

REPORTS ANALYSIS REFERRAL
TO
ALTERNATIVE DISPUTE RESOLUTION OFFICE

DATE: November 26, 2007

ANALYST: Robin Kelly

I. COMMITTEE: Ned Lamont for Senate
C00420117
John Hartwell, Treasurer
P.O. Box 422
Greens Farms, CT 06838

II. RELEVANT STATUTE: 2 U.S.C. §434(b)(8)
11 CFR §104.3(d)

III. BACKGROUND:

Failure to Provide Supporting Schedules (Failure to Disclose All Financial Activity)

Ned Lamont for Senate ("the Committee") amended its 2006 Year End Report, covering the period of November 28, 2006 to December 31, 2006, to disclose debts totaling \$71,500.00 which were not included in the original 2006 Year End Report (Attachment 2).

On January 31, 2007, the Committee filed its original 2006 Year End Report disclosing no debts owed by the Committee (Image 27020052213).

On June 1, 2007, the Committee filed an Amended 2006 Year End Report disclosing \$71,500.00 in debts owed by the Committee on Schedule D (Image 27020190525). On July 15, 2007, the Committee again amended its 2006 Year End Report, disclosing \$41,476.00¹ in debts owed by the Committee (Image 27020270282). The third Amended 2006 Year End Report was filed on August 6, 2007. The report once again disclosed \$71,500.00 in debts owed by the Committee on Schedule D (Image 27020290519).

¹ The Committee clarified in the Amended Year End Report, received on September 27, 2007 (Image 27020303388) that there had been a data entry error, and therefore the amendment of 7/15/07 did not accurately reflect the outstanding debts.

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On August 10, 2007, Ms. Susan Yates, a Committee representative, called the Reports Analysis Division (RAD) Analyst and asked, among other things, if the Commission had received the amendments filed by the Committee. The Analyst told Ms. Yates that they had been filed, but could not be viewed on the public record yet. The Analyst pointed out that it appeared that the Committee had a substantial increase in debts, which could be referable (Attachment 3).

On August 24, 2007, Ms. Yates called again regarding the increase in debts, among other issues. The Analyst advised her that a letter would soon be sent to the Committee regarding the increase, and that the Committee could submit a written explanation. Ms. Yates stated she would wait for the letter to respond (Attachment 3).

On August 28, 2007, a Request for Additional Information ("RFAI") was sent to the Committee referencing the 2006 Amended Year End Report, received on August 6, 2007. The RFAI asked for clarification regarding the substantial increase in debts that were not disclosed in the original filing on January 31, 2007 (Image 27039514066).

On September 27, 2007, Ms. Yates called to inform the Analyst that the Committee would be submitting a response. She inquired as to how to mail the response in order for it to be considered timely (Attachment 3). On the same day, the Committee submitted the response via certified mail. In the response, the Committee agreed with the language of the RFAI that noted a substantial increase in debts that were not disclosed on the original 2006 Year End Report. The Committee asked that the Commission consider the Amended Year End Report dated June 1, 2007, which disclosed the omitted debts. The Committee stated that "failure to disclose these three debts was due to fact that at the time the Year End Report was originally filed the [C]ommittee was not sure as to the veracity or accuracy of these debts. Therefore, the [C]ommittee did not believe at the time that it was required to include the debts in the report." The Committee added that "when it was determined that [these debts] were legitimate debts of the Committee, they were disclosed on the original April 15, 2007 Quarterly report, filed 4/14/07." The Committee's response also noted a data entry error regarding the debt owed to Four Points by Sheraton, clarifying that the correct amount owed was \$33,500.00, as reported on the Amended 2007 April Quarterly Report filed July 15, 2007 (Image 27020303380).

On October 15, 2007, the Committee filed another Amended 2006 Year End Report. The amended report disclosed \$71,500.00 in debts owed by the Committee on Schedule D (Image 27020190525).

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LAHONT, EDWARD M

ID #S6CT05066

NED LAHONT FOR SENATE
JOHN HARTWELL
FILING FREQUENCY: QUARTERLY

PO BOX 422

GREENS FARMS
SENATE CT 06838

ID #C00420117

FORM TYPE	RPT TYPE	AI	PGI	REC DATE	PGS	BEG IMAGE	COVERAGE DATES	BEG CASH	RECEIPTS	DISBURSE	END CASH	DEBTS BY
1		A		1/08/2007	7	27020010541						
1		A		7/27/2007	7	27020284045						
MS		X		9/27/2007	5	27020303385						
RQ	Q1	2		7/05/2007	3	27039462014	1/01/2007 3/31/2007					
RQ	Q2	2		8/28/2007	3	27039514069	4/01/2007 6/30/2007					
3	Q1	N		4/14/2007	35	27020151713	1/01/2007 3/31/2007	26486	45963	68091	4359	41476
3	Q1	A		7/15/2007	39	27020270818	1/01/2007 3/31/2007	23486	45963	68091	1359	41476
3	Q1	A		8/06/2007	9	27020290528	1/01/2007 3/31/2007	23486	45963	68091	1359	41476
3	Q1	A		10/15/2007	13	27020380078	1/01/2007 3/31/2007	23486	45963	68091	1359	41476
3	Q2	N		7/15/2007	21	27020270600	4/01/2007 6/30/2007	1359	58126	59301	183	-
3	Q2	A		8/06/2007	7	27020290537	4/01/2007 6/30/2007	1359	58126	59301	183	-
3	Q2	A		10/15/2007	9	27020380069	4/01/2007 6/30/2007	1359	58126	59301	183	-
3	TER	N		10/15/2007	20	27020380049	7/01/2007 10/08/2007	183	10647	10831	0	0
TOTAL									114736	138223		

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LAHONT, EDWARD M

ID #S6CT05066

NED LAHONT FOR SENATE
JOHN HARTWELL
FILING FREQUENCY: QUARTERLY

300 RESEARCH PARKWAY SUITE 102 MERIDEN

SENATE
CT 06450

ID #C00420117

FORM TYPE RPT TYPE AI PGI REC DATE PGS BEG IMAGE COVERAGE DATES BEG CASH RECEIPTS DISBURSE END CASH DEBTS BY

1		N	2/10/2006	8	26020142086							
1		A	10/12/2006	7	26020852640							
MS		X	4/13/2006	3	26039100858							
MS		4	5/11/2006	8	26020322021							
MS		X	5/11/2006	2	26039122729							
MS		4	5/16/2006	5	26020322723							
MS		4	5/17/2006	4	26020323062							
MS		4	5/18/2006	4	26020330007							
MS		X	5/20/2006	4	26039083173							
MS		X	5/26/2006	6	26020340361							
MS		X	6/16/2006	5	26020383422							
MS		X	7/08/2006	3	26039110434							
MS		X	7/13/2006	5	26020400671							
MS		X	7/15/2006	5	26020550251							
MS		4	7/21/2006	5	26020543401							
MS		4	7/21/2006	4	26020543411							
MS		X	7/22/2006	2	26039150927							
MS		X	7/22/2006	5	26020590540							
MS		4	7/24/2006	4	26020552721							
MS		4	7/24/2006	4	26020552504							
MS		X	7/24/2006	5	26020572297							
MS		4	7/25/2006	5	26020561920							
MS		4	7/25/2006	4	26020561907							
MS		4	7/25/2006	5	26020561930							
MS		4	7/25/2006	4	26020561935							
MS		4	7/26/2006	4	26020572098							
MS		4	7/26/2006	4	26020572013							
MS		T	7/26/2006	3	26020572078							
MS		4	7/27/2006	4	26020581042							
MS		4	7/27/2006	4	26020581055							
MS		X	7/28/2006	3	26039153966							
MS		4	7/28/2006	4	26020581447							
MS		X	7/28/2006	5	26020592131							
MS		4	7/31/2006	4	26020590292							
MS		4	7/31/2006	4	26020590288							
MS		4	7/31/2006	5	26020583449							

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LANONT, EDWARD M

ID #S6CT05066

NED LANONT FOR SENATE
JOHN HARTWELL
FILING FREQUENCY: QUARTERLY

300 RESEARCH PARKWAY SUITE 102 MERIDEN

SENATE
CT 06450

ID #C00420117

FORM TYPE	RPT TYPE	AI	PGI	REC DATE	PGS	BEG IMAGE	COVERAGE DATES	BEG CASH	RECEIPTS	DISBURSE	END CASH	DEBTS BY
MS			4	8/01/2006	4	26020590728						
MS			4	8/02/2006	5	26020591212						
MS			X	8/03/2006	2	26039161352						
MS			4	8/03/2006	7	26020591302						
MS			X	8/03/2006	5	26020592148						
MS			4	8/07/2006	4	26020591772						
MS			4	8/07/2006	6	26020591766						
MS			4	8/07/2006	4	26020591762						
MS			X	9/12/2006	3	26039182110						
MS			X	9/12/2006	5	26020672492						
MS			X	9/25/2006	3	26039191364						
MS			X	9/26/2006	5	26020691885						
MS			X	10/02/2006	3	26039192991						
MS			X	10/04/2006	5	26020700032						
MS			X	10/10/2006	3	26039201074						
MS			X	10/11/2006	5	26020772764						
MS			X	10/16/2006	3	26039221664						
MS			X	10/16/2006	5	26020880093						
MS			X	10/21/2006	3	26039241440						
MS			4	10/22/2006	7	26020883239						
MS			4	10/23/2006	5	26020883391						
MS			4	10/23/2006	4	26020890398						
MS			4	10/24/2006	4	26020891400						
MS			4	10/24/2006	6	26020891077						
MS			4	10/26/2006	8	26020930493						
MS			X	10/27/2006	3	26039254740						
MS			X	10/27/2006	5	26020951271						
MS			4	10/29/2006	4	26020952851						
MS			4	10/30/2006	6	26020970590						
MS			4	10/31/2006	4	26020970923						
MS			X	11/01/2006	4	26039262834						
MS			X	11/01/2006	5	26020971157						
MS			4	11/01/2006	4	26020971301						
MS			X	11/01/2006	5	26020980674						
MS			4	11/02/2006	4	26020973007						
MS			4	11/03/2006	5	26020973328						
MS			4	11/03/2006	4	26020973347						
MS			X	11/04/2006	3	26039264442						

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LAMONT, EDWARD M

ID #S8CT05066

NED LAMONT FOR SENATE
JOHN HARTWELL
FILING FREQUENCY: QUARTERLY

300 RESEARCH PARKWAY SUITE 102 MERIDEN

SENATE
CT 06450

ID #C00420117

FORM TYPE	RPT TYPE	AI	PGI	REC DATE	PGS	BEG IMAGE	COVERAGE DATES	BEG CASH	RECEIPTS	DISBURSE	END CASH	DEBTS BY
MS		X		11/04/2006	5	26020991083						
MS		X		11/07/2006	3	26039270818						
MS		X		11/14/2006	5	26020992275						
RQ	Q1	2		7/20/2006	3	26039144205	1/01/2006 3/31/2006					
RQ	12C	2		7/05/2006	4	26039104606	4/01/2006 4/30/2006					
RQ	12C	2		7/20/2006	3	26039144202	4/01/2006 4/30/2006					
RQ	12C	7		5/09/2006	2	26039073129	4/01/2006 4/30/2006					
RQ	Q2	2		9/12/2006	5	26039181789	5/01/2006 6/30/2006					
RQ	12P	2		9/12/2006	3	26039181794	7/01/2006 7/19/2006					
RQ	Q3	2		1/18/2007	8	27039342565	7/20/2006 9/30/2006					
RQ	12G	2		1/18/2007	3	27039342562	10/01/2006 10/18/2006					
RQ	30G	2		1/18/2007	4	27039342558	10/19/2006 11/27/2006					
RQ	YE	2		5/01/2007	4	27039433554	11/28/2006 12/31/2006					
RQ	YE	2		8/28/2007	3	27039514066	11/28/2006 12/31/2006					
3	Q1	N		4/15/2006	234	26020232075	1/01/2006 3/31/2006	0	710421	339695	370726	198268
3	Q1	A		5/15/2006	131	26020323405	1/01/2006 3/31/2006	0	714436	346295	368141	178268
3	Q1	A		8/21/2006	15	26020610908	1/01/2006 3/31/2006	-	-	-	-	-
3	Q1	A		10/13/2006	146	26020860001	1/01/2006 3/31/2006	0	713644	341980	371663	178268
3	Q1	A		2/20/2007	1	27039400054	1/01/2006 3/31/2006	-	-	-	-	-
3	Q1	A		7/15/2007	138	27020270378	1/01/2006 3/31/2006	0	715068	341974	373093	178268
3	Q1	A		8/08/2007	8	27020290467	1/01/2006 3/31/2006	0	715068	341974	373093	178268
3	12C	N		5/15/2006	339	26020323066	4/01/2006 4/30/2006	368141	62444	250984	179601	100157
3	12C	A		7/15/2006	75	26020531232	4/01/2006 4/30/2006	368141	112252	258175	222218	178268
3	12C	A		8/03/2006	9	26020592115	4/01/2006 4/30/2006	-	-	-	-	-
3	12C	A		8/07/2006	7	26020591983	4/01/2006 4/30/2006	-	-	-	-	-
3	12C	A		8/09/2006	4	26020592410	4/01/2006 4/30/2006	-	-	-	-	-

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LAMONT, EDWARD M

ID #S6CT05066

NED LAMONT FOR SENATE
JOHN HARTWELL
FILING FREQUENCY: QUARTERLY

300 RESEARCH PARKWAY SUITE 102 MERIDEN

SENATE
CT 06450

ID #C00420117

FORM	TYPE	RPT	TYPE	AI	PGI	REC	DATE	PGS	BEG	IMAGE	COVERAGE	DATES	BEG	CASH	RECEIPTS	DISBURSE	END	CASH	DEBTS	BY
3		12C		A		8/21/2006		18	26020610923		4/01/2006	4/30/2006	-		112252	-	-	-	-	
3		12C		A		10/13/2006		87	26020860147		4/01/2006	4/30/2006	371663		110742	261065	221341		100157	
3		12C		A		7/15/2007		84	27020270516		4/01/2006	4/30/2006	373093		110742	261065	222770		100157	
3		12C		A		8/06/2007		7	27020290475		4/01/2006	4/30/2006	373093		110742	261065	222770		100157	
3		Q2		N		7/15/2006		339	26020530893		5/01/2006	6/30/2006	222368		1965995	1911386	276976		178268	
3		Q2		A		10/13/2006		463	26020860234		5/01/2006	6/30/2006	221341		1997308	1999951	218698		72594	
3		Q2		A		7/19/2007		463	27020270857		5/01/2006	6/30/2006	222770		1997503	1920349	299924		72594	
3		Q2		A		8/06/2007		7	27020290482		5/01/2006	6/30/2006	222770		1997503	1920349	299924		72594	
3		12P		N		7/24/2006		145	26020572152		7/01/2006	7/19/2006	276976		1323448	1247471	352953		178268	
3		12P		A		10/13/2006		192	26020860697		7/01/2006	7/19/2006	218698		1347154	1233441	332411		56598	
3		12P		A		7/15/2007		197	27020270821		7/01/2006	7/19/2006	299924		1350532	1236172	414284		56598	
3		12P		A		8/06/2007		7	27020290489		7/01/2006	7/19/2006	299924		1350532	1236172	414284		56598	
3		Q3		N		10/15/2006		911	26020862337		7/20/2006	9/30/2006	332411		4876831	4879682	329560		-	
3		Q3		A		2/20/2007		36	27020070008		7/20/2006	9/30/2006	-		-	-	-		-	
3		Q3		A		7/15/2007		908	27020271320		7/20/2006	9/30/2006	414284		4890816	4916710	388391		-	
3		Q3		A		8/06/2007		7	27020290496		7/20/2006	9/30/2006	414284		4890816	4916710	388391		-	
3		12G		N		10/23/2006		356	26020950001		10/01/2006	10/18/2006	332975		4852538	3932674	1252839		-	
3		12G		A		2/20/2007		1	27039400052		10/01/2006	10/18/2006	-		-	-	-		-	
3		12G		A		7/15/2007		426	27020272228		10/01/2006	10/18/2006	388391		4858913	3885230	1362074		-	
3		12G		A		8/06/2007		7	27020290503		10/01/2006	10/18/2006	388391		4858913	3885230	1362074		-	
3		30G		N		12/07/2006		456	26021092949		10/19/2006	11/27/2006	1252839		6467856	7669219	51476		-	
3		30G		A		2/20/2007		1	27039400053		10/19/2006	11/27/2006	-		-	-	-		-	
3		30G		A		7/15/2007		492	27020272654		10/19/2006	11/27/2006	1362074		6498832	7697389	163516		-	
3		30G		A		8/06/2007		9	27020290510		10/19/2006	11/27/2006	1362074		6498832	7697389	163516		-	
3		YE		N		1/31/2007		55	27020052213		11/28/2006	12/31/2006	148741		158060	296341	10460		-	
3		YE		A		6/01/2007		83	27020190525		11/28/2006	12/31/2006	164629		158297	296440	26486		71476	
3		YE		A		7/15/2007		96	27020270282		11/28/2006	12/31/2006	163516		158297	298328	23486		41476	
3		YE		A		8/06/2007		9	27020290519		11/28/2006	12/31/2006	163516		158297	298328	23486		71476	
3		YE		A		9/27/2007		5	27020303380		11/28/2006	12/31/2006	-		-	-	-		-	
3		YE		A		10/15/2007		19	27020380091		11/28/2006	12/31/2006	163516		158297	298328	23486		71476	
TOTAL															20580703	20557217				

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Ned Lamont for Senate (C00420117)

2006 Year End Report/Amended 2006 Year End Report, received 8/6/07

Debt Overview

Schedule	Original 2006 Year End Report (Image 27020052213)	Amended 2006 Year End Report, filed 8/6/07 (Image 27020290519)	Debt Discrepancy Amount
Schedule D: Opening/ Closing Balance	\$0.00	\$71,500.00	\$71,500.00

Amended 2006 Year End Report, received 8/6/07

Schedule D Overview

Line	Name	Opening Balance	Incurred this Period	Payment this Period	Closing Balance
10	Alexis McGill	\$0.00	\$20,000.00	\$0.00	\$20,000.00
10	David Markman DBA Singleland	\$0.00	\$18,000.00	\$0.00	\$18,000.00
10	Four Points by Sheraton	\$0.00	\$33,500.00	\$0.00	\$33,500.00
	Totals:	\$0.00	\$71,500.00	\$0.00	\$71,500.00