



FEDERAL ELECTION COMMISSION

WASHINGTON D.C. 20461

THIS IS THE BEGINNING OF MUR # 3890

DATE FILMED 7/28/95 CAMERA NO. 1

CAMERAMAN SES

95043663669

BEFORE THE  
FEDERAL ELECTION COMMISSION  
Washington, D.C. 20463

FEDERAL ELECTION COMMISSION  
94 JAN 21 PM 4:34

**CENTER FOR RESPONSIVE POLITICS**

1320 19th Street, N.W.  
Washington, D.C. 20036  
(202) 857-0044

v.

Complainant,

William R. Hambrecht

Respondent,

COMPLAINT

MUR 3890

**COMPLAINT OF CENTER FOR RESPONSIVE POLITICS**

1. This complaint charges that the respondent has violated the Federal Election Campaign Act, 2 U.S.C. § 431 et seq., as amended ("FECA"), by making aggregate contributions in excess of the limits permitted by the FECA.

**PARTIES**

2. The complainant, the Center for Responsive Politics, is a nonprofit, nonpartisan research group incorporated in the State of Iowa and headquartered in Washington, D.C., that studies Congress and related issues. Founded in 1983, it was designed to research matters concerning the organization and operation of Congress as an institution and to examine potential reforms that could improve both its internal operation and its responsiveness to the American public. The patterns of contributions of money to federal candidates has been one of its chief areas of study.

3. The respondent is an individual contributor to various candidates and political committees.

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### APPLICABLE STATUTES AND REGULATIONS

4. The FECA limits contributions by any one individual to an aggregate total of \$25,000 per calendar year. Contributions to a candidate or the candidate's authorized committee made in a year other than the calendar year of the election with respect to which the contribution is made are considered to be made during the calendar year in which such election is held. 2 U.S.C. § 441a(a)(3); 11 C.F.R. § 110.5.

### GROUND FOR COMPLAINT

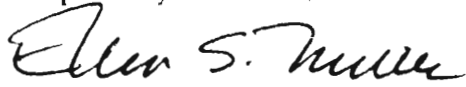
5. According to FEC records, respondent William R. Hambrecht made contributions subject to FECA in the amounts and to the persons identified in the list attached to this complaint as Exhibit 1. Attached as Exhibit 2 are copies of the FEC records for each contribution listed in Exhibit 1. All exhibits reflect the records on file at the FEC as of December 15, 1993.

6. On information and belief, respondent William R. Hambrecht made contributions attributed to the 1992 calendar year in the amount of \$51,250. These contributions exceeded by \$26,250 the \$25,000 contribution limit imposed by the FECA and Commission regulations on all contributions by any individual in a calendar year.

### RELIEF

7. The Center for Responsive Politics respectfully urges the Commission to conduct a prompt and thorough investigation into the allegations in this Complaint, and to declare that the Respondent has violated the FECA and Commission regulations.

Respectfully submitted,



Ellen S. Miller  
Executive Director  
CENTER FOR RESPONSIVE POLITICS  
1320 19th Street, N.W.  
Washington, D.C. 20036  
(202) 857-0044

Date: January 14, 1994

25043663672

Ellen S. Miller  
Ellen S. Miller

Thyllis Landon  
Notary Public

Phyllis Landau  
Notary Public District of Columbia  
My Commission Expires May 14, 1998

**HAMBRECHT, WILLIAM R**

Contributions Attributed to 1992

Exhibit 1

**Summary of FEC Data**

Recipient	Amount	Date	FEC Microfilm Location
AuCoin, Les	\$1,000	10/26/92	92HSE/485/4434
Boxer, Barbara	\$1,000	09/04/92	92SEN/024/1674
Cranston, Alan S	\$-1,000	06/19/91	91SEN/008/2272
Democratic Congressional Campaign Cmte	\$5,000	11/05/92	92HSE/486/2256
Democratic National Cmte	\$10,000	07/31/92	92FEC/774/4581
Democratic Party of Arkansas	\$5,000	10/21/92	92FEC/812/2660
Democratic Senatorial Campaign Cmte	\$5,000	10/22/92	92SEN/029/0530
Democratic Senatorial Campaign Cmte	\$1,000	10/22/92	92SEN/029/0530
Democratic Senatorial Campaign Cmte	\$4,000	10/23/92	92SEN/029/0530
Dorgan, Byron L	\$1,000	10/27/92	93HSE/493/1547
Downey, Thomas J	\$1,000	10/26/92	92SEN/030/3009
Eshoo, Anna G	\$1,000	10/26/92	92HSE/483/5119
Hamburg, Dan	\$1,000	10/27/92	92HSE/485/4019
Kerrey, Robert	\$250	09/14/92	92FEC/790/3817
McCarthy, Leo T	\$1,000	04/20/92	92SEN/010/4014
Mikulski, Barbara A	\$1,000	04/18/91	91SEN/005/1095
Pelosi, Nancy	\$1,000	10/23/92	92HSE/486/2981
Rice, Denis T	\$1,000	06/19/92	92HSE/460/0424
Russo, Martin A	\$1,000	10/27/92	92SEN/029/3619
Washington State Democratic Central Cmte	\$5,000	10/19/92	93FEC/829/4408
Woolsey, Lynn	\$1,000	09/28/92	92HSE/475/4088
Your Pro-choice Voter Guide	\$5,000	05/18/92	92FEC/771/3975

**Total Contributions Attributed to 1992: \$51,250**

**William Hambrecht**  
**Exhibit 2**

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REGULAR A P  
ITEMIZED RECEIPTS  
Consistent with one from Individuals/Persons

PAGE 11 OF 39  
FOR LINE NUMBER 17(a)(1)

NAME OF COMMITTEE (in Full)  
FERRY FOR PRESIDENT, INC. C00254250

All information copied from such Reports and Statements may not be sold or used by any person for the purposes of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Full Name Mailing Address	Name of Employer Occupation	Date MM/DD/YY	Amount
Herbert M. Kohl 815 W. Jefferson Street Milwaukee, WI 53202-	Information Requested Information Requested	09/08/92	\$250.00

Receipt for [X]Primary Aggregate YTD > \$250.00

William R. Hambrecht One Bush Street 18th Floor San Francisco, CA 94104-	Information Requested Information Requested	09/14/92	\$250.00
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Receipt for [X]Primary Aggregate YTD > \$250.00

Mary Adinolfi c/o Whale Securities 650 Fifth Avenue New York, NY 10019-	Information Requested Information Requested	09/14/92	\$250.00
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Receipt for [X]Primary Aggregate YTD > \$250.00

Jacob A. Bloom 16 Westwind Marina Del Ray, CA 90292-	Information Requested Information Requested	09/14/92	\$250.00
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Receipt for [X]Primary Aggregate YTD > \$250.00

Mary Lazar 120 El Camino Beverly Hills, CA 90212-	Information Requested Information Requested	09/14/92	\$500.00
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Receipt for [X]Primary Aggregate YTD > \$500.00

Howard West 1019 Westholme Los Angeles, CA 90024-	Information Requested Information Requested	09/14/92	\$250.00
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Receipt for [X]Primary Aggregate YTD > \$250.00

J. Weldon Granger PO Box 4340 Houston, TX 77210-	Information Requested Information Requested	09/10/92	\$500.00
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Receipt for [X]Primary Aggregate YTD > \$500.00

SUBTOTAL of Receipts This Page > \$2250.00

TOTAL This Period >

95043663675  
377301



## SCHEDULE A

## ITEMIZED RECEIPTS

Page 26 of 76 for  
Line Number (11a)

Any info. copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Friends of McCarthy For Senate C00250811

Full Name, Mailing Address and ZIP Code Berry Gordy 6251 Sunset Blvd., Suite 1800 Los Angeles, CA 90028	Name of Employer Information Requested-No Response Occupation Information Requested-No Response	Date (month, day, year) 05/04/92	Amount of Each Receipt this period 500.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Aggregate Year-to-Date \$ 500.00		
Full Name, Mailing Address and ZIP Code Thomas A Gray 999 Green Street No 2004 San Francisco, CA 94133	Name of Employer Pacific Union Properties Inc Occupation President	Date (month, day, year) 04/14/92	Amount of Each Receipt this period 1000.00
Receipt for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Aggregate Year-to-Date \$ 1000.00		
Full Name, Mailing Address and ZIP Code Alan Greenberg 1027 Linden Avenue Ste C Long Beach, CA 90813	Name of Employer Information Requested-No Response Occupation Physician	Date (month, day, year) 05/11/92	Amount of Each Receipt this period 250.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Aggregate Year-to-Date \$ 250.00		
Full Name, Mailing Address and ZIP Code Braune Greene 13535 Lucca Drive Pacific Palisades, CA 90272	Name of Employer Information Requested-No Response Occupation Attorney	Date (month, day, year) 05/05/92	Amount of Each Receipt this period 1000.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Aggregate Year-to-Date \$ 1000.00		
Full Name, Mailing Address and ZIP Code Dennis M Griffin 9415 La Jolla Shores La Jolla, CA 92037	Name of Employer Dennis M Griffin Occupation Counselor at Law	Date (month, day, year) 05/12/92	Amount of Each Receipt this period 500.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Aggregate Year-to-Date \$ 500.00		
Full Name, Mailing Address and ZIP Code William R Hambrecht One Bush Street 18th Floor San Francisco, CA 94104	Name of Employer Hambrecht & Quist Occupation Investment Banker	Date (month, day, year) 04/20/92	Amount of Each Receipt this period 1000.00
Receipt for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Aggregate Year-to-Date \$ 1000.00		

SUBTOTAL of Receipts This Page (optional)..... 4250.00

TOTAL This period (last page this line number only).....

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✓



07/19/91

Page 24 of  
Line 11(a)

BARBARA MIKULSKI  
Schedule A -- Itemized Receipts  
Individual Contributions  
01/01/91 - 06/30/91

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the names and address of any political committee to solicit contributions from such committee.

Ms. Margaret E. Hager 113 Lake Shore Drive  Madison, AL 35758 Primary	Employer: Info. Requested Occupation: Info. Requested Aggregate Year to Date: 300.00	Date 06/30/91	Amount 200.00
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Mr. William R. Hambrecht One Rush Street, 18th Floor  San Francisco, CA 94104 Primary	Employer: Info. Requested Occupation: Info. Requested Aggregate Year to Date: 1000.00	Date 04/18/91	Amount 1,000.00
---	--	------------------	--------------------

Ms. Sally P. Hambrecht 3401 Washington Street  San Francisco, CA 94118 Primary	Employer: Info. Requested Occupation: Info. Requested Aggregate Year to Date: 1000.00	Date 04/18/91	Amount 1,000.00
--	--	------------------	--------------------

Mr. Mayer Handelman 1 Grist Mill Court, Apt. 602  Baltimore, MD 21208-1373 Primary	Employer: Info. Requested Occupation: Info. Requested Aggregate Year to Date: 500.00	Date 03/05/91	Amount 500.00
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Dr. Cynthia O. Harris Lenny's Lane P.O. Box 95 Hampton, CT 06247 Primary	Employer: Self Employed Occupation: Psychiatrist Aggregate Year to Date: 250.00	Date 06/06/91	Amount 250.00
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Dr. Stanley E. Harrison 209 Carnwood Road  Great Falls, VA 22066 Primary	Employer: Info. Requested Occupation: Info. Requested Aggregate Year to Date: 500.00	Date 06/07/91	Amount 500.00
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Subtotal This Page: 3,450.00

# SCHEDULE A

## ITEMIZED RECEIPTS

(Contributions from persons other than political committees)

Use separate schedule for each category of the Detailed Summary Page

FOR LINE NUMBER 11.a.i.

Any information taken from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (in Full)

YOUR PRO-CHOICE VOTER GUIDE

A. Full Name, Mailing Address and ZIP Code  
William R. Hambrecht  
One Rush St., 18th Floor  
San Francisco, CA 94104

Name of Employer

Hambrecht & Quist

Date (month, day, year)

5/18/92

Amount of Each Receipt this Period

5,000

Receipt For ☐ Primary ☐ General  
☐ Other (specify)

Occupation Chairman/Co-Chair  
Investment banking

Aggregate Year-to-Date > \$ 5,000

B. Full Name, Mailing Address and ZIP Code  
Nancy Campbell  
P.O. Box 121  
Kentfield, CA 94904

Name of Employer

Information Requested

Date (month, day, year)

5/19/92

Amount of Each Receipt this Period

1,000

Receipt For ☐ Primary ☐ General  
☐ Other (specify)

Occupation  
Information Requested

Aggregate Year-to-Date > \$ 1,000

C. Full Name, Mailing Address and ZIP Code  
Jerome S. Moss  
1416 N. LaBrea Ave.  
Hollywood, CA 90028

Name of Employer

A & M Records

Date (month, day, year)

5/20/92

Amount of Each Receipt this Period

5,000

Receipt For ☐ Primary ☐ General  
☐ Other (specify)

Occupation  
President

Aggregate Year-to-Date > \$ 5,000

D. Full Name, Mailing Address and ZIP Code  
Leslie Libman  
5903 Graciosa Drive  
Los Angeles, CA 90068

Name of Employer

Information Requested

Date (month, day, year)

5/26/92

Amount of Each Receipt this Period

250

Receipt For ☐ Primary ☐ General  
☐ Other (specify)

Occupation  
Information Requested

Aggregate Year-to-Date > \$ 250

E. Full Name, Mailing Address and ZIP Code  
Marty Longhine  
23140 Mariposa de Oro  
Malibu, CA 90265

Name of Employer

Information Requested

Date (month, day, year)

5/26/92

Amount of Each Receipt this Period

500

Receipt For ☐ Primary ☐ General  
☐ Other (specify)

Occupation  
Information Requested

Aggregate Year-to-Date > \$ 500

F. Full Name, Mailing Address and ZIP Code  
David Woo  
160 Maple Court  
Hercules, CA 94547

Name of Employer

The Garden City

Date (month, day, year)

Amount of Each Receipt this Period

2,000

Receipt For ☐ Primary ☐ General  
☐ Other (specify)

Occupation  
Director Asian Games

Aggregate Year-to-Date > \$ 2,000

G. Full Name, Mailing Address and ZIP Code  
Linda Bloodworth-Thomason  
10732 Riverside Drive  
North Hollywood, CA 91602

Name of Employer

Mozark Productions

Date (month, day, year)

5/26/92

Amount of Each Receipt this Period

1,000

Receipt For ☐ Primary ☐ General  
☐ Other (specify)

Occupation  
Producer/Creator

Aggregate Year-to-Date > \$ 1,000

SUBTOTAL of Receipts This Page (optional)

14,750

TOTAL This Period (see page 11a (line number only))

92520434634138

**ISSUED RECEIPTS**  
**ANC SERVICES CORPORATION/DEMOCRATIC NATIONAL COMMITTEE**  
**SCHEDULE A**

PAGE 390 OF ---  
 LINE 11

NAME ADDRESS CITY STATE ZIPCODE .....	OCCUPATION EMPLOYER .....	YTD AMOUNTS .....	DATE	AMOUNT PERIOD
--	---------------------------------	-------------------------	------	------------------

Mr. Jeremiah Hallisey  
 100 Montgomery Street  
 Suite 530  
 San Francisco, CA 94104

REQUESTED 100.00 7/31/92 100.00

Mr. Barbara Gallowell  
 10 Bridge St.  
 Manchester, MA 01944

REQUESTED 220.00 7/31/92 00.00

Mr. Charles/Rose Halper  
 21 Little Fox Lane  
 Westport, CT 06880

REQUESTED 200.00 7/30/92 100.00

Dr. Charles Halsted  
 500 Jerome St.  
 Davis, CA 95616

PHYSICIAN 000.00 7/31/92 250.00  
 U-CAL DAVIS

Mr. William R. Haebrecht  
 Haebrecht & Quist Inc.  
 San Francisco, CA 94104

Banker  
 Haebrecht & Quist

10,000.00 7/31/92 10,000.00

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 2J37



SCHEDULE H

ITEMIZED DISBURSEMENTS

Use separate schedules for each category of the Detailed Summary Page

PAGE 01  
FOR LINE NUMBER

All information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for any other purpose other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

A Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for Primary General Other (specify)		
B Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for Primary General Other (specify)		
C Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for Primary General Other (specify)		
D Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for Primary General Other (specify)		
E Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for Primary General Other (specify)		
F Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for Primary General Other (specify)		
G Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for Primary General Other (specify)		
H Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for Primary General Other (specify)		
I Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for Primary General Other (specify)		

SUBTOTAL (Total disbursements for page 01) \$0.00

TOTAL (Total disbursements for page 01) \$0.00

## SCHEDULE B

## ITEMIZED DISBURSEMENTS

Use separate schedule(s)  
for each category of the  
Detailed Summary PagePAGE 2  
OF 2  
FOR LINE NUMBER 22A

All information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

## NAME OF COMMITTEE (in Full)

Rice for Congress

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Robert Hambrecht 3401 Washington St. San Francisco CA 94118	requested return of contrib. Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	5/14/72	1,000.00
Sally P. Hambrecht as above	as above Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	5/14/72	1,000.00
Susan Hambrecht as above	as above Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	5/14/72	1,000.00
William R. Hambrecht as above	return of contrib. to gen'l. Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	6/14/72	1,000.00
P.L. Herman & Co. Inc. 375 S. Mayfair Ave Ste 20 Joly City CA 94015	return of illeg. contrib. Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	5/24/72	75.00
Allen J. Joseph 2461 Washington St. San Francisco CA 94115	return of contrib. to gen'l. Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	6/14/72	50.00
Arno A. Roemer 275 E. Broadway Dr. Mill Valley CA 94041	as above Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	6/14/72	1,000.00
A. James Robertson III 178 Alameda Ave. San Francisco CA 94117	as above Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	6/14/72	1,000.00
Peter S. Friedman 108 Lyford Dr. Tiburon CA 94920	return of contrib. to gen'l. Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	6/14/72	1,000.00

SUBTOTAL of Disbursements This Page (optional)

7,125.00

TOTAL This Period (last page this line number only)

13,015.00

424

95011133



-- ITEMIZED RECEIPTS --  
 CONTRIBUTIONS FROM INDIVIDUALS/PERSONS  
 OTHER THAN POLITICAL COMMITTEES  
 WHOSE AGGREGATE TOTAL IS GREATER THAN \$200

ANY INFORMATION COPIED FROM SUCH REPORTS OR STATEMENTS MAY NOT BE SOLD OR USED BY ANY PERSON FOR THE PURPOSE OF SOLICITING CONTRIBUTIONS OR FOR COMMERCIAL PURPOSES, OTHER THAN USING THE NAME AND ADDRESS OF ANY POLITICAL COMMITTEE TO SOLICIT CONTRIBUTIONS FROM SUCH COMMITTEE.

NAME OF COMMITTEE (in Full) BARBARA BOHRER FOR U.S. SENATE 00C00249730

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
Sara Sporo 349 Carrera Drive Mill Valley CA 94941	State Of California	9/28/92	100.00

ELECTION: GENERAL OCC: Attorney  
 AGGREGATE YTD ----- \$300.00

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
P.D. Molsen 1233 Sagemont Place Altadena CA 91001	No Response	9/21/92	100.00

ELECTION: GENERAL OCC: Retired Editor  
 AGGREGATE YTD ----- \$300.00

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
Doris A Putzolu 17550 Hillview Lane Morgan Hill CA 95037	Self	7/20/92	60.00

ELECTION: GENERAL OCC: Programmer/consultant  
 AGGREGATE YTD ----- \$1,230.00

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
Nelson Nicholas 2743 Alcatraz Avenue Berkeley CA 94705	Royal Coffee	9/22/92	150.00

ELECTION: GENERAL OCC: Self Employed  
 AGGREGATE YTD ----- \$250.00

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
Susan Daly 724 Euclid Avenue Berkeley CA 94708	Sunbeam Inc	9/02/92	150.00

ELECTION: GENERAL OCC: Theatre Consultant  
 AGGREGATE YTD ----- \$250.00

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
William R Hambrecht One Bush St 18th Flr San Francisco CA 94104	Hambrecht & Quist	9/04/92	1,000.00

ELECTION: GENERAL OCC: Investment Banking  
 AGGREGATE YTD ----- \$1,000.00

920241674

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DUPLICATE

ITEMIZED RECEIPTS

A

Use separate schedule for each category of the Detailed Summary Page

PAGE 5114  
FOR LINE NUMBER 11 (10/1)

Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial uses other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (in Full)

11/11/92 10/1/92 10/1/92

<p>A. Full Name, Mailing Address and ZIP Code  <i>Yvette Bralle</i>  <i>33 Rockledge Rd.</i>  <i>Wartsdale NY 10530</i></p> <p>Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General  <input type="checkbox"/> Other (specify):</p>	<p>Name of Employer    Occupation  <i>Retired</i></p> <p>Aggregate Year-to-Date &gt; \$ <i>500.00</i></p>	<p>Date (month, day, year)  <i>9/23/92</i></p>	<p>Amount of Each Receipt this Period  <i>500.00</i></p>
<p>B. Full Name, Mailing Address and ZIP Code  <i>Jerry Granoff</i>  <i>36 Ackerman</i>  <i>Saddle River NJ 07458</i></p> <p>Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General  <input type="checkbox"/> Other (specify):</p>	<p>Name of Employer  <i>Institute for Family Therapy</i>  Occupation  <i>Therapist</i></p> <p>Aggregate Year-to-Date &gt; \$ <i>250.00</i></p>	<p>Date (month, day, year)  <i>9/24/92</i></p>	<p>Amount of Each Receipt this Period  <i>250.00</i></p>
<p>C. Full Name, Mailing Address and ZIP Code  <i>William Hambrecht</i>  <i>One Bush St. 18th Floor</i>  <i>San Francisco CA 94104</i></p> <p>Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General  <input type="checkbox"/> Other (specify):</p>	<p>Name of Employer  <i>Hambrecht &amp; Quist</i>  Occupation  <i>Partner</i></p> <p>Aggregate Year-to-Date &gt; \$ <i>1000.00</i></p>	<p>Date (month, day, year)  <i>9/28/92</i></p>	<p>Amount of Each Receipt this Period  <i>1000.00</i></p>
<p>D. Full Name, Mailing Address and ZIP Code  <i>Patricia Herson</i>  <i>57 Western St.</i>  <i>Richmond CA 94801</i></p> <p>Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General  <input type="checkbox"/> Other (specify):</p>	<p>Name of Employer  <i>Self - JAMS</i>  Occupation  <i>Private Judge</i></p> <p>Aggregate Year-to-Date &gt; \$ <i>250.00</i></p>	<p>Date (month, day, year)  <i>8/21/92</i></p>	<p>Amount of Each Receipt this Period  <i>250.00</i></p>
<p>E. Full Name, Mailing Address and ZIP Code  <i>Lawrence Heas</i>  <i>6309 Cypress Point Rd.</i>  <i>San Diego CA 92120</i></p> <p>Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General  <input type="checkbox"/> Other (specify):</p>	<p>Name of Employer  <i>Kehbras Ltd.</i>  Occupation  <i>Real Estate</i></p> <p>Aggregate Year-to-Date &gt; \$ <i>500.00</i></p>	<p>Date (month, day, year)  <i>8/11/92</i></p>	<p>Amount of Each Receipt this Period  <i>500.00</i></p>
<p>F. Full Name, Mailing Address and ZIP Code  <i>John Hinman</i>  <i>844 California St Ste 300</i>  <i>San Francisco CA 94111</i></p> <p>Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General  <input type="checkbox"/> Other (specify):</p>	<p>Name of Employer  <i>Hinman &amp; Carmichael</i>  Occupation  <i>Attorney</i></p> <p>Aggregate Year-to-Date &gt; \$ <i>500.00</i></p>	<p>Date (month, day, year)  <i>7/11/92</i></p>	<p>Amount of Each Receipt this Period  <i>500.00</i></p>
<p>G. Full Name, Mailing Address and ZIP Code  <i>Glennda Hope</i>  <i>341 ...</i>  <i>San Francisco CA 94112</i></p> <p>Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General  <input type="checkbox"/> Other (specify):</p>	<p>Name of Employer  <i>network ministries</i>  Occupation  <i>Minister</i></p> <p>Aggregate Year-to-Date &gt; \$ <i>500.00</i></p>	<p>Date (month, day, year)  <i>9/28/92</i></p>	<p>Amount of Each Receipt this Period  <i>250.00</i></p>

SUBTOTAL of Receipts This Page (optional)

*3250.00*

TOTAL This Period (last page this line number only)

9504366366



SCHEDULE A

ITEMIZED RECEIPTS

H

Use separate schedule for each category of the Detailed Summary Page

PAGE 01  
FOR LINE 11a

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NAME OF COMMITTEE (in Full)  
DEMOCRATIC PARTY OF ARKANSAS FEDERAL ACCOUNT

<p>A Full Name, Mailing Address and ZIP Code (DPA ) Greer, Evelyn Langlieb 5900 SW 97th St Miami FL 33156</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Genl Support</p>	<p>Name of Employer</p> <p>Occupation</p> <p>Aggregate Year-to-Date &gt; \$ 5,000.00</p>	<p>Date (month, day, year) 10/21/92</p>	<p>Amount of Each Receipt this Period 5,000.00</p>
<p>B Full Name, Mailing Address and ZIP Code (DPA ) Gruse, Martin D 11707 Maidstone Drive Wellington FL 33414</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Gen support</p>	<p>Name of Employer</p> <p>Occupation</p> <p>Aggregate Year-to-Date &gt; \$ 5,000.00</p>	<p>Date (month, day, year) 10/15/92</p>	<p>Amount of Each Receipt this Period 5,000.00</p>
<p>C Full Name, Mailing Address and ZIP Code (DPA ) Gruse, Martin D 11707 Maidstone Drive Wellington FL 33414</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Gen support</p>	<p>Name of Employer</p> <p>Occupation</p> <p>Aggregate Year-to-Date &gt; \$ 0.00</p>	<p>Date (month, day, year) 10/22/92</p>	<p>Amount of Each Receipt this Period -5,000.00</p>
<p>D Full Name, Mailing Address and ZIP Code (DPA ) Gruse, Martin D 11707 Maidstone Drive Wellington FL 33414</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Gen support</p>	<p>Name of Employer</p> <p>Occupation</p> <p>Aggregate Year-to-Date &gt; \$ 5,000.00</p>	<p>Date (month, day, year) 10/28/92</p>	<p>Amount of Each Receipt this Period 5,000.00</p>
<p>E Full Name, Mailing Address and ZIP Code (DPA ) Hambrecht, William R One Bush St San Francisco CA 94104</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Genl Support</p>	<p>Name of Employer</p> <p>Occupation</p> <p>Aggregate Year-to-Date &gt; \$ 5,000.00</p>	<p>Date (month, day, year) 10/21/92</p>	<p>Amount of Each Receipt this Period 5,000.00</p>
<p>F Full Name, Mailing Address and ZIP Code (DPA ) Hamister, Mark E 88 Northington Dr East Amherst NY 14051</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Genl Support</p>	<p>Name of Employer</p> <p>Occupation</p> <p>Aggregate Year-to-Date &gt; \$ 1,000.00</p>	<p>Date (month, day, year) 10/27/92</p>	<p>Amount of Each Receipt this Period 1,000.00</p>
<p>G Full Name, Mailing Address and ZIP Code (WIN92 ) Handel, Janet 30 Waterside Plaza New York NY 10010</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Buy Campaign Material</p>	<p>Name of Employer</p> <p>Occupation</p> <p>Aggregate Year-to-Date &gt; \$ 360.00</p>	<p>Date (month, day, year) 11/01/92</p>	<p>Amount of Each Receipt this Period 130.00</p>

SUBTOTAL of Receipts This Page (do not)

TOTAL This Period (last page this line number only)

02-58123530

-- ITEMIZED RECEIPTS --  
CONTRIBUTIONS FROM INDIVIDUALS/PERSONS  
OTHER THAN POLITICAL COMMITTEES  
WHOSE AGGREGATE TOTAL IS GREATER THAN \$200

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NAME OF COMMITTEE (In full) Nancy Pelosi for Congress

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
Richard E. Goldan 1 Bush Street, Suite 900 San Francisco, CA 94104	Richard Goldan & Co.	10/23/92	230.00

OCCUPATION: Chairman  
ELECTION: General-92  
AGGREGATE YTD -- \$ 230.00

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
William E. Sambrecht 1 Bush Street, 10th Floor San Francisco, CA 94104	Sambrecht & Gist	10/23/92	1,000.00

OCCUPATION: Investment Banker  
ELECTION: General-92  
AGGREGATE YTD -- \$ 1,000.00

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
Alan Gervin 552 Union Street San Francisco, CA 94107	SGS Systems Laboratory	10/23/92	300.00

OCCUPATION: Supervisor  
ELECTION: General-92  
AGGREGATE YTD -- \$ 400.00

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
Arthur Gajeros 9400 Piverton Ct. Potomac, MD 20854	Joseph, Gajeros, Huberman & Reiner	10/14/92	1,000.00

OCCUPATION: Attorney  
ELECTION: General-92  
AGGREGATE YTD -- \$ 1,000.00

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
Edward J. LaBartoia, Jr. 4049 Centennial Blvd. Santa Clara, CA 95054	San Francisco 49ers	10/14/92	1,000.00

OCCUPATION: Owner  
ELECTION: General-92  
AGGREGATE YTD -- \$ 1,000.00

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
Jack London 36 Astor Street San Francisco, CA 94104	Information requested	10/26/92	1,000.00

ELECTION: General-92  
AGGREGATE YTD -- \$ 1,000.00

950436380  
9201436380

## SCHEDULE A

## ITEMIZED RECEIPTS

Page 11 of 27 for  
Line Number (11a)

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## NAME OF COMMITTEE (in full)

Anna Eshoo For Congress C00258475

Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this period
Carole Groom 342 Highland Ave. San Mateo, CA 94401	Hills Peninsula Hospitals Occupation Dir. of Community Relations	10/27/92	100.00
Receipt for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):		Aggregate Year-to-Date \$ 375.00	
K.C. Belgern 321 South St. Chestnut Hill, MA 02167	Houswife Occupation Houswife	10/25/92	200.00
Receipt for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):		Aggregate Year-to-Date \$ 200.00	
William R. Sandrecht One Bush St., 10th Floor San Francisco, CA 94104	Sandrecht & Gaiet Inc. Occupation Investment Banker	10/25/92	1000.00
Receipt for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):		Aggregate Year-to-Date \$ 1000.00	
James J. Sawrock 682 Fairfield Rd. Burlington, CA 94010	Retired Occupation Retired	10/25/92	100.00
Receipt for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):		Aggregate Year-to-Date \$ 200.00	
Tory Bartmann 520 Church St. San Francisco, CA 94114	American Precision Gear Co., Inc. Occupation CEO/VP	10/25/92	100.00
Receipt for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):		Aggregate Year-to-Date \$ 300.00	
Lynne Beterline Sanderson 720 Alcester Ave. Palo Alto, CA 94301	Self Employed Occupation Psychologist	10/27/92	100.00
Receipt for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):		Aggregate Year-to-Date \$ 200.00	

SUBTOTAL of Receipts This Page (optional)..... 1969.95

TOTAL This period (last page this line number only).....

SCHEDULE A

ITEMIZED RECEIPTS

Page 3 of 6  
For Line Number: 11(a)(1)  
Contributions from Individuals/Persons

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> NAME OF COMMITTEE (In Full): FRIENDS OF BYRON DORGAN

Full Name, Mailing Address and ZIP	Name of Employer Information Requested Occupation	Date (month, day, year)	Receipts this Period
Robert Goldberger 300 Bayview Dr #507 North Miami Beach, FL 33160		11/02/92	\$ 500.00
Receipt for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General	Aggr.YTD->\$ 500.00		
Full Name, Mailing Address and ZIP	Name of Employer Information Requested Occupation	Date (month, day, year)	Receipts this Period
William & Susan Goldenberg P.O. Box 130 310 St. Paul, MN 55113		11/02/92	\$ 250.00
Receipt for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General	Aggr.YTD->\$ 250.00		
Full Name, Mailing Address and ZIP	Name of Employer Information Requested Occupation	Date (month, day, year)	Receipts this Period
William Hambrecht One Bush St. 18th Floor San Francisco, CA 94104	Hambrecht & Quist Inc Chairman	10/27/92	\$ 1,000.00
Receipt for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General	Aggr.YTD->\$ 1,000.00		
Full Name, Mailing Address and ZIP	Name of Employer Information Requested Occupation	Date (month, day, year)	Receipts this Period
Dora W. Johnson 10000 Wornall Road, Apt 1402 Kansas City, MO 64114	Retired	10/27/92	\$ 500.00
Receipt for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General	Aggr.YTD->\$ 500.00		

SUBTOTAL of Receipts This Page .....> \$ 2,250.00

SCHEDULE A

ITEMIZED RECEIPTS

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DEMOCRATIC SENATORIAL CAMPAIGN COMMITTEE

Name, Mailing Address and ZIP Code	Employer/ Occupation	Date	Amount
Mina Hamberg 4008 Bayview Avenue San Mateo, CA 94403	Information Requested  Y-T-D \$250.00	10/16/92	\$250.00
William R. Hambrecht One Bush Street 18th Floor San Francisco, CA 94104	Hambrecht & Associates CEO/President  Y-T-D \$10,000.00	10/22/92 10/22/92 10/23/92	\$1,000.00 \$5,000.00 \$4,000.00
Richard M. Hamlin 1330 Rum Row Naples, FL 33940	Information Requested  Y-T-D \$500.00	10/24/92	\$500.00
Susan W. Hammer 1257 W Hedding San Jose, CA 95126	Information Requested  Y-T-D \$700.00	10/22/92 10/22/92	\$200.00 \$500.00
Sam F. Hamia Two Corporate Centre Suite 200 Springfield, MO 65804	Self Attorney  Y-T-D \$500.00	10/22/92	\$500.00
Lillian F. Hamrick 5 Acacia Ave. Berkeley, CA 94708	Information Requested  Y-T-D \$210.00	10/16/92 11/9/92	\$100.00 \$110.00
Carole E. Handler 10788 Lindbrook Ave. Los Angeles, CA 90024	Information Requested  Y-T-D \$350.00	10/22/92	\$350.00

Total of Receipts This Page \$12,510.00



Les AuCoin for Senate Committee      Page 185 of 210  
Itemized Contribution Report  
FEC Report (Line 11a) - Individuals / Persons Other Than Political Committees  
For the period: 10/15/92 to 11/23/92

Name and Address	Employer / Occup.	Date	Amount	Election
William R. Hambrecht One Bush St. San Francisco, CA 94104 Year to date: \$ 1,000.00	Self-employed	10/26/92	\$ 1,000.00	Gen
Deborah A. Schley 2014 SE Spruce Portland, OR 97214 Year to date: \$ 15.00	Info. requested Not received	10/27/92	\$ 15.00	Gen
Justin Kulongoski 3285 SE Ankeny Portland, OR 97214 Year to date: \$ 10.00	Student	10/23/92	\$ 10.00	Gen
J. Gibson McClelland 902 S 9th St Virginia, MN 55792 Year to date: \$ 5.00	Self-employed Physician	10/30/92	\$ 5.00	Gen
Russell Maynard 56305 Spruce Rd. Otis, OR 97368 Year to date: \$ 125.00	Retired	10/21/92	\$ 100.00	Gen
Michael Rifkind New York, NY Year to date: \$ 10.00	Info. requested Not received	10/16/92	\$ 10.00	Gen
Jeanette R. Hubbard 23414 NW Moreland Road Hillsboro, OR 97124 Year to date: \$ 500.00	Oisinn Farms	10/24/92	\$ 500.00	Gen
Lillian A. Pereyra 4504 N. w. Barnes Road Portland, OR 97210 Year to date: \$ 175.00	Retired	10/16/92 10/28/92	\$ 25.00 \$ 25.00	Gen Gen
Michelle Giguere 1705 Lanier Place NW, #206 Washington, DC 20009 Year to date: \$ 150.00	Ball Janik & Novack Lobbyist	10/22/92	\$ 100.00	Gen

Page Total: 1,790.00

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule for each category of the Detailed Summary Page

PAGE 9 110  
FOR LINE NUMBER 1101

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NAME OF COMMITTEE (in Full)

WASHINGTON STATE DEMOCRATIC CENTRAL COMMITTEE

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
J. BEW. COPE 1125 15th St NW Washington DC 20005	Same	10/70	5,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$5,000.00	
B. Full Name, Mailing Address and ZIP Code William R. Hambrecht One Bush St, 18th Floor San Francisco, CA 94104	Same	10/19	5,000.00 ✓
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$5,000.00	
C. Full Name, Mailing Address and ZIP Code Samir F. Barakat 927 Creston Rd Berkeley, CA 94708	Same	10/19	2500.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$5,000.00	
D. Full Name, Mailing Address and ZIP Code Douglas E. Feldman 2530 Union St San Francisco, CA 94133	Same	10/19	3,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$3,000.00	
E. Full Name, Mailing Address and ZIP Code R.S. Ambler 235 Montgomery St, Suite 700 San Francisco, CA 94104	Same	10/19	5,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$5,000.00	
F. Full Name, Mailing Address and ZIP Code Laura M. McLean 5523 Estelle Dr Oakland, CA 94618	Same	10/19	1000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$1,000.00	
G. Full Name, Mailing Address and ZIP Code William, Jackson, Ellis & Faxon 2000 Addison St, 7th Floor Berkeley, CA 94704	Same	10/19	2,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$2,000.00	

SUBTOTAL of Receipts This Page (optional)

23,500.00

TOTAL This Period (last page this line number only)



SCHEDULE A

ITEMIZED RECEIPTS

Contributions from Individuals/Persons

PAGE 2 OF 3  
FORM LINE NUMBER  
11(a)(1)

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NAME OF COMMITTEE (in full)  
House for Congress Committee

REC ID NUMBER  
HB-032077

Full Name, Mailing Address and ZIP Code	Employer / Occupation / Aggregate YTD	Date	Amount of Receipt
A. William Hambrecht One Bush Street San Francisco, CA 94104 03629	Employer: Hambrecht & Quist Occupation: Chairman of the Board		
Receipt For: 94 Primary		10-27-92	\$ 1,000.00
Aggregate Year-to-Date -- \$ 1,000.00			
B. Cliff Radison 1611 Landfall Drive Wilmington, NC 28403 03630	Employer: Radison & Associates Occupation: Govt Relations Consultant		
Receipt For: 92 Primary		11-03-92	\$ 1,000.00
Aggregate Year-to-Date -- \$ 1,000.00			
C. Jack Williams 451 New Jersey Avenue, S.E. Washington, DC 20003 03631	Employer: Self-employed Occupation: Lobbyist		
Receipt For: 94 Primary		11-03-92	\$ 500.00
Aggregate Year-to-Date -- \$ 500.00			
D. John Tanner P. O. Box 629 Union City, TN 38261 03633	Employer: U.S. House of Representatives Occupation: Member of Congress		
Receipt For: 92 Primary		12-01-92	\$ 1,000.00
Aggregate Year-to-Date -- \$ 1,000.00			
E. J. Collins 2 Gateway Oak Brook, IL 60521 03634	Employer: Chicago Board of Trade Occupation: Commodity Trader		
Receipt For: 92 Primary		12-23-92	\$ 250.00
Aggregate Year-to-Date -- \$ 250.00			
F. Bill Abernethy 1142 Aushi Street Honolulu, HI 96814 03635	Employer: Abernethy Back to Congress Occupation: U.S. Congressman		
Receipt For: 92 Primary		12-23-92	\$ 1,000.00
Aggregate Year-to-Date -- \$ 1,000.00			
G. Batchford Barker 6 Orchard Lane Belf, IL 60029 03636	Employer: Chicago Board of Trade Occupation: Commodity Trader		
Receipt For: 92 Primary		12-23-92	\$ 1,000.00
Aggregate Year-to-Date -- \$ 1,000.00			

SUBTOTAL of Receipts This Page (optional) . . . . . \$ 5,750.00  
TOTAL This Period (last page this line number only). . . . .

950436631547

SCHEDULE A

ITEMIZED RECEIPTS

Page 26 of 26 for  
LINE NUMBER 11a

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Name of Committee (in full)

CITIZENS FOR BOBNEY

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date	Amount
Mr. David Super 631 No. Carolina Avenue SE 01 Washington DC 20003	National Health Law Program Occupation: Staff Attorney	10/26/92	0000.00
Receipt for: Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (amount):			
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date	Amount
Ms. Cynthia Kerwin Smith 1312 Montgomery Street San Francisco CA 94133	Maley, Purchie, Baker & Smith Occupation: Attorney	10/26/92	01,000.00
Receipt for: Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (amount):			
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date	Amount
Mr. William R. Hambrecht One Bush Street 10th. Floor San Francisco CA 94104	Hambrecht & Gust Venture Partners Occupation: President	10/26/92	01,000.00
Receipt for: Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (amount):			
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date	Amount
Mr. & Mrs. Jay Ford 12 Sistrone Avenue Massapequa NY 11758	Suburban Technical Schools Occupation: Director	10/26/92	0000.00
Receipt for: Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (amount):			
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date	Amount
Mr. Ron Cohen 5 Pine Oak Court Old Brookville NY 11546	Self-Employed Occupation: Sales	10/27/92	01,000.00
Receipt for: Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (amount):			
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date	Amount
Ms. Barbra Cohen 26 Broward Court Olin Hills NY 11744	N/A Occupation: Housewife	10/27/92	01,000.00
Receipt for: Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (amount):			
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date	Amount
Mr. & Mrs. David Elwood 10 Ravina Road Winchester MA 01890	JFK School at Harvard Occupation: Professor	10/27/92	0000.00
Receipt for: Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (amount):			
SUBTOTAL of Receipts This Page (optional).....			06,000.00
TOTAL This period (last page this line number only).....			

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## SCHEDULE A

## ITEMIZED RECEIPTS

H

Page 2 of 4 for  
Line Number (11a)

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NAME OF COMMITTEE (in full)

Committee to Elect Dan Hamburg C00253211

Full Name, Mailing Address and ZIP Code William Ferguson, Jr. 2301 S. Rancho Street Arlington, VA 22207	Name of Employer The Ferguson Company  Occupation Consultant	Date (month, day, year) 11/02/92	Amount of Cash Receipt this period 100.00
Receipt for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Aggregate Year-to-Date \$ 300.00		
Full Name, Mailing Address and ZIP Code Richard E. Gatz 435 Ranch Ave., Suite C Baldsburg, CA 95448	Name of Employer Self  Occupation Physician	Date (month, day, year) 10/29/92	Amount of Cash Receipt this period 150.00
Receipt for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Aggregate Year-to-Date \$ 400.00		
Full Name, Mailing Address and ZIP Code Willis Bailey Box 885 Paradise, CA 95536	Name of Employer Information Requested-As Response  Occupation Information Requested-As Response	Date (month, day, year) 10/28/92	Amount of Cash Receipt this period 1000.00
Receipt for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Aggregate Year-to-Date \$ 1000.00		
Full Name, Mailing Address and ZIP Code William E. Hambrecht One Bush St., 10th Floor San Francisco, CA 94104	Name of Employer Hambrecht & Quist, Inc.  Occupation Investment Banker	Date (month, day, year) 10/07/92	Amount of Cash Receipt this period 1000.00
Receipt for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Aggregate Year-to-Date \$ 1000.00		
Full Name, Mailing Address and ZIP Code Steven Hamburg 4049 West Pine, Apt. 4H St. Louis, MO 63108	Name of Employer Sumner Caplan Wells & Hamburg PC  Occupation Attorney	Date (month, day, year) 10/27/92	Amount of Cash Receipt this period 300.00
Receipt for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Aggregate Year-to-Date \$ 1500.00		
Full Name, Mailing Address and ZIP Code Wayne Burnicutt 1085 Furlong Rd. Sebastopol, CA 95472	Name of Employer National Training Association  Occupation President	Date (month, day, year) 10/28/92	Amount of Cash Receipt this period 60.00
Receipt for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Aggregate Year-to-Date \$ 460.00		

SUBTOTAL of Receipts This Page (optional)..... 3210.00

TOTAL This period (last page this line number only).....

2,504,362.44

DEMOCRATIC CONGRESSIONAL CAMPAIGN COMMITTEE INCORPORATED LINE 11A

10/15/92 11/23/92 PAGE 22

NAME & ADDRESS	NAME OF EMPLOYER OCCUPATION	RECEIPTS THIS PERIOD DATE AMOUNT	YEAR TO-DATE AMOUNT
MS. MIRIAM LOUIS HARR 2800 BRADWAY SAN FRANCISCO CA 94115	HOMESITE	11/05/92 750.00	750.00
✓ MR. WILLIAM HAMRECHT 235 MONTGOMERY 18TH FLOOR SAN FRANCISCO CA 94104	HAMRECHT & QUICK VENTURE PARTNERS INVESTMENT BANKER	11/05/92 5,000.00	5,000.00
MR. F. J. HARRON 11102 BUCKNELL DR WHEATON MD 20902	RETIRED	10/20/92 150.00	250.00
B. HARRER 1145 LOUISE AVE. MORGANTOWN WV 26505	RETIRED	11/03/92 50.00	365.00

92 \$51,000

H



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

January 28, 1994

Ellen S. Miller, Executive Director  
Center for Responsive Politics  
1320 19th Street, N.W.  
Washington, D.C. 20036

RE: MUR 3890

Dear Ms. Miller:

This letter acknowledges receipt on January 21, 1994, of your complaint alleging possible violations of the Federal Election Campaign Act of 1971, as amended ("the Act"), by William R. Hambrecht. The respondent will be notified of this complaint within five days.

You will be notified as soon as the Federal Election Commission takes final action on your complaint. Should you receive any additional information in this matter, please forward it to the Office of the General Counsel. Such information must be sworn to in the same manner as the original complaint. We have numbered this matter MUR 3890. Please refer to this number in all future communications. For your information, we have attached a brief description of the Commission's procedures for handling complaints.

Sincerely,

*Mary L. Taksar*

Mary L. Taksar, Attorney  
Central Enforcement Docket

Enclosure  
Procedures

950436695





FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

January 28, 1994

William R. Hambrecht  
One Bush Street, 18th Floor  
San Francisco, CA 94104

RE: MUR 3890

Dear Mr. Hambrecht:

The Federal Election Commission received a complaint which indicates that you may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 3890. Please refer to this number in all future correspondence.

Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against you in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

95043663626

William R. Hambrecht  
Page 2

If you have any questions, please contact Joan McEnery at (202) 219-3400. For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,

*Mary L. Taksar*

Mary L. Taksar, Attorney  
Central Enforcement Docket

Enclosures

1. Complaint
2. Procedures
3. Designation of Counsel Statement

95043663691



BEFORE THE FEDERAL ELECTION COMMISSION

FEDERAL ELECTION COMMISSION  
JUN 13 3 40 PM '95

In the Matter of

)  
) Enforcement Priority  
)

**SENSITIVE**

GENERAL COUNSEL'S MONTHLY REPORT

I. INTRODUCTION

This report is the General Counsel's Report to recommend that the Commission no longer pursue the identified lower priority and stale cases under the Enforcement Priority System.

II. CASES RECOMMENDED FOR CLOSING

A. Cases Not Warranting Further Pursuit Relative to Other Cases Pending Before the Commission

A critical component of the Priority System is identifying those pending cases that do not warrant the further expenditure of resources. Each incoming matter is evaluated using Commission-approved criteria and cases that, based on their rating, do not warrant pursuit relative to other pending cases are placed in this category. By closing such cases, the Commission is able to use its limited resources to focus on more important cases.

Having evaluated incoming matters, this Office has identified 10 cases which do not warrant further pursuit relative to the other pending cases.<sup>1</sup> A short description of each case and the factors leading to assignment of a relatively low priority and consequent recommendation not to pursue each

1. These matters are: MUR 4087; MUR 4092; MUR 4093; MUR 4096; MUR 4097; MUR 4098; MUR 4100; MUR 4103; MUR 4106; and MUR 4114.

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case is attached to this report. See Attachments 1-11. As the Commission requested, this Office has attached the responses to the complaints for the externally-generated matters and the referral for the internally-generated matter following the narrative. See Attachments 1-11.

#### B. Stale Cases

Investigations are severely impeded and require relatively more resources when the activity and evidence are old. Consequently, the Office of General Counsel recommends that the Commission focus its efforts on cases involving more recent activity. Such efforts will also generate more impact on the current electoral process and are a more efficient allocation of our limited resources. To this end, this Office has identified 34 cases that

do not warrant further investment of significant Commission resources.<sup>2</sup> Since the recommendation not to pursue the identified cases is based on staleness, this Office has not prepared separate narratives for these cases. As the Commission requested, in matters in which the Commission has made no

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2. These matters are: MUR 2582; MUR 3109; MUR 3241; MUR 3426; MUR 3857; MUR 3858; MUR 3862; MUR 3866; MUR 3876; MUR 3879; MUR 3890; MUR 3893; MUR 3895; MUR 3896; MUR 3898; MUR 3902; MUR 3903; MUR 3904; MUR 3905; MUR 3907; MUR 3908; MUR 3912; MUR 3933; MUR 3958; MUR 3962; MUR 3978; MUR 3984; RAD 93L-19; RAD 94L-05; RAD 94L-11; RAD 94L-15; RAD 94L-21; RAD 94L-23; and RAD 94L-26.

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findings, the responses to the complaints for the externally-generated matters and the referrals for the internally-generated matters are attached to the report. See Attachments 16-45. For cases in which the Commission has already made findings and for which each Commissioner's office has an existing file, this Office has attached the most recent General Counsel's Report. See Attachments 12-15.

This Office recommends that the Commission exercise its prosecutorial discretion and no longer pursue the cases listed below effective June 26, 1995. By closing the cases effective June 26, 1995, CED and the Legal Review Team will respectively have the additional time necessary for preparing the closing letters and the case files for the public record for these cases.

### III. RECOMMENDATIONS

A. Decline to open a MUR and close the file effective June 26, 1995 in the following matters:

- 1) RAD 93L-19
- 2) RAD 94L-05
- 3) RAD 94L-11
- 4) RAD 94L-15
- 5) RAD 94L-21
- 6) RAD 94L-23
- 7) RAD 94L-26

B. Take no action, close the file effective June 26, 1995, and approve the appropriate letter in the following matters:

- 1) MUR 3857
- 2) MUR 3858
- 3) MUR 3862

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- 4) MUR 3866  
5) MUR 3876  
6) MUR 3879  
7) MUR 3890  
8) MUR 3893  
9) MUR 3895  
10) MUR 3896  
11) MUR 3898  
12) MUR 3902  
13) MUR 3903  
14) MUR 3904  
15) MUR 3905  
16) MUR 3907  
17) MUR 3908  
18) MUR 3912  
19) MUR 3933  
20) MUR 3958  
21) MUR 3962  
22) MUR 3978  
23) MUR 3984  
24) MUR 4087  
25) MUR 4092  
26) MUR 4093  
27) MUR 4096  
28) MUR 4097  
29) MUR 4098  
30) MUR 4100  
31) MUR 4103  
32) MUR 4106  
33) MUR 4114

C. Take no further action, close the file effective June 26, 1995, and approve the appropriate letter in the following matters:

- 1) MUR 2582  
2) MUR 3109  
3) MUR 3241  
4) MUR 3426

Date

Lawrence M. Noble  
General Counsel

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of )  
Enforcement Priority ) Agenda Document  
#X95-52

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session on June 27, 1995, do hereby certify that the Commission decided by a vote of 6-0 on each of the matters listed below to take the actions hereinafter described:

A. Decline to open a MUR and close the file effective July 5, 1995 in the following matters:

- 1) RAD 93L-19
- 2) RAD 94L-05
- 3) RAD 94L-11
- 4) RAD 94L-15
- 5) RAD 94L-21
- 6) RAD 94L-23
- 7) RAD 94L-26

B. Take no action, close the file effective July 5, 1995, and approve the appropriate letter in the following matters:

- 1) MUR 3857
- 2) MUR 3858
- 3) MUR 3862

(continued)

95043663/02

Federal Election Commission  
Certification: Enforcement Priority  
June 27, 1995

Page 2

- 9 5 0 4 3 6 6 3 7 0 3
- 4) MUR 3866
  - 5) MUR 3876
  - 6) MUR 3879
  - 7) MUR 3890
  - 8) MUR 3893
  - 9) MUR 3895
  - 10) MUR 3896
  - 11) MUR 3898
  - 12) MUR 3902
  - 13) MUR 3903
  - 14) MUR 3904
  - 15) MUR 3905
  - 16) MUR 3907
  - 17) MUR 3908
  - 18) MUR 3912
  - 19) MUR 3933
  - 20) MUR 3958
  - 21) MUR 3962
  - 22) MUR 3978
  - 23) MUR 3984
  - 24) MUR 4087
  - 25) MUR 4092
  - 26) MUR 4093
  - 27) MUR 4096
  - 28) MUR 4097
  - 29) MUR 4098
  - 30) MUR 4100
  - 31) MUR 4103
  - 32) MUR 4106
  - 33) MUR 4114

(continued)

Federal Election Commission  
Certification: Enforcement Priority  
June 27, 1995

Page 3

- C. Take no further action, close the file effective July 5, 1995, and approve the appropriate letter in the following matters:

- 1) MUR 2582
- 2) MUR 3109
- 3) MUR 3241
- 4) MUR 3426

Commissioners Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision with respect to each of these actions.

Attest:

6-30-95  
Date

Marjorie W. Emmons  
Marjorie W. Emmons  
Secretary of the Commission

95043663704





FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

July 6, 1995

Ellen S. Miller, Executive Director  
Center for Responsive Politics  
1320 19th Street, N.W.  
Washington, DC 20036

RE: MUR 3890

Dear Ms. Miller:

On January 21, 1994, the Federal Election Commission received your complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended ("the Act").

After considering the circumstances of this matter, the Commission exercised its prosecutorial discretion to take no action in the matter. This case was evaluated objectively relative to other matters on the Commission's docket. In light of the information on the record, the relative significance of the case, and the amount of time that has elapsed, the Commission determined to close its file in this matter on July 5, 1995. This matter will become part of the public record within 30 days.

The Act allows a complainant to seek judicial review of the Commission's dismissal of this action. See 2 U.S.C. § 437g(a)(8).

Sincerely,

*Mary L. Taksar*

Mary L. Taksar, Attorney  
Central Enforcement Docket

95043663705



FEDERAL ELECTION COMMISSION  
WASHINGTON D.C. 20463

July 6, 1995

William R. Hambrecht  
One Bush Street, 18th Floor  
San Francisco, CA 94104

RE: MUR 3890

Dear Mr. Hambrecht:

On January 28, 1994, the Federal Election Commission notified you of a complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended. A copy of the complaint was enclosed with that notification.

After considering the circumstances of this matter, the Commission exercised its prosecutorial discretion to take no action against you. This case was evaluated objectively relative to other matters on the Commission's docket. In light of the information on the record, the relative significance of the case, and the amount of time that has elapsed, the Commission determined to close its file in this matter on July 5, 1995.

The confidentiality provisions of 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record prior to receipt of your additional materials, any permissible submissions will be added to the public record when received.

If you have any questions, please contact the Central Enforcement Docket at (202) 219-3400.

Sincerely,

*Mary L. Taksar*

Mary L. Taksar  
Attorney

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FEDERAL ELECTION COMMISSION  
WASHINGTON D.C. 20463

THIS IS THE END OF MUR # 3890

DATE FILMED 7/28/95 CAMERA NO. 1

CAMERAMAN SES

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