



FEDERAL ELECTION COMMISSION
Washington DC 20463

THIS IS THE BEGINNING OF ADMINISTRATIVE FINE CASE # 1528

DATE SCANNED 11-29-07

SCANNER NO. 2

SCAN OPERATOR Smell

27092613312



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

NOV 11 2006 10:39

MEMORANDUM

SENSITIVE

TO: THE COMMISSION

THROUGH: PATRINA M. CLARK *PMC*
STAFF DIRECTOR

FROM: JOHN D. GIBSON *JG*
ASSISTANT STAFF DIRECTOR
REPORTS ANALYSIS DIVISION

SUBJECT: REASON TO BELIEVE RECOMMENDATION -
FAILURE TO FILE 48-HOUR NOTICES UNDER THE
ADMINISTRATIVE FINE PROGRAM

Attached is the name of a principal campaign committee, Daniel Mongiardo for US Senate, which was referred to the Reports Analysis Division (RAD) by the Audit Division on October 12, 2006. The Commission approved the final audit report for the committee on October 4, 2006. The Audit Division referred the committee to RAD for failure to file the appropriate 48-Hour Notices for the 2004 General Election. The committee stated in response to the interim audit report that their failure to comply with the 48-Hour filing requirement was caused by the timing of the receipt of contributions from conduit entities and their credit card processor. In the final audit report the Commission rejected this argument stating that the committee received all necessary contributor information, as well as funds from these sources by the end of the 48-Hour Reporting period. The committee failed to file 48-hour notices with the Commission for contributions of \$1,000.00 or more received from the close of books for the 2004 12 Day Pre-General Report up to 48 hours before the November 2, 2004 General Election in accordance with 2 U.S.C. § 434(a)(6) and 11 C.F.R. § 104.5(f).

On September 27, 2004, Prior Notice was sent to the committee which included a section detailing the requirements for filing 48-hour notices. The section read as follows:

"Notices are required if the committee receives contributions (including in-kind gifts or advances of goods or services; loans from the candidate or other non-bank sources; and guarantees or endorsements of loans to the candidate or committee) of \$1,000 or more, during the period of October 14 through October 30, 2004. The notices must reach the appropriate federal and state filing offices within 48 hours of the committee's receipt of the contribution(s)."

A 48-hour notice is required to report all types of contributions, over \$1,000.00, to any authorized committee of a candidate, including contributions from the candidate, loans from the candidate and other non-bank sources and endorsements or guarantees of loans from banks, as per, 11 C.F.R § 104.5(f).

We have attached an information sheet which highlights the name, date of receipt and amount of the contribution for which a 48-hour notice was not filed.

In accordance with the schedule of civil money penalties outlined within 11 C.F.R §111.44, this committee should be assessed the civil money penalty so indicated.

Recommendation

1. Find reason to believe that Daniel Mongiardo for US Senate and Robert Kellerman, Treasurer, violated 2 U.S.C. § 434(a)(6) and make a preliminary determination that a civil money penalty of \$9,260.00 be assessed.
2. Send the appropriate letter.

Attachment

27092613314

Contributions for Which a 48-Hour Notice Was Not Received**AF 1528****Committee ID: C00393009****Committee Name: Daniel Mongiardo for US Senate****Report Type: 30 Day Post-General Report (10/14/04-11/22/04)****48-Hour Reporting Period: 10/14/04-10/30/04**

Date	Contributor Name	Amount
10/19/04	Foley, James	\$1,000.00
	Anderson, Lloyd	\$2,000.00
	Hand, Matthew	\$1,000.00
	Lehner, Karen	\$2,000.00
	Wainwright, Cynthia	\$1,000.00
	Gleacher, Anne	\$1,000.00
	Taylor, William	\$1,000.00
	Samuelson, Pamela	\$2,000.00
	Glushko, Robert	\$2,000.00
10/20/04	Calvin, William H.	\$1,000.00
	Devaan, Jon	\$2,000.00
	Kaplan, Andrew	\$1,000.00
	Oldfather, Ann	\$1,000.00
	Reardon, Thomas	\$1,000.00
	Tayloe, Dan	\$2,000.00
	Sherman, John	\$1,000.00
	Johnson, Moulton K.	\$1,000.00
10/21/04	Bronfman, Joanie	\$2,000.00
	Carlson, Nancy	\$1,000.00
	Massengill, David	\$1,000.00
	Sarrouf, Camille	\$1,000.00
10/22/04	Capra, Susan	\$1,000.00
	Transportation Trades Department AFL-CIO	\$2,000.00
	Litvak, Lawrence	\$2,000.00
	Rentz, Paula	\$1,000.00
	Repass, Randy	\$1,000.00
	Anderson, Nancy C	\$1,000.00
	Davis, Alan S	\$2,000.00
10/23/04	Meyer, Barbara	\$1,000.00

27092613315

Date	Contributor Name	Amount
10/24/04	Felty-Comer, Sharon	\$1,000.00
	Transportation Trades Department AFL-CIO	\$5,000.00
10/25/04	Blandin, Stephan	\$1,000.00
	Breen, Richard	\$1,000.00
	Conway, Kevin	\$2,000.00
	Corboy, Philip	\$2,000.00
	Fowler, Virginia	\$2,000.00
	Hurley, Christopher	\$1,000.00
	Kroll, Jeffrey	\$1,000.00
	Lloyd, Kurt	\$2,000.00
	Owen, Lafayette	\$1,000.00
	Paper Allied Industrial Chemical & Energy Workers (PACE)	\$3,000.00
	Prindable, Thomas	\$1,000.00
	Romanucci, Antonio	\$1,500.00
10/26/04	Hunting Living Trust, John	\$1,000.00
10/27/04	Dorman, Leslie	\$2,000.00
10/28/04	Ryan, Cynthia	\$2,000.00
	Whitney, Nancy	\$1,000.00
10/29/04	Bozeman, William	\$2,000.00
	Bubalo, Gregory	\$1,000.00
	Conlin, Roxanne	\$2,000.00
	Early, James	\$1,000.00
	Faxon, Joel	\$1,000.00
	McHugh, Gerald	\$1,000.00
	Messinger, Alida	\$2,000.00
	Richardson, Josephine	\$1,000.00
Total Contributions Not Filed		\$80,500.00

Proposed Civil Money Penalty: \$9,260.00 ((11 Notices Not Filed at \$110 each) + 10% of Overall Contributions Not Filed)

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Reason to Believe Recommendation -) AF 1528
Failure to File 48-Hour Notices under)
Administrative Fine Program)

CERTIFICATION

I, Mary W. Dove, Secretary of the Federal Election Commission, do hereby
certify that on November 06, 2006, the Commission decided by a vote of 6-0 to
take the following actions in AF 1528:

1. Find reason to believe that Daniel Mongiardo for US Senate and Robert Kellerman, Treasurer, violated 2 U.S.C. § 434(a)(6) and make a preliminary determination that a civil money penalty of \$9,260.00 be assessed.
2. Send the appropriate letter.

Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther and
Weintraub voted affirmatively for the decision.

Attest:

November 6, 2006
Date

Mary W. Dove
Mary W. Dove
Secretary of the Commission

27092613317



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 9, 2006

Robert Kellerman
Daniel Mongiardo for U.S. Senate
190 Democrat Drive
Frankfort, KY 40604

C00393009
AF#: 1528

Dear Robert Kellerman:

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires principal campaign committees of candidates for federal office to notify in writing either the Secretary of the Senate or the Federal Election Commission ("FEC"), and the Secretary of State, as appropriate, of any contribution of \$1,000 or more, received by any authorized committee of the candidate after the 20th day, but more than 48 hours before, any election. The Act further requires notification to be made within 48 hours after the receipt of the contribution and to include the name of the candidate and office sought, the date of receipt, the amount of the contribution, and the identification of the contributor. The notification of these contributions shall be in addition to all other reporting requirements. 2 U.S.C. 434(a). You were previously notified of the requirement to file these notices. Records at the FEC indicate that you did not submit 48-Hour Notices for contributions of \$1,000 or more, received between 10/14/04 and 10/30/04, totaling \$80,500.00 (see attached list for contributor overview).

The Act was further amended in 1999 to permit the FEC to impose civil money penalties for violations of the reporting requirements of 2 U.S.C. 434(a). 2 U.S.C. 437g(a)(4). On 11/6/06, the FEC found that there is Reason to Believe ("RTB") that Daniel Mongiardo for U.S. Senate and you, as treasurer, violated 2 U.S.C. 434(a) by failing to file the 48-Hour Notices. Based on the FEC's schedule of civil money penalties at 11 C.F.R. 111.44, the amount of your civil money penalty calculated at the RTB stage is \$9,260.00. Send your payment by 12/16/2006. The amount of the civil money penalty is \$110 for each non-filed notice plus 10 percent of the dollar amount of the contributions not timely reported. The civil money penalty increases by 25 percent for each prior violation.

To Pay the Calculated Civil Money Penalty

To pay the calculated civil money penalty, send the enclosed remittance and your payment to the FEC at the address on page 4. Upon receipt of your payment, the FEC will send you a final determination letter.

27092613318

To Challenge the RTB Finding and/or Calculated Civil Money Penalty

To challenge the RTB finding and/or calculated civil money penalty, you must submit a written response, including the AF# found on page one, to the FEC's Office of Administrative Review, 999 E Street, NW, Washington, DC 20463. Your response must be received by 12/16/2006. Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty. The FEC will only consider challenges that are based on a factual error, miscalculation of the calculated civil money penalty by the FEC, or the existence of extraordinary circumstances that persisted for more than 48 hours that were beyond your control and prevented you from filing the report in a timely manner. Your response must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. Examples of circumstances that will not be considered extraordinary include, but are not limited to, negligence, problems with vendors or contractors, staff illness, computer failures and similar circumstances. 11 C.F.R. 111.35(b)(1)(iii) and (4).

Your failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver of your right to present such argument in a petition to the district court under 2 U.S.C. 437g. 11 C.F.R. 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

If You Do Not Pay the Calculated Civil Money Penalty or Submit a Challenge

If you do not pay the calculated civil money penalty or submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that Daniel Mongiardo for U.S. Senate and you, as treasurer, violated 2 U.S.C. 434(a) and assess a civil money penalty.

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA") as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. 3701 et seq. The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 C.F.R. 111.45.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 2 U.S.C. 437g(a)(2). It will remain confidential in accordance with 2 U.S.C. 437g(a)(4)(B) and 437g(a)(12)(A) until it is placed on the public record in accordance with 11 C.F.R. 111.42, unless you notify the FEC in writing that you wish the matter to be made public.

Additional information on the FEC's administrative fine program, including the final regulations, is located at the FEC's website at www.FEC.gov. If you have questions regarding the payment of the calculated civil money penalty, please contact Patricia Carmona in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

Sincerely,

A handwritten signature in black ink, appearing to read "Michael E. Toner". The signature is fluid and cursive, with the first name "Michael" and last name "Toner" clearly distinguishable.

Michael E. Toner
Chairman

27092613320

ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 C.F.R. 111.43, the amount of your civil money penalty calculated at RTB is \$9,260.00.

Please mail this remittance with a check or money order made payable to the Federal Election Commission to the following address:

Federal Election Commission
P.O. Box 979058
St. Louis, MO 63197-9000

If you choose to send your remittance and payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox
FEC #979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

The remittance and your payment are due by 12/16/2006. Upon receipt of your remittance and payment, the FEC will send you a final determination letter.

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Daniel Mongiardo for U.S. Senate

FEC ID#: C00393009

AF#: 1528

PAYMENT DUE DATE: 12/16/2006

PAYMENT AMOUNT DUE: \$9,260.00

27092613321

Contributions with no 48 Hour Notice

Date	Contributor Name	Amount
10/19/04	Foley, James	\$1,000.00
	Anderson, Lloyd	\$2,000.00
	Hand, Matthew	\$1,000.00
	Lehner, Karen	\$2,000.00
	Wainwright, Cynthia	\$1,000.00
	Gleacher, Anne	\$1,000.00
	Taylor, William	\$1,000.00
	Samuelson, Pamela	\$2,000.00
	Glushko, Robert	\$2,000.00
10/20/04	Calvin, William H.	\$1,000.00
	Devaan, Jon	\$2,000.00
	Kaplan, Andrew	\$1,000.00
	Oldfather, Ann	\$1,000.00
	Reardon, Thomas	\$1,000.00
	Tayloe, Dan	\$2,000.00
	Sherman, John	\$1,000.00
	Johnson, Moulton K.	\$1,000.00
10/21/04	Bronfman, Joanie	\$2,000.00
	Carlson, Nancy	\$1,000.00
	Massengill, David	\$1,000.00
	Sarrouf, Camille	\$1,000.00
10/22/04	Capra, Susan	\$1,000.00
	Transportation Trades Department AFL-CIO	\$2,000.00
	Litvak, Lawrence	\$2,000.00
	Rentz, Paula	\$1,000.00
	Repass, Randy	\$1,000.00
	Anderson, Nancy C	\$1,000.00
	Davis, Alan S	\$2,000.00
10/23/04	Meyer, Barbara	\$1,000.00

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Date	Contributor Name	Amount
10/24/04	Felty-Comer, Sharon	\$1,000.00
	Transportation Trades Department AFL-CIO	\$5,000.00
10/25/04	Blandin, Stephan	\$1,000.00
	Breen, Richard	\$1,000.00
	Conway, Kevin	\$2,000.00
	Corboy, Philip	\$2,000.00
	Fowler, Virginia	\$2,000.00
	Hurley, Christopher	\$1,000.00
	Kroll, Jeffrey	\$1,000.00
	Lloyd, Kurt	\$2,000.00
	Owen, Lafayette	\$1,000.00
	Paper Allied Industrial Chemical & Energy Workers (PACE)	\$3,000.00
	Prindable, Thomas	\$1,000.00
	Romanucci, Antonio	\$1,500.00
10/26/04	Hunting Living Trust, John	\$1,000.00
10/27/04	Dorman, Leslie	\$2,000.00
10/28/04	Ryan, Cynthia	\$2,000.00
	Whitney, Nancy	\$1,000.00
10/29/04	Bozeman, William	\$2,000.00
	Bubalo, Gregory	\$1,000.00
	Conlin, Roxanne	\$2,000.00
	Early, James	\$1,000.00
	Faxon, Joel	\$1,000.00
	McHugh, Gerald	\$1,000.00
	Messinger, Alida	\$2,000.00
	Richardson, Josephine	\$1,000.00
Total Contributions not filed via 98 Form 990		\$80,500.00

27092613323

FEC OFFICE
ADMINISTRATIVE

2006 DEC 8

Dr. Daniel
MONGIARDO
DrDan2004.com U.S. Senate

RECEIVED
C MAIL
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15 A 11: 21

December 14, 2006

Federal Election Commission
Attn: Patricia Carmona
999 E Street, NW
Washington, DC 20463

Re: 00393009 – Daniel Mongiardo for U. S. Senate
AF#: 1528

Dear Ms. Carmona:

I am writing in response to Mr. Toner's November 9, 2006 letter. As you and I discussed by telephone on December 12, I did not receive this letter in the mail and knew nothing of it until you telephoned me about it, and then faxed a copy of the letter to me that same day.

The Campaign is at a disadvantage because the response date is December 16, and we just received the letter on December 12. The letter was addressed improperly. Our address with the FEC is 190 Democrat Drive, **P. O. Box 4147**, Frankfort, KY 40604. This is the address that appears on the FEC website. The FEC's letter omitted the PO Box. Although you sent me the certified receipt, I cannot verify where the letter was delivered and I do not know the "Jennie Arnold" who signed for the letter. Some unknown person wrote in "POB 694", which I assume, but do not know, refers to a Post Office Box, however that box number is not the campaign's box number. I do not know who that box number belongs to, and I don't know if the letter was delivered to that box, to the 190 Democrat Drive address, or to some other address. In conclusion, it is also curious that the receipt has both November 13, 2006 and November 20, 2006 stamped on it. I do not know what to make of this. In conclusion, I never received the letter by mail and do not know who did receive it. The certified mail receipt supports this fact, rather than evidencing receipt by the campaign. All FEC mail to the campaign should be properly addressed to "P. O. Box 4147, Frankfort, KY 40602."

What quick review I could perform in two days, with the most knowledgeable staff person being out sick one of those days, causes me to believe that there are factual errors for at least a few of the items, and that proper filings were made for those items. I simply am presently unable to gather and provide details, but will do so in short order. In addition, as we explained to the audit staff, we had difficulty with the credit card vendor, which in our view constitutes extraordinary circumstances that persisted for more than 48 hours that were beyond the campaign's control and prevented the campaign from filing the report in a timely manner. The difficulty was acknowledged by the FEC audit staff, and if I recall correctly, was actually

MONGIARDO FOR U.S. SENATE
Mail: P.O. Box 4147 • Frankfort, KY 40604-4147

Paid for by Mongiardo for U.S. Senate 2004

118C

December 14, 2006

Page 2

acknowledged by the Commission itself, even though this fact evidently did not draw much sympathy. At any rate, please accept this letter as the campaign's challenge to the findings and calculation of the fine. I propose that the campaign's more detailed response on all issues will be provided to you within 30 days of actual receipt of the letter – 30 days from December 12, 2006, which is January 11, 2007. Please let me know in writing if this is not satisfactory.

Finally, I would like to discuss this matter further with you and will be speaking with you by telephone in the next few days. In the future, please use the proper post office box. Even better, to expedite communications with me personally, I am providing my contact information below, and encourage you to use them.

Very truly yours,



Robert W. Kellerman

RWK:pfs

27092613325



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

Via First Class Mail

December 21, 2006

Robert W. Kellerman, as Treasurer
Daniel Mongiardo for U.S. Senate
190 Democrat Drive, PO Box 4147
Frankfort, Kentucky 40604

C00393009
AF# 1528

Dear Mr. Kellerman:

On December 15, 2006, the Commission received your written response ("challenge") which is being reviewed by the Office of Administrative Review. If you have any questions regarding the challenge, please contact this Office on our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

Sincerely,

A handwritten signature in black ink, reading "Shawn Woodhead Werth". The signature is fluid and cursive.

Shawn Woodhead Werth
Reviewing Officer
Office of Administrative Review

27092613326

2006 DEC 27 4 11:01

Date: December 27, 2006

**REFERRAL TO OFFICE OF ADMINISTRATIVE REVIEW
CHALLENGE RECEIVED**

AF#: 1528

Committee Name: Daniel Mongiardo for U.S. Senate

Committee ID#: C00393009

Committee Address (if different than in RTB letter): N/A

Treasurer Name (if different than in RTB finding): N/A

Attachments:

Copy of RTB Circulation Report, dated November 1, 2006 and RTB
Certification, dated November 6, 2006:

Attachment #: 1

Certified Return Receipt (to be forwarded at later date if not yet received)(Y/N): Y

Attachment #: 2

Original Correspondence Received by RAD in Response to

RTB Letter (Y/N): N

Attachment #: N/A

RAD Staff Declaration (Y/N): Y

-2004 General Election Reports Prior Notice, dated September 27, 2004.

-Administrative Fines Referral Matter, dated October 12, 2006

-RTB Letter, dated November 9, 2006.

Attachment #: 4

Other RAD Information: Fax info (Y/N): Y

Attachment #: 5

27092613327

DECLARATION OF PATRICIA CARMONA

1. I am the Chief of the Compliance Branch for the Reports Analysis Division of the Federal Election Commission ("Commission"). In my capacity as Chief of the Compliance Branch, I oversee the initial processing of the Administrative Fine Program. I make this declaration based on my personal knowledge and, if called upon as a witness, could and would testify competently to the following matters.
2. I hereby certify that documents identified herein are true and accurate copies of the following sent by the Commission to Daniel Mongiardo for U.S. Senate:
 - A) Prior Notice dated September 27, 2004, referencing the 2004 General Election Reports;
 - B) Audit Referral Memorandum (A05-20), dated October 12, 2006, referencing Daniel Mongiardo for U.S. Senate and the committee's failure to file the required 2004 48-Hour Notices.
 - C) Reason-to-Believe Letter, dated November 9, 2006, referencing the 2004 48-Hour Missing Notices.
3. Pursuant to 28 U.S.C. 1746, I declare under penalty of perjury that the foregoing is true and correct and that all relevant telecoms for the matter have been provided. This declaration was executed at Washington, D.C. on the 27th day of December, 2006.



Patricia Carmona
Chief, Compliance Branch
Reports Analysis Division
Federal Election Commission

27092613328

GENERAL ELECTION REPORT NOTICE

FEDERAL ELECTION COMMISSION

CONGRESSIONAL COMMITTEES

September 27, 2004

2004 GENERAL ELECTION CANDIDATE COMMITTEES

REPORT	REPORTING PERIOD ¹	REG./CERT. & OVERNIGHT MAILING DATE ²	FILING DATE
Pre-General	10/01/04 - 10/13/04	10/18/04	10/21/04
48-Hour Notices	10/14/04 - 10/30/04	----see reverse side----	
Post-General	10/14/04 - 11/22/04	12/02/04	12/02/04

WHO MUST FILE

Principal campaign committees of all 2004 general election congressional candidates³ (including unopposed candidates and candidates whose names do not appear on the ballot) must file Pre- and Post-General Election Reports.⁴

Campaigns that raise or spend more than \$5,000 for the 2004 election cycle (and thus trigger registration and reporting requirements) must file quarterly reports throughout 2004, even if the candidate plans to retire, withdraws from the race prior to the primary election, loses the primary or drops out of the race prior to the general election. Committees must continue to file reports until the Commission notifies them in writing that their termination report has been accepted.

NOTE: A recent legislative change allows committees to file reports using overnight mail on the same terms as registered/certified mail. (See footnote 2).

¹These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

²Reports sent by registered or certified mail must be postmarked by the mailing date. Committees should keep the mailing receipt with its postmark as proof of filing. If using overnight mail, the delivery service must receive the report by the mailing date. "Overnight mail" means an overnight service with an on-line tracking system. Reports filed by any other means must be received by the Commission (or Secretary of the Senate for Senate committees) by the filing date.

³An individual becomes a candidate for Federal office (and thus triggers registration and reporting obligations) when his or her campaign exceeds \$5,000 in either contributions received or expenditures made. If the campaign has not exceeded the \$5,000 threshold, it is not required to file reports.

⁴If the campaign has more than one authorized committee in addition to the principal campaign committee, the principal campaign committee must also file a consolidated report on Form 3Z.

(over)

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48 HOUR NOTICES ON CONTRIBUTIONS

Notices are required if the committee receives contributions **(including in-kind gifts or advances of goods or services; loans from the candidate or other non-bank sources; and guarantees or endorsements of bank loans to the candidate or committee)** of \$1,000 or more, during the period of October 14 through October 30, 2004.

The notices must reach the appropriate federal and state filing office within 48 hours of the committee's receipt of the contribution(s). Senate candidates should transmit them to the Secretary of the Senate at (202) 224-1851. **Campaign committees that file electronically MUST submit their 48-hour notices electronically.** For more information, visit the FEC web site at <http://www.fec.gov/electfil/online.shtml>. U.S. House candidates faxing 48-hour notices should transmit them to the FEC at (202) 219-0174.

COMPLIANCE

- Treasurers of political committees are responsible for both the timeliness and the accuracy of all reports. They may be subject to monetary penalties if reports are inaccurate or are not filed on time.
- Under the Administrative Fine Program, political committees and their treasurers who fail to file their reports (including 48-hour notices) on time may be subject to civil money penalties up to \$16,000 (or more for repeat late- and non-filers).⁶ For additional information, see the enclosed handout entitled, "Administrative Fine Program," and visit the FEC web site at <http://www.fec.gov/af.shtml>.
- In addition, political committees that file illegible reports or use non-FEC forms (except for FEC-approved, computer-generated forms) will be required to refile their reports.
- Electronic filers who instead file on paper or submit an electronic report (either by direct transmission or on 3.5" diskette) that does not pass the validation test will be considered non-filers and may be subject to enforcement actions (including administrative fines).

ELECTRONIC FILING

U.S. House committees that receive contributions or make expenditures in excess of \$50,000 in a calendar year, or that expect to do so, must submit their reports electronically. For additional information, review the enclosed handout entitled "Electronic Filing," call the FEC's Electronic Filing office at (800) 424-9530 or (202) 694-1642, and visit our web site at <http://www.fec.gov/electfil/electron.shtml>.

⁶Penalties for late- or non-filing of 48-hour notices are based on the amount of contributions not timely disclosed. As a result, these penalties may exceed \$16,000, even for first-time violations.

2007 JAN 11 P 4: 33

January 10, 2007

Federal Election Commission
Office of Administrative Review
Attn: Shawn Woodhead Werth
999 E Street, NW
Washington, DC 20463

Re: 00393009 – Daniel Mongiardo for U. S. Senate
AF#: 1528

Dear Ms. Werth:

This letter follows up on my December 14, 2006 letter. The staff has now had time to further review this matter.

First, the Campaign cites factual error as its basis for the challenge of several of the items for which it was found that no 48 hour notices were transmitted. Nine of the items on the FEC's list were actually reported, and appear on the 48 hour notices that are contained on the FEC's website. The proposed fine should be reduced. The nine items are as follows:

Name	Date of Report	FEC PAGE REF #
Carlson, Nancy	10/22/04	24020971411
Dorman, Leslie	10/29/04	24020991018
Early, James	10/26/04	24020981810
Oldfather, Ann	10/30/04	24020991225
Paper Allied Ind (PACE)	10/26/04	24020981811
Rentz, Paula	10/23/04	24020971808
Richardson, Josephine	10/21/04	24020962059
Ryan, Cynthia	10/30/04	24020991224
Whitney, Nancy	10/30/04	24020991223

As we have previously stated to the FEC, the credit card contribution information was not accessible in a timely manner for 48 hour reporting by the campaign. The FEC's audit report acknowledges reliance of the campaign on the accuracy and timeliness of the information provided by the credit card processor, but found that the staff should have contacted the

MONGIARDO FOR U.S. SENATE
Mail: P.O. Box 4147 • Frankfort, KY 40604-4147

Paid for by Mongiardo for U.S. Senate 2004

processor to obtain the contributor information. The campaign finance staff advises that it did contact the credit card processor but was unable to obtain the proper information during the reporting period. The campaign finance staff has advised that it could not report information that it was unable to obtain. This is the reason that 16 of the items were not reported on 48 hour notices, and therefore we believe that the amount of the fine is based on factual error.

If these items are reconsidered, the unreported items may very well fall below the threshold for FEC enforcement activity. The Campaign requests that the FEC revisit its calculations and the levy of a fine in view of this.

Finally, the campaign simply does not have the funds to pay this fine. If you review recent campaign reports you will note that the campaign cash balance is approximately \$2,000.00. The campaign also requests that the FEC revisit the fine in view of the campaign's present inability to satisfy the fine.

Sincerely yours,



Robert W. Kellerman

RWK:pfs

27092613332



RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

FEDERAL ELECTION COMMISSION 2007 AUG -7 P 3:57
WASHINGTON, D.C. 20463

August 7, 2007

SENSITIVE

MEMORANDUM

To: The Commission

Through: Patrina M. Clark *PMc*
Staff Director

From: John D. Gibson *JG*
Chief Compliance Officer

Shawn Woodhead Wern *SW*
Reviewing Officer
Office of Administrative Review

By: Jill I. Sugarman *JS*
Reviewing Analyst

Subject: AF# 1528 – Daniel Mongiardo for U.S. Senate and Robert Kellerman, as Treasurer (C00393009)

The attached Reviewing Officer Recommendation is being circulated on an informational basis. A copy was also sent to respondents in accordance with 11 C.F.R. § 111.36(f). The respondents may file with the Commission Secretary a written response within 10 days of transmittal of the recommendation.

After the 10 day period, the Reviewing Officer Recommendation and the respondents' written response, if any, will be circulated to the Commission to make a final determination.

This matter is the result of the audit of the respondents and the finding met the threshold for inclusion in the Administrative Fines Program.

Attachment

2709261333



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 7, 2007

**REVIEWING OFFICER RECOMMENDATION
OFFICE OF ADMINISTRATIVE REVIEW ("OAR")**

AF# 1528 – Daniel Mongiardo for U.S. Senate and Robert Kellerman, as Treasurer (C00393009)

On November 6, 2006, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Daniel Mongiardo for U.S. Senate and Robert Kellerman, as Treasurer ("the respondents"), violated 2 U.S.C. § 434(a) for failing to file 48-Hour Notices for 55 contributions of \$1,000 or more received between October 14 and 30, 2004, totaling \$80,500. On that same date, the Commission made a preliminary determination that the civil money penalty was \$9,260 based on the schedule of penalties at 11 C.F.R. § 111.44. The respondents were notified by the Reports Analysis Division ("RAD") via letter on November 9, 2006 of the Commission's RTB finding and civil money penalty.

Respondents' Response

On December 15, 2006 and January 11, 2007, the Commission received the written responses ("challenge") from the Treasurer who raises two issues. The first issue he cites is problems with their vendor which he says are extraordinary circumstances lasting over 48 hours that were beyond their control. Despite their contacts with the credit card processor, Committee staff could not obtain the data needed to file the Notices during the 48-Hour Notice period. He also refers to the Commission's audit report ("the Report") in which this same issue was addressed. He says that the Report, and the "Commission itself," acknowledges the campaign's reliance on the vendor for accurate and timely contribution data and the difficulty it had trying to get the contributor information to file the 48-Hour Notices but this "evidently did not draw much sympathy." Since they could not get the information from their vendor for these 16 contributions, they believe that the RTB fine is based on factual error and "may very well fall below the threshold for FEC enforcement." The second issue he cites is that 48-Hour Notices were filed for nine contributions listed in the RTB letter. He lists the nine contributions, provides the image location on the Commission's website where each can be found, and asks that the fine be adjusted accordingly. Lastly, they say that they do not have the money to pay the penalty.

Analysis of Challenge

The Federal Election Campaign Act ("Act") requires that the principal campaign committee of a candidate must notify the Commission, in writing, of any contribution of \$1,000 or more received after the 20th day but more than 48 hours before the 2004 General Election. The principal campaign committee must notify the Commission within 48 hours of receipt of the contribution. The 48-hour notification shall be in addition to all other reporting requirements under the Act. 2 U.S.C. § 434(a)(6)(A) and 11 C.F.R. § 104.5(f). The treasurer shall be personally responsible for the timely filing of reports. 11 C.F.R. § 104.14(d).

2709261335

The Treasurer attributes the missing 48-Hour Notices for 16 contributions to difficulties in obtaining data from the credit card processor, the same issue he raised in the amended 2004 30 Day Post-General Report filed in February 2005 and was addressed in the Commission's Report approved October 4, 2006.¹ The Report states that, as an agent of the Committee, the vendor compiled a database of contributor information for the transactions it processed. While the vendor would provide them with occasional electronic updates of the contribution activity, the Report states that the Committee also had real time access to the vendor's database, and that the information needed to file the 48-Hour Notices was available to them during the 48-Hour Notice period.

Other than clarifying the number of contributions handled by this vendor that were not included on 48-Hour Notices, the challenge does not provide any additional information not already considered by the Commission when it approved the Report. While the Treasurer faults the credit card processor for failing to provide the data in a timely manner, the administrative fine regulations clearly state that problems with vendors do not constitute an extraordinary circumstance. 11 C.F.R. § 111.35(b).

Since the Treasurer claims that 48-Hour Notices were filed for nine contributions listed in the RTB letter, Audit staff reviewed the challenge at OAR's request and concurs with the respondents that 48-Hour Notices were filed for six contributions totaling \$10,000. For the remaining three contributors, however, the Audit staff indicates that the Committee received two contributions from each of these contributors and filed 48-Hour Notices for only one of the two contributions received from each of them. During its review of the challenge, OAR also determined that the Committee did not receive a contribution on October 22 from the Transportation Trades Department AFL-CIO for \$2,000 and, therefore, was not required to file a 48-Hour Notice for this contribution.

At the time of the RTB finding, it appeared that the respondents failed to file 48-Hour Notices for 55 contributions totaling \$80,500 which were received on 11 days (October 19, 20, 21, 22, 23, 24, 25, 26, 27, 28 and 29, 2004). Subsequent review shows that they filed 48-Hour Notices for six contributions totaling \$10,000 and were not required to file a 48-Hour Notice for one \$2,000 contribution. This results in 48-Hour Notices which were not filed for 48 contributions totaling \$68,500 received on 9 days (October 19, 20, 21, 22, 23, 24, 25, 26, and 29, 2004). Under the administrative fine regulations, the civil money penalty is \$110 plus 10% of the amount of the contributions not reported on a 48-Hour Notice. 11 C.F.R. § 111.44. The amount of the civil money penalty would be \$990 + (.10 x \$68,500) or \$7,840 (reduced from the RTB civil money penalty of \$9,260).

The other issue raised in the challenge (they cannot afford to pay the fine) also fails to meet the requirements of 11 C.F.R. § 111.35(b). The Reviewing Officer, therefore, recommends that the Commission make a final determination that the respondents violated 2 U.S.C. § 434(a) and assess a civil money penalty of \$7,840.

¹ Although the Report states that 48-Hour Notices were not filed for 10 contributions processed by this vendor, the respondents state an additional 6 contributions processed by this vendor were not reported on 48-Hour Notices.

OAR Recommendations

- (1) Make a final determination in AF# 1528 that Daniel Mongiardo for U.S. Senate and Robert Kellerman, as Treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty of \$7,840 (reduced from the RTB civil money penalty of \$9,260); and
- (2) Send the appropriate letter.

Reviewing Analyst: Jill I. Sugarman

Attachments

Attachment 1 - Challenge Received from Respondents

Attachment 2 -

Attachment 3 - Declaration from RAD
Declaration

and two Fax Transmittals provided with RAD

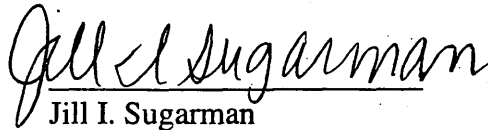
Attachment 4 - Declaration from OAR

DECLARATION OF JILL I. SUGARMAN

1. I am the Reviewing Analyst in the Office of Administrative Review for the Federal Election Commission ("Commission"). In my capacity as Reviewing Analyst, I conduct research with respect to all challenges submitted in accordance with the Administrative Fine program.
2. The principal campaign committee of a congressional candidate must file notifications disclosing contributions of \$1,000 or more which are received between October 14 and October 30, 2004. These notifications (also called 48-Hour Notices) must be filed with the Secretary of the Senate within 48 hours of the committee's receipt of the contribution(s).
3. It is the practice of the Commission to release an audit report once it has been approved and to place it on the Commission's web site so that it may be viewed by the public at http://www.fec.gov/audits/audit_reports_auth.shtml.
4. I hereby certify that I have searched the Commission's public records and that the documents identified herein are true and accurate copies of:
 - a) Page 1 of the Summary Page and 48 pages of Schedule A for the 2004 30 Day Post-General Report filed by Daniel Mongiardo for U.S. Senate and Robert Kellerman, as Treasurer. According to the Commission's records, the report covers the period from October 14 through November 22, 2004, and was received on December 7, 2004. Schedule A lists the receipt of 48 contributions totaling \$68,500 on October 19, 20, 21, 22, 23, 24, 25, 26, and 29, 2004. Each contribution equals \$1,000 or more;
 - b) Request for Additional Information dated January 11, 2005 which is addressed to Daniel Mongiardo for U.S. Senate and Robert Kellerman, as Treasurer. According to the Commission's records, the Request was sent by the Reports Analysis Division and references the 30 Day Post-General Report. On Pages 1 and 2 of the Request, it states that "Schedule A ... indicates that your committee may have failed to file one or more of the required 48 hour notices regarding 'last minute' contributions received by your committee after the close of books for the 12 Day Pre-General report (see attached). A principal campaign committee must notify the Commission, in writing, within 48 hours of any contribution of \$1,000 or more received between two and twenty days before an election;"
 - c) letter dated February 10, 2005 from Daniel Mongiardo for U.S. Senate. According to Commission records, the letter was received February 15, 2005, references the January 11 Request for Additional Information, and is signed by Robert W. Kellerman, as Treasurer. On Pages 1 and 2 under a section labeled "48 hour notices," the Treasurer states that "[t]he identified contributions fall chiefly into two categories. The first category is composed of contributions that were actually made to other organizations ... but designated for the Mongiardo campaign" and "[t]he second category ... is for contributions made directly to the campaign;"
 - d) cover memorandum received October 21, 2004 and ten pages of 48-Hour Notices filed by Daniel Mongiardo for U.S. Senate and Robert Kellerman, as Treasurer. According to the Commission's records, the 48-Hour Notices were received on October 21, 23, 25, 26, 30 and 31, 2004 and list two contributions of \$1,000.00 from Josephine D. Richardson on October 20, \$1,000.00 from Nancy Carlson on October 21, \$1,000.00 from Paula Rentz on October 22, \$1,000.00 from James Early on October 25, \$3,000.00 from Pace International Union on October 25, \$4,000 from Leslie Dorman on October 27, \$1,000.00

from Nancy Whitney on October 28, \$2,000.00 from Cynthia Ryan on October 28, and \$1,000.00 from Ann Oldfather on October 28; and

- e) the cover memorandum, information page and Pages 5, 6 and 7 of the Commission's audit report of Daniel Mongiardo for U.S. Senate. According to the Commission's records, the report covers the period from November 7, 2003 to December 31, 2004 and was approved on October 4, 2006. In response to the Interim Audit Report Recommendation, it states that the Treasurer stated in his response to the January 11, 2005 letter that the "failure to comply with the 48-hour filing requirements was caused by the timing of the receipt of two categories of contributions... The first category is composed of contributions that were actually made to other organizations ... but designated for the Mongiardo campaign" and "[t]he second category ... is for contributions made directly to the campaign."
5. Pursuant to 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct and that this declaration was executed at Washington on the 7th of August, 2007.



Jill I. Sugarman
Reviewing Analyst
Office of Administrative Review
Federal Election Commission

109-3050
001-1250
001-1707SECRETARY OF THE SENATE
04 DEC -7 AM 10:01FEC
FORM 3REPORT OF RECEIPTS
AND DISBURSEMENTS

For An Authorized Committee

Office Use Only

1. NAME OF
COMMITTEE (in full)USE FEC MAILING LABEL
OR TYPE OR PRINTExample: If typing, type
over the lines

DANIEL MONGIARDO FOR US SENATE

ADDRESS (number and street)

190 Democrat Drive, PO 4147

Check if different
than previously
reported. (ACC)

Frankfort

KY

40304

2. FEC IDENTIFICATION NUMBER

CITY

STATE

ZIP CODE

STATE DISTRICT

C00393009

3. IS THIS
REPORTNEW
(N)

OR

AMENDED
(A)

KY

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:



April 15 Quarterly Report (Q1)



July 15 Quarterly Report (Q2)



October 15 Quarterly Report (Q3)



January 31 Year-End Report (YE)



Termination Report (TER)

(b) 12-Day PRE-Election Report for the:



Primary (12P)



General (12G)



Runoff (12R)



Convention (12C)



Special (12S)

Election on

In the
State of

(c) 30-Day POST-Election Report for the:



General (30G)



Runoff (30R)



Special (30S)

Election on

In the
State of

5. Covering Period



through



I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Robert Kellerman

Signature of Treasurer

Electronically Filed by

Robert Kellerman

Date



NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 437g.

Office
Use
OnlyFEC FORM 3
(Revised 02/2003)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 301 / 466
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	11d	<input type="checkbox"/>	15
<input type="checkbox"/>	12	<input type="checkbox"/>	13a	<input type="checkbox"/>	13b	<input type="checkbox"/>	14	<input type="checkbox"/>	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Russell Flynn

Mailing Address 1717 Powell St

City

San Francisco

State

CA

Zip Code

94133-2858

FEC ID number of contributing
federal political committee.

C

Name of Employer
Real EstateOccupation
Self

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

500.00

Date of Receipt

10 / 19 / 2004

Transaction ID: C3280769

Amount of Each Receipt this Period

500.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(A)-1)* Earmarked Contribution:
See Below

Full Name (Last, First, Middle Initial)

B. Moveyorg

Mailing Address Po Box 8063

City

Berkeley

State

CA

Zip Code

94709

FEC ID number of contributing
federal political committee.

C

C00341398

Name of Employer

Occupation

Conduct total: 229,479.43

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

.00

Date of Receipt

10 / 19 / 2004

Transaction ID: C3280768

Amount of Each Receipt this Period

500.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(A)-1)[MEMO ITEM]
Note: Above Contribution
earmarked through this or-
ganization.

Full Name (Last, First, Middle Initial)

C. James Foley

Mailing Address 1840 Redwood Hill Court

City

Santa Rosa

State

CA

Zip Code

95404

FEC ID number of contributing
federal political committee.

C

Name of Employer
Health Care Consultant

Occupation

Managed Care Support Systems

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

10 / 19 / 2004

Transaction ID: C3280767

Amount of Each Receipt this Period

1000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(A)-1)* Earmarked Contribution:
See Below

SUBTOTAL of Receipts This Page (optional)

1500.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTSUse separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 265 / 455
(check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Rochelle Zengel

Mailing Address 300 Penrith Ave

City

Louisville

State

KY

Zip Code

40207-1633

FEC ID number of contributing
federal political committee.

C

Name of Employer
SelfOccupation
Art Broker

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

400.00

Date of Receipt

10 / 22 / 2004

Transaction ID: C3255968

Amount of Each Receipt this Period

100.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(1)/441a-1)

Full Name (Last, First, Middle Initial)

B. Robert Zelnick

Mailing Address 3303 Mini Cross Court

City

Oakton

State

VA

Zip Code

22124

FEC ID number of contributing
federal political committee.

C

Name of Employer
AttorneyOccupation
Information Requested

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

250.00

Date of Receipt

10 / 21 / 2004

Transaction ID: C3255309

Amount of Each Receipt this Period

250.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(1)/441a-1)

Full Name (Last, First, Middle Initial)

C. Lloyd Anderson

Mailing Address PO Box 15168

City

Washington

State

DC

Zip Code

20003

FEC ID number of contributing
federal political committee.

C

Name of Employer
UnpaidOccupation
self-employed

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

2000.00

Date of Receipt

10 / 18 / 2004

Transaction ID: C3261032

Amount of Each Receipt this Period

2000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(1)/441a-1)* Earmarked Contribution:
See Below

SUBTOTAL of Receipts This Page (optional)

2350.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 505 / 455
(check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
DANIEL MONGIARDO FOR US SENATE

A. Full Name (Last, First, Middle Initial) Audrey R. Haas		Date of Receipt 10 / 18 / 2004
Mailing Address 193B La Fremontia St		Transaction ID: C3259988
City South Pasadena	State CA	Zip Code 91030-4227
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 100.00
Name of Employer Self Employed	Occupation Merchants Bldg. Maintenance	<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(1)-(1))
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date 850.00	

* Earmarked Contribution:
See Below

B. Full Name (Last, First, Middle Initial) Mowson.org		Date of Receipt 10 / 19 / 2004
Mailing Address Po Box 9083		Transaction ID: C3259988B
City Berkeley	State CA	Zip Code 94709
FEC ID number of contributing federal political committee. C C00341386		Amount of Each Receipt this Period 100.00
Name of Employer	Occupation Conduit total 229,479.43	<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(1)-(1)) (MEMO ITEM) Note: Above Contribution earmarked through this organization.
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date .00	

C. Full Name (Last, First, Middle Initial) Matthew Hand		Date of Receipt 10 / 19 / 2004
Mailing Address 77 Bleecker St #916		Transaction ID: C3254726
City New York	State NY	Zip Code 10012
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1000.00
Name of Employer student	Occupation NYU Law (student)	<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(1)-(1))
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date 1000.00	

* Earmarked Contribution:
See Below

SUBTOTAL of Receipts This Page (optional)

1100.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 317 / 466
(check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)**A.** Karen Lehner

Mailing Address 775 E. Bithedale Ave #352

City State Zip Code
Mil Valley CA 94841FEC ID number of contributing
federal political committee.

C

Name of Employer
writerOccupation
self

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

2000.00

Date of Receipt

10 / 19 / 2004

Transaction ID: C3265187

Amount of Each Receipt this Period

2000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1a-1))* Earmarked Contribution:
See Below**Full Name (Last, First, Middle Initial)****B.** Moveon.org

Mailing Address Po Box 9063

City State Zip Code
Berkeley CA 94709FEC ID number of contributing
federal political committee.

C C00341396

Name of Employer

Occupation
Conduit total: 220,479.43

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

.00

Date of Receipt

10 / 19 / 2004

Transaction ID: C3265187B

Amount of Each Receipt this Period

2000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1a-1))[MEMO ITEM]
Note: Above Contribution
earmarked through this or-
ganization.**Full Name (Last, First, Middle Initial)****C.** Janet L. Leno

Mailing Address 1818 H Street, NWW World Bank, Colom

City State Zip Code
1818 H Street, NWW DC 20433FEC ID number of contributing
federal political committee.

C

Name of Employer
Education SpecialistOccupation
World Bank

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

250.00

Date of Receipt

10 / 24 / 2004

Transaction ID: C3266973

Amount of Each Receipt this Period

250.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1a-1))* Earmarked Contribution:
See Below**SUBTOTAL** of Receipts This Page (optional)

2250.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 347 / 455
(check only one)☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

DANIEL MONGIARDO FOR US SENATE

A. Full Name (Last, First, Middle Initial) Brian Wagner		Date of Receipt 10 / 19 / 2004	
Mailing Address 10 Milford St Apt 3		Transaction ID: C3263877	
City Boston	State MA	Zip Code 02118-3851	Amount of Each Receipt This Period 250.00
FEC ID number of contributing federal political committee. C			
Name of Employer IT Director		Occupation Investors Bank & Trust	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) _____		Election Cycle-to-Date 250.00	
B. Full Name (Last, First, Middle Initial) Mowenborg		Date of Receipt 10 / 19 / 2004	
Mailing Address Po Box 9063		Transaction ID: C3263877B	
City Berkeley	State CA	Zip Code 94708	Amount of Each Receipt This Period 250.00
FEC ID number of contributing federal political committee. C C00341396			
Name of Employer		Occupation Conduit total: 229,479.43	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) _____		Election Cycle-to-Date .00	
C. Full Name (Last, First, Middle Initial) Cynthia Wainwright		Date of Receipt 10 / 18 / 2004	
Mailing Address 1050 Park Ave		Transaction ID: C3261244	
City New York	State NY	Zip Code 10028	Amount of Each Receipt This Period 1000.00
FEC ID number of contributing federal political committee. C			
Name of Employer Retired		Occupation None	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) _____		Election Cycle-to-Date 1000.00	
SUBTOTAL of Receipts This Page (optional)		1250.00	
TOTAL This Period (last page this line number only)			

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: [PAGE 302 / 455 ..
(check only one)☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 16Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions
or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Movcon.orgMailing Address Po Box 9083

City

Berkeley

State

CA

Zip Code

94709FEC ID number of contributing
federal political committee.**C** C00341396

Name of Employer

Occupation

Conduit total: 228,478.43

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

.00

Date of Receipt

10 / 19 / 2004Transaction ID: C32607878

Amount of Each Receipt this Period

1000.00☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(1)-(1))
[MEMO ITEM]Note: Above Contribution
earmarked through this or-
ganization.

Full Name (Last, First, Middle Initial)

B. Anne GleacherMailing Address 1133 Fifth Avenue

City

New York

State

NY

Zip Code

10128FEC ID number of contributing
federal political committee.**C** Name of Employer,
Volunteer

Occupation

self

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

10 / 18 / 2004Transaction ID: C3260731

Amount of Each Receipt this Period

1000.00☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(1)-(1))* Earmarked Contribution:
See Below

Full Name (Last, First, Middle Initial)

C. Movcon.orgMailing Address Po Box 9083

City

Berkeley

State

CA

Zip Code

94709FEC ID number of contributing
federal political committee.**C** C00341396

Name of Employer

Occupation

Conduit total: 228,478.43

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

.00

Date of Receipt

10 / 19 / 2004Transaction ID: C3260731B

Amount of Each Receipt this Period

1000.00☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(1)-(1))
[MEMO ITEM]Note: Above Contribution
earmarked through this or-
ganization.

SUBTOTAL of Receipts This Page (optional)

1000.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTSUse separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 341/455
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	11d
<input type="checkbox"/>	12	<input type="checkbox"/>	13a	<input type="checkbox"/>	13b	<input type="checkbox"/>	14
<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>	16

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NAME OF COMMITTEE (in Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Eleanor Saff

Mailing Address 2859 Piedmont Ave.

City	State	Zip Code
Berkeley	CA	94705

FEC ID number of contributing
federal political committee.

C

Name of Employer
ProfessorOccupation
UC Berkeley

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

250.00

Date of Receipt

10 19 2004

Transaction ID: C3261398

Amount of Each Receipt this Period

250.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(A)-1)* Earmarked Contribution:
See Below

Full Name (Last, First, Middle Initial)

B. Mawson.org

Mailing Address Po Box 8063

City	State	Zip Code
Berkeley	CA	94709

FEC ID number of contributing
federal political committee.

C C00341398

Name of Employer

Occupation
Conduct total: 229,479.43

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

.00

Date of Receipt

10 19 2004

Transaction ID: C3281398B

Amount of Each Receipt this Period

250.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(A)-1)

MEMO ITEM

Note: Above Contribution
earmarked through this or-
ganization.

Full Name (Last, First, Middle Initial)

C. William Taylor

Mailing Address 2845 Peters Rd

City	State	Zip Code
Dexter	MI	48130

FEC ID number of contributing
federal political committee.

C

Name of Employer
PresidentOccupation
Colorbook

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

10 19 2004

Transaction ID: C3280151

Amount of Each Receipt this Period

1000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(A)-1)* Earmarked Contribution:
See Below

SUBTOTAL of Receipts This Page (optional)

1250.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER:		PAGE 333 / 455	
(check only one)			
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
<input type="checkbox"/> 15			

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NAME OF COMMITTEE (In Full)
DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial) A. Kenneth Salinger		Date of Receipt 10 / 20 / 2004	
Mailing Address 18 Putnam Rd		Transaction ID: C3260262	
City Arlington		Amount of Each Receipt this Period 500.00	
State MA		<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a)(441a-1)	
Zip Code 02474-8258		* Earmarked Contribution: See Below	
FEC ID number of contributing federal political committee. C			
Name of Employer attorney		Occupation Palmer & Dodge LLP	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Election Cycle-to-Date 500.00	

Full Name (Last, First, Middle Initial) B. Mowen.org		Date of Receipt 10 / 20 / 2004	
Mailing Address Po Box 9063		Transaction ID: C32602628	
City Berkeley		Amount of Each Receipt this Period 500.00	
State CA		<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a)(441a-1)	
Zip Code 94709		(MEMO ITEM) Note: Above Contribution earmarked through this organization.	
FEC ID number of contributing federal political committee. C C00341396			
Name of Employer		Occupation Conduit total: 229,479.43	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Election Cycle-to-Date .00	

Full Name (Last, First, Middle Initial) C. Pamela Samelson		Date of Receipt 10 / 19 / 2004	
Mailing Address 159 Mendosa Ave		Transaction ID: C3261698	
City San Francisco		Amount of Each Receipt this Period 2000.00	
State CA		<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a)(441a-1)	
Zip Code 94118-1844		* Earmarked Contribution: See Below	
FEC ID number of contributing federal political committee. C			
Name of Employer professor		Occupation university of california, berkeley	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Election Cycle-to-Date 2000.00	

SUBTOTAL of Receipts This Page (optional)

2500.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 303 / 455
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d	<input type="checkbox"/> 15
12	13a	13b	14	

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NAME OF COMMITTEE (In Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. robert glushko

Mailing Address 159 Mendocino Ave

City

San Francisco

State

CA

Zip Code

94116-1044

FEC ID number of contributing
federal political committee.

C

Name of Employer
professor

Occupation

university of california, berkeley

Receipt For: 2004

☐ Primary ☒ General☐ Other (specify) ▼

Election Cycle-to-Date ▼

2000.00

Date of Receipt

10 / 19 / 2004

Transaction ID: C3264743

Amount of Each Receipt this Period

2000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(A)-1)* Earmarked Contributions:
See Below

Full Name (Last, First, Middle Initial)

B. Mowson, Dr

Mailing Address P.O. Box 8063

City

Berkeley

State

CA

Zip Code

94708

FEC ID number of contributing
federal political committee.

C

C00341386

Name of Employer

Occupation

Conduit total: 229,479.43

Receipt For: 2004

☐ Primary ☒ General☐ Other (specify) ▼

Election Cycle-to-Date ▼

.00

Date of Receipt

10 / 19 / 2004

Transaction ID: C3264743B

Amount of Each Receipt this Period

2000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(A)-1)

[MEMO ITEM]

Note: Above Contribution
earmarked through this or-
ganization.

Full Name (Last, First, Middle Initial)

C. Joan Goldsmith

Mailing Address 1223 Yale Ave

City

Modesto

State

CA

Zip Code

95350-5625

FEC ID number of contributing
federal political committee.

C

Name of Employer
CPA

Occupation

self

Receipt For: 2004

☐ Primary ☒ General☐ Other (specify) ▼

Election Cycle-to-Date ▼

250.00

Date of Receipt

10 / 21 / 2004

Transaction ID: C3265894

Amount of Each Receipt this Period

250.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(A)-1)* Earmarked Contributions:
See Below

SUBTOTAL of Receipts This Page (optional)

2250.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 45 / 455

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Angela Callicotte

Mailing Address 124 Pleasant Colony Dr

City

Elizabethtown

State

KY

Zip Code

42701-8181

FEC ID number of contributing
federal political committee.

C

Name of Employer
Harry D. Callicotte & Ass-
ociates

Occupation

Office Manager

Receipt For: 2004

Election Cycle-to-Date ▼

☐ Primary ☒ General
☐ Other (specify) ▼

600.00

Date of Receipt

10 / 22 / 2004

Transaction ID: C3253429

Amount of Each Receipt this Period

50.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(1)-(1))

Full Name (Last, First, Middle Initial)

B. Maria Callicotte

Mailing Address 124 Pleasant Colony Dr

City

Elizabethtown

State

KY

Zip Code

42701-8181

FEC ID number of contributing
federal political committee.

C

Name of Employer
Harry D. Callicotte & Ass-
ociates

Occupation

Office Manager

Receipt For: 2010

Election Cycle-to-Date ▼

☒ Primary ☐ General
☐ Other (specify) ▼

600.00

Date of Receipt

11 / 22 / 2004

Transaction ID: C3268823

Amount of Each Receipt this Period

50.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(1)-(1))

Full Name (Last, First, Middle Initial)

C. William H. Calkin

Mailing Address 1543 17th Ave E

City

Seattle

State

WA

Zip Code

98112-2806

FEC ID number of contributing
federal political committee.

C

Name of Employer
University of Washington

Occupation

professor

Receipt For: 2004

Election Cycle-to-Date ▼

☐ Primary ☒ General
☐ Other (specify) ▼

1000.00

Date of Receipt

10 / 20 / 2004

Transaction ID: C3254164

Amount of Each Receipt this Period

1000.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(1)-(1))

SUBTOTAL of Receipts This Page (optional)

1100.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTSUse separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 717 455

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (in full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Jon Deven

Mailing Address PO Box 559

City

State

Zip Code

Medina

WA

98035-0549

FEC ID number of contributing
federal political committee.

C

Name of Employer
Microsoft Corporation

Occupation

Executive

Receipt For: 2004

Election Cycle-to-Date

☐ Primary ☒ General☐ Other (specify) ▼

2000.00

Date of Receipt

10 20 2004

Transaction ID: C3248194

Amount of Each Receipt this Period

2000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(1)(441a-1))

Full Name (Last, First, Middle Initial)

B. Robert Dickman

Mailing Address 4500 Le Jeune Road

City

State

Zip Code

Coral Gables

FL

33146-1813

FEC ID number of contributing
federal political committee.

C

Name of Employer
Information Requested

Occupation

Information Requested

Receipt For: 2004

Election Cycle-to-Date

☐ Primary ☒ General☐ Other (specify) ▼

250.00

Date of Receipt

11 02 2004

Transaction ID: C3267711

Amount of Each Receipt this Period

250.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(1)(441a-1))

Full Name (Last, First, Middle Initial)

C. Chris Diehl

Mailing Address 4025 East Mercer Way

City

State

Zip Code

Mercer Island

WA

98040-3821

FEC ID number of contributing
federal political committee.

C

Name of Employer
Group Health Permanente

Occupation

physician

Receipt For: 2004

Election Cycle-to-Date

☐ Primary ☒ General☐ Other (specify) ▼

250.00

Date of Receipt

10 22 2004

Transaction ID: C3253529

Amount of Each Receipt this Period

250.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(1)(441a-1))

SUBTOTAL of Receipts This Page (optional)

2500.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 134 / 455
(check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)
DANIEL MONGIARDO FOR US SENATE

A. Full Name (Last, First, Middle Initial) Zachary Kafoglis		Date of Receipt 10 / 25 / 2004	
Mailing Address PO Box 188		Transaction ID: C3258141	
City Bowling Green	State KY	Zip Code 42102-9188	Amount of Each Receipt this Period 200.00
FEC ID number of contributing federal political committee. C		Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(1)-(1))	
Name of Employer Zachary M. Kafoglis, Attorney at Law		Occupation Attorney	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) _____		Election Cycle-to-Date 350.00	
B. Full Name (Last, First, Middle Initial) Joseph Kane		Date of Receipt 10 / 21 / 2004	
Mailing Address PO Box 1328		Transaction ID: C3258697	
City Rehoboth	State DE	Zip Code 19971	Amount of Each Receipt this Period 300.00
FEC ID number of contributing federal political committee. C		Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(1)-(1))	
Name of Employer Information Requested		Occupation Information Requested	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) _____		Election Cycle-to-Date 300.00	
C. Full Name (Last, First, Middle Initial) Andrew Kaplan		Date of Receipt 10 / 20 / 2004	
Mailing Address 411 West Putnam Ave #340		Transaction ID: C3254185	
City Greenwich	State CT	Zip Code 06830-5291	Amount of Each Receipt this Period 1000.00
FEC ID number of contributing federal political committee. C		Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(1)-(1))	
Name of Employer Grange Park Capital		Occupation Money Manager	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) _____		Election Cycle-to-Date 1000.00	
BUSTOTAL of Receipts This Page (optional)		1500.00	
TOTAL This Period (last page this line number only)			

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 188 / 455
(check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)
DANIEL MONGIARDO FOR US SENATE

A. Full Name (Last, First, Middle Initial) Michael Novogratz-Fortress		Date of Receipt 11 / 02 / 2004	
Mailing Address 1251 Avenue of the Americas		Transaction ID: C3267710	
City New York	State NY	Zip Code 10020-1104	Amount of Each Receipt this Period 2000.00
FEC ID number of contributing federal political committee. C			
Name of Employer Information Requested		Occupation Information Requested	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Election Cycle-to-Date ▼ 2000.00	
B. Full Name (Last, First, Middle Initial) Ann Oldfather		Date of Receipt 10 / 20 / 2004	
Mailing Address 1330 S 3rd St		Transaction ID: C3248129	
City Louisville	State KY	Zip Code 40208-2306	Amount of Each Receipt this Period 1000.00
FEC ID number of contributing federal political committee. C			
Name of Employer Oldfather & Morris		Occupation Partner	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Election Cycle-to-Date ▼ 4000.00	
C. Full Name (Last, First, Middle Initial) Ann Oldfather		Date of Receipt 10 / 26 / 2004	
Mailing Address 1330 S 3rd St		Transaction ID: C3259573	
City Louisville	State KY	Zip Code 40208-2306	Amount of Each Receipt this Period 1000.00
FEC ID number of contributing federal political committee. C			
Name of Employer Oldfather & Morris		Occupation Partner	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Election Cycle-to-Date ▼ 4000.00	
SUBTOTAL of Receipts This Page (optional)		4000.00	
TOTAL This Period (last page this line number only)			

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 210 / 465

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (in Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Robert Redmon

Mailing Address 95 Quarry Dock Road

City

Niantic

State

CT

Zip Code

06357

FEC ID number of contributing
federal political committee.

C

Name of Employer
Self

Occupation

Attorney

Receipt For: 2004

☐ Primary ☒ General

☐ Other (specify) ▼

Election Cycle-to-Date ▼

250.00

Date of Receipt

10 / 22 / 2004

Transaction ID: C3258241

Amount of Each Receipt this Period

250.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1)-(1))

Full Name (Last, First, Middle Initial)

B. Thomas Redmon

Mailing Address 1625 9th Ave. W

City

Seattle

State

WA

Zip Code

98118-2822

FEC ID number of contributing
federal political committee.

C

Name of Employer
Openware Systems

Occupation

Executive

Receipt For: 2004

☐ Primary ☒ General

☐ Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

10 / 20 / 2004

Transaction ID: C3248192

Amount of Each Receipt this Period

1000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1)-(1))

Full Name (Last, First, Middle Initial)

C. Robert Redmon

Mailing Address PO Box 143

City

Shawnee

State

TN

Zip Code

37857-0143

FEC ID number of contributing
federal political committee.

C

Name of Employer
Serve-a-Lot Central Office-
B & R Corp.

Occupation

Vice President

Receipt For: 2004

☐ Primary ☒ General

☐ Other (specify) ▼

Election Cycle-to-Date ▼

2000.00

Date of Receipt

10 / 21 / 2004

Transaction ID: C3254832

Amount of Each Receipt this Period

2000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1)-(1))

SUBTOTAL of Receipts This Page (optional)

3250.00

TOTAL This Period (last page this line number only)

27092613353

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER:		PAGE 254 / 465	
(check only one)			
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
			<input type="checkbox"/> 15

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NAME OF COMMITTEE (In Full)
DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial) A. Anthony Tarricone		Date of Receipt 10 / 23 / 2004	
Mailing Address 23 Sharon Road		Transaction ID: C3255B45	
City Hamilton	State MA	Zip Code 01982-1307	Amount of Each Receipt this Period 2000.00
FEC ID number of contributing federal political committee. C			
Name of Employer Information Requested		Occupation Information Requested	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Election Cycle-to-Date ▼ 2000.00	
		<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(1)-(1))	

Full Name (Last, First, Middle Initial) B. Anthony Tarricone		Date of Receipt 10 / 21 / 2004	
Mailing Address 95 Commercial Wharf		Transaction ID: C3258B58	
City Boston	State MA	Zip Code 02110	Amount of Each Receipt this Period 250.00
FEC ID number of contributing federal political committee. C			
Name of Employer Santoli Tarricone & Flemi- ng		Occupation Attorney	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Election Cycle-to-Date ▼ 250.00	
		<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(1)-(1))	

Full Name (Last, First, Middle Initial) C. DAN TAYLOR		Date of Receipt 10 / 20 / 2004	
Mailing Address P.O. BOX 11188		Transaction ID: C3254253	
City LEXINGTON	State KY	Zip Code 40574-1188	Amount of Each Receipt this Period 2000.00
FEC ID number of contributing federal political committee. C			
Name of Employer KENTUCKY COAL SALES, LLC		Occupation COAL SALES	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Election Cycle-to-Date ▼ 2000.00	
		<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(1)-(1))	

SUBTOTAL of Receipts This Page (optional)

4250.00

TOTAL This Period (last page this line number only)

JP

27092613354

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 336 / 485
(check only one)☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)
A. Mowenlong

Mailing Address Po Box 8083

City State Zip Code
Berkeley CA 94709FEC ID number of contributing
federal political committee.

C C00341398

Name of Employer

Occupation

Conduit total: 229,479.43

Receipt For: 2004
☐ Primary ☒ General
☐ Other (specify) _____

Election Cycle-to-Date

.00

Date of Receipt

10 21 2004

Transaction ID: C32658748

Amount of Each Receipt this Period

250.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(A)-1)
[MEMO ITEM]Note: Above Contribution
earmarked through this or-
ganization.Full Name (Last, First, Middle Initial)
B. John Sherman

Mailing Address 1912 NW Aspen

City State Zip Code
Portland OR 97210FEC ID number of contributing
federal political committee.

C

Name of Employer
baseball manager

Occupation

22 Research

Receipt For: 2004
☐ Primary ☒ General
☐ Other (specify) _____

Election Cycle-to-Date

1000.00

Date of Receipt

10 20 2004

Transaction ID: C3261589

Amount of Each Receipt this Period

1000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(A)-1)* Earmarked Contribution:
See BelowFull Name (Last, First, Middle Initial)
C. Mowenlong

Mailing Address Po Box 8083

City State Zip Code
Berkeley CA 94709FEC ID number of contributing
federal political committee.

C C00341398

Name of Employer

Occupation

Conduit total: 229,479.43

Receipt For: 2004
☐ Primary ☒ General
☐ Other (specify) _____

Election Cycle-to-Date

.00

Date of Receipt

10 20 2004

Transaction ID: C3261589B

Amount of Each Receipt this Period

1000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(A)-1)
[MEMO ITEM]Note: Above Contribution
earmarked through this or-
ganization.

SUBTOTAL of Receipts This Page (optional)

1000.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 311/455
(check only one)☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Paul Johns

Mailing Address 2373 Yale Ave. E.

City

Seattle

State

WA

Zip Code

98102

FEC ID number of contributing
federal political committee.

C

Name of Employer
unemployedOccupation
unemployed

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) _____

Election Cycle-to-Date ▼

250.00

Date of Receipt

10/19/2004

Transaction ID: C3264201

Amount of Each Receipt this Period

250.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(1)(441a-1))* Earmarked Contribution:
See Below

Full Name (Last, First, Middle Initial)

B. Mowenlong

Mailing Address Po Box 9083

City

Berkeley

State

CA

Zip Code

94708

FEC ID number of contributing
federal political committee.

C

C00341396

Name of Employer

Occupation

Conduct total: 229,479.43

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) _____

Election Cycle-to-Date ▼

.00

Date of Receipt

10/19/2004

Transaction ID: C5264201B

Amount of Each Receipt this Period

250.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(1)(441a-1))

[MEMO ITEM]

Note: Above Contribution
earmarked through this or-
ganization.

Full Name (Last, First, Middle Initial)

C. Jonathan K Johnson

Mailing Address 10804 Flaxton St

City

Culver City

State

CA

Zip Code

90230-5405

FEC ID number of contributing
federal political committee.

C

Name of Employer
M.D.

Occupation

retired self employed

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) _____

Election Cycle-to-Date ▼

1000.00

Date of Receipt

10/20/2004

Transaction ID: C8265813

Amount of Each Receipt this Period

1000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(1)(441a-1))* Earmarked Contribution:
See Below

SUBTOTAL of Receipts This Page (optional)

1250.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTSUse separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 40 / 455
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
<input type="checkbox"/> 15			

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NAME OF COMMITTEE (In Full)
DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Andrew Brinker

Mailing Address 3421 Edmore

City	State	Zip Code
Oakton	VA	22124-2251

FEC ID number of contributing
federal political committee.

C

Name of Employer
NLRBOccupation
AttorneyReceipt For: 2004
☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

10 22 2004

Transaction ID: C3254278

Amount of Each Receipt this Period

1000.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a)(y)(441a-1)

Full Name (Last, First, Middle Initial)

B. Samuel Briones

Mailing Address 1912 Ridge Road

City	State	Zip Code
Homewood	IL	60431

FEC ID number of contributing
federal political committee.

C

Name of Employer
SelfOccupation
AttorneyReceipt For: 2004
☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

10 26 2004

Transaction ID: C3258291

Amount of Each Receipt this Period

1000.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a)(y)(441a-1)

Full Name (Last, First, Middle Initial)

C. Joanie Brentman

Mailing Address 1731 Beacon St
#517

City	State	Zip Code
Brookline	MA	02445

FEC ID number of contributing
federal political committee.

C

Name of Employer
SelfOccupation
ConsultantReceipt For: 2004
☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

2000.00

Date of Receipt

10 21 2004

Transaction ID: C3258876

Amount of Each Receipt this Period

2000.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a)(y)(441a-1)

SUBTOTAL of Receipts This Page (optional)

4000.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 357 / 456
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d	<input type="checkbox"/> 11e
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14	<input type="checkbox"/> 15

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in full)
DANIEL MONGIARDO FOR US SENATEFull Name (Last, First, Middle Initial)
A. Valerie LefterMailing Address **68 Naples Road**City **Brookline** State **MA** Zip Code **02448**FEC ID number of contributing
federal political committee.**C**Name of Employer
Simmons CollegeOccupation
ProfessorReceipt For: **2004**
☐ Primary ☒ General
☐ Other (specify) **▼**Election Cycle-to-Date **▼**
997.60

Date of Receipt

10 / 27 / 2004Transaction ID: **C3268157A**

Amount of Each Receipt this Period

997.60☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(A)-1)* Earmarked Contribution:
See BelowFull Name (Last, First, Middle Initial)
B. ArthurMailing Address **P.O. Box 382110**City **Cambridge** State **MA** Zip Code **02238**FEC ID number of contributing
federal political committee.**C** **C00401224**

Name of Employer

Occupation
Conduct total: 18,346.94Receipt For: **2004**
☐ Primary ☒ General
☐ Other (specify) **▼**Election Cycle-to-Date **▼**
.00

Date of Receipt

10 / 27 / 2004Transaction ID: **C3268157AB**

Amount of Each Receipt this Period

937.50☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(A)-1)**[MEMO ITEM]**
Note: Above Contribution
earmarked through this or-
ganization.Full Name (Last, First, Middle Initial)
C. David MessingMailing Address **176 Broadway
Apartment 4F**City **New York** State **NY** Zip Code **10038**FEC ID number of contributing
federal political committee.**C**Name of Employer
Simpson Thacher & BartlettOccupation
AttorneyReceipt For: **2004**
☐ Primary ☒ General
☐ Other (specify) **▼**Election Cycle-to-Date **▼**
1000.00

Date of Receipt

10 / 31 / 2004Transaction ID: **C3268110A**

Amount of Each Receipt this Period

1000.00☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(A)-1)* Earmarked Contribution:
See BelowSUBTOTAL of Receipts This Page (optional) **▶****1837.50**TOTAL This Period (last page this line number only) **▶**

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of line
Detailed Summary PageFOR LINE NUMBER: PAGE 228 / 455
(check only one)☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)

DANIEL MONGIARDO FOR US SENATE

A. Full Name (Last, First, Middle Initial)
Cemile Barouf
Mailing Address 95 Commercial WharfCity State Zip Code
Boston MA 02110FEC ID number of contributing
federal political committee.

C

Name of Employer
SelfOccupation
AttorneyReceipt For: 2004
☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

10 21 2004

Transaction ID: C3258237

Amount of Each Receipt this Period

1000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a)(y)(441a-1)**B.** Full Name (Last, First, Middle Initial)
Ann Sauer
Mailing Address 8538 Lange CircleCity State Zip Code
Dallas TX 75214FEC ID number of contributing
federal political committee.

C

Name of Employer
Baron & BuddOccupation
AttorneyReceipt For: 2004
☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

10 28 2004

Transaction ID: C3258688

Amount of Each Receipt this Period

1000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a)(y)(441a-1)**C.** Full Name (Last, First, Middle Initial)
Christopher Sauer
Mailing Address 459 12th Street #3DCity State Zip Code
Brooklyn NY 11215FEC ID number of contributing
federal political committee.

C

Name of Employer
Information RequestedOccupation
Information RequestedReceipt For: 2004
☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

500.00

Date of Receipt

10 14 2004

Transaction ID: C3245585

Amount of Each Receipt this Period

500.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a)(y)(441a-1)

SUBTOTAL of Receipts This Page (optional)

2500.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 46 / 455
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
<input type="checkbox"/> 15			

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NAME OF COMMITTEE (In Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Judy M. Campbell

Mailing Address 1720 Stonehaven Dr

City

Frankfort

State

KY

Zip Code

40601-9824

FEC ID number of contributing
federal political committee.

C

Name of Employer
Commonwealth of Kentucky

Occupation

Principal Asst.

Receipt For: 2004

☐ Primary ☒ General☐ Other (specify) ▼

Election Cycle-to-Date ▼

250.00

Date of Receipt

10 / 21 / 2004

Transaction ID: C3255303

Amount of Each Receipt This Period

50.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(A)-1)

Full Name (Last, First, Middle Initial)

B. Keth Campbell

Mailing Address 3333 East Florida; Unit 122

City

Denver

State

CO

Zip Code

80210-2530

FEC ID number of contributing
federal political committee.

C

Name of Employer
Retired

Occupation

Chemical Engineer

Receipt For: 2004

☐ Primary ☒ General☐ Other (specify) ▼

Election Cycle-to-Date ▼

250.00

Date of Receipt

10 / 27 / 2004

Transaction ID: C3258878

Amount of Each Receipt This Period

250.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(A)-1)

Full Name (Last, First, Middle Initial)

C. Susan Capra

Mailing Address 5232 N Olbender Avenue

City

Chicago

State

IL

Zip Code

60655

FEC ID number of contributing
federal political committee.

C

Name of Employer
Self

Occupation

Attorney

Receipt For: 2004

☐ Primary ☒ General☐ Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

10 / 22 / 2004

Transaction ID: C3258206

Amount of Each Receipt This Period

1000.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(A)-1)

SUBTOTAL of Receipts This Page (optional)

1300.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTSUse separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 155 / 455

(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	11d	<input type="checkbox"/>	15
	12		13a		13b		14		

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NAME OF COMMITTEE (in Full)
DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial) A. Christopher Little		Date of Receipt 10 / 22 / 2004
Mailing Address HCR 3, Box 48		Transaction ID: C3253680
City McDowell	State VA	Zip Code 24458-9805
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 2000.00
Name of Employer None	Occupation retired	Limit Increased Due to Opponent's Spending (2 U.S.C. 441a)(1)(441a-1)
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date ▼ 2000.00	

Full Name (Last, First, Middle Initial) B. Lawrence Livak		Date of Receipt 10 / 22 / 2004
Mailing Address 14 Midhill Dr		Transaction ID: C3255841
City Mill Valley	State CA	Zip Code 94941-1420
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 2000.00
Name of Employer Working Assets	Occupation Business	Limit Increased Due to Opponent's Spending (2 U.S.C. 441a)(1)(441a-1)
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date ▼ 4000.00	

Full Name (Last, First, Middle Initial) C. Richard Livak		Date of Receipt 10 / 22 / 2004
Mailing Address 119 Herabert St		Transaction ID: C3254305
City Milford	State CT	Zip Code 06460-1619
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 2000.00
Name of Employer Quinnipiac University	Occupation Law professor	Limit Increased Due to Opponent's Spending (2 U.S.C. 441a)(1)(441a-1)
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date ▼ 2000.00	

SUBTOTAL of Receipts This Page (optional)

6000.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTSUse separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 212/455

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
<input type="checkbox"/> 15			

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NAME OF COMMITTEE (In Full)

DANIEL MONGIARDO FOR US SENATE

A. Full Name (Last, First, Middle Initial)
Madeline RenoMailing Address 1941 Bishop Ln
STE 708City State Zip Code
Louisville KY 40216-1822FEC ID number of contributing
federal political committee.

C

Name of Employer
Self

Occupation

Family Therapist

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

1500.00

Date of Receipt

MM / DD / YYYY
10 / 21 / 2004

Transaction ID: C3255336

Amount of Each Receipt this Period

500.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(A)(1))**B.** Full Name (Last, First, Middle Initial)
Randy Repase

Mailing Address 500 Westridge Dr.

City State Zip Code
Watsonville CA 95076-4171FEC ID number of contributing
federal political committee.

C

Name of Employer
West Marine

Occupation

Executive

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

MM / DD / YYYY
10 / 22 / 2004

Transaction ID: C3255873

Amount of Each Receipt this Period

1000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(A)(1))**C.** Full Name (Last, First, Middle Initial)
Troy Reynolds

Mailing Address 1830 15th St NW

City State Zip Code
Washington DC 20005-3938FEC ID number of contributing
federal political committee.

C

Name of Employer
Kelly Drys & Warren

Occupation

Attorney

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

500.00

Date of Receipt

MM / DD / YYYY
10 / 18 / 2004

Transaction ID: C3254528

Amount of Each Receipt this Period

500.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(A)(1))

SUBTOTAL of Receipts This Page (optional)

2000.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 286 / 495
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
<input type="checkbox"/> 15			

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NAME OF COMMITTEE (In Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Movson.org

Mailing Address Po Box 8063

City

Berkeley

State

CA

Zip Code

94709

FEC ID number of contributing
federal political committee.

C

C00341396

Name of Employer

Occupation

Conduit total: 229,479.43

Receipt For: 2004

☐ Primary ☒ General☐ Other (specify) ▼

Election Cycle-to-Date ▼

.00

Date of Receipt

10 / 19 / 2004

Transaction ID: C3261032B

Amount of Each Receipt This Period

2000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(1)(441a-1))
[MEMO ITEM]Note: Above Contribution
earmarked through this or-
ganization.

Full Name (Last, First, Middle Initial)

B. Nancy G Anderson

Mailing Address 47 Sturdivant Rd

City

Cumberland Foreside

State

ME

Zip Code

04110

FEC ID number of contributing
federal political committee.

C

Name of Employer
volunteer

Occupation

self-employed

Receipt For: 2004

☐ Primary ☒ General☐ Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

10 / 22 / 2004

Transaction ID: C3266134

Amount of Each Receipt This Period

1000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(1)(441a-1))* Earmarked Contribution:
See Below

Full Name (Last, First, Middle Initial)

C. Movson.org

Mailing Address Po Box 8063

City

Berkeley

State

CA

Zip Code

94709

FEC ID number of contributing
federal political committee.

C

C00341396

Name of Employer

Occupation

Conduit total: 229,479.43

Receipt For: 2004

☐ Primary ☒ General☐ Other (specify) ▼

Election Cycle-to-Date ▼

.00

Date of Receipt

10 / 22 / 2004

Transaction ID: C3266134B

Amount of Each Receipt This Period

1000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(1)(441a-1))
[MEMO ITEM]Note: Above Contribution
earmarked through this or-
ganization.

SUBTOTAL of Receipts This Page (optional)

1000.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 297 / 458
(check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Alan S Davis

Mailing Address PO Box 580780

City

San Francisco

State

CA

Zip Code

94159

FEC ID number of contributing
federal political committee.

C

Name of Employer
Publisher

Occupation

Greenline Publications

Receipt For: 2004

☐ Primary ☒ General☐ Other (specify) ▼

Election Cycle-to-Date ▼

2000.00

Date of Receipt

MM / DD / YYYY
10 / 22 / 2004

Transaction ID: C3266157

Amount of Each Receipt this Period

2000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(1)-(1))* Earmarked Contribution:
See Below

Full Name (Last, First, Middle Initial)

B. Mowson,org

Mailing Address Po Box 9063

City

Berkeley

State

CA

Zip Code

94709

FEC ID number of contributing
federal political committee.

C

C00341996

Name of Employer

Occupation

Conduit total: 229,479.43

Receipt For: 2004

☐ Primary ☒ General☐ Other (specify) ▼

Election Cycle-to-Date ▼

.00

Date of Receipt

MM / DD / YYYY
10 / 22 / 2004

Transaction ID: C3266157B

Amount of Each Receipt this Period

2000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(1)-(1))
[MEMO ITEM]Note: Above Contribution
earmarked through this or-
ganization.

Full Name (Last, First, Middle Initial)

C. Trayton Davis

Mailing Address 50 Upper Mountain Ave

City

Montclair

State

NJ

Zip Code

07042-1823

FEC ID number of contributing
federal political committee.

C

Name of Employer
Attorney

Occupation

Milbank Tweed LLP

Receipt For: 2004

☐ Primary ☒ General☐ Other (specify) ▼

Election Cycle-to-Date ▼

250.00

Date of Receipt

MM / DD / YYYY
10 / 18 / 2004

Transaction ID: C3265288

Amount of Each Receipt this Period

250.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(1)-(1))* Earmarked Contribution:
See Below

SUBTOTAL of Receipts This Page (optional)

2250.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTSUse separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 321 / 456
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	11d	<input type="checkbox"/>	15
<input type="checkbox"/>	12	<input type="checkbox"/>	13a	<input type="checkbox"/>	13b	<input type="checkbox"/>	14	<input type="checkbox"/>	

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NAME OF COMMITTEE (In Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Barbara Meyer

Mailing Address 75 Ponce de Leon Ave #902

City Atlanta State GA Zip Code 30308

FEC ID number of contributing
federal political committee.

C

Name of Employer
Foundation PresidentOccupation
Retired

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

10 / 23 / 2004

Transaction ID: C3286313

Amount of Each Receipt this Period

1000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(f)(4)(1a-1))* Earmarked Contribution:
See Below

Full Name (Last, First, Middle Initial)

B. Mavson.org

Mailing Address P.O. Box 9063

City Berkeley State CA Zip Code 94708

FEC ID number of contributing
federal political committee.

C

C00341396

Name of Employer

Occupation
Conduit total: 228,478.43

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

.00

Date of Receipt

10 / 23 / 2004

Transaction ID: C3266313B

Amount of Each Receipt this Period

1000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(f)(4)(1a-1))

[MEMO ITEM]

Note: Above Contribution
earmarked through this or-
ganization.

Full Name (Last, First, Middle Initial)

C. Jeremy Hndich

Mailing Address 838 West End Ave.

City New York State NY Zip Code 10025

FEC ID number of contributing
federal political committee.

C

Name of Employer
FinanceOccupation
scopia capital

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

250.00

Date of Receipt

10 / 21 / 2004

Transaction ID: C3288013

Amount of Each Receipt this Period

250.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(f)(4)(1a-1))* Earmarked Contribution:
See Below

SUBTOTAL of Receipts This Page (optional)

1250.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 66 / 455

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
<input type="checkbox"/> 15			

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NAME OF COMMITTEE (in full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Joel Tawon

Mailing Address 38 old farm hill road

City

newtown

State

CT

Zip Code

02470-1148

FEC ID number of contributing
federal political committee.

C

Name of Employer
selfOccupation
attorney

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

MAY / 06 / 2004

Transaction ID: C3288605

Amount of Each Receipt this Period

1000.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1a-1))

Full Name (Last, First, Middle Initial)

B. Jonathan Feber

Mailing Address 3000 Sand Hill Road Bldg 3 Suite 2

City

Menlo Park

State

CA

Zip Code

94023

FEC ID number of contributing
federal political committee.

C

Name of Employer
MDVOccupation
General Partner

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

2000.00

Date of Receipt

MAY / 14 / 2004

Transaction ID: C3245587

Amount of Each Receipt this Period

2000.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1a-1))

Full Name (Last, First, Middle Initial)

C. Sharon Felty-Corner

Mailing Address PO Box 1543

City

Frankfort

State

KY

Zip Code

40602-1543

FEC ID number of contributing
federal political committee.

C

Name of Employer
retiredOccupation
educator

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

MAY / 24 / 2004

Transaction ID: C3256019

Amount of Each Receipt this Period

1000.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1a-1))

SUBTOTAL of Receipts This Page (optional)

4000.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 384 / 455

(check only one)

<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input checked="" type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
<input type="checkbox"/> 15			

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NAME OF COMMITTEE (in Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Transportation Trades Department AFL-CIO Political

Mailing Address 1025 Connecticut Avenue NW, Suite

City	State	Zip Code
Washington	DC	20036

FEC ID number of contributing
federal political committee.

C

Name of Employer
AFL-CIO Transportation Tr-
ade UnionOccupation
Executive Director

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) _____

Election Cycle to Date

5000.00

Date of Receipt

10 24 2004

Transaction ID: C3256097

Amount of Each Receipt this Period

5000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(A)-1)

js

Full Name (Last, First, Middle Initial)

B. United Pilots Pictoline Photo Association

Mailing Address 8400 Shafer Court, Suite 700

City	State	Zip Code
Rosemont	IL	60018

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation
Treasurer

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) _____

Election Cycle to Date

6000.00

Date of Receipt

10 28 2004

Transaction ID: C3256742

Amount of Each Receipt this Period

5000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(A)-1)

Full Name (Last, First, Middle Initial)

C. United Transportation Union (utu) Transportation P

Mailing Address 14600 Detroit Avenue

City	State	Zip Code
Cleveland	OH	44107

FEC ID number of contributing
federal political committee.

C C00001636

Name of Employer

Occupation

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) _____

Election Cycle to Date

6000.00

Date of Receipt

10 19 2004

Transaction ID: C3247877

Amount of Each Receipt this Period

5000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(A)-1)

SUBTOTAL of Receipts This Page (optional)

15000.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 52 / 455
(check only one)☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)
DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial) A. Robert B. Bianco		Date of Receipt 10 / 26 / 2004
Mailing Address 138 N. Jackson Street		Transaction ID: C3256385
City Arlington	State VA	Zip Code 22201
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 500.00
Name of Employer Meltz, Bianco and Associates (Self)	Occupation Attorney	Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(A)-1)
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other (specify) ▼	Election Cycle-to-Date 1500.00	
Full Name (Last, First, Middle Initial) B. Stephen Glavin		Date of Receipt 10 / 25 / 2004
Mailing Address 33 North La Salle Street Ste. 2200		Transaction ID: C3258188
City Chicago	State IL	Zip Code 60602
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1000.00
Name of Employer Self	Occupation Attorney	Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(A)-1)
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other (specify) ▼	Election Cycle-to-Date 1000.00	
Full Name (Last, First, Middle Initial) C. Norbert Blessing		Date of Receipt 10 / 19 / 2004
Mailing Address 55 Armour Ct.		Transaction ID: C3247894
City Hendersonville	State NC	Zip Code 28739-6842
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1000.00
Name of Employer retired	Occupation retired	Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(A)-1)
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other (specify) ▼	Election Cycle-to-Date 1000.00	
SUBTOTAL of Receipts This Page (optional)		2500.00
TOTAL This Period (last page this line number only)		

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 38/455
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
<input type="checkbox"/> 15			

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NAME OF COMMITTEE (in full)
DANIEL MONGIARDO FOR US SENATE

A. Full Name (Last, First, Middle Initial)
Michael A Breen
Mailing Address **1700 Destiny Ln**

City State Zip Code
Bowling Green KY 42104-1083

FEC ID number of contributing federal political committee: **C**

Name of Employer Self Occupation
Attorney
Receipt For: 2004
☐ Primary ☒ General
☐ Other (specify) **Election Cycle-to-Date 1750.00**

Date of Receipt
10 28 2004

Transaction ID: **C3258543**
Amount of Each Receipt this Period
500.00

Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(1a-1))

B. Full Name (Last, First, Middle Initial)
Richard M. Breen
Mailing Address **2 Glen Arden Rd**

City State Zip Code
Glenview KY 40024-7811

FEC ID number of contributing federal political committee: **C**

Name of Employer Self Richard Breen Law Of- fice Occupation
Attorney
Receipt For: 2004
☐ Primary ☒ General
☐ Other (specify) **Election Cycle-to-Date 1500.00**

Date of Receipt
10 25 2004

Transaction ID: **C3256509**
Amount of Each Receipt this Period
1000.00

Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(1a-1))

C. Full Name (Last, First, Middle Initial)
Ruth Bridges
Mailing Address **1700 Lincoln St**

City State Zip Code
Denver CO 80203-4518

FEC ID number of contributing federal political committee: **C**

Name of Employer Big Horn Center Occupation
CEO
Receipt For: 2004
☐ Primary ☒ General
☐ Other (specify) **Election Cycle-to-Date 2000.00**

Date of Receipt
10 21 2004

Transaction ID: **C3254852**
Amount of Each Receipt this Period
2000.00

Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(1a-1))

SUBTOTAL of Receipts This Page (optional)

TOTAL This Period (last page this line number only)

3500.00

27092613369

je

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTSUse separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 57/455

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Edward Conners

Mailing Address 9011 Lathborough Dr

City State Zip Code

Louisville KY 40299-1437

FEC ID number of contributing
federal political committee.

C

Name of Employer
RetiredOccupation
GE/retired

Receipt For: 2004

☐ Primary ☒ General☐ Other (specify) ▼

Election Cycle-to-Date ▼

450.00

Date of Receipt

10 14 2004

Transaction ID: C3245544

Amount of Each Receipt this Period

100.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(5)(441a-1))

Full Name (Last, First, Middle Initial)

B. John William Conway

Mailing Address 2339 Saretoga Dr

City State Zip Code

Louisville KY 40201-2020

FEC ID number of contributing
federal political committee.

C

Name of Employer
Jack Conway, AttorneyOccupation
Attorney

Receipt For: 2004

☐ Primary ☒ General☐ Other (specify) ▼

Election Cycle-to-Date ▼

2000.00

Date of Receipt

10 21 2004

Transaction ID: C3254854

Amount of Each Receipt this Period

2000.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(1)(441a-1))

Full Name (Last, First, Middle Initial)

C. Kevin Conway

Mailing Address 1426 Clinton Pl

City State Zip Code

River Forest IL 60305

FEC ID number of contributing
federal political committee.

C

Name of Employer
SelfOccupation
Attorney

Receipt For: 2004

☐ Primary ☒ General☐ Other (specify) ▼

Election Cycle-to-Date ▼

2000.00

Date of Receipt

10 25 2004

Transaction ID: C3258188

Amount of Each Receipt this Period

2000.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(1)(441a-1))

SUBTOTAL of Receipts This Page (optional)

4100.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER:		PAGE 59 / 455	
(check only one)			
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14 <input type="checkbox"/> 15

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NAME OF COMMITTEE (In Full)
DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial) A. Samuel C. Corbett		Date of Receipt 10 / 19 / 2004	
Mailing Address 33 Brownstone Hill Rd		Transaction ID: C3247594	
City Louisville	State KY	Zip Code 40207-2009	Amount of Each Receipt this Period 1000.00
FEC ID number of contributing federal political committee. C			
Name of Employer Sam Meyer's Formal Wear		Occupation Vice President	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) _____		Election Cycle-to-Date 3000.00	
		Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(j)(4)(1)-(7))	

Full Name (Last, First, Middle Initial) B. Philip Corboy		Date of Receipt 10 / 25 / 2004	
Mailing Address 711 Glenridge		Transaction ID: C3258219	
City Glenview	State IL	Zip Code 60025	Amount of Each Receipt this Period 2000.00
FEC ID number of contributing federal political committee. C			
Name of Employer Self		Occupation Attorney	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) _____		Election Cycle-to-Date 2000.00	
		Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(j)(4)(1)-(7))	

Full Name (Last, First, Middle Initial) C. Feril Corley		Date of Receipt 10 / 18 / 2004	
Mailing Address 1380 E 26th Place		Transaction ID: C3247284	
City Tulsa	State OK	Zip Code 74114	Amount of Each Receipt this Period 750.00
FEC ID number of contributing federal political committee. C			
Name of Employer Corley and Gavon		Occupation Atty	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) _____		Election Cycle-to-Date 750.00	
		Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(j)(4)(1)-(7))	

SUBTOTAL of Receipts This Page (optional)

3750.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTSUse separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 93 / 455
(check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Mary Broom Foster

Mailing Address PO Box 215

City State Zip Code
Cloverdale CA 95425-0215FEC ID number of contributing
federal political committee.

C

Name of Employer
Williams B. Lockner, Char-
teredOccupation
legal assistant

Receipt For: 2004

Election Cycle-to-Date

☐ Primary ☒ General
☐ Other (specify) ▼

250.00

Date of Receipt

10 22 2004

Transaction ID: C3253858

Amount of Each Receipt this Period

250.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(f)(441a-1))

Full Name (Last, First, Middle Initial)

B. Virginia Fowler

Mailing Address 53 E Market St

City State Zip Code
Bethlehem PA 18018-9924FEC ID number of contributing
federal political committee.

C

Name of Employer
Information RequestedOccupation
Homemaker

Receipt For: 2004

Election Cycle-to-Date

☐ Primary ☒ General
☐ Other (specify) ▼

2000.00

Date of Receipt

10 25 2004

Transaction ID: C3258771

Amount of Each Receipt this Period

2000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(f)(441a-1))

Full Name (Last, First, Middle Initial)

C. Jordan Fox

Mailing Address 24 Coolidge Street

City State Zip Code
Larchmont NY 10538-2518FEC ID number of contributing
federal political committee.

C

Name of Employer
Belnick & FoxOccupation
attorney-ATLA

Receipt For: 2004

Election Cycle-to-Date

☐ Primary ☒ General
☐ Other (specify) ▼

250.00

Date of Receipt

10 29 2004

Transaction ID: C3258885

Amount of Each Receipt this Period

250.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(f)(441a-1))

SUBTOTAL of Receipts This Page (optional)

2500.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 129 / 465
(check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (in Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial) A. D. Bruce Hundley		Date of Receipt 10 / 25 / 2004
Mailing Address PO Box 5		Transaction ID: C3256065
City Versailles	State KY	Zip Code 40383-0005
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1000.00
Name of Employer Saxony Farm	Occupation Thoroughbred Breeder	Limit Increased Due to Opponent's Spending (2 U.S.C. 441a)(441a-1)
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date 2000.00	

Full Name (Last, First, Middle Initial) B. John R. Hunting Living Trust		Date of Receipt 10 / 26 / 2004
Mailing Address 60 Monroe Center NW Suite 6A		Transaction ID: C3256732
City Grand Rapids	State MI	Zip Code 49503
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1000.00
Name of Employer Retired	Occupation Retired	Limit Increased Due to Opponent's Spending (2 U.S.C. 441a)(441a-1)
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date 1000.00	

Full Name (Last, First, Middle Initial) C. Christopher Hursey		Date of Receipt 10 / 25 / 2004
Mailing Address 210 W. Lincoln Street		Transaction ID: C3258192
City Chicago	State IL	Zip Code 60610
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1000.00
Name of Employer Self	Occupation Attorney	Limit Increased Due to Opponent's Spending (2 U.S.C. 441a)(441a-1)
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date 1000.00	

SUBTOTAL of Receipts This Page (optional)

3000.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTSUse separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 145 / 455
(check only one)☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Marick Kray

Mailing Address 2858 Wildrose St E

City

Edgewood

State

KY

Zip Code

41017-2409

FEC ID number of contributing
federal political committee.

C

Name of Employer
Commonwealth of Kentucky

Occupation

Deputy PVA Kenton County

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

550.00

Date of Receipt

10 31 2004

Transaction ID: C3267077

Amount of Each Receipt this Period

250.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1a-1))

Full Name (Last, First, Middle Initial)

B. Jeffrey Kroll

Mailing Address 1850 W Wellington

City

Chicago

State

IL

Zip Code

60657

FEC ID number of contributing
federal political committee.

C

Name of Employer
Self

Occupation

Attorney

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

10 25 2004

Transaction ID: C3258205

Amount of Each Receipt this Period

1000.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1a-1))

Full Name (Last, First, Middle Initial)

C. Edward Krugman

Mailing Address 247 West 12th Street

City

New York

State

NY

Zip Code

10014-1992

FEC ID number of contributing
federal political committee.

C

Name of Employer
Cahill Gordon & Reindel
LLP

Occupation

Lawyer

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

2000.00

Date of Receipt

10 22 2004

Transaction ID: C3253958

Amount of Each Receipt this Period

2000.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1a-1))

SUBTOTAL of Receipts This Page (optional)

3250.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of line
Detailed Summary Page

FOR LINE NUMBER:		PAGE 156 / 455	
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14 <input type="checkbox"/> 15

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NAME OF COMMITTEE (In Full)
DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial) A. Karl Lloyd		Date of Receipt MM / DD / YYYY 10 / 25 / 2004	
Mailing Address 200 W Madison Street Suite 2050		Transaction ID: C3258227	
City Chicago	State IL	Zip Code 60604	Amount of Each Receipt This Period 2000.00
FEC ID number of contributing federal political committee C			
Name of Employer Self		Occupation Attorney	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Election Cycle-to-Date ▼ 2000.00	
		<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a)(1)(441a-1)	

Full Name (Last, First, Middle Initial) B. Mary A. Lockwood		Date of Receipt MM / DD / YYYY 10 / 23 / 2004	
Mailing Address 2835 Ranch Court		Transaction ID: C3258083	
City Ashland	State KY	Zip Code 41102-5805	Amount of Each Receipt This Period 100.00
FEC ID number of contributing federal political committee C			
Name of Employer retired		Occupation Teacher	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Election Cycle-to-Date ▼ 300.00	
		<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a)(1)(441a-1)	

Full Name (Last, First, Middle Initial) C. Jennifer Low		Date of Receipt MM / DD / YYYY 10 / 26 / 2004	
Mailing Address 20880 Hamaca Court		Transaction ID: C3258481	
City Boca Raton	State FL	Zip Code 33433-2715	Amount of Each Receipt This Period 1000.00
FEC ID number of contributing federal political committee C			
Name of Employer Florida Atlantic University		Occupation Professor	
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Election Cycle-to-Date ▼ 1000.00	
		<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a)(1)(441a-1)	

SUBTOTAL of Receipts This Page (optional) ➤

3100.00

TOTAL This Period (last page this line number only) ➤

27092613375

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTSUse separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 1807455
(check only one)☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Gary Osborne

Mailing Address 151 Goldfinch Dr

City

Owensboro

State

KY

Zip Code

42301-0258

FEC ID number of contributing
federal political committee.

C

Name of Employer
IBEW Local #1701

Occupation

Union Representative

Receipt For:

2004

☐ Primary☒ General☐ Other (specify) ▼

Election Cycle-to-Date ▼

372.50

Date of Receipt

MM / DD / YYYY
10 / 27 / 2004

Transaction ID: C9258823

Amount of Each Receipt this Period

22.50

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1)-(1))

Full Name (Last, First, Middle Initial)

B. Lonnie K. Osborne

Mailing Address 184 Meadowview Ln

City

Pineville

State

KY

Zip Code

41501-1455

FEC ID number of contributing
federal political committee.

C

Name of Employer
Pike County Fiscal

Occupation

PVA

Receipt For:

2004

☐ Primary☒ General☐ Other (specify) ▼

Election Cycle-to-Date ▼

300.00

Date of Receipt

MM / DD / YYYY
10 / 27 / 2004

Transaction ID: C3258720

Amount of Each Receipt this Period

200.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1)-(1))

Full Name (Last, First, Middle Initial)

C. Lafayette G. Owen

Mailing Address 2412 Longest Ave

City

Louisville

State

KY

Zip Code

40204-2125

FEC ID number of contributing
federal political committee.

C

Name of Employer
self

Occupation

Dermatologist

Receipt For:

2004

☐ Primary☒ General☐ Other (specify) ▼

Election Cycle-to-Date ▼

1250.00

Date of Receipt

MM / DD / YYYY
10 / 25 / 2004

Transaction ID: C3258668

Amount of Each Receipt this Period

1000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1)-(1))

SUBTOTAL of Receipts This Page (optional)

1222.50

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 204 / 485
(check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (in Full)

DANIEL MONGIARDO FOR US SENATE

A. Full Name (Last, First, Middle Initial)
Daniel C. Price

Mailing Address 295 Greenwich St, Apt. 9G

City State Zip Code
New York NY 10007-1068FEC ID number of contributing
federal political committee.

C

Name of Employer
McKinseyOccupation
ConsultantReceipt For: 2004
☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

250.00

Date of Receipt

MM / DD / YYYY
10 / 30 / 2004

Transaction ID: C3267030

Amount of Each Receipt This Period

250.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1a-1))B. Full Name (Last, First, Middle Initial)
Thomas Prindle

Mailing Address 120 N LaSalle St., 31st Floor

City State Zip Code
Chicago IL 60602FEC ID number of contributing
federal political committee.

C

Name of Employer
SelfOccupation
AttorneyReceipt For: 2004
☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

1000.00

Date of Receipt

MM / DD / YYYY
10 / 25 / 2004

Transaction ID: C3258225

Amount of Each Receipt This Period

1000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1a-1))C. Full Name (Last, First, Middle Initial)
John Pringle

Mailing Address 119 Morgan Bend Court

City State Zip Code
Chapel Hill NC 27517-4824FEC ID number of contributing
federal political committee.

C

Name of Employer
University of North Carol-
ineOccupation
ProfessorReceipt For: 2004
☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

300.00

Date of Receipt

MM / DD / YYYY
10 / 28 / 2004

Transaction ID: C3259098

Amount of Each Receipt This Period

300.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1a-1))

SUBTOTAL of Receipts This Page (optional)

1550.00

TOTAL This Period (last page this line number only)

27092613377

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTSUse separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 220 / 465
(check only one)☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (in Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Lee Rohn

Mailing Address 1101 King Street

City

Christiansburg

State

VA

Zip Code

00620

FEC ID number of contributing
federal political committee.

C

Name of Employer
Self

Occupation

Attorney

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) _____

Election Cycle-to-Date ▼

1000.00

Date of Receipt

10 / 25 / 2004

Transaction ID: C3258198

Amount of Each Receipt this Period

1000.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(1)-(1))

Full Name (Last, First, Middle Initial)

B. Antonio Romanucci

Mailing Address 33 N LaSalle Street 20th Floor

City

Chicago

State

IL

Zip Code

60602

FEC ID number of contributing
federal political committee.

C

Name of Employer
Self

Occupation

Attorney

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) _____

Election Cycle-to-Date ▼

1500.00

Date of Receipt

10 / 25 / 2004

Transaction ID: C3258185

Amount of Each Receipt this Period

1500.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(1)-(1))

Full Name (Last, First, Middle Initial)

C. Sanford Rosen

Mailing Address 3504 Clay Street

City

San Francisco

State

CA

Zip Code

94118-1838

FEC ID number of contributing
federal political committee.

C

Name of Employer
lawyer

Occupation

Rosen, Blen & Asaro LLP

Receipt For: 2004

☐ Primary ☒ General
☐ Other (specify) _____

Election Cycle-to-Date ▼

400.00

Date of Receipt

10 / 22 / 2004

Transaction ID: C3259823

Amount of Each Receipt this Period

270.00

☐ Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(j)(4)(1)-(1))

SUBTOTAL of Receipts This Page (optional)

2770.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER:		PAGE 1231465	
(check only one)			
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
<input type="checkbox"/> 15			

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NAME OF COMMITTEE (In Full)
DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial) A. C. Bruce Hundley		Date of Receipt 10 / 25 / 2004
Mailing Address PQ Box 5		Transaction ID: C3256055
City Versailles	State KY	Zip Code 40383-0005
FEC ID number of contributing federal political committee C		Amount of Each Receipt this Period 1000.00
Name of Employer Saxony Farm	Occupation Thoroughbred Breeder	Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(1)-(1))
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date ▼ 2000.00	

Full Name (Last, First, Middle Initial) B. John R. Hunting Living Trust		Date of Receipt 10 / 25 / 2004
Mailing Address 60 Monroe Center NW Suite 6A		Transaction ID: C3258732
City Grand Rapids	State MI	Zip Code 49503
FEC ID number of contributing federal political committee C		Amount of Each Receipt this Period 1000.00
Name of Employer Retired	Occupation Retired	Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(1)-(1))
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date ▼ 1000.00	

Full Name (Last, First, Middle Initial) C. Christopher Hurley		Date of Receipt 10 / 25 / 2004
Mailing Address 210 W. Lincoln Street		Transaction ID: C3258192
City Chicago	State IL	Zip Code 60610
FEC ID number of contributing federal political committee C		Amount of Each Receipt this Period 1000.00
Name of Employer Self	Occupation Attorney	Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(1)-(1))
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date ▼ 1000.00	

SUBTOTAL of Receipts This Page (optional)

3000.00

TOTAL This Period (last page this line number only)

27092613379

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**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: **PAGE 371455**
(check only one)☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Barbara Boyle

Mailing Address 1887 Park Vista Dr.

City State Zip Code
Chicago CA 95928-4181FEC ID number of contributing
federal political committee.

C

Name of Employer
NoneOccupation
RetiredReceipt For: 2004
☐ Primary ☒ General
☐ Other (specify) ▼Election Cycle-to-Date ▼
1000.00

Date of Receipt

10 22 2004

Transaction ID: C3253635

Amount of Each Receipt this Period

1000.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1a-1))

Full Name (Last, First, Middle Initial)

B. William Bazemant

Mailing Address Post Office Box 3502

City State Zip Code
Milton FL 32572-3502FEC ID number of contributing
federal political committee.

C

Name of Employer
SelfOccupation
Trial LawyerReceipt For: 2004
☐ Primary ☒ General
☐ Other (specify) ▼Election Cycle-to-Date ▼
2000.00

Date of Receipt

10 29 2004

Transaction ID: C3266850

Amount of Each Receipt this Period

2000.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1a-1))

Full Name (Last, First, Middle Initial)

C. Jamie Bradshaw

Mailing Address 7 Warwick Lane

City State Zip Code
Frankfort KY 40601FEC ID number of contributing
federal political committee.

C

Name of Employer
SelfOccupation
DentistReceipt For: 2004
☐ Primary ☒ General
☐ Other (specify) ▼Election Cycle-to-Date ▼
250.00

Date of Receipt

10 18 2004

Transaction ID: C3247334

Amount of Each Receipt this Period

250.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1a-1))

SUBTOTAL of Receipts This Page (optional)

3250.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 42 / 455

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
<input type="checkbox"/> 15			

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NAME OF COMMITTEE (In Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Gregory Bubala

Mailing Address 800 Brown Williamson Tower

City	State	Zip Code
Louisville	KY	40202-3479

FEC ID number of contributing federal political committee.

☒ CName of Employer
Bubala & Heastand PSCOccupation
LawyerReceipt For: 2004
☐ Primary ☒ General
☐ Other (specify) ▼Election Cycle-to-Date ▼
1000.00

Date of Receipt

10 29 2004

Transaction ID: C3266851

Amount of Each Receipt this Period

1000.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1a-1))

Full Name (Last, First, Middle Initial)

B. Roland Buck

Mailing Address 1125 Old Flemingsburg Rd

City	State	Zip Code
Morehead	KY	40351-8920

FEC ID number of contributing federal political committee.

☒ CName of Employer
Morehead State UniversityOccupation
ProfessorReceipt For: 2004
☐ Primary ☒ General
☐ Other (specify) ▼Election Cycle-to-Date ▼
250.00

Date of Receipt

10 18 2004

Transaction ID: C3254832

Amount of Each Receipt this Period

250.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1a-1))

Full Name (Last, First, Middle Initial)

C. Thomas J. Burch, Sr

Mailing Address 4012 Lambert Ave

City	State	Zip Code
Louisville	KY	40218-3410

FEC ID number of contributing federal political committee.

☒ CName of Employer
State Rep.Occupation
State Of KentuckyReceipt For: 2004
☐ Primary ☒ General
☐ Other (specify) ▼Election Cycle-to-Date ▼
400.00

Date of Receipt

10 26 2004

Transaction ID: C3258525

Amount of Each Receipt this Period

100.00

Limit Increased Due to Opponent's
Spending (2 U.S.C. 441a(i)(4)(1a-1))

SUBTOTAL of Receipts This Page (optional)

1350.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 56 / 456

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (in full)
DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial)

A. Shannon Combs

Mailing Address 903 W Main St

City Richmond State KY Zip Code 40475-1115

FEC ID number of contributing federal political committee.

C

Name of Employer
Combs-Parsons & Collins Inc.

Occupation
Funeral Director

Receipt For: 2004
☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼
250.00

Date of Receipt

10 26 2004

Transaction ID: C3258358

Amount of Each Receipt this Period

250.00

Limit Increased Due to Opponent's Spending (2 U.S.C. 441a)(1)(441a-1)

Full Name (Last, First, Middle Initial)

B. Shannon Combs

Mailing Address 2900 southern hills circle

City Des Moines State IA Zip Code 50321-1468

FEC ID number of contributing federal political committee.

C

Name of Employer
Self

Occupation
Attorney

Receipt For: 2004
☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼
2000.00

Date of Receipt

10 29 2004

Transaction ID: C3268689

Amount of Each Receipt this Period

2000.00

Limit Increased Due to Opponent's Spending (2 U.S.C. 441a)(1)(441a-1)

Full Name (Last, First, Middle Initial)

C. Kevin Conlan

Mailing Address 155 Laurel Avenue

City Wilmette State IL Zip Code 60091-2830

FEC ID number of contributing federal political committee.

C

Name of Employer
Information Requested

Occupation
Information Requested

Receipt For: 2004
☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼
1000.00

Date of Receipt

10 26 2004

Transaction ID: C3258143

Amount of Each Receipt this Period

1000.00

Limit Increased Due to Opponent's Spending (2 U.S.C. 441a)(1)(441a-1)

SUBTOTAL of Receipts This Page (optional)

3250.00

TOTAL This Period (last page this line number only)

27092613382

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SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER:		PAGE 80 / 455	
(check only one)			
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
			<input type="checkbox"/> 15

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NAME OF COMMITTEE (In Full)
DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial) A. James Early		Date of Receipt 10 / 29 / 2004
Mailing Address 265 Church St., 11th Floor		Transaction ID: C3266574
City New Haven	State CT	Zip Code 06508-1868
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1000.00
Name of Employer Early, Ludwick & Sweeney, LLC		Limit Increased Due to Opponent's Spending (2 U.S.C. 441a)(441a-1)
Occupation Attorney		
Election Cycle-to-Date 1000.00		
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) B. James Early		Date of Receipt 10 / 25 / 2004
Mailing Address 38 Hunting Hill Road		Transaction ID: C3256201
City Woodbridge	State CT	Zip Code 06525
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1000.00
Name of Employer Early, Ludwick, Sweeney & Strauss		Limit Increased Due to Opponent's Spending (2 U.S.C. 441a)(441a-1)
Occupation Attorney		
Election Cycle-to-Date 1000.00		
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) C. Douglas K. Ebert		Date of Receipt 10 / 31 / 2004
Mailing Address 1519 Greenery Dr		Transaction ID: C3267075
City Florence	State KY	Zip Code 41042-7052
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 500.00
Name of Employer Trade Representative		Limit Increased Due to Opponent's Spending (2 U.S.C. 441a)(441a-1)
Occupation Douglas Ebert		
Election Cycle-to-Date 500.00		
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

SUBTOTAL of Receipts This Page (optional) ▶

2500.00

TOTAL This Period (last page this line number only) ▶

27092613383

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 85 / 455
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	11d	<input type="checkbox"/>	11e
<input type="checkbox"/>	12	<input type="checkbox"/>	13a	<input type="checkbox"/>	13b	<input type="checkbox"/>	14	<input type="checkbox"/>	15

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial) A. Joel Faxon		Date of Receipt 10 / 29 / 2004
Mailing Address 39 old farm hill road		Transaction ID: C3288605
City newtown	State CT	Zip Code 06470-1148
FEC ID number of contributing federal political committee. C		Amount of Each Receipt This Period 1000.00
Name of Employer self	Occupation attorney	Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(1a-1))
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date 1000.00	

Full Name (Last, First, Middle Initial) B. Jonathan Farber		Date of Receipt 10 / 14 / 2004
Mailing Address 3000 Sand Hill Road Bldg 3 Suite 2		Transaction ID: C3245587
City Menlo Park	State CA	Zip Code 94025
FEC ID number of contributing federal political committee. C		Amount of Each Receipt This Period 2000.00
Name of Employer MDV	Occupation General Partner	Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(1a-1))
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date 2000.00	

Full Name (Last, First, Middle Initial) C. Sharon Felty-Corner		Date of Receipt 10 / 24 / 2004
Mailing Address PO Box 1543		Transaction ID: C3255018
City Frankfort	State KY	Zip Code 40602-1543
FEC ID number of contributing federal political committee. C		Amount of Each Receipt This Period 1000.00
Name of Employer retired	Occupation educator	Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(1a-1))
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date 1000.00	

SUBTOTAL of Receipts This Page (optional) ▶

4000.00

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER:		PAGE 169 / 455	
(check only one)			
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
<input type="checkbox"/> 15			

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NAME OF COMMITTEE (in Full)
DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial) A. Kevin McHugh		Date of Receipt MM / DD / YYYY 10 / 28 / 2004
Mailing Address 9818 Cedar Springs Rd 101-435		Transaction ID: C325B893
City Dallas	State TX	Zip Code 75219
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1000.00
Name of Employer Baron & Budd	Occupation Attorney	<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(j)(4)(1)-(1))
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) _____	Election Cycle-to-Date 1500.00	

Full Name (Last, First, Middle Initial) B. Gerald McHugh		Date of Receipt MM / DD / YYYY 10 / 29 / 2004
Mailing Address 4820 Florence Avenue		Transaction ID: C326B881
City Philadelphia	State PA	Zip Code 19143-3421
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1000.00
Name of Employer Reynolds McCarty	Occupation Lawyer	<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(j)(4)(1)-(1))
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) _____	Election Cycle-to-Date 1000.00	

Full Name (Last, First, Middle Initial) C. Donald McHernie		Date of Receipt MM / DD / YYYY 10 / 18 / 2004
Mailing Address 6527 Grant Avenue		Transaction ID: C3254421
City Carmichael	State CA	Zip Code 95608-3448
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 250.00
Name of Employer Retired	Occupation None	<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(j)(4)(1)-(1))
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) _____	Election Cycle-to-Date 250.00	

SUBTOTAL of Receipts This Page (optional) _____

2250.00

TOTAL This Period (last page this line number only) _____

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 173 / 455
(check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)
DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial) Scott Mello		Date of Receipt MM / DD / YYYY 10 / 21 / 2004
Mailing Address 248 Mt. Zion Road		Transaction ID: C3255300
City Frankfort	State KY	Zip Code 40601-7744
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 500.00
Name of Employer Self Employed	Occupation Attorney	<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(A)-1)
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) _____	Election Cycle-to-Date 1000.00	

Full Name (Last, First, Middle Initial) Lawrence Marty		Date of Receipt MM / DD / YYYY 10 / 25 / 2004
Mailing Address 78 Lyon Terrace		Transaction ID: C3256191
City Bridgeport	State CT	Zip Code 06804
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 500.00
Name of Employer Law Offices of Lawrence Marty	Occupation Attorney	<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(A)-1)
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) _____	Election Cycle-to-Date 500.00	

Full Name (Last, First, Middle Initial) Aida Messinger		Date of Receipt MM / DD / YYYY 10 / 29 / 2004
Mailing Address 30 Rockefeller Plaza, Rm 5800		Transaction ID: C3259838
City New York	State NY	Zip Code 10112
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 2000.00
Name of Employer Self	Occupation Philanthropist	<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)(4)(A)-1)
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) _____	Election Cycle-to-Date 2000.00	

SUBTOTAL of Receipts This Page (optional)	3000.00
TOTAL This Period (last page this line number only)	

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SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 214 / 455

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 11d
12 13a 13b 14 15

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

DANIEL MONGIARDO FOR US SENATE

Full Name (Last, First, Middle Initial) A. Stanley Rhodes		Date of Receipt 10 / 22 / 2004
Mailing Address 25 Forest Ln		Transaction ID: C3253814
City Berkeley	State CA	Zip Code 94708-1448
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 500.00
Name of Employer SCS	Occupation Chemist	<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(1)(441a-1))
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date 600.00	

Full Name (Last, First, Middle Initial) B. Josephine D. Richardson		Date of Receipt 10 / 20 / 2004
Mailing Address 970 Solomon Rd		Transaction ID: C3247282
City Whitesburg	State KY	Zip Code 41858-7220
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1000.00
Name of Employer The Courthouse Cafe	Occupation Restaurant Owner	<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(1)(441a-1))
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date 2000.00	

Full Name (Last, First, Middle Initial) C. Josephine D. Richardson		Date of Receipt 10 / 29 / 2004
Mailing Address 970 Solomon Rd		Transaction ID: C3266822
City Whitesburg	State KY	Zip Code 41858-7220
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1000.00
Name of Employer The Courthouse Cafe	Occupation Restaurant Owner	<input type="checkbox"/> Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(1)(441a-1))
Receipt For: 2004 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date 2000.00	

SUBTOTAL of Receipts This Page (optional)

2500.00

TOTAL This Period (last page this line number only)

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

January 11, 2005

Robert Kellerman, Treasurer
Daniel Mongiardo for U.S. Senate
190 Democrat Drive, P.O. Box 4147
Frankfort, KY 40604

Response Due Date:
February 10, 2005

Identification Number: C00393009

Reference: 30 Day Post-General Report (10/14/04 -- 11/22/04)

Dear Mr. Kellerman:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. An adequate response must be received at the Senate Public Records Office by the response date noted above. An itemization of the information needed follows:

-Column B figures for the Summary and Detailed Summary Page information should equal the sum of the Column B figures on your previous report and the Column A figures on this report minus the Column C figures. Please file an amendment to your report to correct the Column B discrepancies for Line(s) 6(a), 6(c), 7(a), 7(c), 11(a)(iii), 11(c), 11(e), 16, 17 and 22 and all subsequent report(s) that may be affected by this correction. Note that Column B should reflect only the election cycle-to-date totals. (2 U.S.C. §434(b))

-Your report contains incorrect Column B figures for Lines 6(a), 6(b), 6(c), 7(a), 7(c), 11(a)(iii), 11(b), 11(d), 11(e), 15, 16, 17, 20(b), 20(d), 21 and 22 of the Summary and Detailed Summary Page information. When aggregating and reporting receipts and disbursements, candidate committees are required to disclose their activity on an election-cycle basis, from 11/6/02 to 11/2/04. Please amend your report to show election cycle-to-date figures for all aggregate amounts. (2 U.S.C. §434(b))

-Schedule A of your report indicates that your committee may have failed to file one or more of the required 48 hour notices regarding "last minute" contributions received by your committee after the close of books for the 12

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DANIEL MONGLARDO FOR U.S. SENATE
PAGE 2

Day Pre-General Report (see attached). A principal campaign committee must notify the Commission, in writing, within 48 hours of any contribution of \$1,000 or more received between two and twenty days before an election. These contributions are then reported on the next report required to be filed by the committee. To ensure that the Commission is notified of last minute contributions of \$1,000 or more to your campaign, it is recommended that you review your procedures for checking contributions received during the aforementioned time period. The failure to file 48-hour notices may result in civil money penalties or legal enforcement action. If any contribution of \$1,000 or more was incorrectly reported, you must amend your original report with the clarifying information. (11 CFR §104.5(d))

- Your committee filed 48-hour notices reporting the following "last minute" contributions:

<u>Contributor</u>	<u>Date</u>	<u>Amount</u>
Patricia Hogan	10/14/04	\$2,000.00
America's Leadership PAC	10/15/04	\$5,000.00
Douglas Kohl	10/18/04	\$1,000.00
Eric Benson	10/18/04	\$1,000.00
Eunice Panetta	10/18/04	\$2,000.00
Jay Pritaker	10/18/04	\$1,000.00
Lenore Sagner	10/18/04	\$2,000.00
Morris Pearl	10/18/04	\$1,000.00
Nelson Helm	10/18/04	\$2,000.00
Robert Burnett	10/18/04	\$2,000.00
Robert Robertson	10/18/04	\$1,000.00
Sheldon Seevak	10/18/04	\$2,000.00
Susan Benson	10/18/04	\$1,000.00
Suzanne Blake	10/18/04	\$1,000.00
William Resnick	10/18/04	\$2,000.00
Martin H. Kinney	10/20/04	\$1,000.00
Richard Middleton	10/26/04	\$2,000.00
Lisa Kivett	10/28/04	\$1,000.00

These contributions do not appear on Schedule A of this report. Please amend your report to include these contributions or provide an explanation of these apparent discrepancies (11 CFR §104.3(a)(4)(i)).

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DANIEL MONGIARDO FOR U.S. SENATE
PAGE 3

-Schedule D of your report itemizes a debt to Stones Phones with an outstanding beginning balance; however, this debt was not included on your previous report. Please file an amendment to your report(s) to correct this discrepancy. (11 CFR §104.11(b))

-Your report discloses a debt owed to NGP Software with an outstanding beginning balance of \$1,300.00. The previous report filed by your committee discloses a closing balance of \$1,950.00. These amounts should be the same. Please correct this discrepancy and file an amendment to your report(s). (2 U.S.C. §434(b)(8) and 11 CFR §104.11)

-Schedule C of your report fails to include information required by Commission Regulations. With every report submitted, you must provide the date incurred, the original source and amount of the loan, the due date, the interest rate, the cumulative payment, and the outstanding balance. In addition, if there are any endorsers or guarantors, their mailing address along with the name of their employer and occupation must be disclosed. Please amend your report to include the due date. (11 CFR §§§ 100.52(a), 100.52(b) and 104.3(d))

-When a committee reports receiving a loan from the candidate, it is necessary to clarify whether or not the candidate used personal funds or borrowed the money from a lending institution or some other source. Please amend your report to indicate whether the loan is from the candidate's personal funds or if he/she obtained the loan from a bank loan, brokerage account, credit card, home equity line of credit or other line of credit.

If the candidate loan was obtained from a lending institution, you must also file a Schedule C-1 with the Commission providing the name of the lending institution and the complete terms of the loan between the candidate and the lending institution. Schedule C-1 can be downloaded from the FEC website at <http://www.fec.gov>, or requested through the FEC Faxline at (202) 501-3433. Electronic filers must submit the Schedule C-1 electronically.

If the loan(s) was from personal funds, please acknowledge that fact in an amendment to this report. It is important to note that "personal funds" is strictly defined by Commission Regulations. See 11 CFR §110.10. (11 CFR §§§§ 100.52(a), 100.52(b), 104.3(d) and 104.18(h))

-Schedule A of your report indicates your committee may have failed to file a 24-Hour Notice of Expenditure from Candidate's Personal Funds (FEC

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DANIEL MONGIARDO FOR U.S. SENATE
PAGE 4

FORM 10). A candidate's principal campaign committee must notify the Secretary of the Senate, the Federal Election Commission, and each opposing candidate within twenty-four (24) hours of when the candidate makes an expenditure that causes his or her aggregate expenditures from personal funds to exceed two (2) times the threshold amount as defined in 11 CFR §400.9(a). Expenditures from a candidate's personal funds include monetary or in-kind contributions to the candidate's authorized committee, loans made by a candidate from his or her personal funds (as defined by 11 CFR §100.33) to the candidate's authorized committee, a loan by any person or institution to the candidate's authorized committee that is secured by the candidate, and any obligation to make an expenditure from personal funds that is legally enforceable against the candidate. (11 CFR §400.4(a))

Your committee's current and previous reports for the current election cycle indicate that the candidate has made expenditures from his or her personal funds totaling \$560,050.00 for the General Election. This amount exceeds the threshold that triggers FEC FORM 10 reporting requirements.

If any expenditure from the candidate's personal funds was incompletely or incorrectly disclosed, you must amend your original report with clarifying information. Otherwise, you must file an amended report clarifying what immediate, corrective action your committee will take, to include filing a FEC FORM 10 with the Secretary of the Senate. A copy of the FEC FORM 10 must also be filed by facsimile machine, as an attachment to an electronic mail, or as an electronic mail with the Federal Election Commission and each opposing candidate. The Federal Election Commission's facsimile number is (202) 219-0174 and the electronic mail address is 2022190174@fec.gov. (11 CFR §§400.21(a) and 400.24(a))

FEC FORM 10 and instructions are available on the Internet at <http://www.fec.gov>, or through the FEC Faxline at (202) 501-3413.

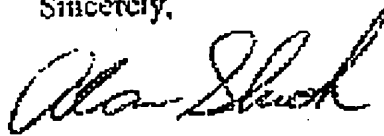
Unlike previous election cycles, you will not receive an additional notice from the Commission on this matter. Adequate responses received on or before this date will be taken into consideration in determining whether audit action will be initiated. Requests for extensions of time in which to respond will not be considered. Failure to provide an adequate response by this date may result in an audit of the committee. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action.

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DANIEL MONGIARDO FOR U.S. SENATE
PAGE 5

A written response or an amendment to your original report(s) correcting the above problems should be filed with the Senate Public Records Office. Please contact the Senate Public Records Office at (202) 224-0322 for instructions on how and where to file an amendment. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1167.

Sincerely,



Alan Shook
Senior Campaign Finance Analyst
Reports Analysis Division

Missing 48-hour notices

Daniel Mongiardo for U.S. Senate (C00993009)

30 Day Post-General Report (10/14/04 - 11/22/04)

General Election

48 Hour Notice Period (10/14/04 - 10/30/04)

CONTRIBUTOR NAME		DATE	AMOUNT
ANDERSON	LLOYD	10/19/04	\$ 2,000.00
FOLEY	JAMES	10/19/04	\$ 1,000.00
GLEACHER	ANNE	10/19/04	\$ 1,000.00
GLUSHKO	ROBERT	10/19/04	\$ 2,000.00
HAND	MATTHEW	10/19/04	\$ 1,000.00
LEHNER	KAREN	10/19/04	\$ 2,000.00
SAMUELSON	PAMELA	10/19/04	\$ 2,000.00
TAYLOR	WILLIAM	10/19/04	\$ 1,000.00
WAINWRIGHT	CYNTHIA	10/19/04	\$ 1,000.00
CALVIN	WILLIAM H	10/20/04	\$ 1,000.00
DEVAAN	JON	10/20/04	\$ 2,000.00
JOHNSON	MOULTON K	10/20/04	\$ 1,000.00
KAPLAN	ANDREW	10/20/04	\$ 1,000.00
OLDFATHER	ANN	10/20/04	\$ 1,000.00
REARDON	THOMAS	10/20/04	\$ 1,000.00
SHERMAN	JOHN	10/20/04	\$ 1,000.00
TAYLOE	DAN	10/20/04	\$ 2,000.00
BRONLMAN	JOANIE	10/21/04	\$ 2,000.00
MASSENGILL	DAVID	10/21/04	\$ 1,000.00
SARROUF	CAMILLE	10/21/04	\$ 1,000.00
WHITNEY	NANCY G	10/21/04	\$ 1,000.00
ANDERSON	NANCY C	10/22/04	\$ 1,000.00
CAPRA	SUSAN	10/22/04	\$ 1,000.00
COOPERMAN	JUNE	10/22/04	\$ 2,000.00
DAVIS	ALAN S	10/22/04	\$ 2,000.00
LITYAK	LAWRENCE	10/22/04	\$ 2,000.00
REPASS	RANDY	10/22/04	\$ 1,000.00
MEYER	BARBARA	10/23/04	\$ 1,000.00
FELTY-CORNER	SHARON	10/24/04	\$ 1,000.00
BLANDIN	STEPHAN	10/25/04	\$ 1,000.00
BREEN	RICHARD M	10/25/04	\$ 1,000.00
CONWAY	KEVIN	10/25/04	\$ 2,000.00
CORBOY	PHILIP	10/25/04	\$ 2,000.00
FOWLER	VIRGINIA	10/25/04	\$ 2,000.00

Missing 48-hour notices

Daniel Monglardo for U.S. Senate (C00393009)

30 Day Post-General Report (10/14/04 - 11/22/04)

General Election

48 Hour Notice Period (10/14/04 - 10/30/04)

CONTRIBUTOR NAME		DATE	AMOUNT
HURLEY	CHRISTOPHER	10/25/04	\$ 1,000.00
KROLL	JEFFREY	10/25/04	\$ 1,000.00
LLOYD	KURT	10/25/04	\$ 2,000.00
OWEN	LAFAYETTE G	10/25/04	\$ 1,000.00
PRINDABLE	THOMAS	10/25/04	\$ 1,000.00
ROMANUCCI	ANTONIO	10/25/04	\$ 1,500.00
HUNTING	JOHN R	10/26/04	\$ 1,000.00
BOZEMAN	WILLIAM	10/29/04	\$ 2,000.00
BUBALO	GREGORY	10/29/04	\$ 1,000.00
CONLIN	ROXANNE	10/29/04	\$ 2,000.00
EARLY	JAMES	10/28/04	\$ 1,000.00
FAXON	JOEL	10/28/04	\$ 1,000.00
MCHUGH	GERALD	10/29/04	\$ 1,000.00
TRANSPORTATION TRADES DEPARTMENT AFL-CIO POLITICAL		10/24/04	\$ 5,000.00

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SECRETARY OF THE SENATE
05 FEB 15 AM 10:49

February 10, 2005

United States Senate
Office of Public Records
P.O. Box 5109
Alexandria, VA 22301

RE: Daniel Mongiardo for US Senate #C00393009
30 Day Post General Report

Dear Sir or Madam:

This letter is written in response to the January 11, 2005 letter from the Federal Election Commission pertaining to the 30 day Post General Report. I will address the items of inquiry in the order presented in the letter.

After investigation, we have determined that the discrepancies in the Column B figures are due to corrections and adjustments of entries for the prior reporting period made subsequent to the close of the period. For example, an adjustment may have been made during the post-general reporting period after the filing date of the pre-general report for a particular item. We are in the process of reviewing these items. Our preliminary calculations indicate that the discrepancies are minor.

48 hour notices

Your letter states that the committee may have failed to file one or more of the required 48 hour notices. The identified contributions fall chiefly into two categories. The first category is composed of contributions that were actually made to other organizations, such as MoveOn.org, but designated for the Mongiardo campaign. The organization provides for campaign access to its database to electronically transfer the contributor information into the campaign database. The reported contribution dates are the dates of the contributions by the individuals to the organization that are contained in the database transfer information to the campaign. As you may know, these contributions are received in a lump sum check from the organization several days after the contributions are actually made to the organization. The organization is the campaign's sole source of contributor information.

Fifteen of these contributions were made to the organization near the end of the 48 hour reporting period. The cutoff for 48 hour notices was October 31, 2004. Because of the scenario described above, the campaign staff advises that it did not even learn of

MONGIARDO FOR U.S. SENATE
Mail: P.O. Box 4147 - Frankfort, KY 40604-4147
190 Democrat Drive - Frankfort, KY 40601
Phone: (502) 648-9996 - Fax: (502) 648-9026
E-mail: info@drdan2004.com - www.drdan2004.com

Paid for by Mongiardo for U.S. Senate 2004

2-28-05 100

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these contributions until after the cut off date for 48 hour reporting. The funds were not actually received and deposited by the campaign until after the cutoff.

The second category of contributions is for contributions made directly to the campaign. Seventeen contributions were by credit card directly to the campaign, however the information was either not accessible in a timely manner from the credit card processor by the campaign staff, or were not reported by the campaign staff to the Treasurer in a timely manner. In all cases of credit card contributions, the funds are not actually received by the campaign from the credit card processor until several days after the date of the actual contribution, and it is impossible to determine exactly what contributions are contained in which lump sum payment from the credit card company (a source of continuing frustration for us).

Another fifteen of the items are contributions by check. The staff cannot account for the omission of these contributions from the 48 hour notices. The Treasurer's good faith effort to comply with the 48 hour notice requirement has been demonstrated by the diligent and timely filing of several 48 hour reports and the prompt reporting of other contributions that were received on the same dates as the omitted contributions. We hope that the FEC will take this into consideration.

Your letter cites eighteen contributions that were reported in 48 hour notices but do not appear in Schedule A of the Report. One of these was erroneously entered into the database with a 2003 year rather than a 2004 year. Four others were entered into the database, but for various reasons did not appear on the report. The campaign staff neglected to enter the remainder of the contributions into the database. These contributions were reported on the 48 hour notices because an individual other than the campaign data entry staff prepared the 48 hour notices.

We are involved in a painstaking item by item review of contributions, and will file amendments where necessary.

Campaign Debts

The reported debt to Stone's phones is the result of having conflicting information regarding the amount due and receipt of statements for prior debts after the close of the prior reporting period. The vendor itself was confused about the balance due. This has now been resolved.

The actual balance of the discrepancy in the NGP debt appears to be due to receipt of statements for prior debts after the close of the prior reporting period.

Loans

The loan from American Founders Bank was due on December 24, 2004, however it was paid prior to maturity. The notation '(personal funds)' was erroneous.

27092613396

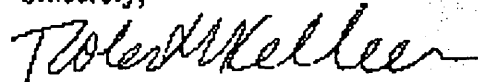
The loans from the candidate were from personal funds, except for a portion of the June 30, 2004 loan which has been addressed in prior correspondence to the FEC. There is no due date on the candidate's loans to the campaign. Regarding the C-1, we have requested C-1's from Smith Barney and have provided Smith Barney with completed forms for signature. Despite follow-up requests, I have not yet received these from Smith Barney. We will be glad to cooperate in providing the FEC with any information it desires, however we cannot force Smith Barney to complete the C-1's.

Form 10

Thank you for bringing the Form 10 matter to our attention. We are diligently working on that matter.

Please do not hesitate to contact me if you need any additional information.

Sincerely,



Robert W. Kellerman, Treasurer

RWK/ps

27092613397

10/21/04 17:41 FAX

24020962058
24020962058 ++++++

001/004



RECEIVED
CLERK OF THE SENATE
JULI RECORDS

04 OCT 21 PM 5:31

F A X

TO: Secretary of the Senate

FROM: Robert W. Kellerman
Treasurer for Daniel Mongiardo for US Senate

FAX: 202-224-1851

DATE: 10/21/04

RE: 48 Hour Notice of Contributions
Loans Received / FEC Form 6

4 pages

MONGIARDO FOR U.S. SENATE
Mail: P.O. Box 4147 • Frankfort, KY 40604-4147
190 Democrat Drive • Frankfort, KY 40601
Phone: (502) 846-9998 • Fax: (502) 348-9025
E-mail: info@dand2004.com • www.dand2004.com

Paid for by Mongiardo for U.S. Senate 2004

100

48 HOUR NOTICE OF CONTRIBUTIONS/LOANS RECEIVED

(See Reverse Side for Instructions)

RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS

To be used to report all contributions (including loans) of \$1000 or more, received within 20 days of the election.

1. NAME OF COMMITTEE IN FULL Daniel Mongiardo for US Senate		04 OCT 21 PM 5:31	
ADDRESS (number and street) 190 Democrat Drive			
CITY, STATE, AND ZIP CODE Frankfort, KY 40601			
2. NAME OF CANDIDATE Daniel Mongiardo		3. OFFICE BOUGHT (State and District) US Senate - KY	
Any information received from such reports and statements may not be used or used by any person for the purpose of procuring contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such contributors.			
4. FEC IDENTIFICATION NUMBER 000393009			

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Michael Delaney 3010 Eleanor Avenue Louisville, KY 40205	RETIRED	10/20/04	\$2,000.00
	Occupation RETIRED		
B. Full Name, Mailing Address and ZIP Code Thomson Hirst 11314 S. Shore Rd. Reston, VA 20190	Name of Employer M&H Capital, Inc.	Date (month, day, year) 10/20/04	Amount \$2,000.00
	Occupation Real Estate Agent		
C. Full Name, Mailing Address and ZIP Code Brereton C. Jones P.O. Box 487 Midway, KY 40347-0487	Name of Employer Airdrie Stud	Date (month, day, year) 10/20/04	Amount \$1,000.00
	Occupation Owner		
D. Full Name, Mailing Address and ZIP Code Martin H. Kinney 2227 Cherokee Pkwy. Apt. 1 Louisville, KY 40204-2249	Name of Employer Doll, Thompson, Shepard, Kinney	Date (month, day, year) 10/20/04	Amount \$1,000.00
	Occupation Attorney		
E. Full Name, Mailing Address and ZIP Code Josephine D. Richardson 970 Solomon Rd Whitesburg, KY 41858-72200	Name of Employer Courthouse Cafe	Date (month, day, year) 10/20/04	Amount \$1,000.00
	Occupation Owner		

SIGNATURE (optional) <i>Robert M. Keller, Treas.</i>	DATE <i>10/21/04</i>	For further information contact: Federal Election Commission 985 E Street, NW, Washington, DC 20463 Toll Free 800-424-9633, Local 302-594-1100
--	--------------------------------	---

FEC FORM 6
(Revised 1/2001)

48 HOUR NOTICE OF CONTRIBUTIONS/LOANS RECEIVED

(See Reverse Side for Instructions)

To be used to report all contributions (including loans) of \$1000 or more, received within 20 days of the election.

1. NAME OF COMMITTEE IN FULL Daniel Mongiardo for US Senate	
ADDRESS (Number and Street) 190 Democrat Drive	
CITY, STATE, AND ZIP CODE Frankfort, KY 40601	
2. NAME OF CANDIDATE Daniel Mongiardo	3. OFFICE SOUGHT (Senate and District) US Senate - KY

Any information posted from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

4. FEC IDENTIFICATION NUMBER

000393009

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Gloria Trumpower 11314 S. Shore Road Reston, VA 20190	M&H Capital, Inc.	0/20/04	\$2,000.00
	Occupation Real Estate Agent		
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Mark Ward P.O. Box 146 Waco, KY 40385	Coal Recovery IV.	10/20/04	\$2,000.00
	Occupation		
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Terry Word 6410 Caballero Pkwy. NW Albuquerque, NM 87107	Terry M. Word, PC	10/20/04	\$1,000.00
	Occupation Attorney		
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Martin H. Kinney 2227 Cherokee Pkwy. Apt. 1 Louisville, KY 40204-2249	Dolt, Thompson, Shepard, Kinney	10/20/04	\$1,000.00
	Occupation Attorney		
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Josephine D. Richardson 970 Solomon Rd Whitesburg, KY 41858-72200	Courthouse Cafe	10/20/04	\$1,000.00
	Occupation Owner		
SIGNATURE (optional) <i>Teresa M. Kinney, Treasurer</i>		DATE 10/21/04	For further information contact: Federal Election Commission 980 E Street, NW, Washington, DC 20483 Toll Free 800-628-6530, Local 202-694-1100

FEC FORM 6

(Revised 1/2001)

48 HOUR NOTICE OF CONTRIBUTIONS/LOANS RECEIVED

(See Reverse Side for Instructions)

RECEIVED
SECRETARY OF THE
PUBLIC FI...

To be used to report all contributions (including loans) of \$1000 or more, received within 48 days of the election.

04 OCT 23 PM 2:30

1. NAME OF COMMITTEE or FULL
Daniel Mongiardo for US SenateADDRESS (street and room)
190 Democrat DriveCITY, STATE, AND ZIP CODE
Frankfort, KY 406012. NAME OF CANDIDATE
Daniel Mongiardo3. OFFICE SOUGHT (State and District)
US Senate - KY

Any information obtained from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

4. FEC IDENTIFICATION NUMBER

000393009

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
National Committee for 122 C St NW STE. 650 Washington, DC 20001		10/21/04	\$1,000.00
	Occupation		
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Nancy Carlson 3658 Stratford Avenue Dallas, TX 75205	Self	10/21/04	\$1,000.00
	Occupation		
	Investor		
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
John Conway 2339 Saratoga Drive Louisville, KY 4020565-4429	Jack Conway	10/21/04	\$2,000.00
	Occupation		
	Attorney		
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Kelvin Davis 345 California St. Suite 3300 San Francisco, CA 94104	Texas Pacific Grp.	10/21/04	\$1,000.00
	Occupation		
	Investor		
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Donna Engle P.O. Box 831 Hazard, KY 41702	ARH	10/21/04	\$1,000.00
	Occupation		
	CEO		

SIGNATURE (optional)

Robert M. Ullrich, Treasurer

DATE

10/22/04

For further information contact:

Federal Election Commission
899 E Street, NW, Washington, DC 20463
Tel: 202-694-6530, Local 202-694-1100

FEC FORM 6

(Revised 1/2001)

27092613401

CONTRIBUTIONS/LOANS RECEIVED

(See Reverse Side for Instructions)

RECEIVED
SECRETARY OF THE SENATE
PUBLIC RELATIONS

04 OCT 25 AM 5:05

To be used to report of contributions (including loans) of \$1,000 or more, received within 20 days of the election.

1. NAME OF COMMITTEE IN FULL Daniel Mongiardo for US Senate	
ADDRESS (Number and street) 190 Democrat Drive	
CITY, STATE, AND ZIP CODE Frankfort, KY 40501	
2. NAME OF CANDIDATE Daniel Mongiardo	3. OFFICE BOUGHT (State and District) US Senate - KY

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any person mentioned to solicit contributions from such persons.

4. FED IDENTIFICATION NUMBER

000393009

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Barbara Boyle 1687 Park Vista Drive Chico, CA 95928	Retired Occupation Retired	10/22/04	\$1,000.00
B. Full Name, Mailing Address and ZIP Code Roland Van Liew 6 Hemlock Drive Chelmsford, MA 01824-2115	Name of Employer Hand on Tech Transfer Occupation Computer Software	Date (month, day, year) 10/22/04	Amount \$1,000.00
C. Full Name, Mailing Address and ZIP Code Paula Reniz 455 Santa Rita Avenue Palo Alto, CA 94301	Name of Employer None Occupation None	Date (month, day, year) 10/22/04	Amount \$1,000.00
D. Full Name, Mailing Address and ZIP Code David Lake 67 West Short Road Belvedere, CA 94920	Name of Employer Retired Occupation Retired	Date (month, day, year) 10/22/04	Amount \$1,000.00
E. Full Name, Mailing Address and ZIP Code Bismarck Christian 38 Sidney Place Brooklyn, NY 11201	Name of Employer Metropolitan Transportation Authority Occupation Transportation Planner	Date (month, day, year) 10/22/04	Amount \$1,000.00
SIGNATURE (optional) <i>Robert M. Miller, Trust</i>		DATE 10/23/04	For further information contact: Federal Election Commission 950 E Street, NW, Washington, DC 20003 Toll Free 800-424-9530, Local 202-654-1100

FEC FORM
(Revised 1/200)

27092613402

CONTRIBUTIONS/LOANS RECEIVED

(See Reverse Side for Instructions)

RECEIVED
SECRETARY OF THE
PUBLIC

To be used to report all contributions (including loans) of \$1000 or more received within 20 days of the election.

OCT 26 AM 11:00

1. NAME OF COMMITTEE IN FULL Daniel Mongiardo for US Senate	
ADDRESS (NUMBER AND STREET) 190 Democrat Drive	
CITY, STATE, AND ZIP CODE Frankfort, KY 40501	
2. NAME OF CANDIDATE Daniel Mongiardo	3. OFFICE (HOUSE OR SENATE) (State and District) US Senate - KY

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than listing the name and address of any political committee to solicit contributions from such committee.

4. FEC IDENTIFICATION NUMBER

000393009

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
James Early 38 Hunting Hill Rd. Woodbridge, CT 06525	Early, Ludwick, Sweeney & Strauss Occupation Attorney	10/25/04	\$1,000.00
B. Full Name, Mailing Address and ZIP Code Ellen Malcolm 1120 Connecticut Ave. NW Washington, DC 20036	Name of Employer Emily's List Occupation President	Date (month, day, year) 10/25/04	\$1,000.00
C. Full Name, Mailing Address and ZIP Code Kidspac 2 nd Floor 2 Brighton Street Belmont, MA 02478	Name of Employer Occupation	Date (month, day, year) 10/25/04	\$5,000.00
D. Full Name, Mailing Address and ZIP Code Jazz Pac 503 Capitol Court NE Washington, DC 20002	Name of Employer Occupation	Date (month, day, year) 10/25/04	\$2,000.00
E. Full Name, Mailing Address and ZIP Code NAPUS 8 Herbert Street Alexandria, VA 22305	Name of Employer Occupation Attorney	Date (month, day, year) 10/25/04	\$1,000.00
SIGNATURE (optional) <i>Robert M. Murray, Treasurer</i>		DATE 10/26/04	For further information contact: Federal Election Commission 999 E Street, NW, Washington, DC 20463 Toll Free 800-424-9530, Local 202-684-1107

FEC FORM 6

(Revised 1/2001)

27092613403

CONTRIBUTIONS/LOANS RECEIVED

(See Reverse Side for Instructions)

RECEIVED
SECRETARY OF THE
PUBLIC RO

To be used to report all contributions (including loans) of \$1000 or more, received within 20 days of the election.

1. NAME OF COMMITTEE IN FULL Daniel Mongiardo for US Senate		04 OCT 26 AM 11:25
ADDRESS (number and street) 190 Democrat Drive		
CITY, STATE, AND ZIP CODE Frankfort, KY 40601		
2. NAME OF CANDIDATE Daniel Mongiardo	3. OFFICE SOUGHT (State and District) US Senate - KY	

Any information copied from such Reports and Statements may not be used or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

4. FEC IDENTIFICATION NUMBER

000393009

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Pace International Union P.O. Box 1475 Nashville, TN 37202-1475	Occupation	10/25/04	\$3,000.00
B. Full Name, Mailing Address and ZIP Code Jack Porter 13675 Highway 44 East Taylorsville, KY 40071	Name of Employer Self	Date (month, day, year) 10/25/04	Amount \$1,000.00
	Occupation Real Estate Developer		
C. Full Name, Mailing Address and ZIP Code J. Chester Porter P.O. Box 159 Shepherdsville, KY 40165-0159	Name of Employer	Date (month, day, year) 10/25/04	Amount \$2,000.00
	Occupation		
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
	Occupation		
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
	Occupation		
SIGNATURE (optional) <i>Robert M. Murray, Treasurer</i>		DATE 10/26/04	For further information contact: Federal Election Commission 999 E Street, NW, Washington, DC 20463 Toll Free 800-424-9530, Local 202-694-1100

FEC FORM 6

(Revised 1/2001)

CONTRIBUTIONS/LOANS RECEIVED

(See Reverse Side for Instructions)

RECEIVED
SECRETARY OF THE
PUBLIC INFO.

To be used to report all contributions (including loans) of \$1000 or more received within 20 days of the election.

1. NAME OF COMMITTEE IN FULL Daniel Mongiardo for US Senate		DATE OCT 30 AM 11:52	
ADDRESS (street and street no.) 190 Democrat Drive			
CITY, STATE, AND ZIP CODE Frankfort, KY 40601			
2. NAME OF CANDIDATE Daniel Mongiardo	3. OFFICE SOUGHT (State and District) US Senate - KY		
Any information copied from such Reports and Exhibits may not be used or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.		4. FISC IDENTIFICATION NUMBER 000393009	
A. Full Name, Mailing Address and ZIP Code Diane Lander-Simon PFM Santa Monica, CA 90411-4084		Name of Employer Info Requested Occupation Info Requested	Date (month, day, year) 10/27/04 Amount \$1,000.00
B. Full Name, Mailing Address and ZIP Code Jed Weinberg P.O. Box 783 Hindman, KY 41822-0783		Name of Employer Clean Gas, Inc. Occupation Natural Gas Operator	Date (month, day, year) 10/27/04 Amount \$1,000.00
C. Full Name, Mailing Address and ZIP Code Timothy Mulloy 200 S. Fifth Street Louisville, KY 40202-3215		Name of Employer Mulloy Properties Occupation Property Mgmt	Date (month, day, year) 10/27/04 Amount \$1,000.00
D. Full Name, Mailing Address and ZIP Code Leslie Dorman 145 Ocean Avenue Santa Monica, CA 90402-1409		Name of Employer Info Requested Occupation	Date (month, day, year) 10/27/04 Amount \$4,000.00 ((\$2,000 P) (\$2,000 G)
E. Full Name, Mailing Address and ZIP Code Patricia Hogan 913 Sorrento Road Jacksonville, FL 32207-3911		Name of Employer retired Occupation retired	Date (month, day, year) 10/27/04 Amount \$2,000.00
SIGNATURE (optional) Robert Mulloy, Treas.		DATE 10/29/04	For further information contact: Federal Election Commission 999 E Street, NW, Washington, DC 20463 Tel: Free 800-424-9530, Local 202-694-1100

FEC FORM 6
(Revised 1/2001)

27092613405

48 HOUR NOTICE OF CONTRIBUTIONS/LOANS RECEIVED

(See Reverse Side for Instructions)

SECRETARY OF THE SENATE
04 OCT 31 AM 11:53

To be used to report all contributions (including loans) of \$1000 or more, received within 30 days of the election.

1. NAME OF COMMITTEE IN FULL Daniel Mongiardo for US Senate	
ADDRESS (Number and Street) 190 Democrat Drive	
CITY, STATE AND ZIP CODE Frankfort, KY 40601	
2. NAME OF CANDIDATE Daniel Mongiardo	3. OFFICE SOUGHT (State and District) US Senate - KY

Any information copied from such Reports and Disclaimers may not be used by any person for the purpose of soliciting contributions or for any other purpose other than using the name and address of any political committee to solicit contributions from such committee.

4. FEI IDENTIFICATION NUMBER
D00393009

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
United Pilots 6400 Shafer Ct., Ste. 700 Rosemont, IL 60018	PAC Occupation	0/28/04	\$5,000.00
B. Full Name, Mailing Address and ZIP Code Loren W. Hershey 2451 Fairhunt Ct. Oakton, VA 22124-1042	Name of Employer Self Occupation Attorney	Date (month, day, year) 0/28/04	Amount \$2,000.00
C. Full Name, Mailing Address and ZIP Code Nancy Whitney 4 Bracken Court East Setauket 11733-3702	Name of Employer State Univ of NY Occupation Professor	Date (month, day, year) 0/28/04	Amount \$1000.00
D. Full Name, Mailing Address and ZIP Code Charles C. Boyer 6804 Foxcroft Road Prospect, KY 40059	Name of Employer Retired Occupation Retired	Date (month, day, year) 0/28/04	Amount \$2,000.00
E. Full Name, Mailing Address and ZIP Code Bruce G. Anderson 517 W. Ormsby Ave. Louisville, KY 40203-3017	Name of Employer Self Occupation Attorney	Date (month, day, year) 10/28/04	Amount \$1,000.00
SIGNATURE (optional) Robert M. Cellina, Treasurer		DATE 10/30/04	For further information contact: Federal Election Commission 535 E Street, N.W., Washington, DC 20462 Toll Free 800-424-9530, Local 202-694-1100

FEC FORM 6
(Revised 1/2001)

48 HOUR NOTICE OF CONTRIBUTIONS/LOANS RECEIVED

(See Reverse Side for Instructions)

SECRETARY OF THE SENATE
04 OCT 31 AM 11:53

To be used to report all contributions (including loans) of \$1000 or more, received within 30 days of the election.

1. NAME OF COMMITTEE IN FULL Daniel Mongiardo for US Senate	
ADDRESS (street and street) 190 Democrat Drive	
CITY, STATE, and ZIP CODE Frankfort, KY 40601	
2. NAME OF CANDIDATE Daniel Mongiardo	3. OFFICE DESIGNATION (State and District) US Senate - KY

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

4. FEC IDENTIFICATION NUMBER

000393009

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Jodie Levin Epstein 3335 Quesada St. NW Washington, DC 20015-1664	Info Requested	10/28/04	\$1000.00
	Occupation Info Requested		
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Elizabeth Cabraser 7463 Foothill Ranch Road Santa Rosa, CA 95404-1251	Info Requested	10/28/04	\$2000.00
	Occupation Info Requested		
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Mark Englehart 8457 Alysbery Place Montgomery, AL 36117-6005	Beasley Allen Crow Methvin Portis	10/28/04	\$1000.00
	Occupation Attorney		
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Victor Kovner 1740 Broadway New York, NY 10019	Davis Wright Tremaine	10/28/04	\$1000.00
	Occupation Attorney		
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Cynthia Ryan 1751 T St., NW Washington, DC 20009-7114	Schooner Foundation	10/28/04	\$2,000.00
	Occupation Administrator		
SIGNATURE (optional) <i>Robert M. Keller, Treasurer</i>		DATE 10/30/04	For further information contact: Federal Election Commission 935 E Street, NW, Washington, DC 20463 Toll Free 800-424-6530, Local 202-694-1100

FEC FORM 6
(Revised 1/2001)

27092613407

48 HOUR NOTICE OF CONTRIBUTIONS/LOANS RECEIVED

(See Reverse Side for Instructions)

 SECRETARY OF THE SENATE
 04 OCT 31 AM 11:53

To be used to report all contributions (including loans) of \$1000 or more, received within 20 days of the election.

1. NAME OF COMMITTEE OR FULL	
Daniel Mongiardo for US Senate	
ADDRESS (number and street)	
190 Democrat Drive	
CITY, STATE and ZIP CODE	
Frankfort, KY 40601	
2. NAME OF CANDIDATE	3. OFFICE SOUGHT (State and District)
Daniel Mongiardo	US Senate - KY

Any information derived from such Reports and Statements may not be used by any person for the purpose of making contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

4. FEC IDENTIFICATION NUMBER
000393009

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Ann Oldfather 1330 S. 3 rd St. Louisville, KY 40208-2306	Oldfather & Morris	10/28/04	\$1000.00
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Joy Covey P.O. Box 10194 Palo Alto, CA 94303-0970	Retired	10/28/04	\$2000.00
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Marshall Cauffman 2100 Gardiner Lane, Ste. 301 Louisville, KY 40205-2949	Info Requested	10/28/04	\$1000.00
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Suzanne Winkelman 6411 Burleson Road Austin, TX 78744-1414	N/A	10/28/04	\$1000.00
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount
Thomas Carroll 919 Castle Street Monticello, KY 42633-1352	Retired	10/28/04	\$1,000.00

SIGNATURE (optional)

Robert A. Cellura, Treasurer

DATE

10/30/04

 For further information contact:
 Federal Election Commission
 930 E Street, NW, Washington, DC 20469
 Tel: Free 800-424-9530, Local 202-694-1700

 FEC FORM 6
 (Revised 1/2001)



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 12, 2006

MEMORANDUM

TO: Robert W. Biersack
Press Officer

FROM: Joseph F. Stoltz *[Signature]*
Assistant Staff Director
Audit Division

SUBJECT: Public Issuance of the Report of the Audit Division on Daniel Mongiardo
for U.S. Senate

Attached please find a copy of the final audit report and related documents on Daniel Mongiardo for U.S. Senate, which was approved by the Commission on October 4, 2006.

The report may be released to the public.

Attachment as stated

cc: Office of General Counsel
Office of Public Disclosure
Reports Analysis Division
FEC Library
DSDD Website ✓

27092613409



Report of the Audit Division on Daniel Mongiardo for U.S. Senate November 7, 2003 – December 31, 2004

Why the Audit Was Done

Federal law permits the Commission to conduct audits and field investigations of any political committee that is required to file reports under the Federal Election Campaign Act (the Act). The Commission generally conducts such audits when a committee appears not to have met the threshold requirements for substantial compliance with the Act.¹ The audit determines whether the committee complied with the limitations, prohibitions and disclosure requirements of the Act.

Future Action

The Commission may initiate an enforcement action, at a later time, with respect to any of the matters discussed in this report.

About the Campaign (p. 2)

Daniel Mongiardo for U.S. Senate is the principal campaign committee for Daniel Mongiardo, Democratic candidate for the U.S. Senate from the state of Kentucky and is headquartered in Frankfort, Kentucky. For more information, see the chart on the Campaign Organization, p. 2.

Financial Activity (p. 2)

• Receipts	
○ Contributions from Individuals	\$ 1,813,162
○ Loans Received	776,050
○ Contributions from Political Committees	456,841
○ Other Receipts	7,528
○ Total Receipts	\$ 3,053,581
• Disbursements	
○ Operating Expenditures	\$ 2,764,897
○ Loan Repayments	249,367
○ Other Disbursements	8,011
○ Total Disbursements	\$ 3,022,275

Findings and Recommendations (p. 3)

- Misstatement of Cash-on-Hand (Finding 1)
- Failure to File 48-Hour Notices (Finding 2)
- Failure to File Form 3Z-1 (Finding 3)
- Disclosure of Outstanding Debt (Finding 4)

¹ 2 U.S.C. §438(b).

At the exit conference, the Audit staff provided DMUS representatives with schedules explaining the misstatement. The representatives offered no comments.

Interim Audit Report Recommendation and Committee Response

The Audit staff recommended that DMUS correct the cash misstatement by amending its most recently filed disclosure report with an explanation that it resulted from audit adjustments from a prior period. In response, the DMUS Treasurer corrected the misstatement by amending the most recent disclosure report. He stated that the errors were inadvertent and, in his opinion, the total amounts were minimal in proportion to the total financial activity of the campaign.

Finding 2. Failure to File 48-Hour Notices

Summary

DMUS failed to file 48-hour notices for 56 contributions totaling \$81,500. The Audit staff recommended that DMUS provide evidence that 48-hour notices were timely filed or submit any written comments it considers relevant. In its response to the interim audit report, DMUS explained why it failed to file the above 48-hour notices.

Legal Standard

Last-Minute Contributions (48-Hour Notice). Campaign committees must file special notices regarding contributions of \$1,000 or more received less than 20 days but more than 48 hours before any election in which the candidate is running. This rule applies to all types of contributions to any authorized committee of the candidate. 11 CFR §104.5(f).

Facts and Analysis

The Audit staff reviewed 336 contributions (\$620,850) of \$1,000 or more that were received during the 48-hour notice filing period for the primary and general elections. Of these contributions, DMUS failed to file 48-hour notices for 56 of them totaling \$81,500 as summarized below.

Election Type	Number of Notices	Total
Primary	1	\$1,000
General	55	\$80,500
48-Hour Notices Not Filed	56	\$81,500

At the exit conference, DMUS was provided a schedule of the 48-hour notices not filed. The DMUS representatives stated they were aware of this issue but offered no further comments.

Interim Audit Report Recommendation and Committee Response

The Audit staff recommended that DMUS provide evidence that 48-hour notices were timely filed or submit any written comments it considers relevant. In response, the

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DMUS Treasurer referred to his letter submitted in response to a January 11, 2005 Request for Additional Information (RAFI) from the Commission's Reports Analysis Division² in which he explained why DMUS had not filed all the required 48-hour notices. In this letter, the Treasurer explained that DMUS' failure to comply with the 48-hour filing requirements was caused by the timing of the receipt of two categories of contributions. He stated the following:

The first category is composed of contributions that were actually made to other organizations, such as MoveOn.org, but designated for the Mongiardo campaign. The organization provides for campaign access to its database to electronically transfer the contributor information into the campaign database. The reported contribution dates are the dates of the contributions by the individuals to the organization that are contained in the database transfer information to the campaign. As you may know, these contributions are received in a lump sum check from the organization several days after the contributions are actually made to the organization. The organization is the campaign's sole source of contributor information...[T]he campaign staff advises that it did not even learn of these contributions until after the cut off date for 48 hour reporting. The funds were not actually received and deposited by the campaign until after the cutoff.

The second category of contributions is for contributions made directly to the campaign...[T]he information was either not accessible in a timely manner from the credit card processor by the campaign staff, or were not reported by the campaign staff to the Treasurer in a timely manner. In all cases of the credit card contributions, the funds are not actually received by the campaign from the credit card processor until several days after the date of actual contribution, and it is impossible to determine exactly what contributions are contained in which lump sum payment from the credit card company (a source of continuing frustration for us).

The Audit staff reviewed DMUS's receipts database and determined that of the 56 contributions, totaling \$81,500, for which 48-hour notices were not filed as required:

- Fourteen of these contributions (25%) totaling \$19,000 were received through the conduit entities³;
- Ten contributions (18%) totaling \$14,000 were made directly to DMUS through the credit card processor;

² The letter from RAD pertained to the 2004 Post General Report which lacked 48 notices totaling \$68,500.

³ All proceeds received through the conduit entities were deposited into DMUS's account by the end of the 48-hour reporting period.

- Thirty-two contributions (57%) totaling \$48,500 were made directly to DMUS by check.

For the fourteen contributions totaling \$19,000 received from conduit entities, DMUS received both the contributor information and funds from the conduit entities by the end of the 48-hour reporting period. Accordingly, the Commission determined DMUS was required to file 48-hour notices for these contributions. Ten contributions totaling \$14,000 were made directly to DMUS via the committee's website. These transactions were processed by a DMUS credit card processing vendor. The vendor transmitted the individual transactions to the appropriate credit card companies for payment, and forwarded lump sum payments from the credit card companies to DMUS. The vendor also compiled a database of contributor information for the transactions it processed. DMUS was provided with real time access to this database, and used the database to compile credit card contributor information for its FEC reports. Periodically, DMUS was also provided electronic updates of contribution activity from the vendor. During the 48-hour reporting period, contributor information for the ten contributions at issue was available to DMUS on the database. The funds from these transactions were not forwarded to DMUS until several days later. Because DMUS's credit card processing vendor was an agent of DMUS with respect to receipt of the contributions on the DMUS website, DMUS received the contributions at issue by the end of the 48-hour reporting period. Accordingly, the Commission determined that DMUS was required to file 48-hour notices for these contributions.

Finding 3. Failure to File Form 3Z-1

Summary

DMUS failed to file Form 3Z-1 (Consolidated Report of Gross Receipts for Authorized Committees) with its 2003 Year-End report. The Audit staff recommended that DMUS provide any relevant information as to why the Form 3Z-1 for the 2003 Year-End report was not filed. In response to the recommendation in the interim audit report, DMUS submitted a statement explaining why the Form 3Z-1 was not filed.

Legal Standard

Special Reporting Requirements. Principal campaign committees of candidates for the U.S. House and the U.S. Senate must file FEC Form 3Z-1 as part of their July Quarterly and Year-End reports in the year preceding the year in which the general election for the office sought is held. The information in this form allows opposing candidates to compute their "gross receipts advantage" used to determine whether a candidate is entitled to an increased contribution limit. The following information must be disclosed:

1. Gross receipts to date for the primary and general elections,
2. Aggregate amount of contributions from personal funds of the candidate for the primary and general elections, and,

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

VIA OVERNIGHT DELIVERY

August 9, 2007

Robert Kellerman, as Treasurer
Daniel Mongiardo for U.S. Senate
307 Washington Street
Frankfort, Kentucky 40601

C00393009
AF# 1528

Dear Mr. Kellerman:

On November 6, 2006, the Federal Election Commission ("Commission") found reason to believe ("RTB") that Daniel Mongiardo for U.S. Senate and you, as Treasurer ("respondents"), violated 2 U.S.C. § 434(a) for failing to file 48-Hour Notices for 55 contributions of \$1,000 or more received between October 14 and 30, 2004, totaling \$80,500. The Commission also made a preliminary determination that the civil money penalty was \$9,260 based on the schedule of penalties at 11 C.F.R. § 111.44.

After reviewing the written response and any supplemental information submitted by you and Commission staff, the Reviewing Officer has recommended that the Commission make a final determination in this matter. A copy of the Reviewing Officer's recommendation is attached.

You may file with the Commission Secretary a written response to the recommendation within 10 days of the date of this letter. Your written response should be sent to the Commission Secretary, 999 E Street, NW, Washington, DC 20463. As a result of the anthrax threat in the Washington, DC area, US Postal Service mail delivery to federal agencies, including the Commission, has been interrupted. Until regular mail delivery resumes, you may also file your written response with the Commission Secretary via facsimile (202-208-3333) or by courier at the same address (if you use an overnight delivery service, please use zip code 20004 instead of zip code 20463). Please include the AF # in your response. Your response may not raise any arguments not raised in your original written response or not directly responsive to the Reviewing Officer's recommendation. 11 C.F.R. § 111.36(f). The Commission will then make a final determination in this matter.

Please contact me at the toll free number 800-424-9530 (press 0, then press 1660) or 202-694-1660 if you have any questions.

Sincerely,

A handwritten signature in black ink that reads "Jill I. Sugarman". The signature is written in a cursive, flowing style.

Jill I. Sugarman
Reviewing Analyst
Office of Administrative Review

Attachment

27092613414



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

2007 AUG 28 A 11:37

August 28, 2007 **SENSITIVE**

MEMORANDUM

To: The Commission

Through: Patrina M. Clark *ML*
Staff Director

From: John D. Gibson *JDG for JWH*
Chief Compliance Officer

Shawn Woodhead Werth *SWW*
Reviewing Officer
Office of Administrative Review

By: Jill I. Sugarman *JIS*
Reviewing Analyst

Subject: Final Determination Recommendation in AF# 1528 - Daniel Mongiardo for U.S. Senate and Robert Kellerman, as Treasurer (C00393009)

On November 6, 2006, the Commission found reason to believe that the respondents violated 2 U.S.C. § 434(a) for failing to file 48-Hour Notices for 55 contributions of \$1,000 or more received between October 14 and 30, 2004, totaling \$80,500. The Commission also made a preliminary determination that the civil money penalty was \$9,260 based on the schedule of penalties at 11 C.F.R. § 111.44.

On December 15, 2006 and January 11, 2007, the Commission received the respondents' written responses ("challenge"). After reviewing the challenge, the Reviewing Officer's recommendation dated August 7, 2007 was forwarded to the Commission. A copy was simultaneously forwarded to the respondents. The Reviewing Officer determined that the respondents filed 48-Hour Notices for six contributions totaling \$10,000 and were not required to file a 48-Hour Notice for one \$2,000 contribution. The Reviewing Officer, therefore, recommended that the Commission make a final determination that they violated 2 U.S.C. § 434(a) and assess a civil money penalty of \$7,840 (reduced from the RTB civil money penalty of \$9,260) because they submitted no evidence that a factual error was made, that the civil money penalty was miscalculated at RTB or that extraordinary circumstances existed which prevented them from filing 48-

Hour Notices for 48 contributions totaling \$68,500 received between October 14 and 30, 2004.

Within 10 days of transmittal of the recommendation, the respondents may file a written response with the Commission Secretary which may not raise any arguments not raised in the respondents' challenge or not directly responsive to the Reviewing Officer's recommendation. 11 C.F.R. § 111.36(f). As of this date, a written response has not been received.

OAR Recommendations

- (1) Make a final determination in AF# 1528 that Daniel Mongiardo for U.S. Senate and Robert Kellerman, as Treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty of \$7,840 (reduced from the RTB civil money penalty of \$9,260); and
- (2) Send the appropriate letter.

Reviewing Analyst: Jill I. Sugarman



FEDERAL ELECTION COMMISSION

Washington, DC 20463

SENSITIVE

DATE & TIME OF TRANSMITTAL: Tuesday, August 28, 2007 4:00

BALLOT DEADLINE: Friday, August 31, 2007 4:00

COMMISSIONER: LENHARD, MASON, von SPAKOVSKY, WALTHER, WEINTRAUB

SUBJECT: **Final Determination Recommendation in
AF 1528 – Daniel Mongiardo for U.S. Senate
and Robert Kellerman, as Treasurer (C00393009)
Memorandum from the Chief Compliance
Officer and the Reviewing Officer dated
August 28, 2007.**

☐ I approve the recommendation(s)

☐ I object to the recommendation(s)

☐ I am recused from voting

☐ No vote by ballot

COMMENTS: _____

DATE: _____

SIGNATURE: _____

A definite vote is required. All ballots must be signed and dated. Please return **ONLY THE BALLOT** to the Commission Secretary. Please return ballot no later than date and time shown above.

FROM THE OFFICE OF THE SECRETARY OF THE COMMISSION

27092613417

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Final Determination Recommendation:) AF 1528
Daniel Mongiardo for U.S. Senate and)
Robert Kellerman, as treasurer)
(C00393009))

CERTIFICATION

I, Mary W. Dove, Secretary of the Federal Election Commission, do hereby
certify that on August 31, 2007, the Commission decided by a vote of 5-0 to take
the following actions in AF 1528:

1. Make a final determination in AF 1528 that Daniel Mongiardo for U.S. Senate and Robert Kellerman, as Treasurer, violated 2 U.S.C. § 434(a) and assess a civil money of \$7,840 (reduced from the RTB civil money penalty of \$9,260).
2. Send the appropriate letter.

Commissioners Lenhard, Mason, von Spakovsky, Walther, and Weintraub
voted affirmatively for the decision.

Attest:

August 31, 2007
Date

Mary W. Dove
Mary W. Dove
Secretary of the Commission

27092613418



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

September 5, 2007

VIA CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Robert Kellerman, as Treasurer
Daniel Mongiardo for U.S. Senate
307 Washington Street
Frankfort, KY 40601

ID# C00393009
AF# 1528

Dear Mr. Kellerman:

On November 6, 2006, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Daniel Mongiardo for U.S. Senate and you, as Treasurer, violated 2 U.S.C. § 434(a) for failing to file 48-Hour Notices for 55 contributions of \$1,000 or more received between October 14 and 30, 2004, totaling \$80,500. By letter dated November 9, 2006, the Commission sent you notification of the RTB finding that included a civil money penalty calculated at RTB of \$9,260 in accordance with the schedule of penalties at 11 C.F.R. § 111.44. On December 15, 2006 and January 11, 2007, the Office of Administrative Review received the written responses from you challenging the RTB finding.

The Reviewing Officer reviewed the Commission's RTB finding with its supporting documentation and your written responses. Based on this review, it was determined that the respondents filed 48-Hour Notices for six contributions totaling \$10,000 and were not required to file a 48-Hour Notice for one \$2,000 contribution. Therefore, the Reviewing Officer recommended that the Commission make a final determination that Daniel Mongiardo for U.S. Senate and you, as Treasurer, violated 2 U.S.C. § 434(a) for failing to file 48-Hour Notices for 48 contributions totaling \$68,500 received between October 14 and 30, 2004, and assess a civil money penalty in the amount of \$7,840 in accordance with 11 C.F.R. § 111.44. The basis for the Reviewing Officer's recommendation was included in the Final Determination Report, a copy of which was sent to you on August 9, 2007.

On August 31, 2007, the Commission adopted the Reviewing Officer's recommendation and made a final determination that Daniel Mongiardo for U.S. Senate and you, as Treasurer, violated 2 U.S.C. § 434(a) and assessed a civil money penalty of \$7,840 (reduced from the RTB civil money penalty of \$9,260).

To Pay the Civil Money Penalty

To pay the civil money penalty, send the enclosed form and payment to the address on page 3 within 30 days of receipt of this letter.

If You Do Not Pay the Civil Money Penalty

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA") as amended by the Debt Collection Improvement Act of 1996 ("DCIA"), 31 U.S.C. § 3701 et seq. If you do not pay this debt within 30 days (or file a written petition to a federal district court - see below), the Commission will transfer the debt to the U.S. Department of the Treasury ("Treasury") for collection. Within 5 days of the transfer to Treasury, Treasury will contact the debtor and request payment. Treasury currently charges a fee of 28% of the civil money penalty amount for its collection services. The fee will be added to the amount of the civil money penalty that you owe. Should Treasury's attempts fail, Treasury will refer the debt to a private collection agency ("PCA"). If the debt remains unpaid, Treasury may recommend that the Commission refer the matter to the Department of Justice for litigation.

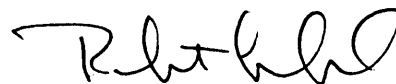
If You Choose to Appeal the Final Determination and/or Civil Money Penalty

If you choose to appeal the final determination, you should submit a written petition, within 30 days of receipt of this letter, to the district court of the United States for the district in which the committee or treasurer reside, or transact business, requesting that the final determination be modified or set aside. See 2 U.S.C. § 437g(a)(4)(C)(iii). Your failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver of the respondents' right to present such argument in a petition to the district court under 2 U.S.C. § 437g. 11 C.F.R. § 111.38.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. The file will be made a part of the public record pursuant to 11 C.F.R. § 111.42(b). Although the file must be placed on the public record within 30 days from the date of the Commission's notification, this could occur at any time following certification of the Commission's vote.

If you have any questions regarding the payment of the civil money penalty, please contact Jill I. Sugarman on our toll free number (800)424-9530 (press 0, then ext. 1660) or (202)694-1660.

Sincerely,



Robert D. Lenhard
Chairman

ADMINISTRATIVE FINE PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 C.F.R. § 111.44, the civil money penalty is \$7,840 for the 2004 48-Hour Notification Reports.

This penalty should be paid by check or money order, made payable to the Federal Election Commission. It should be sent by mail to:

Federal Election Commission
PO Box 979058
St. Louis, MO 63197-9000

If you choose to send your payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox
FEC # 979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

The form and payment are due within 30 days of receipt of this letter.

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTs). Your account will be electronically debited for the amount on the check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Daniel Mongiardo for U.S. Senate

FEC ID#: C00393009

AF#: 1528

PAYMENT AMOUNT DUE: \$7,840

QUALITY IS OUR PRIORITY FOR LOCKBOX 9058
SEQ# 001 \$ 0000784000 BA# 2 10-12-07 20 4



us bancorp.
The Sun Service Corporation

Security enhanced document. See back for details.

**DANIEL MONGIARDO FOR US SENATE
CAMPAIGN ACCOUNT
ROBERT KELLERMAN, TREASURER**

P.O. BOX 4147
FRANKFORT, KY 40604

DATE: 10/19/07

1476

PAY TO THE ORDER OF: *Federal Election Commission* \$ 7840.00

Seven thousand eight hundred forty and 00/100 DOLLARS

AFB American Foundries Bank
201 Limestone Drive
Frankfort, Kentucky 40601

FOR: C00393009 AP# 1528

Robert Kellerman, Treasurer

GUARANTY & SAFETY



FEDERAL ELECTION COMMISSION
Washington DC 20463

THIS IS THE END OF ADMINISTRATIVE FINE CASE # 1528

DATE SCANNED 11-29-07

SCANNER NO. 2

SCAN OPERATOR JmH

27092613423