

RYAN, PHILLIPS, UTRECHT & MACKINNON

ATTORNEYS AT LAW

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RECEIVED

FEC MAIL ROOM

2001 APR -6 P 12:46

April 6, 2001

Lynn M. Fraser, Esq.
Federal Election Commission
999 E Street, NW
Washington, DC 20463

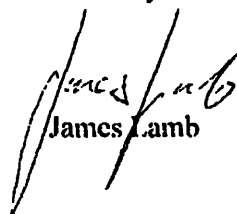
Re: ADR 011 (RR99L-15) Illinois Senate Victory Fund

Dear Lynn:

Please find enclosed the signed Negotiated Settlement agreement along with a \$1,500 check in payment of the civil penalty agreed to in this matter.

If you have any questions or concerns regarding this matter, please feel free to contact me at (202) 778-4012.

Sincerely,



James Lamb

Enclosures: 2



Federal Election Commission
Washington, DC 20463

Case Number: ADR 011
Source: RR 99L-15
Case Name: Illinois Senate Victory Fund

NEGOTIATED SETTLEMENT

This matter was initiated by the Federal Election Commission ("Commission") pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. Following review of the matter, and in an effort to promote compliance with the Federal Election Campaign Act of 1971, as amended, ("FECA") and resolve this matter, the Commission entered into negotiations with James Lamb, Esq., representing the Illinois Senate Victory Fund ("Respondent"). It is understood that this agreement will have no precedential value relative to any other matters coming before the Commission.

Negotiations between the Commission and Respondent addressed all the issues raised in this matter. The parties agreed to resolve the matter according to the following terms:

1. The Commission entered into this agreement as part of its responsibility for administering the FECA, and in an effort to promote compliance on the part of Respondent. The Commission's use of alternative dispute resolution procedures (ADR) is authorized in "The Administrative Dispute Resolution Act of 1996," 5 U.S.C. § 572, and is an extension of 2 U.S.C. § 437g.
2. Respondent voluntarily entered into this agreement with the Commission.
3. Respondent failed to provide a supporting Schedule B for transfers made to affiliated committees on its 1998 October Quarterly Report covering the period from July 1, 1998 through September 30, 1998, filed October 14, 1998, totaling \$84,674.04. Respondent also failed to provide a supporting Schedule B for transfers made to affiliated committees on its 30 Day Post-General Report covering the period from October 15, 1998 through November 23, 1998, filed December 3, 1998, totaling \$55,808.96.

The FECA requires that "[e]ach report under this section shall disclose . . . the name and address of each . . . affiliated committee to which a transfer is

made by the reporting committee during the reporting period, and where the reporting committee is a political party committee, each transfer of funds by the reporting committee to another political party committee, regardless of whether such committees are affiliated, together with the date and amount of such transfer." 2 U.S.C. § 434(b)(5)(C).

4. Respondent, in order to resolve this matter, agreed to: (a) file the amended 1998 October Quarterly Report and the 30 Day Post-General Report with the requisite Schedule B for each; (b) take necessary steps to terminate Respondent's reporting obligations under the FECA; and (c) pay a civil penalty of \$1,500.
5. The parties agreed that if Respondent fails to comply with the terms of this settlement, the Commission may undertake civil action in the U.S. District Court for the District of Columbia to secure compliance.
6. This agreement shall become effective on the date signed by all parties and approved by the Commission. Respondent shall comply with the terms of the settlement within 30 days from the effective date of this agreement.
7. This Negotiated Settlement constitutes the entire agreement between the parties on ADR 011 (RR 99L-15), and effectively resolves this matter. No other statement, promise or agreement, either written or oral, made by either party, not included herein, shall be enforceable.

FOR THE COMMISSION:

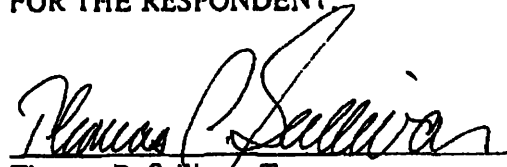
Allan D. Silberman, Director
Alternative Dispute Resolution Office

By:

Lynn M. Fraser, Assistant Director
Alternative Dispute Resolution Office

Date Signed

FOR THE RESPONDENT:


Thomas P. Sullivan, Treasurer
Illinois Senate Victory Fund

3/29/01
Date Signed

RYAN, PHILLIPS, UTRECHT & MACKINNON

3065

DATE : April 06, 01
CHECK # : 3065
AMOUNT : \$1,500.00

Illinois Senate Victory Fund

RYAN, PHILLIPS, UTRECHT & MACKINNON

1133 CONNECTICUT AVE N.W. SUITE 300
WASHINGTON, DC 20036
(202) 778-4014

BRANCH BANKING AND TRUST COMPANY
WASHINGTON, DC 20006
15-154-540

3065

PAY
TO THE
ORDER
OF

*** One Thousand Five Hundred ***
U.S. Treasury

DATE

Apr 06, 2001

AMOUNT

\$1,500.00
00/100

Security features included. Details on back.