

RAD REFERRAL #: A17-27

DATE: July 13, 2017

ANALYST: Nicholas Tarone

REPORTS ANALYSIS AUDIT REFERRAL

I. COMMITTEE: Urban Victory Fund (UVF)
C00578187
Drue Kinchen, Treasurer
13001 Justice Ave
Baton Rouge, LA 70816

TYPE OF COMMITTEE: Nonconnected (Hybrid PAC - Committee w/ Non-Contribution Account)

II. REGISTRATION DATE: May 18, 2015

III. MULTICANDIDATE STATUS DATE: Non-Qualified

IV. TOTAL POINTS ASSESSED:

V. SUMMARY OF AUDIT POINTS BY STANDARD:

Standard	#	Description
Standard	4	Failure to File Reports and Late Filing
Standard	5	Excessive, Prohibited and Other Impermissible Contributions and Transfers
Standard	7	Failure to Provide Supporting Schedules
		TOTAL

VI. NOTICES SENT TO AND RESPONSES RECEIVED FROM THE COMMITTEE:

See attached O-Index for the Committee.

VII. PROBLEMS FOR WHICH AUDIT POINTS WERE ASSESSED:

A. Standard 4 - Failure to File Reports and Late Filing:

Report Type	Due date	Date Filed
2016 Q3	10/15/16	11/2/16
2016 30G	12/8/16	1/14/17

Standard 4 Total:

B. Standard 5 – Excessive, Prohibited and Other Impermissible Contributions/Transfers:

Report Type	Violation (Description)
2016 Q3	Schedule A supporting Line 11(a)(i) disclosed the receipt of excessive contributions totaling \$9,516.00, or 41.2% of the Committee's total contributions from individuals, and did not include an indication that they were deposited into the non-contribution account. These contributions were received from three (3) individuals.
2016 12G	Schedule A supporting Line 11(a)(i) disclosed the receipt of an excessive contribution totaling \$25,000.00, or 99.9% of the Committee's total contributions from individuals, and did not include an indication that it was deposited into the non-contribution account. This contribution was received from one (1) individual.
2016 30G	Schedule A supporting Line 11(a)(i) disclosed the receipt of an excessive contribution totaling \$90,000.00, and did not include an indication that it was deposited into the non-contribution account. This contribution was received from one (1) individual.

Standard 5 Total:

C. Standard 7 - Failure to Provide Supporting Schedules:

Report Type		Violation (Description)
2016	30G	The Committee failed to provide a Schedule E for one (1) independent expenditure totaling \$30,000.00 disclosed on one (1) 48-Hour Report.

Standard 7 Total:

VIII. MATTERS REQUIRING REFERRAL TO OGC/ADRO:

A. OGC –

Excessive, Prohibited and Other Impermissible Contributions and Transfers (Standard 5)

The Committee can be referred to the Office of General Counsel (OGC) for the receipt of apparent excessive contributions totaling \$124,516.00 during the 2016 calendar year.

B. ADRO –

Failure to Provide Supporting Schedules (Standard 7)

The Committee can be referred to the Alternative Dispute Resolution Office (ADRO) on the 2016 30 Day Post-General Report for failing to disclose \$30,000 in independent expenditures on Schedule E that had previously been disclosed on a 48-Hour Report.

IX. OTHER COMMISSION ACTIONS DURING THE 2013-2014 AND 2015-2016 ELECTION CYCLES:

None.

X. OTHER RELEVANT INFORMATION:

None.

Cmte. ID: C00578187													Cmte. Name: URBAN VICTORY FUND (UVF)																																						
Treasurer Name: DRUE KINCHEN													Address: 13001 JUSTICE AVE, BATON ROUGE, LA 70816																																						
Type: V (NON-QUALIFIED NON-PARTY WITH NON-CONTRIBUTION ACCOUNT)																										Cmte. Designation: U (UNAUTHORIZED)													Filing Frequency: QUARTERLY FILING												
/I	Recpt Dt	Pgs	Begin Img#	Beg Cvg Dt	End Cvg Dt	Ltr Mail Dt	Begin Cash	Recpts	Disb	End Cash	Debts	Loans																																							

No records were found for this cycle.

O-Index (2015-2016)												
Cmte. ID: C00578187 Cmte. Name: URBAN VICTORY FUND (UVF)												
Treasurer Name: DRUE KINCHEN Address: 13001 JUSTICE AVE, BATON ROUGE, LA 70816												
Type: V (NON-QUALIFIED NON-PARTY WITH NON-CONTRIBUTION ACCOUNT) Cmte. Designation: U (UNAUTHORIZED) Filing Frequency: QUARTERLY FILING												
/I	Recpt Dt	Pgs	Begin Img#	Beg Cvg Dt	End Cvg Dt	Ltr Mail Dt	Begin Cash	Recpts	Disb	End Cash	Debts	Loans
N	4/5/2017	4	201704050300083625	7/1/2016	9/30/2016	4/5/2017	-	-	-	-	-	-
	10/31/2016	2	201610310300066026	7/1/2016	9/30/2016	10/31/2016	-	-	-	-	-	-
N	4/11/2017	4	201704110300084163	10/1/2016	10/19/2016	4/11/2017	-	-	-	-	-	-
N	4/11/2017	5	201704110300084167	10/20/2016	11/28/2016	4/11/2017	-	-	-	-	-	-
	12/28/2016	2	201612280300072604	10/1/2016	11/28/2016	12/28/2016	-	-	-	-	-	-
N	1/31/2016	12	201601319004967082	7/1/2015	12/31/2015		\$0	\$8,101	\$4,488	\$3,613	-	-
N	4/27/2016	8	201604279015361175	1/1/2016	3/31/2016		\$3,613	\$2,050	\$4,847	\$815	-	-
N	7/31/2016	10	201607319022161911	4/1/2016	6/30/2016		\$815	\$8,200	\$7,503	\$1,511	-	-
N	11/2/2016	18	201611029037018249	7/1/2016	9/30/2016		\$1,511	\$26,124	\$23,874	\$3,761	-	-
A	7/6/2017	18	201707069066529936	7/1/2016	9/30/2016		\$1,511	\$26,124	\$23,874	\$3,761	-	-
N	1/14/2017	8	201701149041390415	10/1/2016	10/19/2016		\$3,761	\$25,008	\$3,725	\$25,044	-	-
A	7/6/2017	8	201707069066529954	10/1/2016	10/19/2016		\$3,761	\$25,008	\$3,725	\$25,044	-	-
N	1/14/2017	13	201701149041390423	10/20/2016	11/28/2016		\$25,044	\$90,000	\$91,012	\$24,031	-	-
N	1/14/2017	7	201701149041390436	11/29/2016	12/31/2016		\$24,031	\$0	\$22,125	\$1,906	-	-
N	5/18/2015	7	15031424338				-	-	-	-	-	-
	11/4/2016	1	201611049037123948				-	-	-	-	-	-
	11/6/2016	1	201611069037127511				-	-	-	-	-	-
	12/4/2016	3	201612049037607834				-	-	-	-	-	-
	11/4/2016	1	201611049037115107				-	-	-	-	-	-
	12/6/2016	1	201612069037635783				-	-	-	-	-	-
								\$159,485	\$157,579			

O-Index (2013-2014)												
Cmte. ID: C00578187 Cmte. Name: URBAN VICTORY FUND (UVF)												
Treasurer Name: DRUE KINCHEN Address: 13001 JUSTICE AVE, BATON ROUGE, LA 70816												
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No records were found for this cycle.

**Response Codes, Due Dates, and Receipt Dates for
Urban Victory Fund (UVF)
(C00578187)**

Standard 4

Report	Response Code	Description	Due Date	Response Date
2016 Q3	N/A	Late Filed Report (RQ-7)	10/15/16	N/A
2016 30G	N/A	Late Filed Report (RQ-7)	12/8/16	N/A

Standard 5

Report	Response Code	Description	Due Date	Response Date
2016 Q3	NR	Carey Committee Does Not Disclose "Non-Contribution Account" for Receipt-Apparent Prohibited AND Excessive Receipt (U5-65)	5/10/17	N/A
2016 12G	NR	Carey Committee Does Not Disclose "Non-Contribution Account" for Receipt-Apparent Prohibited AND Excessive Receipt (U5-65)	5/16/17	N/A
2016 30G	NR	Carey Committee Does Not Disclose "Non-Contribution Account" for Receipt-Apparent Prohibited AND Excessive Receipt (U5-65)	5/16/17	N/A

Standard 7

Report	Response Code	Description	Due Date	Response Date
2016 30G	NR	Independent Expenditure(s) on Notice Not on Schedule E (U7-61)	5/16/17	N/A

Urban Victory Fund (UVF)
(C00578187)

1. List of Affiliated Committees:

None.

2. List of Pseudonyms:

None.

3. Coordinated Expenditure Report:

None.

4. List of 24/48-Hour Reports Filed:

Type	Date Filed	Image Number
48-Hour Report	11/4/16	201611049037115107
48-Hour Report	11/4/16	201611049037123948
24-Hour Report	11/6/16	201611069037127511
24-Hour Report	12/4/16	201612049037607834
24-Hour Report	12/6/16	201612069037635783