90036

MEMORANDUM TO THE FILE:

April 13, 1977

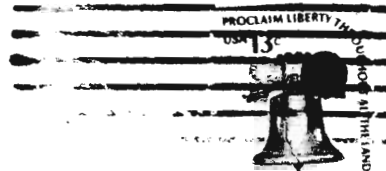
FROM: Kathryn Kavanagh

RE: MUR 202(76)

William F. Morrison telephoned at 2:30 p.m. and said that he had found a copy of his October 11, 1977 letter to Vernon Thompson. He said that the text of that letter was practically dictated to him at the meeting with Wm. Oldaker and Ben Vandergrift and that he assumed that it was the last step needed in order to close the case. He said that he thought that he had received a letter from the Commission subsequent to his of October 11, 1977, but that his cursory search of the files failed to locate it.

I asked him to sign the conciliation agreement ~~xx~~ that I would be sending him in order to finalize this ~~xx~~ matter. He agreed and said that he would also amend the statements of organization of the five committees to indicate their affiliation.

6200 Wilshire Boulevard
Los Angeles, CA



MR. VERNON W. THOMSON
Chairman
Federal Election Commission
1325 K Street, NW
Washington, DC 20463

7704-0302
FEDERAL ELECTION COMMISSION

76 OCT 15 9:24

RECEIVED
FEDERAL ELECTION
COMMISSION

CC #737

Mur. 202

76 OCT 15 4 9: 52

October 11, 1976

Mr. Vernon W. Thomson
Chairman
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

762877

Dear Mr. Thomson:

Reference: MVR 202(76)

In good faith toward the agreement reached with William Oldaker and Ben Vander Grift on October 6, 1976 we are pleased to communicate the following postures to your Commission:

- 1) Our unauthorized Hayakawa committees as outlined by Section 431(p) will abide with the Federal Election Commission proposed regulations as transmitted to Congress on August 19, 1976.
- 2) Our unauthorized Hayakawa committees have not received any contribution receipts in excess of \$1,000.
- 3) Our unauthorized Hayakawa committees will not register any additional independent Hayakawa committees with your Commission for this federal election.
- 4) Our unauthorized Hayakawa committees accept your position on our committees being affiliated.

Cordially,

William F. Morrison

William F. Morrison
Treasurer

FEDERAL ELECTION COMMISSION
OFFICIAL FILE COPY
OFFICE OF GENERAL COUNSEL

Friends for Hayakawa
6200 Wilshire Boulevard Suite 903
Los Angeles, CA 90048

77040022230

CC #657

RECEIVED
FEDERAL ELECTION
COMMISSION

October 1, 1976

76 OCT 6 P 1: 51

Mr. Vernon W. Thomson
Chairman
Federal Election Commission
1325 K Street N.W.
Washington, D.C. 20463

Dear Mr. Thomson:

REFERENCE: MUR 202 (76)

Thank you for your letter of September 15, 1976.

As required by Section 437(g) I am most pleased to respond with justification why a "best efforts" attempt has been made to comply with the Federal Election Campaign Law as amended and that no action should be taken against Friends For Hayakawa by the Commission under the Act.

A. Alleged violation of 2 U.S.C. 433 (b)(2):

1. Each registered committee is an independent political committee as defined by Section 431(d).
2. Each independent political committee is in no way affiliated as defined by the Federal Election Campaign Laws as amended on May 11, 1976.
 - a. The demographic identification and backing of each independent committee is clearly identified and not affiliated.
 1. Example: Senior Citizens For Hayakawa versus Students For Hayakawa.
3. Each independent political committee files separate reports as required by Section 434 to your Commission.
4. Each independent political committee has totally separate campaign depository accounts. Receipts are never comingled.
5. Each independent political committee structures and implements its' own independent expenditure schedule.

B. Alleged violation of 2 U.S.C. 441(a)(f):

1. The Federal Election Campaign Law as amended on May 11, 1976 displays no section within the Act that clearly identifies the independent political committees as affiliated or considered as a single political committee regarding contributions from persons (section 441(a)).
2. There is no knowing violation toward contribution receipt acceptance or expenditure issuance by any independent political committee as stated in your September 15, 1976 letter.

Cordially,

William F. Morrison

William F. Morrison
Treasurer
Friends For Hayakawa

FEDERAL ELECTION COMMISSION
OFFICIAL FILE COPY
OFFICE OF GENERAL COUNSEL

77040022231

70417123

BY HAND

MR. VERNOM W. THOMSON
Chairman
Federal Election Commission



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

15 SEP 1976

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Howard Jarvis
Chairman
Friends of Hayakawa
6200 Wilshire Blvd., Suite 903
Los Angeles, CA 90048

Re: MUR 202 (76)

Dear Mr. Jarvis:

779410123
This letter is to notify you that on the basis of information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission has found information which gives it reason to believe you are in violation of 2 U.S.C. §433(b)(2). According to the information in reports filed by Friends, Students, Collegians, Businessmen and Senior Citizens for Hayakawa Committees, these 4 committees appear to be affiliated. §100.14(c)(2)(i)(E) of the Commission's proposed regulations construes the word "affiliated," as set forth in §433(b)(2), as "All the political committees established by the same group of persons." The above-named committees have the same officers, same address, same campaign depository and all except Friends of Hayakawa filed identical joint July 10 reports. However, Statements of Organization and Registration filed by each of these committees on June 14, 1976, do not designate the others as affiliated committees. Such a designation is required by 2 U.S.C. §433(b)(2).

Furthermore, we wish to advise you that all five of these committees would be considered a single political committee for the purposes of the contribution limitations of 2 U.S.C. §441a(1). Acceptance of contributions from individuals in excess of these limitations could constitute a violation of 2 U.S.C. §441a(f).



Sincerely yours,

Signed: John G. Murphy, Jr.
John G. Murphy, Jr.
General Counsel

7704002223

PS Form 3811, Mar. 1976.

RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

GC

● **SENDER:** Complete items 1, 2, and 3.
Add your address in the "RETURN TO" space on reverse.

1. The following service is requested (check one).

☐ Show to whom and date delivered..... 15¢

☒ Show to whom, date, & address of delivery.. 35¢

☐ **RESTRICTED DELIVERY.**
Show to whom and date delivered..... 65¢

☐ **RESTRICTED DELIVERY.**
Show to whom, date, and address of delivery 85¢

2. **ARTICLE ADDRESSED TO:**

MR. JARVIS

3. **ARTICLE DESCRIPTION:**

REGISTERED NO. CERTIFIED NO. INSURED NO.

438038

(Always obtain signature of addressee or agent)

I have received the article described above.

SIGNATURE ☒ Addressee ☐ Authorized agent

4. DATE OF DELIVERY SEP 20 1976

POSTMARK

5. **ADDRESS** (Complete only if requested)

6. **UNABLE TO DELIVER BECAUSE:**

CLERK'S INITIALS

lyn *CC #537*
FRIENDS FOR HAYAKAWA

Howard Jarvis - Chairman
William F. Morrison - Treasurer

6200 Wilshire Boulevard
Suite 903
Los Angeles, California 90048
(213) 938-6777

9/9/76

FEDERAL ELECTION COMMISSION
OFFICIAL FILE COPY
OFFICE OF GENERAL COUNSEL

Nick-

Reference: Committee # 52227
Friends For Hayakawa

7774171233
Thank you for our telephone
discussion this morning. We
appreciate your thoughts
toward our 9/2/76 letter
from the official Hayakawa
Committee counsel.

Cordially,

Charles Acade
Campaign Mgr.



DOBBS, DOYLE & NIELSEN

Attorneys and Counselors at Law

SUITE 2500, THE ALCOA BUILDING

ONE MARITIME PLAZA

SAN FRANCISCO, CA 94111

TELEPHONE (415) 362-1940

CC # 537
COPY

76 SEP 13 A10:15

September 2, 1976

Mr. Howard Jarvis, Chairman
Friends for Hayakawa, an
Unauthorized Committee
6200 Wilshire Boulevard, Suite 903
Los Angeles, California 90036

Dear Mr. Jarvis:

As you are aware on July 16, 1976 I called to your attention Dr. S. I. Hayakawa's objections to your independent fund-raising activities. Since that date we have had an opportunity as Dr. S. I. Hayakawa's personal attorneys in this matter to review your activities as they have been made known to us and we therefore must demand the following:

1. That in all cases of oral solicitations for funds by your committee, or its agents, a statement be made immediately upon contacting any individual to the following effect:

"This committee is not authorized by Dr. S. I. Hayakawa and Dr. Hayakawa is not responsible for the activities of this committee. Consequently, all funds contributed to this committee will not be spent under the direction and control of Dr. S. I. Hayakawa, the Republican candidate for United States Senator from California."

This statement is required because, to date, your oral solicitations have left at least a mistaken impression in the minds of persons contacted. This mistaken impression includes the implication that your committee is authorized by Dr. Hayakawa, that he is responsible for your activities, and that monies contributed will be spent under his direction and control.

Mr. Howard Jarvis, Chairman
Friends for Hayakawa, an
Unauthorized Committee
September 2, 1976
Page Two

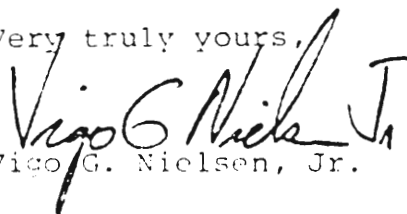
2. That you cease using the mark "Friends for Hayakawa" immediately. As you well know, Dr. Samuel I. Hayakawa had, long before the formation of your committee, used the name and mark "Friends of Hayakawa" to further his candidacy for the office of United States Senator. In all cases, the committee "Friends of Hayakawa" was under the direction and control of my client, Samuel I. Hayakawa.

Your committee, as a latecomer, has adopted the confusingly similar name and mark "Friends for Hayakawa." In the adoption and use of this mark, you are using the goodwill which Dr. Hayakawa built-up in this mark, especially as it relates to politics and political services under his immediate direction and control. We must therefore demand that you cease forthwith using this name to identify your committee.

Be advised that we are now commencing legal proceedings to stop your unfair solicitations and the use of our client's trademark. These proceedings will include application for a temporary restraining order requiring that each of your solicitors, at the beginning of all oral solicitations, make the explanative statement set forth above in Paragraph 1.

Should you or your legal counsel wish the earliest possible service of all pleadings and supporting papers and the opportunity to be present at our application for this temporary restraining order, we urge that you have authorized counsel contact Jim Parrinello or myself at our offices at once. In exchange for your agreement to make a general appearance in this action, all pertinent documents will be transmitted to you or your counsel forthwith.

Very truly yours,


Vigo G. Nielsen, Jr.

VGN:aeo

cc: Dr. S. I. Hayakawa
Mr. William Morrison
Mr. Charles Reade
Mr. John Eidell

Copy - Federal Election
Commission

6200 WILSHIRE BOULEVARD
SUITE 903
LOS ANGELES, CALIFORNIA 90048

SEP 13 AG: 36

Mr. Nick Kalounos
Federal Election Commission
1325 G Street N.W.
Washington, D.C. 20463

BEFORE THE FEDERAL ELECTION COMMISSION

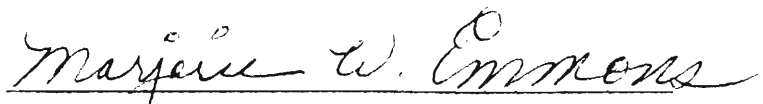
In the Matter of

Friends, Students, Businessmen,
Collegians & Senior Citizens for
Hayakawa

MUR 202 (76)

CERTIFICATION

I, Marjorie W. Emmons, Secretary to the Federal Election Commission, do hereby certify that on September 9, 1976, the Commission determined by a vote of 6-0 that there was reason to believe that a violation of 2 U.S.C. Section 433(b)(2) had been committed in the above-captioned matter and authorized the staff to conduct an inquiry.


Secretary to the Commission

OFFICE OF GENERAL COUNSEL

DATE AND TIME OF TRANSMITTAL: SEP 8 1976

NO. MUR 202

REC'D: 7/26/76

FEDERAL ELECTION COMMISSION
Washington, D. C.

Complainant's Name: Generated internally through the Staff Director

(see attached complaint)

Respondent's Name: Friends, Students, Businessmen, Collegians & Senior Citizens
for Hayakawa

Relevant Statute: 2 U.S.C Section 433(b) (2)

Internal Reports Checked: All candidate and committee reports.

Federal Agencies Checked: None

SUMMARY OF ALLEGATION

That Friends, Students, Businessmen, Collegians and Senior Citizens
for Hayakawa are in violation of 2 U.S.C. Section 433 (b) (2) which
requires political committees to designate affiliated committees
on their Statements of Organization and Registration.

PRELIMINARY LEGAL ANALYSIS

Statements of Organization and Registration filed by these four
committees provide the same information. [See attached page].

RECOMMENDATION

Conduct inquiry. Send attached letters.

PRELIMINARY LEGAL ANALYSIS (Cont'd.)

1. The committee address is 6200 Wilshire Blvd., Suite 903, Los Angeles, CA., 90048, Tel.: 213-545-1246.
2. They are affiliated with no other organization.
3. They will operate in more than one state.
4. They will operate on a state-wide basis in one state.
5. They will not primarily support candidates seeking state or local office.
6. They will support a candidate for Federal office in an aggregate amount in excess of \$1,000 in the calendar year.
7. The only candidate supported is Dr. S.I. Hayakawa.
8. The chairman of the committees is Howard Jarvis, and the treasurer is William F. Morrison.
9. The campaign depository is the Security Pacific National Bank. The failure of each of the committees to report the other four committees as "affiliated" may constitute a violation.

Although the word "affiliation" is not defined for the purpose of Section 433(b)(2), the language of Section 441a(a)(5) and the proposed Commission regulation defining affiliation (Section 100.14 (c)(2)(i)(E) clearly indicate that, within the factual context of the present matter, there is reason to believe a reporting violation has occurred with respect to 2 U.S.C. Section 433(b)(2).

Although there is no evidence available at this time to establish further violations of the statute, there are two additional

issues which should be noted.

1. If these committees are found to be affiliated under 2 U.S.C. Section 441a(a)(5), they would be considered a single committee and the contribution limitations of 2 U.S.C. Section 441a(a)(1) would apply to contributors. Knowing acceptance of contributions in excess of these amounts would be a violation by the committee of 2 U.S.C. Section 441a(f).
2. Even if these committees are not affiliated, individual contributors, under our proposed regulations Section 110.1 (h)(2) would be allowed to contribute to a candidate or his principal campaign committee and another political committee supporting such candidate only if "the contributor does not give with the knowledge that a substantial portion will be contributed to or expended on behalf of, that candidate for the same election."

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Parties

3. Complainant Staff Director is a statutory officer of the Federal Election Commission whose duties include the supervision of the activities of the Division of Disclosure and Compliance.

4. Respondents, Friends of Hawakawa, Students for Hayakawa, Collegians for Hayakawa, Businessmen for Hawakawa, and Senior Citizens for Hayakawa are each political committees, allegedly unauthorized by S.I. Hayakawa.

5. Respondents, Howard Jarvis and William Morrison, are chairman and treasurer respectively of each of the above-named committees.

Allegations

6. On June 14, 1976, all five respondent committees filed Statements of Organization and Registrations with the Secretary of the Senate and the Federal Election Commission.

7. All five statements contained identical information with regard to the chairman, treasurer, campaign depository, and address of each of the respondent committees.

8. Each statement indicates that the committee is affiliated with no other committee.

9. Respondent committees' failure to indicate mutual affiliation establishes reason to believe that a violation of 2 U.S.C. §433(b)(2) has been committed.

77040012211
FEDERAL ELECTION COMMISSION
OFFICE OF THE SECRETARY

WHEREFORE, the Federal Election Commission should find reason to believe that a violation of statutes within its jurisdiction has been committed.

Orlando B. Potter

Orlando B. Potter
Staff Director

DATE:

Aug. 31, 1976.

77040012240
FEDERAL ELECTION COMMISSION
OFFICE OF THE CHIEF COUNSEL
WASHINGTON, D.C. 20543

July 22, 1976

APPENDIX

MEMORANDUM TO: MICHAEL HERSHMAN

FROM:

KIM ROSENFELD *KR*

RE:

UNAUTHORIZED COMMITTEES ORGANIZED ON BEHALF OF S.I. HAYAKAWA

Newspaper articles by Paul Houston in the Los Angeles Times on 7/15/76 and 7/17/76 allege that five unauthorized committees were organized to circumvent the Federal Election Campaign Act of 1971 as amended in 1974 and 1976 by making expenditures and receiving contributions on behalf of S.I. Hayakawa, California Republican nominee for the U.S. Senate. The five committees listed below filed Statements of Organization and Registration Forms with the Secretary of the Senate and the FEC on June 14, 1976.:

Students for Hayakawa Committee
Friends for Hayakawa Committee
Collegians for Hayakawa Committee
Businessmen for Hayakawa Committee
Senior Citizens for Hayakawa Committee

All five of the statements contained the same information, outlined below:

1. The committee address is 6200 Wilshire Blvd., Suite 903, Los Angeles, CA., 90048, Tel: 213 545-1246
 2. They are affiliated with no other organizations.
 3. They will operate in more than one state.
 4. They will operate on a state-wide basis in one state.
 5. They will not primarily support candidates seeking state or local office.
 6. They will support a candidate for Federal office in an aggregate amount in excess of \$1000 in the calendar year.
 7. The only candidate supported is Dr. S.I. Hayakawa.
 8. The chairman of the committees is Howard Jarvis, and the treasurer is William F. Morrison.
 9. The campaign depository is the Security Pacific National Bank.
- The failure of each of the committees to report the other four committees as "affiliated" may constitute a violation.

The Friends for Hayakawa Committee filed a Ten Day Following the Primary Report of Receipts and Expenditures on July 12, 1976, and a July 10 Quarterly Report. (The latter report is attached, since the schedule of management expenses paid to Poli-Spectrum may be of some interest.) None of the contributors or payees listed in either of the reports were shown on any of the reports of the ~~other~~ committees registered on behalf of Hayakawa.

The other four independent committees jointly filed four July 10 statements that they did not receive contributions or make expenditures in excess of \$1000 for the calendar quarter. (A copy of one of the statements is attached.)

page 2

MEMO TO: MICHAEL HERSHMAN
FROM: KIM ROSENFELD
RE: UNAUTHORIZED COMMITTEES ORGANIZED ON BEHALF OF S.I. HAYAKAWA

The Senate index of committees supporting Hayakawa also includes the following committees, which are all registered with the Federal Election Commission, or the Secretary of the Senate:

Hayakawa for U.S. Senator of Santa Clara County : registered 11/5/75,
7/10/76 Report of Contributions & Expenditures under \$1000

Hayakawa for U.S. Senate: registered 11/25/75, Ten Day Pre-Primary Report
shows \$91187.77 rec. to date, 81871.81 expended to date

Hayakawa for U.S. Senator of Santa Clarita Valley: registered 1/15/76,
6/10/76 request for waiver of reporting requirements in process, see Steve Mims

Hayakawa for U.S. Senator of South Los Angeles: registered 2/3/76

A Lot of People Who Want Hayakawa for U.S. Senator: registered 5/22/76

Friends of Hayakawa: registered 6/11/74, terminated 1/76

The newspaper article indicates that Hayakawa does have a principle campaign committee; however, there is no Form 2 or Form 2A in the candidate's files designating such a committee. Most of the committees listed above are in violation of the reporting requirements of the Act, as they have not filed all of the required Reports of Receipts and Expenditures. Preliminary review of the reports that have been filed, however, indicates that there are no reported violations of the contribution limitations of the FECA as amended in 1974 and 1976. (The 1974 Amendments, of course, do not apply to reports filed before Jan. 1, 1975. Some of those reports do show receipts in excess of \$1000).

**Report of Receipts and Expenditures
for a Candidate or Committee
Supporting any Candidate(s) for
Nomination or Election to Federal Office**

RECEIVED
SECRETARY OF THE SENATE

1976 JUL 12 AM 9 54

HAND DELIVERED ☐

Note: Committees authorized by a candidate to receive contributions and make expenditures in connection with more than one election must maintain separate records with respect to each election.

1(a) Name of Candidate or Committee (in full) <p align="center">CA REP C 477</p> <p align="center">FRIENDS FOR HAYAKAWA</p>		Identification Number <p align="center">S2227</p>									
(b) Address (number and street) <p>6200 Wilshire Blvd. Suite 903</p>		3(a) Is this a report of receipts and expenditures for only one election? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (b) If "Yes" for which election? <p align="center">General on 11/2/76 <small>(General, Primary, Runoff) (Date)</small></p>									
(c) City, State and ZIP code <p>Los Angeles, California 90036</p>											
4 Type of Report (Check appropriate box and complete, if applicable) <table style="width:100%; border: none;"> <tr> <td style="width:33%;"><input type="checkbox"/> Amendment</td> <td style="width:33%;"><input checked="" type="checkbox"/> July 10 report</td> <td style="width:33%;"><input type="checkbox"/> January 31 Report</td> </tr> <tr> <td><input type="checkbox"/> April 10 Report</td> <td><input type="checkbox"/> October 10 report</td> <td><input type="checkbox"/> Alternative Monthly Report</td> </tr> <tr> <td colspan="3"><input type="checkbox"/> Termination</td> </tr> </table>			<input type="checkbox"/> Amendment	<input checked="" type="checkbox"/> July 10 report	<input type="checkbox"/> January 31 Report	<input type="checkbox"/> April 10 Report	<input type="checkbox"/> October 10 report	<input type="checkbox"/> Alternative Monthly Report	<input type="checkbox"/> Termination		
<input type="checkbox"/> Amendment	<input checked="" type="checkbox"/> July 10 report	<input type="checkbox"/> January 31 Report									
<input type="checkbox"/> April 10 Report	<input type="checkbox"/> October 10 report	<input type="checkbox"/> Alternative Monthly Report									
<input type="checkbox"/> Termination											
(h) <input type="checkbox"/> Tenth day report preceding _____ election on _____ in the state of _____ <small>(primary, general or convention) (date)</small> (i) <input type="checkbox"/> Thirtieth day report following _____ election on _____ in the state of _____ <small>(primary, general or convention) (date)</small>											

Committee Summary of Receipts and Expenditures

5 Coverage Period From 6-29-76 Through 6-30-76	Column A This Period	Column B Calendar Year-To-Date
Section A - Cash Balance Summary		
6 Cash on hand January 1, 19__		\$
7 Cash on hand at beginning of reporting period	\$3,916.11	
8 Add: receipts (From line 19)	\$2,225.00	\$ 6,432.00
(a) Subtotal	\$5,741.11	\$ 6,432.00
9 Subtract total expenditures (From line 24)	\$2,500.00	\$ 3,170.86
10 Cash on hand at close of reporting period	\$3,241.11	\$ 3,241.11
11 Contributed items on hand to be liquidated (attach itemized list)	\$ 0	
Section B - Presidential Campaign Expenditures Subject to Limitation - Summary <small>(Applies Only To Presidential Candidates Receiving Federal Funds)</small>		
12 Operating expenditures (from line 20)	\$2,500.00	\$3,170.86
13 Less Refunds and Rebates (from line 17)	\$ 0	\$ 0
14 (a) Expenditures subject to limitation	\$2,500.00	\$3,170.86
(b) Expenditures from prior years subject to limitation		\$ 0
(c) Total expenditures subject to limitation		\$3,170.86

I certify that I have examined this Report, and to the best of my knowledge and belief it is true, correct and complete.

(Signature of Treasurer or Candidate)

7/16/76
 (Date)

Note: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. § 441 (text on reverse side of form)

For further
information
Contact:



Federal Election Commission
1225 K Street, N.W.
Washington, D.C. 20463

Detailed Summary Schedule of Receipts and Expenditures

[Page 2]

Name of Candidate or Committee **FRIENDS FOR HAYAKAWA**

Report Covering the period
From: **6-29-76** To: **6-30-76**

Part I - Receipts

	Column A This Period	Column B Calendar year-to-date
15 Contributions and other income:		
(a) Itemized (use Schedule A)	\$ 1,000.00	
(b) Unitemized	\$ 825.00	
(c) Sales and Collections Included Above: List by event on memo Schedule D (S _____)		
(d) Subtotal of contributions and other income	\$ 1,825.00	\$ 6,112.00
16 Loans and Loan Repayments Received:		
(a) Itemized (use Schedule A)	\$	
(b) Unitemized	\$	
(c) Subtotal of loans and loan repayments received	\$ 0	\$ 0
17 Refunds, Rebates, etc.:		
(a) Itemized (use Schedule A)	\$	
(b) Unitemized	\$	
(c) Subtotal of refunds, rebates, etc.	\$ 0	\$ 0
18 Transfers In:		
(a) From Affiliated Committee (itemize all on Schedule A)	\$	
(b) From other Committees (itemize all on Schedule A)	\$	
(c) Subtotal of transfers in	\$ 0	\$ 0
19 Total Receipts	\$ 1,825.00	\$ 6,112.00

Part II - Expenditures

20 Operating Expenditures:		
(a) Itemized (use Schedule B)	\$ 2,500.00	
(b) Unitemized	\$ 0	
(c) Subtotal of operating expenditures	\$ 2,500.00	\$ 3,170.86
21 Loans, Loan Repayments, and Contribution Refunds:		
(a) Itemized (use Schedule B)	\$	
(b) Unitemized	\$	
(c) Subtotal of loans and loan repayments made and contribution refunds	\$ 0	\$ 0
22 Fundraising Expenditures (Apply to 20% Exemption - Presidential Campaigns Receiving Federal Funds):		
(a) Itemized (use Schedule B)	\$	
(b) Unitemized	\$	
(c) Subtotal of fundraising expenditures	\$ 0	\$ 0
23 Transfers Out:		
(a) To Affiliated Committee (itemize all on Schedule B)	\$	
(b) To Other Committees (itemize all on Schedule B)	\$	
(c) Subtotal of transfers out	\$ 0	\$ 0
24 Total Expenditures	\$ 2,500.00	\$ 3,170.86

Part III - Debts and Obligations

25 Debts and obligations owed to the Committee (itemize all on Schedule C)	\$ 0	
26 Debts and obligations owed by the Committee (itemize all on Schedule C)	\$ 0	

Part IV - Receipts and Expenditures, Net of Transfers to and from Affiliated Committees

27 Total Receipts (from line 19)	\$ 1,825.00	
28 Less Transfers In (from line 18(c))	\$ 0	
29 Net Receipts	\$ 1,825.00	
30 Total Expenditures (from line 24)	\$ 2,500.00	
31 Less Transfers out (from line 23(c))	\$ 0	
32 Net Expenditures	\$ 2,500.00	

Schedule A
 February 1976
 Federal Election Commission
 8375 M Street, N.W.
 Washington, D.C. 20463

**Itemized Receipts,
 Contributions, Ticket Purchases, Loans,
 Rebates, and Transfers for Line
 Numbers 15, 16, 17 and/or 18 of FEC Form 3**
 (See Instructions on back)

Page 1 of 1 for
 LINE NUMBER 15a
 (Use separate schedule(s) for each
 numbered line).

7704001025

Name of Candidate or Committee in full		
FRIENDS FOR HAYAKAWA		
Full Name, mailing address and ZIP code	Date (month, day, year)	Amount of each receipt this period
Jerene C. Appleby Upland Hotel P.H. 302, Upland, Ca. 91786		
Principal place of business	Occupation	
	Publisher	
<input checked="" type="checkbox"/> Check if Contributor is self-employed		
Aggregate Year-to-Date		6/30/76 1,000.00
Aggregate Year-to-Date		\$ 1,000.00
Full Name, mailing address and ZIP code	Date (month, day, year)	Amount of each receipt this period
Principal place of business	Occupation	
<input type="checkbox"/> Check if Contributor is self-employed		
Aggregate Year-to-Date		\$
Full Name, mailing address and ZIP code	Date (month, day, year)	Amount of each receipt this period
Principal place of business	Occupation	
<input type="checkbox"/> Check if Contributor is self-employed		
Aggregate Year-to-Date		\$
Full Name, mailing address and ZIP code	Date (month, day, year)	Amount of each receipt this period
Principal place of business	Occupation	
<input type="checkbox"/> Check if Contributor is self-employed		
Aggregate Year-to-Date		\$
Full Name, mailing address and ZIP code	Date (month, day, year)	Amount of each receipt this period
Principal place of business	Occupation	
<input type="checkbox"/> Check if Contributor is self-employed		
Aggregate Year-to-Date		\$
Full Name, mailing address and ZIP code	Date (month, day, year)	Amount of each receipt this period
Principal place of business	Occupation	
<input type="checkbox"/> Check if Contributor is self-employed		
Aggregate Year-to-Date		\$
Subtotal of receipts this page (optional)		\$
Total this period (last page this line number only)		\$

Schedule of management expenses
Paid to Poli-spectrum
For the period ended 6-30-76

Rent	\$ 200.00
? Fictitious DEA's	85.00
Printing	340.00
Mailings & Postage	254.00
Maintenance	30.00
Office supplies	40.00
Office Equipment Rental	170.00
Telephone	149.48
Management	126.89
Consulting	<u>1,101.21</u>
	<u>82,500.00</u>

7704000002.38

Schedule B
January 1976
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20003

Itemized Expenditures
Campaign Fundraising, Loans, and Transfers
for Lines 20, 21, 22, and/or 23 of FEC Form 3
(see instructions on back)

Page 1 of 1 for

LINE NUMBER 20a

(Use separate schedules for each numbered line)

Name of Candidate or Committee in full

FRIENDS FOR HAYAKAWA

Full Name, mailing address and ZIP code

Poli-spectrum
347 So. Ogden Dr. Ste. 220
Los Angeles, Calif. 90036

Purpose of Expenditure

Consulting and
management
services

Date (month,
day, year)

6/29/76

Amount of each expendi-
ture this period

2,500.00

Full Name, mailing address and ZIP code

Purpose of Expenditure

Date (month,
day, year)

Amount of each expendi-
ture this period

Full Name, mailing address and ZIP code

Purpose of Expenditure

Date (month,
day, year)

Amount of each expendi-
ture this period

Full Name, mailing address and ZIP code

Purpose of Expenditure

Date (month,
day, year)

Amount of each expendi-
ture this period

Full Name, mailing address and ZIP code

Purpose of Expenditure

Date (month,
day, year)

Amount of each expendi-
ture this period

Full Name, mailing address and ZIP code

Purpose of Expenditure

Date (month,
day, year)

Amount of each expendi-
ture this period

Full Name, mailing address and ZIP code

Purpose of Expenditure

Date (month,
day, year)

Amount of each expendi-
ture this period

Full Name, mailing address and ZIP code

Purpose of Expenditure

Date (month,
day, year)

Amount of each expendi-
ture this period

Subtotal: Expenditures on items (not optional)

\$

Total this period (this page this line number only)

\$

770400002251

76020063057
77040012251

JUL 7
1964

FROM FRIENDS FOR HAYAKAWA
6200 WILSHIRE BL STE 903
LOS ANGELES, CA 90048
TO SECRETARY OF THE SENATE
HON FRANK RALPH
WASHINGTON D C 20510

RETURN TO
[]

CONFIDENTIAL
No. 541023
JAN 1

The same exact form, was submitted 4 times, for each of the four commanders listed.

497

77040022255

RECEIVED
JUN 12 1968
U.S. DEPARTMENT OF AGRICULTURE

CLASS
NO. 0
100

5

HAYAKAWA

Continued from First Page

But in addition, Nielsen alleged, there have been two possibly improper contacts between the two groups that could cost Jarvis his independent status. Under the law, an independent committee must not consult with a candidate's committee or it will lose its exemption from the \$1,000 limit on receiving donations.

A Hayakawa spokesman said that John Fadell, Jarvis' Northern California representative, had discussed the independent effort at a meeting in San Francisco with Hayakawa's campaign director, Dick Woodward, and press secretary, Jack McDowell.

Also, the spokesman said, Jarvis had met with Hayakawa's campaign treasurer, Alan Swanson, and Gene Prat, a Hayakawa aide, in Los Angeles.

Jarvis told The Times that at the meeting Prat declared himself to be "enthusiastic and happy" with the independent effort. The Hayakawa spokesman said Prat was "enthusiastic about raising money but not about using Hayakawa's name and getting involved in the campaign."

Asked about the practicality of pressing ahead against his candidate's wishes, Jarvis said: "This isn't going to affect us one damn bit. The people that we are contacting (for contributions) are sophisticated people. They are interested in getting rid of Tunney and I don't think they'll pay a hell of a lot of attention" to Hayakawa's displeasure.

Jarvis scoffed at Hayakawa's plans to continue on the same shoestring budget that brought him victory in the GOP primary for only \$100,000.

"If he wants to run a pie-in-the-sky campaign, that's his business," Jarvis said. "But we have another politician in California named Jess Unruh who says money is the mother's milk."

"If we could get Tunney to agree he wouldn't take a dime from COPE (the AFL-CIO's political donation committee), then I think we'd just walk away from it. But I don't like this one-sided bull—"

Hayakawa Seeks to Bar Backers' Use of Loophole

Requests Federal and State Agencies to Block Fund-Raising Efforts of Independent Groups

BY PAUL HOUSTON

Times Staff Writer

WASHINGTON—S. I. Hayakawa, California Republican nominee for the U.S. Senate, asked federal and state agencies Friday to stop a group of his supporters from using a loophole in federal election laws to conduct an independent campaign on his behalf.

Complaints charging the Los Angeles-based group with illegal use of Hayakawa's name and illegally claiming exemption from strict fund-raising controls were mailed to the Federal Election Commission, the U.S. Department of Justice and the California attorney general's office.

Hayakawa acted after Howard Jarvis, head of the Independent Friends for Hayakawa and four similarly named committees, bluntly defied Hayakawa's urgent request to end all fund-raising and spending activities.

"We're not going to change either our name or activity," declared Jarvis in a telephone interview. "Hayakawa is a hell of a candidate but I'm not so sure that he and the people around him have enough political sophistication to do the job that needs to be done for him."

"The problem is that the Republican Party is a joke," continued Jar-

viz, a Republican candidate for the Senate in 1962. "They can't, by themselves, win an election. They start too slow, they think too slow and their (financial) contacts are not good enough."

The Times disclosed Thursday that the Jarvis group had used a Supreme Court-created loophole that allows special-interest groups and the wealthy to get around federal campaign contribution limits.

Sen. John V. Tunney (D-Calif.), noting that there were conflicting reports in the story on whether Hayakawa disapproved of the Jarvis operation, visited the senatorialist and former San Francisco State University president for not being able to give the independents a straightforward "No."

Hours later, Hayakawa issued a statement calling for an end to the independent effort on grounds that he wants to run his own campaign as well as follow both the letter and the spirit of the \$1,000 limit on individual contributions to a candidate's committee.

Hayakawa suggested that the Jarvis enterprise was violating the spirit of the \$1,000 limit by taking advantage of the loophole that allows independent groups to receive contributions ranging up to \$25,000.

"I would urge that the group formed by Mr. Jarvis be disbanded and the individuals' energies and talents incorporated into the Hayakawa for U.S. Senate Committee, which is the only committee I have authorized to raise funds on behalf of my candidacy," Hayakawa said.

Vigo Nielsen, attorney for Hayakawa's campaign committee, said that state and federal agencies were told the Jarvis group's "unimpeachable" on the use of Hayakawa's name misleads potential contributors and creates "unfair competition" for Hayakawa fund raisers.

Also, he charged, the two groups' similarity in committee names by itself should disqualify the Jarvis group from independent status because it frustrates Hayakawa's attempt to have clearly identifiable campaign policies and activities.

Please Turn to Page 12, Col. 2

77040022257

Hayakawa Backers Skirt U.S. Limits on Donations

BY PAUL HOUSTON
Times Staff Writer

7/15/76

WASHINGTON—A new device for helping special-interest groups and the wealthy get around federal campaign contribution limits has been created by supporters of S.I. Hayakawa. It could greatly increase spending on behalf of the California Republican nominee for the U.S. Senate. Senate records show that Hayakawa supporters, headed by antitax advocate Howard Jarvis of Los Angeles, have set up a campaign organization which they assert is completely independent of Hayakawa's official campaign committee.

This enables individuals and groups to make much larger contributions to the Jarvis organization than to the Hayakawa committee.

A federal election official called it the first effort of its kind at the congressional level to make effective use of the so-called "independent expenditures" loophole opened by the Supreme Court last Jan. 30.

Hayakawa campaign officials professed unhappiness with the Jarvis enterprise and said they have tried to discourage it ever since it went into operation June 8, the day Hayakawa won the Republican primary.

Alan Swanson, treasurer of Hayakawa's campaign committee, said there was concern that the independent advertising activities would conflict with Hayakawa's and that questions raised about independents violating the spirit of contribution limits would divert attention from more important issues.

Also, he suggested, a big-spending independent effort would undercut Hayakawa's attempt to make a virtue out of running a low-budget campaign.

Hayakawa spent a relatively paltry \$166,000 on his lopsided primary win.

Please Turn to Page 23, Col. 1

28 Part I—Sun., July 15, 1976 Los Angeles Times

HAYAKAWA BACKERS SKIRT FUND LIMITS

Continued from Third Page

one-fifth of the outlay by the biggest GOP spender, Alphonse Bell, and co-chairman of the spending by either Sen. John V. Tunney or Tom Hayden in the Democratic primary. Swanson indicated that Hayakawa hoped to make thrust an issue in his favor in the general election campaign against Tunney.

Charles Reade, campaign manager for the Jarvis organization, contended that little if any attempt was made to discourage the independent effort. He said it would push ahead because of a need to offset heavy labor contributions to Tunney.

Reade said that most of the funds raised by the independents would be used for airing 15-minute and 30-minute television "documentaries" on Hayakawa in Southern California, where the president emeritus of San Francisco State University is out as well known as he is in the north.

A fund-raising goal will not be set, Reade said, until talks are held today with Los Angeles advertising consultant Jim Platten. About \$12,000 has been raised to date, including \$1,000 from Upland publisher Jerome C. Appleby.

In its decision last January, the Supreme Court upheld Congress' attempt to curb Watergate-related abuses by imposing limits of \$4,000 per individual and \$8,000 per special interest group on contributions made to a candidate's campaign committee.

But the court said individuals and groups that a First Amendment claim survive only if

spend all they wanted on behalf of a candidate if they did it completely on their own—without consulting candidate or staff.

Some individuals and groups have made such "independent expenditures," mostly for GOP presidential contenders Gerald R. Ford and Ronald Reagan.

However, Reade said the Jarvis organization was created on the theory that many people might not have the time, expertise or resources to make independent expenditures all by themselves. He felt they would be more effective pooling their funds and having professionals spend the money for them in a coordinated manner.

There was a slight catch, though, because the Supreme Court allowed limits to be set on contributions to any committee making independent expenditures. Last May 11 Congress said an individual could give only \$5,000 to each such committee and no more than \$25,000 a year to all such committees. A special interest group could give only \$5,000 to each independent committee with an overall limit.

Jarvis went a long way toward solving the limit's problem for his own organization by simply setting up five committees to receive and spend funds: Friends for Hayakawa, Backers for Hayakawa, Students for Hayakawa, Colleagues for Hayakawa and Senior Citizens for Hayakawa.

Thus, a husband and wife could give a total of \$25,000 to the five committees created by Jarvis, whereas the couple could give only

\$2,000 to Hayakawa's official campaign committee. (The limit on individual contributions to a candidate's committee is \$1,000.) Similarly, a special interest group—business, labor or ideological—now may contribute a total of \$25,000 on behalf of Hayakawa instead of only \$5,000.

Jarvis, chairman of the five committees, also is executive director of the Apartment Assoc. of Los Angeles County, Inc., and was state chairman of United Organizations of Tenants, Inc., a group that promoted former Gov. Reagan's property-tax limitation initiative.

Vit Reilly, who has been active in conservative causes and who worked with state Sen. H. L. Gonsky (R-Arcadia), Reade said, is setting up precinct networks for the Hayakawa independents.

A spokesman for the Federal Election Commission said that all individuals and groups making independent expenditures will be closely checked on their claims that they have not been in contact with candidates. If any connection is established, he said, the receipts and expenditures of special interest committees will fall under the limitations placed on a candidate's committee, and violations will be subject to civil penalties and criminal actions in some cases.

Swanson said he had never heard of fear of the Jarvis committees and that a letter was sent to the attention of the Hayakawa campaign by a citizen who was confused by a newspaper solicitation by Friends for Hayakawa.

CC #532

FRIENDS FOR IYAKAWA

Howard Jarvis - Chairman
William F. Morrison - Treasurer

6200 Wilshire Boulevard
Suite 903
Los Angeles, California 90048
[213] 938-6777

9/1/76

MUR 195

Mr. Murphy -

Thank you for your
letter of 20 August as
addressed to Mr. Jarvis.

We were most pleased -- but
not surprised -- to learn of
your ruling. Section 431(P)
is always complied with.

Cordially,

Charles Roeder
Campaign Mgr.



FEDERAL ELECTION COMMISSION
OFFICE OF CHIEF COUNSEL

U.S. SENATE
Office of the Secretary
Public Records Office
Washington, D.C.

JUN 14 1975

ACKNOWLEDGEMENT OF RECEIPT
Of The

(Date Stamp)

REGISTRATION FORM AND STATEMENT OF ORGANIZATION

Filed pursuant to the Federal Election Campaign Act [REDACTED] AS AMENDED

FRIENDS FOR HAYAKAWA COMMITTEE
c/o William F. Morrison, Treasurer
6200 Wilshire Boulevard
Suite 903
Los Angeles, California 90048

IMPORTANT NOTICE

Your Committee has been assigned Identification Number S-0227

In the future this number must be used in reporting to and corresponding with this office.

Francis R. Valeo,
Secretary of the Senate.

6200 WILSHIRE BOULEVARD
SUITE 903
LOS ANGELES, CALIFORNIA 90048

SEP 7 10:07

Mr. John G. Murphy, Jr.

General Counsel

Federal Election Commission

1325 K Street, N.W.

Washington, D.C.

20463

Aug. 31, 1976

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) MUR 202 (76)
Friends of Hayakawa, Students for)
Hayakawa, Collegians for Hayakawa,)
Businessmen for Hayakawa, Senior)
Citizens for Hayakawa, and)
)
Howard Jarvis and William Morrison,)
in their respective capacities as)
Chairman and Treasurer of each of)
the above named Committees)

C O M P L A I N T

1. This complaint is submitted by the Staff Director of the Federal Election Commission on the basis of information set forth in public records which were examined and analyzed by the Division of Disclosure and Compliance. The Staff Director believes that the information establishes reason to believe that respondents have committed violations of the Federal Election Campaign Act of 1971, as amended. A supporting statement from the Division of Disclosure and Compliance is appended hereto.

Jurisdiction

2. Jurisdiction is conferred on the Federal Election Commission by 2 U.S.C. §437g.

7704001226

Parties

3. Complainant Staff Director is a statutory officer of the Federal Election Commission whose duties include the supervision of the activities of the Division of Disclosure and Compliance.

4. Respondents, Friends of Hawakawa, Students for Hayakawa, Collegians for Hayakawa, Businessmen for Hawakawa, and Senior Citizens for Hayakawa are each political committees, allegedly unauthorized by S.I. Hayakawa.

5. Respondents, Howard Jarvis and William Morrison, are chairman and treasurer respectively of each of the above-named committees.

Allegations

6. On June 14, 1976, all five respondent committees filed Statements of Organization and Registrations with the Secretary of the Senate and the Federal Election Commission.

7. All five statements contained identical information with regard to the chairman, treasurer, campaign depository, and address of each of the respondent committees.

8. Each statement indicates that the committee is affiliated with no other committee.

9. Respondent committees' failure to indicate mutual affiliation establishes reason to believe that a violation of 2 U.S.C. §433(b)(2) has been committed.

77040011261

WHEREFORE, the Federal Election Commission should find reason to believe that a violation of statutes within its jurisdiction has been committed.

Orlando B. Potter

Orlando B. Potter
Staff Director

DATE:

Aug. 31, 1976.

77041002261

FEDERAL ELECTION COMMISSION
OFFICE OF THE CLERK
OFFICE OF GENERAL COUNSEL

TRANSMITTAL MEMORANDUM

TO: Al Keema
THRU: William Oldaker
FROM: David Spiegel

Information furnished to the Office of the General Counsel indicates that Dr. S. I. Hayakawa, candidate for U.S. Senate in California, may not have designated a principal campaign committee as required by 2 U.S.C. §432 (e)(1). Because the matter may be settled by explanation or, if necessary, by submission of the information in question, I am referring it to your division. If you are unable to obtain a satisfactory resolution of this problem through the procedures you ordinarily use, please refer the matter back to us.

7704072265
OFFICIAL COPY
OFFICE OF GENERAL COUNSEL

AUG 02 1976

MEMORANDUM TO: Mike Hershman

Thorough: David Spiegel ^{TS}

FROM: Lyn Oliphant ^{LO}

Thank you very much for the information transmitted to us on July 26, 1976, regarding the Unauthorized Committee on Behalf of S.I. Hayakawa. The Office of the General Counsel is proceeding to act in this matter and we request that Kim Rosenfield notarize a copy of her memorandum to you, dated July 22, 1976. In order that we may proceed expeditiously, please forward this to us as soon as possible.

77041101226

CC# 344
MYR 202



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

July 26, 1976

TO: Bill Oldaker
THRU: Drew McKay *SM*
FROM: Michael J. Hershman *mjh*
SUBJECT: Unauthorized Committees on Behalf of S.I. Hayakawa

After reading a newspaper article concerning the campaign of S.I. Hayakawa I asked an investigator to do some in-house research. The results are attached and prove rather interesting, especially the problem of unauthorized committee affiliation.

OFFICE OF GENERAL COUNSEL



July 22, 1976

APPENDIX

MEMORANDUM TO: MICHAEL HERSHMAN

FROM: KIM ROSENFELD *KR*

RE: UNAUTHORIZED COMMITTEES ORGANIZED ON BEHALF OF S.I. HAYAKAWA

Newspaper articles by Paul Houston in the Los Angeles Times on 7/15/76 and 7/17/76 allege that five unauthorized committees were organized to circumvent the Federal Election Campaign Act of 1971 as amended in 1974 and 1976 by making expenditures and receiving contributions on behalf of S.I. Hayakawa, California Republican nominee for the U.S. Senate. The five committees listed below filed Statements of Organization and Registration Forms with the Secretary of the Senate and the FEC on June 14, 1976.:

Students for Hayakawa Committee
Friends for Hayakawa Committee
Collegians for Hayakawa Committee
Businessmen for Hayakawa Committee
Senior Citizens for Hayakawa Committee

All five of the statements contained the same information, outlined below:

1. The committee address is 6200 Wilshire Blvd., Suite 903, Los Angeles, CA., 90048, Tel: 213 545-1246
 2. They are affiliated with no other organizations.
 3. They will operate in more than one state.
 4. They will operate on a state-wide basis in one state.
 5. They will not primarily support candidates seeking state or local office.
 6. They will support a candidate for Federal office in an aggregate amount in excess of \$1000 in the calendar year.
 7. The only candidate supported is Dr. S.I. Hayakawa.
 8. The chairman of the committees is Howard Jarvis, and the treasurer is William F. Morrison.
 9. The campaign depository is the Security Pacific National Bank.
- The failure of each of the committees to report the other four committees as "affiliated" may constitute a violation.

The Friendsfor Hayakawa Committee filed a Ten Day Following the Primary Report of Receipts and Expenditures on July 12, 1976, and a July 10 Quarterly Report. (The latter report is attached, since the schedule of management expenses paid to Poli-Spectrum may be of some interest.) None of the contributors or payees listed in either of the reports were shown on any of the reports of the ~~other~~ ~~committees~~ committees registered on behalf of Hayakawa.

The other four independent committees jointly filed four July 10 statements that they did not receive contributions or make expenditures in excess of \$1000 for the calendar quarter. (Acopy of one of the statements is attached.)

OFFICIAL FILE COPY
OFFICE OF GENERAL COUNSEL

page 2

MEMO TO: MICHAEL HERSHMAN
FROM: KIM ROSENFELD
RE: UNAUTHORIZED COMMITTEES ORGANIZED ON BEHALF OF S.I. HAYAKAWA

The Senate index of committees supporting Hayakawa also includes the following committees, which are all registered with the Federal Election Commission, or the Secretary of the Senate:

Hayakawa for U.S. Senator of Santa Clara County : registered 11/5/75,
7/10/76 Report of Contributions & Expenditures under \$1000

Hayakawa for U.S. Senate: registered 11/25/75, Ten Day Pre-Primary Report
shows \$91187.77 rec. to date, 81871.81 expended to date

Hayakawa for U.S. Senator of Santa Clarita Valley: registered 1/15/76,
6/10/76 request for waiver of reporting requirements in process, see Steve Mims

Hayakawa for U.S. Senator of South Los Angeles: registered 2/3/76

A Lot of People Who Want Hayakawa for U.S. Senator: registered 5/22/76

Friends of Hayakawa: registered 6/11/74, terminated 1/76

The newspaper article indicates that Hayakawa does have a principle campaign committee; however, there is no Form 2 or Form 2A in the candidate's files designating such a committee. Most of the committees listed above are in violation of the reporting requirements of the Act, as they have not filed all of the required Reports of Receipts and Expenditures. Preliminary review of the reports that have been filed, however, indicates that there are no reported violations of the contribution limitations of the FECA as amended in 1974 and 1976. (The 1974 Amendments, of course, do not apply to reports filed before Jan. 1, 1975. Some of those reports do show receipts in excess of \$1000).

Office of Public Records
119 D Street, N.E., Rm. 623
Washington, D. C. 20510

Date: July 12, 1976
I.D. S 2227
C

FRIENDS FOR HAYAKAWA
6200 Wilshire Blvd. - Suite 903
Los Angeles, California 90036

Your report of receipts and expenditures has been received, covering the period from June 14, 1976 through June 28, 1976, and is hereby acknowledged. A preliminary review of your submission indicates the following:

- | | |
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| <input type="checkbox"/> Coverage dates omitted or incorrect | <input type="checkbox"/> Unable to reproduce for disclosure purposes and/or <input type="checkbox"/> illegible |
| <input type="checkbox"/> Failure to Sign | <input type="checkbox"/> Incorrect or omitted total(s) on Summary Page Section _____ Column _____ |
| <input type="checkbox"/> Identification Number omitted (use above I.D.#) | |
| <input type="checkbox"/> Incorrect or omitted total(s) on Detailed Summary Line _____ Column _____ | |

SCHEDULE LINES

A	A	A	A	B	B	B	C	C	D
15	16	17	18	20	21	23	25	26	1

☒ Schedule totals ☐ do not agree with Detailed Summary (Page 2) ☐ Omitted

☒ Dates inadequate or omitted

☒ Full name omitted

☒ Mailing address inadequate or omitted

☒ Occupation description inadequate or omitted (see page two)

☒ Principal place of business inadequate or omitted (see page two)

☒ Aggregate year-to-date totals omitted

☒ Purpose of expenditure or receipt omitted

☐ Inadequate description of ☐ Proceeds
☐ Dates ☐ Event(s) ☐ Location

☐ Nature of debts and obligations omitted or inadequate

COMMENTS:

Your initial filing, together with this request for additional information, has been made available for public inspection.

Rules and Regulations concerning the Federal Election Campaign Act Amendments of 1974 are pending before Congress. In my capacity as an ex-officio member of the Federal Election Commission and custodian of Reports and Statements filed by candidates for the U.S. Senate, and committees on their behalf, I have been requested by the Federal Election Commission to provide assistance to those individuals attempting to comply with the Act. We have attempted to perform this review of your filing in a manner consonant with subsequent issuance of rules and regulations by the Commission. If you have any questions, please do not hesitate to contact our office at (202) 224-0322.

Francis R. Valco

**Report of Receipts and Expenditures
for a Candidate or Committee
Supporting any Candidate(s) for
Nomination or Election to Federal Office**

SECTION 527

1976 JUN 12 4 19 35

MAILED DELIVERED

Note: Candidates, committees, and other persons who are required to file this report must also file a statement of the source of funds received and the disposition of such funds.

1. Name of Candidate or Committee (Last)

2. Name of Candidate or Committee (First)

FRIENDS FOR HAYAKAWA

CA REP C-77

52223

3. Is this report for a federal office or position?

4. Is this report for a state or local office or position?

5. Is this report for a party or caucus?

6. Is this report for a committee or association?

7. Is this report for a group or organization?

8. Is this report for a person or individual?

9. Is this report for a corporation or partnership?

10. Is this report for a trust or estate?

11. Is this report for a partnership or joint venture?

12. Is this report for a sole proprietorship?

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Detailed Summary Schedule of Receipts and Expenditures (Page 2)

Friends For Hayakawa

Period Ending the Period
6/14/76 To 6

Column A
Transferred Column B
Cash

1. Receipts from:		
a. Contributions:		
(1) Cash	\$ 2,639.88	
(2) Checks		
(3) Credit Card		
(4) Other		
b. Sales and other income:		
(1) Sales of goods	\$ 4,587.00	\$ 4
(2) Other		
c. Interest income		
(1) Dividends	\$ 0	\$
(2) Other		
d. Other income		
(1) Royalties	\$ 0	\$
(2) Other		
e. Other	\$ 4,587.00	\$ 4
2. Expenditures for:		
a. Operating expenses:		
(1) Salaries	\$ 303.11	
(2) Rent	\$ 367.75	
(3) Utilities	\$ 670.86	\$
(4) Other		
b. Capital expenditures:		
(1) Equipment	\$ 0	\$
(2) Other		
c. Other		
(1) Contributions	\$ 0	\$
(2) Other		
d. Other	\$ 670.86	\$
3. Net income		
a. Operating income	\$ 0	
b. Other income	\$ 0	
c. Total	\$ 4,587.00	
4. Other	\$ 0	
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214. Other	\$ 0	
215. Total	\$ 4,587.00	
216. Other	\$ 0	
217. Total	\$ 4,587.00	
218. Other	\$ 0	
219. Total	\$ 4,587.00	
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341. Total	\$ 4,587.00	
342. Other	\$ 0	
343. Total	\$ 4,587.00	
344. Other	\$ 0	
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367. Total	\$ 4,587.00	
368. Other	\$ 0	
369. Total	\$ 4,587.00	
370. Other	\$ 0	
371. Total	\$ 4,587.00	
372. Other	\$ 0	
373. Total	\$ 4,587.00	
374. Other	\$ 0	

1976
FEC Form 3
Schedule A

Rebates, and Transfers for Line
Number 15, 16, 17 and/or 18 of FEC Form 3
(see instructions on back)

LINE NUMBER 15(a)
(Use separate schedule for each
numbered line)

Name of Candidate or Committee in full

FRIENDS FOR HAYAKAWA

Name, mailing address and ZIP code	Date (month, day, year)	Amount of each receipt (this p)
Phelps Witter 800 Wilshire Blvd. Los Angeles, Ca. 90017	6/23/76	200.0
Occupation		
Check if Contributor is self-employed		
Aggregate Year-to-Date	\$ 200.00	
Name, mailing address and ZIP code	Date (month, day, year)	Amount of each receipt (this p)
Emma Bardwell 726 N. Fuller Ave., Hollywood, Ca. 90046	6/25/76	200.0
Occupation		
Housewife		
Check if Contributor is self-employed		
Aggregate Year-to-Date	\$ 200.00	
Name, mailing address and ZIP code	Date (month, day, year)	Amount of each receipt (this p)
Willard W. Keith 9341 Airport Blvd., Ste. 930, Los Angeles, Ca. 90045	6/28/76	500.0
Occupation		
Retired		
Check if Contributor is self-employed		
Aggregate Year-to-Date	\$ 500.00	
Name, mailing address and ZIP code	Date (month, day, year)	Amount of each receipt (this p)
Griffith Park Hills R.A. 5424 Vista Del Arroyo Dr., La Crescenta, Ca. 91214	6/28/76	200.00
Occupation		
Check if Contributor is self-employed		
Aggregate Year-to-Date	\$ 200.00	
Name, mailing address and ZIP code	Date (month, day, year)	Amount of each receipt (this p)
M/M Dewey Thompson 401 Ventura St., Fillmore, Ca. 93015	6/28/76	500.00
Occupation		
Owner - Auto Parts		
Check if Contributor is self-employed		
Aggregate Year-to-Date	\$ 500.00	
Name, mailing address and ZIP code	Date (month, day, year)	Amount of each receipt (this p)
Occupation		
Check if Contributor is self-employed		
Aggregate Year-to-Date	\$ 0.00	
Name, mailing address and ZIP code	Date (month, day, year)	Amount of each receipt (this p)
Occupation		
Check if Contributor is self-employed		
Aggregate Year-to-Date	\$ 0.00	

77-1410-2277

FROM FRIENDS FOR HAYAKAWA
6700 WILSHIRE BL 903
LOS ANGELES, CA. 90048

TO SECRETARY OF THE SENATE
HON. FRANK RUTENFRO
WASH. D.C. 20510

RECEIVED
U.S. SENATE
JAN 10 1977

Report of Receipts and Expenditures
for a Candidate or Committee
Supporting any Candidate(s) for
Nomination or Election to Federal Office

RECEIVED
SECRETARY OF THE SENATE
1976 JUL 12 14 9 54

Note: Committees authorized by a candidate to receive contributions and make expenditures in connection with more than one election must maintain separate records with respect to each election.

HAND DELIVERED ☐

1(a) Name of Candidate or Committee (in full)

FRIENDS FOR HAYAKAWA

CA REP C477

Identification Number
S2227

(b) Address (number and street)

6200 Wilshire Blvd. Suite 903

(c) City, State and ZIP code

Los Angeles, California 90036

3(a) Is this a report of receipts and expenditures for only one election? ☒ Yes ☐ No

(b) If "Yes," for which election?

General on 11/2/76
(General, Primary, Runoff) (Date)

4 Type of Report (Check appropriate box and complete, if applicable)

(a) ☐ Amendment

(d) ☒ July 10 report

(e) ☐ January 31 Report

(b) ☐ April 10 Report

(d) ☐ October 10 report

(f) ☐ Alternative Monthly Report

(g) ☐ Termination

(h) ☐ Tenth day report preceding _____ election on _____ in the state of _____
(primary, general or convention) (date)

(i) ☐ Thirtieth day report following _____ election on _____ in the state of _____
(primary, general or convention) (date)

Committee Summary of Receipts and Expenditures

5 Covering Period From 6-29-76 Through 6-30-76

Section A - Cash Balance Summary

Column A
This Period

Column B
Calendar Year-To-Date

6 Cash on hand January 1, 1976

\$

7 Cash on hand at beginning of reporting period

\$ 2,926.14

8 Add total receipts (from line 19)

\$ 1,825.00

\$ 6,412.00

(a) Subtotal

\$ 5,741.14

\$ 6,412.00

9 Subtract total expenditures (From line 24)

\$ 2,500.00

\$ 3,170.86

10 Cash on hand at close of reporting period

\$ 3,241.14

\$ 3,241.14

11 Contributed items on hand to be liquidated (attach itemized list) \$ 0

Section B - Presidential Campaign Expenditures Subject to Limitation - Summary
(Applies Only To Presidential Candidates Receiving Federal Funds)

12 Operating expenditures (from line 20)

\$ 2,500.00

\$ 3,170.86

13 Less Refunds and Rebates (from line 17)

\$ 0

\$ 0

14 (a) Expenditures subject to limitation

\$ 2,500.00

\$ 3,170.86

(b) Expenditures from prior years subject to limitation

\$ 0

(c) Total expenditures subject to limitation

\$ 3,170.86

I certify that I have examined this Report, and to the best of my knowledge and belief it is true, correct and complete

(Signature of Treasurer or Candidate)

7/10/76
(Date)

Note: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. § 441 (text on reverse side of form)

For further
information
Contact:

Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Detailed Summary Schedule of Receipts and Expenditures

[Page 2]

U.S. House of Representatives
Committee on Education and the Labor Force
Washington, D.C. 20540

Name of Candidate or Committee		Report Covering the period	
FRIENDS FOR HAYAKAWA		From: 6-29-76	To: 6-30-76
		Column A This Period	Column B Calendar year-to-date
Part I - Receipts			
15 Contributions and other Income:			
(a) Itemized (use Schedule A)	\$ 1,000.00		
(b) Unitemized	\$ 825.00		
(c) Sales and Collections Included Above: List by event on memo Schedule D (S _____)			
(d) Subtotal of contributions and other income	\$ 1,825.00	\$ 6,412.00	
16 Loans and Loan Repayments Received:			
(a) Itemized (use Schedule A)	\$		
(b) Unitemized	\$		
(c) Subtotal of loans and loan repayments received	\$ 0	\$ 0	
17 Refunds, Rebates, etc.:			
(a) Itemized (use Schedule A)	\$		
(b) Unitemized	\$		
(c) Subtotal of refunds, rebates, etc.	\$ 0	\$ 0	
18 Transfers In:			
(a) From Affiliated Committee (itemize all on Schedule A)	\$		
(b) From other Committees (itemize all on Schedule A)	\$		
(c) Subtotal of transfers in	\$ 0	\$ 0	
19 Total Receipts	\$ 1,825.00	\$ 6,412.00	
Part II - Expenditures			
20 Operating Expenditures:			
(a) Itemized (use Schedule B)	\$ 2,500.00		
(b) Unitemized	\$ 0		
(c) Subtotal of operating expenditures	\$ 2,500.00	\$ 3,170.86	
21 Loans, Loan Repayments, and Contribution Refunds:			
(a) Itemized (use Schedule B)	\$		
(b) Unitemized	\$		
(c) Subtotal of loans and loan repayments made and contribution refunds	\$ 0	\$ 0	
22 Fundraising Expenditures (Apply to 20% Exemption--Presidential Campaigns Receiving Federal Funds):			
(a) Itemized (use Schedule B)	\$		
(b) Unitemized	\$		
(c) Subtotal of fundraising expenditures	\$ 0	\$ 0	
23 Transfers Out:			
(a) To Affiliated Committee (itemize all on Schedule B)	\$		
(b) To Other Committees (itemize all on Schedule B)	\$		
(c) Subtotal of transfers out	\$ 0	\$ 0	
24 Total Expenditures	\$ 2,500.00	\$ 3,170.86	
Part III - Debts and Obligations			
25 Debts and obligations owed to the Committee (itemize all on Schedule C)		\$ 0	
26 Debts and obligations owed by the Committee (itemize all on Schedule C)		\$ 0	
Part IV - Receipts and Expenditures, Net of Transfers to and from Affiliated Committees			
27 Total Receipts (from line 19)	\$ 1,825.00		
28 Less Transfers In (from line 18(a))	\$ 0		
29 Net Receipts	\$ 1,825.00		
30 Total Expenditures (from line 24)	\$ 2,500.00		
31 Less Transfers out (from line 23(a))	\$ 0		
32 Net Expenditures	\$ 2,500.00		

Schedule A
 January 1976
 Federal Election Commission
 837 N Street, N.W.
 Washington, D.C. 20463

**Itemized Receipts,
 Contributions, Ticket Purchases, Loans,
 Rebates, and Transfers for Line
 Numbers 15, 16, 17 and/or 18 of FEC Form 3**
 (see instructions on back)

Page 1 of 1, for

LINE NUMBER 15a

(Use separate schedule(s) for each numbered line).

Name of Candidate or Committee in full

FRIENDS FOR HAYAKAWA

Full Name, mailing address and ZIP code

Jerene C. Appleby
Upland Hotel P.H. 302, Upland, Ca. 91786

Date (month, day, year)

Amount of each receipt this period

Principal place of business

Occupation

Publisher

6/30/76

1,000.00

☒ Check if Contributor is self-employed

Aggregate Year-to-Date ▶ \$ **1,000.00**

Full Name, mailing address and ZIP code

Date (month, day, year)

Amount of each receipt this period

Principal place of business

Occupation

☐ Check if Contributor is self-employed

Aggregate Year-to-Date ▶ \$

Full Name, mailing address and ZIP code

Date (month, day, year)

Amount of each receipt this period

Principal place of business

Occupation

☐ Check if Contributor is self-employed

Aggregate Year-to-Date ▶ \$

Full Name, mailing address and ZIP code

Date (month, day, year)

Amount of each receipt this period

Principal place of business

Occupation

☐ Check if Contributor is self-employed

Aggregate Year-to-Date ▶ \$

Full Name, mailing address and ZIP code

Date (month, day, year)

Amount of each receipt this period

Principal place of business

Occupation

☐ Check if Contributor is self-employed

Aggregate Year-to-Date ▶ \$

Full Name, mailing address and ZIP code

Date (month, day, year)

Amount of each receipt this period

Principal place of business

Occupation

☐ Check if Contributor is self-employed

Aggregate Year-to-Date ▶ \$

Subtotal of receipts this page (optional) ▶ \$

Total this period (last page this line number only) ▶ \$

Schedule of management expenses
Paid to Poli-spectrum
For the period ended 6-30-76

Rent	\$ 200.00
? Fictitious DBA's	85.00
Printing	340.00
Mailings & Postage	254.00
Maintenance	30.00
Office supplies	40.00
Office Equipment Rental	170.00
Telephone	149.48
Management	126.89
Consulting	<u>1,101.21</u>
	<u>82,500.00</u>

JUL 7 1964

7760042001016000857

FROM FRIENDS FOR HAYAKAWA
6201 WILSHIRE BL STE 903
LOS ANGELES, CA 90048
TO SECRETARY OF THE SENATE
HON FRANK VALED
WASHINGTON D C 20510

RETURNED TO SENDER

REGISTERED
No. 541023
JUL 11

FEC Form 3a
January 1976

Report for a quarter in which neither
receipts or expenditures exceed \$1000

Identification Number

9-4323

Filing this form does not relieve any committee or candidate of the responsibility to file any
year-end (Jan. 31), pre, or post election report.

Name of Candidate or Committee (in full) CA REP C477
DEMOCRATIC CITIZENS FOR HAYAKAWA
COLLEGIANS FOR HAYAKAWA
STUDENTS FOR HAYAKAWA (T.R.'S INSURANCE)

Address (number and street)

6200 WINDSHIRE BLVD. SUITE 903

City, State and Zip code

LOS ANGELES, CALIF. 90094

For the period (check one) ☒ Jan. 1 - March 31 (April 10 Report)
☐ April 1 - June 30 (July 10 Report) ☐ July 1 - Sept. 30 (Oct. 10 Report)

I declare that the above named committee or candidate did not receive contributions or make
expenditures in excess of \$1000 during the calendar quarter indicated. I will resume filing quar-
terly reports in the next calendar quarter in which receipts or expenditures exceed \$1000.

Signature of Committee Treasurer or Candidate

Date

William K. Korman

7/9/76

The same exact form
was submitted 4 times, for
each of the four committees
listed.

HAND DELIVERED ☐

Place
Stamp
Here

6/9/7

[illegible]

77111111
1/6 roll 5 #852

6. Identify by name, address and position, the committee's chairman and treasurer.

Full name	Mailing address and ZIP code	Committee title or position
William F. Morrison	6200 Wilshire Boulevard Suite 903 Los Angeles, CA 90048	Treasurer Office telephone No. (213) 545

7. List by name, address and position of other principal officers of the committee, including the chairman and treasurer if not identified in Question 6 above and members of the finance committee, if any:

Full name	Mailing address and ZIP code	Committee title or position
Howard Jarvis	6200 Wilshire Boulevard Suite 903	Chairman
William F. Morrison	6200 Wilshire Boulevard Suite 903	Treasurer

Does the committee spend the current calendar year? ☒ Yes ☐ No

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3. 1949

FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20468

1976 JUL 16 14 11:16

76 JUL 16 11:16
ALL: 58

HAND DELIVERED ☐

REGISTRATION FORM AND STATEMENT OF ORGANIZATION

FOR AN

REG. NO. 11

INDEPENDENT POLITICAL COMMITTEE

☐ Ballot Box

☐ Statement

ALL CHANGES MUST BE ANSWERED FOR NEW REGISTRATIONS

FRIENDS FOR HAYAKAWA COMMITTEE

2000 Wilshire Boulevard, Suite 900

100

1976

77 0410 2223
176 Row 5 #849

Full name	Mailing address and ZIP code	Committee title or position
William F. Morrison	6200 Wilshire Boulevard Suite 903 Los Angeles, CA 90048	Treasurer Office telephone No. (213) 545-12

7. List by name, address and position of other principal officers of the committee, including the chairman and treasurer if not identified in Question 6 above and members of the finance committee, if any.

Full name	Mailing address and ZIP code	Committee title or position
Howard Jarvis	6200 Wilshire Boulevard Suite 903	Chairman
William F. Morrison	6200 Wilshire Boulevard Suite 903	Treasurer

8. Does this committee have any funds to carry over beyond the current calendar year? YES None

9. Indicate whether the committee will be made of realted funds? None State realted funds
accounts payable to the committee supporting Dr. Morrison

10. Indicate whether the committee has funds, held, accounts, and other assets to carry over beyond the current calendar year?

7704002291

Secretary: L. Miller (213) 545-1200

3000 W. 1st Street, Suite 200

Office of the Secretary

No.

1914

1961 1962

1021161722

Full name	Mailing address and ZIP code	Committee title or position
William F. Morrison	6200 Wilshire Blvd Suite 903 Los Angeles, CA 90048	Treasurer Office telephone No. (213) 545-1246

7. List by name, address and position of other principal officers of the committee, including the chairman and treasurer if not identified in Question 6 above and members of the finance committee, if any:

Full name	Mailing address and ZIP code	Committee title or position
Howard Jarvis	6200 Wilshire Blvd Suite 903	Chairman
William F. Morrison	6200 Wilshire Blvd Suite 903	Treasurer

8. Does this committee plan to exist beyond the current calendar year? Yes None How
long? Until 1972

9. Indicate whether or not the committee will be made of residual funds? Immediate utilization of
accounts receivable and other assets supporting Dr. Hawkins

10. Indicate whether or not the committee has funds, holds accounts, rents safety deposit boxes or real estate.

Full name	Mailing address and ZIP code
William F. Morrison	3900 West Ninth Street Los Angeles, CA 90048

77040011229

FEDERAL ELECTION COMMISSION
OFFICIAL FILE COPY
OFFICE OF GENERAL COUNSEL

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FEDERAL ELECTION COMMISSION

RECEIVED
SECRETARY

WASHINGTON, D.C. 20540

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HAND DELIVERED ☒

REGISTRATION FORM AND STATEMENT OF ORGANIZATION

FOR AN
INDEPENDENT POLITICAL COMMITTEE

☒ New Registration
☐ Registration Amendment

ALL QUESTIONS MUST BE ANSWERED FOR NEW REGISTRATIONS

1. Name of organization: STUDENTS FOR HAYAKAWA COMMITTEE
 2. Principal office: 3200 Wilshire Boulevard Suite 902
Los Angeles, CA 90048
 Telephone number: (213) 381-1871

7704100222
76 1815 #843

Mailing address and ZIP code	

Yes

OFFICE OF GENERAL COUNSEL

6. Identify by name, address and position the committee and its members:

Full name	Mailing address and ZIP code	Committee title or position
William F. Morrison	6200 Wilshire Boulevard Suite 903 Los Angeles, CA 90048	Treasurer Office telephone No. (213) 945-124

7. List by name, address and position of other principal officers of the committee, including the chairman and treasurer if not identified in Question 6 above and members of the finance committee, if any:

Full name	Mailing address and ZIP code	Committee title or position
Howard Jarvis	6200 Wilshire Blvd Suite 903	Chairman
William F. Morrison	6200 Wilshire Blvd Suite 903	Treasurer

8. Does the committee have any funds beyond the current calendar year? Yes How much? \$100,000

9. If the committee has funds, what will be made of such funds? For the purpose of the committee's work

10. If the committee has funds, how are such funds held, accounts, notes, etc. deposited?

77040022295

RECEIVED
OFFICE OF THE ATTORNEY GENERAL
JAN 11 1977

1621: C1 P1 ZL

[illegible]

Full name	Mailing address and ZIP code	Committee title or position
William F. Morrison	6200 Wilshire Blvd. Suite 903 Los Angeles, CA 90048	Treasurer Office telephone No. (213) 545-

7. List by name, address and position of other principal officers of the committee, including the chairman and treasurer if not identified in Question 6 above and members of the finance committee, if any:

Full name	Mailing address and ZIP code	Committee title or position
Howard Jarvis	6200 Wilshire Blvd. Suite 903	Chairman
William F. Morrison	6200 Wilshire Blvd. Suite 903	Treasurer

8. Does this committee have any property in existence beyond the current calendar year? YES If so, how long? _____

9. In the event of liquidation, will be made of residual funds? Immediate withdrawal from any assets payable to support Dr. King

10. List all bank accounts for committee deposits funds, holds accounts, rents safety deposit boxes or other such items.

Bank name and address	Mailing address and ZIP code
	6200 Wilshire Blvd. Suite 903 Los Angeles, CA 90048

OFFICE OF THE ATTORNEY GENERAL

770400:2200

