



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

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**MEMORANDUM**

February 13, 2008

**SENSITIVE**

TO: The Commission

THROUGH: Patrina M. Clark  
Staff Director

FROM: John D. Gibson  
Chief Compliance Officer

Deborah Ruth Kant  
Director, ADR Office

BY: Lynn M. Fraser  
Assistant Director, ADR Office

SUBJECT: ADR 434 Ned Lamont for Senate and John Hartwell, Treasurer  
Recommendation to Approve Settlement Agreement

RESOLUTION TERMS: Work with RAD to terminate the Committee and pay a civil  
penalty of \$1,000 (amount in dispute \$71,500)

Attached for your review is a signed negotiated ADR Settlement Agreement pertaining to  
ADR 434 (RAD 07L-53). This case was received on November 26, 2007, and was  
assigned to the ADR Office on December 5, 2007.

**SUMMARY:** The Reports Analysis Division (RAD) referred Ned Lamont for  
Senate and John Hartwell, Treasurer (Respondents or the Committee) for failing to  
disclose all debt on their 2006 Year End Report. Respondents filed their original  
2006 Year End Report on January 31, 2007 that disclosed no debts or obligations  
owed by the Committee. The Committee filed three amended 2006 Year End  
Reports with varying amounts of debt, and the third one disclosed additional debt of  
\$71,500. In response to a Commission inquiry, Respondents stated that the failure  
to disclose three (3) debts occurred because of a misunderstanding of the FECA at  
the time the original 2006 Year End Report was filed. The Committee was not sure  
as to "the veracity or accuracy of these debts. Therefore, the [C]ommittee did not  
believe at the time that it was required to include the debts in the report."

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**RECOMMENDATIONS:**

1. Approve the attached settlement agreement of Ned Lamont for Senate and John Hartwell, Treasurer.
2. Approve the appropriate letters.
3. Close the file on this matter.

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