



FEDERAL ELECTION COMMISSION

1125 K STREET NW  
WASHINGTON, DC 20463

THIS IS THE END OF MUR # 1152

Date Filmed 2/26/80 Camera No. --- 2

Cameraman G.P.C.

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of )  
Easterly for Congress ) MUR 1152  
Curtis L. Carr, Treasurer )  
Ed Easterly )

CERTIFICATION

I, Marjorie W. Emmons, Secretary to the Federal Election Commission, do hereby certify that on February 14, 1980, the Commission decided by a vote of 5-0 to take the following actions regarding the above-captioned matter:

1. Take no further action.
2. Close the file.

Voting for this determination were Commissioners Aikens, Harris, McGarry, Reiche, and Tiernan.

Attest:

2/14/80

Date

Marjorie W. Emmons

Marjorie W. Emmons  
Secretary to the Commission

Received in Office of the Commission Secretary: 2-11-80, 3:28  
Circulated on 48 hour vote basis: 2-12-80, 11:00

610181110

020

SUBJECT: MUR 1152

Thank you.

FEDERAL ELECTION COMMISSION  
1325 K Street, N.W.  
Washington, D.C. 20003

FIRST GENERAL COUNSEL'S REPORT

DATE AND TIME OF TRANSMITTAL  
BY OGC TO THE COMMISSION 2-11-80

MUR # 1152  
STAFF MEMBER(S) David Federman

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

RESPONDENT'S NAME: Easterly for Congress  
Curtis L. Carr, Treasurer  
Ed Easterly

RELEVANT STATUTE: 2 U.S.C. § 441a(a)(1)(A)

INTERNAL REPORTS CHECKED: Public Records

FEDERAL AGENCIES CHECKED: None

SUMMARY OF ALLEGATIONS

The review of the Easterly for Congress (committee) reports for 1978 disclosed that on October 13, 1978, Curtis L. Carr, the committee's treasurer and Ed Easterly, the candidate's (Tom Easterly) father, had each loaned the candidate \$7,000. This was in apparent violation of 2 U.S.C. § 441a(a)(1)(A).

FACTUAL AND LEGAL ANALYSIS

On its 10 Day Pre General Election Report, the committee reported the receipt on October 13, 1978, of \$7,000 each from the committee's treasurer and the candidate's father. The committee simultaneously reported these receipts as loans to the candidate from the above-named individuals. Thereafter, on October 30, 1978 the committee voluntarily

amended its reports to show that the two previously reported \$7,000 debts were consolidated into a single \$14,000 debt owed by the committee to the candidate, Tom Easterly.

Subsequent correspondence with the committee by Commission staff disclosed that Ed Easterly and Curtis Carr had co-signed for a 90-day bank loan to the committee from the Farmer's Bank and Capital Trust Co. at an interest rate of 8%.

In explanation, Mr. Carr stated that the candidate had been unavailable and in order to obtain the funds from the bank, he and Ed Easterly co-signed for the loan. After realizing the error, Tom Easterly went to the bank and transferred the note to his name (October 29, 1979 letter from Curtis L. Carr).

As Tom Easterly is permitted to contribute unlimited funds to his own campaign committee, it was not improper for him to sign for a bank loan of \$14,000 to his committee. It was, however, a violation of 2 U.S.C. § 441a(a)(1)(A) for his father and committee treasurer to co-sign a bank loan in excess of \$1,000 each to the committee.

In light of the voluntary correction of the violation by the candidate within 16 days of the original loan; the prompt notification to the Commission of the event; and the full disclosure of the transactions to the Commission, the Office of General Counsel recommends that no action be taken in this matter and the file closed.

Recommendation

1. Take no further action.
2. Close the file.

Attachment

RAD referral materials

REFER TO INSTRUCTIONS ON REVERSE SIDE BEFORE COMPLETING

D  
RAD

211  
306-27

REPORTS ANALYSIS REFERRAL SHEET

DATE January 24, 1980

ANALYST Mary Jo Mesner

TO: Office of General Counsel

TEAM CHIEF Suzanne Wilson

THROUGH: STAFF DIRECTOR

COMPLIANCE REVIEW Carroll Bowen CB/PS

FROM: ASSISTANT STAFF DIRECTOR FOR REPORTS ANALYSIS

CANDIDATE/COMMITTEE: EASTERLY FOR CONGRESS, KY/06

TREASURER: Curtis L. Carr

ADDRESS: 210 West State Street, Frankfort, KY 40601

AFFILIATE(S): None

ALLEGATION(S):

CITE:

ATTACHMENT(S)

Excessive individual loans

2 U.S.C. 441a(a)(1)(A)

2

DATE INITIATED: 3/22/79

MANNER IN WHICH REVIEW WAS INITIATED:

☒ Normal Review

☐ Other:

☐ Special Project:

ATTACHMENT

REPORTS: All reports within the dates listed below have received initial basic review. For all reports reviewed, see Attachment 1.

PERIOD COVERED FROM 10/1/78 TO 10/23/78

TOTAL RECEIPTS \$ 31,577 TOTAL EXPENDITURES \$ 27,798

CASH ON HAND \$ 6,964 DEBTS \$ 51,374 (owed by committee)

### HISTORY:

#### RESULTS OF REVIEW:

Excessive individual loans disclosed as candidate loan on  
unrequested 10 Day Pre-General Amended report

RFAI sent 4/19/79 on 10 Day Pre-General Amended report

Inadequate response to 4/19/79 RFAI received 4/25/79

Additional response to 4/19/79 RFAI received 11/7/79

ATTACHMENT

3

4

6

8

#### COMMUNICATIONS WITH CANDIDATE/COMMITTEE:

ATTACHMENT

Telecon 4/24/79

5

Telecon 10/19/79

7

#### REASON(S) FOR REFERRAL:

ATTACHMENT

Committee voluntarily corrected violation, but amounts  
exceed Division threshold for referral

#### OTHER PENDING ACTIONS INITIATED BY RAD:

ATTACHMENT

None

#### OTHER RELEVANT INFORMATION:

ATTACHMENT

#### PREVIOUS OGC/AUDIT REFERRALS FROM RAD:

None





COMMITTEE REPORT

COMMITTEE DOCUMENT

RECEIPTS  
PRIMARY GENERAL

EXPENDITURES  
PRIMARY GENERAL

COVERAGE DATE

DATE

EASTERLY FOR CONGRESS

1979 APRIL 10 QUARTERLY

APRIL 10 QUARTERLY

- AMENDMENT  
TOTAL

0

458

458

458

0

737

737

737

10/6/79 - 3/31/80

10/6/79 - 3/31/80

THE COMMISSION

10/6/79 - 3/31/80

10/6/79 - 3/31/80

10/6/79 - 3/31/80

All reports reviewed

# 10 Day Pre-General Report

**SCHEDULE A**  
**REVISED**  
 January, 1978  
 Federal Election Commission  
 1325 K Street, N.W.  
 Washington, D.C. 20463

## ITEMIZED RECEIPTS

(Contributions, Transfers, Contribution In Kind,  
 Other Income, Loans, Refunds)

Supporting Lines 14a, 15a, 15b, 15c, 16a, 17a, and/or 18a  
 of FEC FORM 3

Page 1 of 1 for  
 Line Number 17

(Use Separate Schedules for  
 each numbered line)

Name of Candidate or Committee in Full

EASTERLY FOR CONGRESS

Full Name, Mailing Address and ZIP Code

Curtis L. Carr  
 154 Ringo Avenue  
 Frankfort, Kentucky 40601

Principal Place of Business

Occupation  
 Engineer

Date (month,  
 day, year)

10-13-78

Amount of each Receipt  
 this Period

7,000.00

Receipt for:

☐ Primary ☒ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year To Date \$ 7,000.00

Full Name, Mailing Address and ZIP Code

Ed Easterly  
 1046 Inca Trail  
 Frankfort, Kentucky 40601

Principal Place of Business

Occupation  
 Retired

Date (month,  
 day, year)

10-13-78

Amount of each Receipt  
 this Period

7,000.00

Receipt for:

☐ Primary ☒ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year To Date \$ 7,000.00

Full Name, Mailing Address and ZIP Code

Principal Place of Business

Occupation

Date (month,  
 day, year)

Amount of each Receipt  
 this Period

Receipt for:

☐ Primary ☐ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year To Date \$

Full Name, Mailing Address and ZIP Code

Principal Place of Business

Occupation

Date (month,  
 day, year)

Amount of each Receipt  
 this Period

Receipt for:

☐ Primary ☐ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year To Date \$

Full Name, Mailing Address and ZIP Code

Principal Place of Business

Occupation

Date (month,  
 day, year)

Amount of each Receipt  
 this Period

Receipt for:

☐ Primary ☐ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year To Date \$

Full Name, Mailing Address and ZIP Code

Principal Place of Business

Occupation

Date (month,  
 day, year)

Amount of each Receipt  
 this Period

Receipt for:

☐ Primary ☐ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year To Date \$

Full Name, Mailing Address and ZIP Code

Principal Place of Business

Occupation

Date (month,  
 day, year)

Amount of each Receipt  
 this Period

Receipt for:

☐ Primary ☐ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year To Date \$

SUBTOTAL of receipts this page (optional)

TOTAL this period (last page this line number only)

\$

\$ 14,000.00

700 111 800 217

## DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13  
of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 2 of 2 for

Law Number 13

(The Separate Schedules for  
each numbered line)

Name of Candidate and Committee in Full				
EASTERLY FOR CONGRESS				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Curtis L. Carr 154 Ringo Avenue Frankfort, Kentucky 40601	10-13-78	7,000.00		7,000.00
<input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$
NATURE OF OBLIGATION (Details of Debt)				
Loan to candidate				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Ed Easterly 1046 Inca Trail Frankfort, Kentucky 40601	10-13-78	7,000.00		7,000.00
<input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$
NATURE OF OBLIGATION (Details of Debt)				
Loan to candidate				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$
NATURE OF OBLIGATION (Details of Debt)				
SUBTOTALS this period this page (optional) . . . . .				
		\$ 14,000.00	\$	\$ 14,000.00
TOTAL this period (last page this line number only) . . . . .				
		\$ 67,574.90	\$ 16,199.97	\$ 51,374.93
Carry outstanding balance only to appropriate line of summary				

10 Do Pre-General Amended 10/31/78  
 (Unrequested)

**ITEMIZED RECEIPTS**

(Contributions, Transfers, Contributions in Kind,  
 Other Income, Loans, Refunds)

Supporting Lines 14a, 14b, 14c, 14d, 14e, and on 14f  
 of FEC FORM 3

Page 1 of 1  
 Line Number 17  
 Use Separate Schedule for  
 each numbered line

Name of candidate or Committee in Full

EASTERLY FOR CONGRESS

Full Name, Mailing Address and ZIP Code

Tom Easterly  
 210 West State Street  
 Frankfort, Kentucky 40601

Principal Place of Business

Lawyer

Date (month, day, year)

15C  
 10-30-78

Amount of each receipt for this period

14,000.00

Receipt for

☐ Primary ☐ General ☐ Other

☒ Check if Contribution is self-employed

Aggregate Year To Date \$ 46,312.00

Full Name, Mailing Address and ZIP Code

Principal Place of Business

Date (month, day, year)

Amount of each receipt for this period

Occupation

Receipt for

☐ Primary ☐ General ☐ Other

☐ Check if Contribution is self-employed

Aggregate Year To Date \$

Full Name, Mailing Address and ZIP Code

Principal Place of Business

Date (month, day, year)

Amount of each receipt for this period

Occupation

Receipt for

☐ Primary ☐ General ☐ Other

☐ Check if Contribution is self-employed

Aggregate Year To Date \$

Full Name, Mailing Address and ZIP Code

Principal Place of Business

Date (month, day, year)

Amount of each receipt for this period

Occupation

Receipt for

☐ Primary ☐ General ☐ Other

☐ Check if Contribution is self-employed

Aggregate Year To Date \$

Full Name, Mailing Address and ZIP Code

Principal Place of Business

Date (month, day, year)

Amount of each receipt for this period

Occupation

Receipt for

☐ Primary ☐ General ☐ Other

☐ Check if Contribution is self-employed

Aggregate Year To Date \$

Full Name, Mailing Address and ZIP Code

Principal Place of Business

Date (month, day, year)

Amount of each receipt for this period

Occupation

Receipt for

☐ Primary ☐ General ☐ Other

☐ Check if Contribution is self-employed

Aggregate Year To Date \$

Full Name, Mailing Address and ZIP Code

Principal Place of Business

Date (month, day, year)

Amount of each receipt for this period

Occupation

Receipt for

☐ Primary ☐ General ☐ Other

☐ Check if Contribution is self-employed

Aggregate Year To Date \$

Full Name, Mailing Address and ZIP Code

Principal Place of Business

Date (month, day, year)

Amount of each receipt for this period

Occupation

SUBTOTAL (See only pay page 14b, 14c, 14d, 14e, and 14f)

\$

TOTAL (See only pay page 14b, 14c, 14d, 14e, and 14f)

\$

9  
2  
0  
3



19 APR 1979

REQUEST FOR ADDITIONAL INFORMATION  
C. THOMAS EASTERLY FOR CONGRESS, KY/06  
10 DAY PRE-GENERAL AMENDED REPORT  
PAGE TWO

On your 10 Day Pre-General report, you disclose a loan from Curtis L. Carr for \$7,000 and a loan from Ed Easterly for \$7,000. However, on this report, you show these loans as being made to the committee by the candidate. Please clarify the original source of these loans. If they originally came from a bank, please provide the name and address of the lending institution, the duration of the loan, interest, interest rate, whether or not secured, the names of any guarantors or endorsers, terms of repayment, and where applicable, collateral and terms of extension.

Forms attached.

7903128759393

MEMORANDUM FOR FILES

RE: TELECON

DATE: 4/24/79

FROM: Mary Jo Mesner

TO: Curtis Carr, Treas.

NAME OF COMMITTEE: Easterly for Congress, KY/06

I called Curtis Carr about the committee's 10G report because on it were disclosed two excessive individual loans -- a \$7,000 loan from Curtis Carr and a \$7,000 loan from Ed Easterly. I asked Mr. Carr about the situation and he said that the loans were taken from a bank by Ed Easterly and himself and then re-loaned to the committee. Shortly thereafter, they realized that they had violated the law and so they changed the loans over to the candidate's name and filed an amended 10G report (unrequested by the Commission) which was received on 10/31/78. On this amendment, these two loans were shown as a \$14,000 loan from the candidate but there was no accompanying explanation for the change. I told Mr. Carr to submit another amendment explaining the circumstances and to give the name and address of the bank from which the loans were originally obtained, also including the terms of the loans.

**SCHEDULE A**  
**REVISED**  
 January, 1978  
 Federal Election Commission  
 1325 K Street, N.W.  
 Washington, D.C. 20463

10 Day Pre-General Amended

4/25/79

**ITEMIZED RECEIPTS**

(Contributions, Transfers, Contributions from Individuals,  
 Other Income, Loans, etc.)

Supporting Lines: 14a, 15a, 15b, 15c, 15d, 15e, 15f, and for 13a  
 of F.E.C. FORM 3

Page 1 of 1  
 Line Number 17

(Use Separate Sheet for each numbered line)

Name of Candidate or Committee in Full <b>EASTERLY FOR CONGRESS</b>			
Full Name, Mailing Address and ZIP Code <b>Tom Easterly            210 West State Street            Frankfort, Kentucky 40601</b>	Principal Place of Business Occupation <b>Lawyer</b>	Date (month, day, year) <b>10-30-78</b>	Amount of each this Period <b>14,000.00</b>
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date <b>\$46,312.00</b>		
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation	Date (month, day, year)	Amount of each this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date <b>...</b>		
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation	Date (month, day, year)	Amount of each this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date <b>...</b>		
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation	Date (month, day, year)	Amount of each this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date <b>...</b>		
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation	Date (month, day, year)	Amount of each this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date <b>...</b>		
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation	Date (month, day, year)	Amount of each this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date <b>...</b>		
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation	Date (month, day, year)	Amount of each this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date <b>...</b>		
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation	Date (month, day, year)	Amount of each this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date <b>...</b>		
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation	Date (month, day, year)	Amount of each this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date <b>...</b>		
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation	Date (month, day, year)	Amount of each this Period
SUBTOTAL of receipts this page (optional):			\$
TOTAL this period (last page this line number only):			\$

79011658030837



CONSCULE C  
REVISED  
January, 1978  
Federal Election Commission  
1325 K Street, N.W.  
Washington, D.C. 20563

Day Pre-General Amended

4/25/79

# DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13  
of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 2 of 2 for  
Line Number 13

(Use Separate Schedules for  
each numbered line)

Name of Candidate and Committee in Full

EASTERLY FOR CONGRESS

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

Tom Easterly  
210 W. State Street  
Frankfort, Kentucky 40601

Date (month,  
day, year)

10-30-78

Amount of Original  
Debt, Contract,  
Agreement or  
Promise

14,000.00

Cumulative  
Payment  
To Date

Outstanding  
Balance at  
Close of  
This Period

46,312.00

☐ Primary ☒ General ☐ Other

NATURE OF OBLIGATION (Details of Debt):

Loan to Committee— loan from Farmer's Bank & Capital Trust Co.  
Main Street  
Frankfort, Kentucky 40601 —

90 day note @8%.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

Date (month,  
day, year)

Amount of Original  
Debt, Contract,  
Agreement or  
Promise

Cumulative  
Payment  
To Date

Outstanding  
Balance at  
Close of  
This Period

☐ Primary ☐ General ☐ Other

NATURE OF OBLIGATION (Details of Debt):

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

Date (month,  
day, year)

Amount of Original  
Debt, Contract,  
Agreement or  
Promise

Cumulative  
Payment  
To Date

Outstanding  
Balance at  
Close of  
This Period

☐ Primary ☐ General ☐ Other

NATURE OF OBLIGATION (Details of Debt):

SUBTOTALS this period this page (splitterd) . . . . .

\$ 14,000.00

\$

\$ 46,312.00

TOTAL this period (list page this line number only) . . . . .

\$ 67,574.90

\$

\$ 16,199.97

\$ 51,374.93

Carry outstanding balance only to appropriate line of summary.

79011650311

MEMORANDUM: FOR FILES

ANALYST Mary Jo Mesner

RE: TELECON

FROM: Mary Jo Mesner to Curtis Carr, Treas.

DATE: 10/19/79

NAME OF COMMITTEE: Easterly for Congress, KY/06

---

I called Mr. Carr to tell him that for clarification of the public record, he needed to submit a letter explaining that a \$14,000 bank loan through the candidate originally consisted of two \$7,000 bank loans, one from Mr. Carr himself and the other from Ed Easterly (candidate's father), and that the loans were changed over to the candidate's name when they realized that they had exceeded the limit for individual loans. I also asked him to give the amount of time which elapsed before the loans were changed over to the candidate's name and to submit a copy of the revised loan agreement if possible. Then I said that he had 15 days to get the amendment in. He said that he understood.

RECEIVED  
FEDERAL ELECTION COMMISSION  
NOV 7 7:43 AM '79

# EASTERLY for U.S. CONGRESS

P. O. BOX 6179  
FRANKFORT, KENTUCKY 40601  
(502) 223-8230

SENATOR TOM EASTERLY  
210 W. STATE ST.  
FRANKFORT, KY 40601

CURTIS CARR, TREASURER  
154 RINGO AVE.  
FRANKFORT, KY 40601

October 29, 1979

6  
3  
0  
8  
7

Federal Election Commission  
1325 K Street N.W.  
Washington, D.C. 20463  
Attn: Mary Jo Mesner

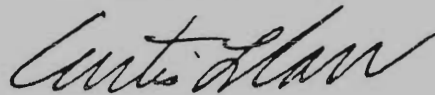
Dear Mary Jo:

In response to your telephone call Friday, October 19, 1979 this is the information you requested to the best of my knowledge.

On the morning of October 13, 1978, Mr. Ed Easterly, father of Candidate for 6th District Congressman, Tom Easterly called and informed me that we needed \$14,000.00 for some advertising spots on TV that day and he couldn't reach Tom until sometime the next week. He asked me if I would countersign a note with him at the Farmers Bank & Capital Trust Company in Frankfort, Ky. which I agreed to do.

Later after realizing we were in error, Tom Easterly went to Farmers Bank & Capital Trust Company and transferred the note to his name.

Sincerely yours,



Curtis L. Carr  
Easterly for Congress, Treasurer

D 611  
RAD 1302-21

REFER TO INSTRUCTIONS ON REVERSE SIDE BEFORE COMPLETING

### REPORTS ANALYSIS REFERRAL SHEET

7 DATE                      ANALYST Mary Jo Mesner  
3 TO: Office of General Counsel TEAM CHIEF Suzanne Wilson  
0 THROUGH: STAFF DIRECTOR JRP COMPLIANCE REVIEW Carroll Bowen CB/PS  
9 FROM: ASSISTANT STAFF DIRECTOR FOR REPORTS ANALYSIS [Signature]

CANDIDATE/COMMITTEE: EASTERLY FOR CONGRESS, KY/06  
TREASURER: Curtis L. Carr  
ADDRESS: 210 West State Street, Frankfort, KY 40601

AFFILIATE(S): None

ALLEGATION(S): CITE: ATTACHMENT(S)  
Excessive individual loans 2 U.S.C. 441a(a)(1)(A) 2

DATE INITIATED: 3/22/79

MANNER IN WHICH REVIEW WAS INITIATED:

- ☒ Normal Review      ☐ Other:  
☐ Special Project:

ATTACHMENT

**REPORTS:** All reports within the dates listed below have received initial basic review. For all reports reviewed, see Attachment 1.

**PERIOD COVERED FROM** 10/1/78 **TO** 10/23/78

**TOTAL RECEIPTS** \$ 31,577 **TOTAL EXPENDITURES** \$ 27,798

**CASH ON HAND** \$ 6,964 **DEBTS** \$ 51,374 (owed by committee)

**HISTORY:**

**RESULTS OF REVIEW:**

*ATTACHMENT*

Excessive individual loans disclosed as candidate loan on  
unrequested 10 Day Pre-General Amended report

3

RFAI sent 4/19/79 on 10 Day Pre-General Amended report

4

Inadequate response to 4/19/79 RFAI received 4/25/79

6

Additional response to 4/19/79 RFAI received 11/7/79

8

**COMMUNICATIONS WITH CANDIDATE/COMMITTEE:**

*ATTACHMENT*

Telecon 4/24/79

5

Telecon 10/19/79

7

**REASON(S) FOR REFERRAL:**

*ATTACHMENT*

Committee voluntarily corrected violation, but amounts  
exceed Division threshold for referral

**OTHER PENDING ACTIONS INITIATED BY RAD:**

*ATTACHMENT*

None

**OTHER RELEVANT INFORMATION:**

*ATTACHMENT*

**PREVIOUS OGC/AUDIT REFERRALS FROM RAD:**

None

Model

1977-1978

1977-1978

EASTERNLY 104

1977 STATION OF OBSERVATION  
STATION OF OBSERVATION

1978 STATION OF OBSERVATION

STATION OF OBSERVATION

STATION OF OBSERVATION

1977 STATION OF OBSERVATION

1,112

1,103

STATION OF OBSERVATION

STATION OF OBSERVATION

STATION OF OBSERVATION

STATION OF OBSERVATION

1978 STATION OF OBSERVATION

10,772

10,772

STATION OF OBSERVATION

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TOTAL

48,934

55,189

48,132

55,638

17,0177

3,00177

27,0177

3,00177

4,00177

1,112

1,103

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DOCUMENT	I. ISSUED		EXPENDITURE		DATE	PAGE	MICROFILM LOCATION
	PRIMARY	GENERAL	PRIMARY	GENERAL			
EASTERN FOR CONGRESS							ID# 000081703
1979 APRIL 10 QUARTERLY		488	789	1 JAN 79 - 3 - 1979		5	79HSE/143/48824
APRIL 10 QUARTERLY		488	789	1 JAN 79 - 31 - 1979		1	79HSE/143/1136
- AMENDMENT							
TOTAL	0	488	10			6	TOTAL PAGES

All reports reviewed

# 10 Day Pre - General Report

## ITEMIZED RECEIPTS

(Contributions, Transfers, Contribution In Kind,  
Other Income, Loans, Refunds)

Supporting Lines 14a, 15a, 15b, 15c, 16a, 17a, and/or 18a  
of FEC FORM 3

Page 1 of 1 for

17

Line Number

(Use Separate Schedules for  
each numbered line)

Name of Candidate or Committee in Full

EASTERLY FOR CONGRESS

Full Name, Mailing Address and ZIP Code Curtis L. Carr 154 Ringo Avenue Frankfort, Kentucky 40601	Principal Place of Business  Occupation Engineer <input type="checkbox"/> Check if Contributor is self-employed Aggregate Year To Date \$ 7,000.00	Date (month, day, year) 10-13-78	Amount of each Receipt this Period 7,000.00
Receipt for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other			
Full Name, Mailing Address and ZIP Code Ed Easterly 1046 Inca Trail Frankfort, Kentucky 40601	Principal Place of Business  Occupation Retired <input type="checkbox"/> Check if Contributor is self-employed Aggregate Year To Date \$ 7,000.00	Date (month, day, year) 10-13-78	Amount of each Receipt this Period 7,000.00
Receipt for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other			
Full Name, Mailing Address and ZIP Code	Principal Place of Business  Occupation	Date (month, day, year)	Amount of each Receipt this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year To Date \$		
Full Name, Mailing Address and ZIP Code	Principal Place of Business  Occupation	Date (month, day, year)	Amount of each Receipt this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year To Date \$		
Full Name, Mailing Address and ZIP Code	Principal Place of Business  Occupation	Date (month, day, year)	Amount of each Receipt this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year To Date \$		
Full Name, Mailing Address and ZIP Code	Principal Place of Business  Occupation	Date (month, day, year)	Amount of each Receipt this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year To Date \$		
Full Name, Mailing Address and ZIP Code	Principal Place of Business  Occupation	Date (month, day, year)	Amount of each Receipt this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year To Date \$		
Full Name, Mailing Address and ZIP Code	Principal Place of Business  Occupation	Date (month, day, year)	Amount of each Receipt this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year To Date \$		
Full Name, Mailing Address and ZIP Code	Principal Place of Business  Occupation	Date (month, day, year)	Amount of each Receipt this Period
SUBTOTAL of receipts in this page (optional)			\$
TOTAL in this period (last page this line number only)			\$ 14,000.00



**SCHEDULE C**

**REVISED**

January, 1978

Federal Election Commission

1325 K Street, N.W.

Washington, D.C. 20463

**10 Day Pre-General Report**

**DEBTS AND OBLIGATIONS**

Supporting Line Numbers 12 and 13  
of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 2 of 2 for

Line Number 13

(Use Separate Schedules for  
each numbered line)

Name of Candidate and Committee in Full

**EASTERLY FOR CONGRESS**

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

Curtis L. Carr  
154 Ringo Avenue  
Frankfort, Kentucky 40601

Date (month,  
day, year)

10-13-78

Amount of Original  
Debt, Contract,  
Agreement or  
Promise

7,000.00

Cumulative  
Payment  
To Date

Outstanding  
Balance at  
Close of  
This Period

7,000.00

Primary ☐ General ☒ Other ☐

NATURE OF OBLIGATION (Details of Debt)

Loan to candidate

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

Ed Easterly  
1046 Inca Trail  
Frankfort, Kentucky 40601

Date (month,  
day, year)

10-13-78

Amount of Original  
Debt, Contract,  
Agreement or  
Promise

7,000.00

Cumulative  
Payment  
To Date

Outstanding  
Balance at  
Close of  
This Period

7,000.00

Primary ☐ General ☒ Other ☐

NATURE OF OBLIGATION (Details of Debt)

Loan to candidate

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

Date (month,  
day, year)

Amount of Original  
Debt, Contract,  
Agreement or  
Promise

Cumulative  
Payment  
To Date

Outstanding  
Balance at  
Close of  
This Period

Primary ☐ General ☐ Other ☐

NATURE OF OBLIGATION (Details of Debt)

SUBTOTALS this period this page (optional) . . . . .

\$ 14,000.00

\$

\$ 14,000.00

TOTAL this period (last page this line number only) . . . . .

\$ 67,574.90

\$

\$ 16,199.97

\$ 51,374.93

Carry outstanding balance only to appropriate line of summary

**SCHEDULE A  
REVISED**

January 1978  
Federal Election Commission  
1325 K Street, N.W.  
Washington, D.C. 20543

10 Day Pre-General Amended 10/31/78  
(as requested)

**ITEMIZED RECEIPTS**

(Contributions, Transfers, Contributions in Kind,  
Other Income, Loans, Refunds)

Supporting Lines 14a, 14b, 14c, 14d, 14e, 14f, and on 14g  
**OFFICE FORM 3**

Page 1 of 1

Line Number 17

Use Separate Schedule for  
each numbered line

Name of Candidate or Committee in Full

EASTERLY FOR CONGRESS

Full Name, Mailing Address and ZIP Code

Tom Easterly  
210 West State Street  
Frankfort, Kentucky 40601

Principal Place of Business

Lawyer

Date (month,  
day, year)

15C

10-30-78

Amount of each  
this Period

14,000.00

Receipt for

☐ Primary ☐ General ☐ Other

☒ Check if Contributor is self-employed  
Aggregate Year To Date \$46,312.00

Full Name, Mailing Address and ZIP Code

Principal Place of Business

Occupation

Date (month,  
day, year)

Amount of each  
this Period

Receipt for

☐ Primary ☐ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year To Date \$

Full Name, Mailing Address and ZIP Code

Principal Place of Business

Occupation

Date (month,  
day, year)

Amount of each  
this Period

Receipt for

☐ Primary ☐ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year To Date \$

Full Name, Mailing Address and ZIP Code

Principal Place of Business

Occupation

Date (month,  
day, year)

Amount of each  
this Period

Receipt for

☐ Primary ☐ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year To Date \$

Full Name, Mailing Address and ZIP Code

Principal Place of Business

Occupation

Date (month,  
day, year)

Amount of each  
this Period

Receipt for

☐ Primary ☐ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year To Date \$

Full Name, Mailing Address and ZIP Code

Principal Place of Business

Occupation

Date (month,  
day, year)

Amount of each  
this Period

Receipt for

☐ Primary ☐ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year To Date \$

Full Name, Mailing Address and ZIP Code

Principal Place of Business

Occupation

Date (month,  
day, year)

Amount of each  
this Period

Receipt for

☐ Primary ☐ General ☐ Other

☐ Check if Contributor is self-employed

Aggregate Year To Date \$

SUBTOTAL (See page this line number only)

\$

TOTAL (See page this line number only)

\$

**SCHEDULE C**

REVISED

January, 1978

Federal Election Commission

1325 K Street, N.W.

Washington, D.C. 20541

**DEBTS AND OBLIGATIONS**

Supporting Line Numbers 12 and 13

OFFICIAL FORM 3

Page 2 of 2 for

Line Number 13

Other Separate Schedules for  
each additional line

(Indicate Primary or General Election for each Entry)

Name of Candidate and Committee in Full

**PASTORAL FOR OFFICIALS**

For Name, Mailing Address and ZIP Code of Debtor or Creditor

Tom Hestory  
210 West State Street  
Frankfort, Kentucky 40601

Date (month, day, year)

10-30-78

Amount of Original Debt, Contract, Agreement or Promise

14,000.00

Cumulative Payment To Date

Outstanding Balance at Close of This Period

\$46,312.00

Primary

General

Other

\$

\$

\$

NATURE OF OBLIGATION (Details of Debt)

Loan to Committee

For Name, Mailing Address and ZIP Code of Debtor or Creditor

Date (month, day, year)

Amount of Original Debt, Contract, Agreement or Promise

Cumulative Payment To Date

Outstanding Balance at Close of This Period

Primary

General

Other

\$

\$

\$

NATURE OF OBLIGATION (Details of Debt)

For Name, Mailing Address and ZIP Code of Debtor or Creditor

Date (month, day, year)

Amount of Original Debt, Contract, Agreement or Promise

Cumulative Payment To Date

Outstanding Balance at Close of This Period

Primary

General

Other

\$

\$

\$

NATURE OF OBLIGATION (Details of Debt)

SUBTOTALS (this period this page optional)

\$ 14,000.00

\$

\$ 46,312.00

TOTAL (this period last page this line number only)

\$ 67,574.00

\$

\$ 16,100.00

\$ 51,274.00

Can be deleted if not applicable only to aggregate and not a summary

19 APR 1979

REQUEST FOR ADDITIONAL INFORMATION  
C. THOMAS EASTERLY FOR CONGRESS, KY/06  
10 DAY PRE-GENERAL AMENDED REPORT  
PAGE TWO

On your 10 Day Pre-General report, you disclose a loan from Curtis L. Carr for \$7,000 and a loan from Ed Easterly for \$7,000. However, on this report, you show these loans as being made to the committee by the candidate. Please clarify the original source of these loans. If they originally came from a bank, please provide the name and address of the lending institution, the duration of the loan, interest, interest rate, whether or not secured, the names of any guarantors or endorsers, terms of repayment, and where applicable, collateral and terms of extension.

Forms attached.

MEMORANDUM FOR FILES

RE: TELECON

DATE: 4/24/79

FROM: Mary Jo Mesner

TO: Curtis Carr, Treas.

NAME OF COMMITTEE: Easterly for Congress, KY/06

---

I called Curtis Carr about the committee's 10G report because on it were disclosed two excessive individual loans -- a \$7,000 loan from Curtis Carr and a \$7,000 loan from Ed Easterly. I asked Mr. Carr about the situation and he said that the loans were taken from a bank by Ed Easterly and himself and then re-loaned to the committee. Shortly thereafter, they realized that they had violated the law and so they changed the loans over to the candidate's name and filed an amended 10G report (unrequested by the Commission) which was received on 10/31/78. On this amendment, these two loans were shown as a \$14,000 loan from the candidate but there was no accompanying explanation for the change. I told Mr. Carr to submit another amendment explaining the circumstances and to give the name and address of the bank from which the loans were originally obtained, also including the terms of the loans.

4125/79

## ITEMIZED BENEFITS

(Contributions, Transfers, Contributions In Kind,  
Other Income (Losses), etc.)

Supporting Lines: 14a, 15a, 16b, 17c, 18a, 17a; and/or 18a  
not RECD FORM 3

Nov 21, 1922

LONG 4 OCT 1951 1754

- (100s: Separate & numbered each numbered one)

Name of Candidate or Committee in Full			
EASTERLY FOR CONGRESS			
Full Name, Mailing Address and ZIP Code Tom Easterly 210 West State Street Frankfort, Kentucky 40601	Principal Place of Business  Occupation Lawyer	Date (month, day, year) 10-30-78	Amount of each this Period 14,000.00
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date \$46,312.00		
Full Name, Mailing Address and ZIP Code	Principal Place of Business  Occupation	Date (month, day, year)	Amount of each this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date \$		
Full Name, Mailing Address and ZIP Code	Principal Place of Business  Occupation	Date (month, day, year)	Amount of each this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date \$		
Full Name, Mailing Address and ZIP Code	Principal Place of Business  Occupation	Date (month, day, year)	Amount of each this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date \$		
Full Name, Mailing Address and ZIP Code	Principal Place of Business  Occupation	Date (month, day, year)	Amount of each this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date \$		
Full Name, Mailing Address and ZIP Code	Principal Place of Business  Occupation	Date (month, day, year)	Amount of each this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date \$		
Full Name, Mailing Address and ZIP Code	Principal Place of Business  Occupation	Date (month, day, year)	Amount of each this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date \$		
Full Name, Mailing Address and ZIP Code	Principal Place of Business  Occupation	Date (month, day, year)	Amount of each this Period
Receipt for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-To-Date \$		
Full Name, Mailing Address and ZIP Code	Principal Place of Business  Occupation	Date (month, day, year)	Amount of each this Period
SUBTOTAL of receipts this page (optional).....			\$
TOTAL for this page (this line number only).....			\$

79011650387

CONSUMER & C  
REVISED  
January, 1978  
Federal Election Commission  
1325 K Street, N.W.  
Washington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13  
of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 2 of 2 for  
Line Number 13

(Use Separate Schedules for  
each numbered line)

Name of Candidate and Committee in Full				
EASTERLY FOR CONGRESS				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Tom Easterly 210 W. State Street Frankfort, Kentucky 40601	10-30-78	14,000.00		46,312.00
<input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$
NATURE OF OBLIGATION (Details of Debt):				
Loan to Committee— loan from Farmer's Bank & Capital Trust Co. Main Street Frankfort, Kentucky 40601 — 90 day note @8%.				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
		\$	\$	\$
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other				
NATURE OF OBLIGATION (Details of Debt):				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
		\$	\$	\$
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other				
NATURE OF OBLIGATION (Details of Debt):				
SUBTOTALS this period this page (upward) . . . . .		\$ 14,000.00	\$	\$ 46,312.00
TOTAL this period (last page this line number only) . . . . .		\$ 67,574.90	\$ 16,199.97	\$ 51,374.93
Carry outstanding balance only to appropriate line of summary.				

79011650394

MEMORANDUM: FOR FILES

ANALYSIS Mary Jo Mesner

RE: TELECON

FROM: Mary Jo Mesner to Curtis Carr, Treas.

DATE: 10/19/79

NAME OF COMMITTEE: Easterly for Congress, KY/06

---

I called Mr. Carr to tell him that for clarification of the public record, he needed to submit a letter explaining that a \$14,000 bank loan through the candidate originally consisted of two \$7,000 bank loans, one from Mr. Carr himself and the other from Ed Easterly (candidate's father), and that the loans were changed over to the candidate's name when they realized that they had exceeded the limit for individual loans. I also asked him to give the amount of time which elapsed before the loans were changed over to the candidate's name and to submit a copy of the revised loan agreement if possible. Then I said that he had 15 days to get the amendment in. He said that he understood.



# EASTERLY for U.S. CONGRESS

P. O. BOX 617  
FRANKFORT, KENTUCKY 40601  
(502) 223-8230

SENATOR TOM EASTERLY  
210 W. STATE ST.  
FRANKFORT, KY 40601

CURTIS CARR, TREASURER  
154 RINGO AVE.  
FRANKFORT, KY 40601

October 29, 1979

Federal Election Commission  
1325 K Street N.W.  
Washington, D.C. 20463  
Attn: Mary Jo Mesner

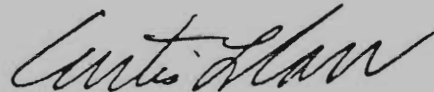
Dear Mary Jo:

In response to your telephone call Friday, October 19, 1979 this is the information you requested to the best of my knowledge.

On the morning of October 13, 1978, Mr. Ed Easterly, father of Candidate for 6th District Congressman, Tom Easterly called and informed me that we needed \$14,000.00 for some advertising spots on TV that day and he couldn't reach Tom until sometime the next week. He asked me if I would countersign a note with him at the Farmers Bank & Capital Trust Company in Frankfort, Ky. which I agreed to do.

Later after realizing we were in error, Tom Easterly went to Farmers Bank & Capital Trust Company and transferred the note to his name.

Sincerely yours,



Curtis L. Carr  
Easterly for Congress, Treasurer



FEDERAL ELECTION COMMISSION

1025 K STREET NW  
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF OUR # 1152

Date Filmed 2/26/80 Camera No. --- 2

Cameraman A.P.C.