



FEDERAL ELECTION COMMISSION

1125 K STREET NW
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 1848

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FEDERAL ELECTION COMMISSION

Pre Brief Report; comment sheets ; duplicates

RFAI; RAD info ; circulation sheets

Routing cards ; corresp. re: cur. penalty

Comp Inv. Rept

BC Rept - Prob. cause; circulation

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| <input type="checkbox"/> (4) Trade secrets and commercial or financial information | <input type="checkbox"/> (9) Well Information (geographic or geophysical) |
| <input checked="" type="checkbox"/> (5) Internal Documents | |

Signed

S. Garr

date

11/14/85

FEC 9-21-77

TDW 11/14/85

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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

October 25, 1985

Richard Rideout, Esq.
Vines, Rideout, Gusea, and White, P.C.
508 East 18th Street
P.O. Box 1368
Cheyenne, Wyoming 82001

RE: MUR 1848
Wyoming Democratic State
Central Committee
Teri Carroll, Treasurer

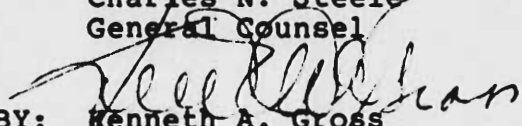
Dear Mr. Rideout:

On October 18, 1985, the Commission accepted the conciliation agreement signed by you in settlement of a violation of 2 U.S.C. § 434(a)(4)(A)(i) and (iv), provisions of the Federal Election Campaign Act of 1971, as amended. Accordingly, the file has been closed in this matter, and it will become a part of the public record within thirty days. However, 2 U.S.C. § 437g(a)(4)(B) prohibits any information derived in connection with any conciliation attempt from becoming public without the written consent of the respondent and the Commission. Should you wish any such information to become part of the public record, please advise us in writing.

Enclosed you will find a fully executed copy of the final conciliation agreement for your files.

Sincerely,

Charles N. Steele
General Counsel

BY: 
Kenneth A. Gross
Associate General Counsel

Enclosure
Conciliation Agreement

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
Wyoming State Democratic)
Central Committee) MUR 1848
Teri Carroll, Treasurer)

CONCILIATION AGREEMENT

This matter was initiated by the Federal Election Commission (hereinafter "the Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found probable cause to believe that the Wyoming State Democratic Central Committee and Teri Carroll, as treasurer, ("Respondents") violated 2 U.S.C. §§ 434(a)(4)(A)(i) and (iv) by failing to file its 1984 April and July Quarterly Reports and its 1983 Year End Report in a timely manner.

NOW, THEREFORE, the Commission and Respondents, having duly entered into conciliation pursuant to 2 U.S.C. § 437g(a)(4)(i) do hereby agree as follows:

I. The Commission has jurisdiction over the Respondents, and the subject matter of this proceeding.

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. Respondent Wyoming State Democratic Central Committee is a political committee registered with the Federal Election Commission.

2. Respondent Teri Carroll is the treasurer of the Wyoming State Democratic Central Committee.
3. Respondents were required to file the 1984 April Quarterly Report on April 15, 1984.
4. Respondents filed the 1984 April Quarterly Report on June 6, 1984, 52 days late.
5. Respondents were required to file the 1984 July Quarterly Report on July 15, 1984.
6. Respondents filed the 1984 July Quarterly Report on August 17, 1984, 32 days late.
7. Respondents were required to file the 1983 Year End Report on January 31, 1984.
8. Respondents filed the 1983 Year End Report on February 7, 1984, 8 days late.

V. Pursuant to 2 U.S.C. § 434(a)(4)(A)(i) all political committees other than authorized committees of a candidate, are required to file, in a calendar year in which a regularly scheduled election is held, a quarterly report to be filed no later than the 15th day after the last day of the calendar quarter.

VI. Respondents violated 2 U.S.C. § 434(a)(4)(A)(i) by failing to timely file the 1984 April and July Quarterly Reports.

VII. Pursuant to 2 U.S.C. § 434(a)(4)(A)(iv) all political committees other than authorized committees of a candidate are required to file in any calendar year in which a regularly scheduled election is not held, a report covering the period beginning January 1 and ending June 30 which shall be filed no

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later than July 31, and a report beginning July 1 and ending December 31, which shall be filed no later than January 31 of the following calendar year.

VIII. Respondents violated 2 U.S.C. § 434(a)(4)(A)(iv) by failing to timely file the 1983 Year End Report.

IX. Respondents will pay a civil penalty to the Treasurer of the United States in the amount of five hundred and fifty dollars (\$550), pursuant to 2 U.S.C. § 437g(a)(5)(A).

X. Respondents agree that they shall not undertake any activity which is in violation of the Federal Election Campaign Act of 1971, as amended, 2 U.S.C. § 431, et seq.

XI. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with its agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

XII. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

XIII. Respondents shall have no more than thirty (30) days from the date this agreement becomes effective to comply with and implement the requirements contained in this agreement and to so notify the Commission.

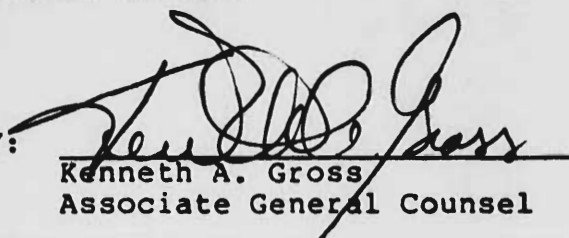
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XIV. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written agreement shall be valid.

FOR THE COMMISSION:

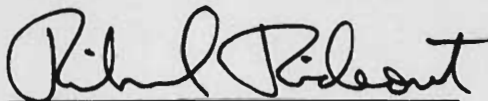
Charles N. Steele
General Counsel

BY:


Kenneth A. Gross
Associate General Counsel


Date

FOR THE RESPONDENTS:


Richard Ridenout


Date

35040561090

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
Wyoming Democratic State Central) MUR 1848
Committee)
Teri Carroll, Treasurer)

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on October 18, 1985, the Commission decided by a vote of 4-0 to take the following actions in MUR 1848:

1. Accept the conciliation agreement attached to the General Counsel's Report which was circulated to the Commission on October 16, 1985, in settlement of this matter.
2. Close the file

Commissioners Elliott, Josefiak, McDonald and McGarry voted affirmatively for this decision; Commissioners Aikens and Harris did not cast a vote.

Attest:

10-18-85
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

Received in Office of Commission Secretary:	Tues.,	10-15-85,	3:40
Circulated on 48 hour tally basis:	Wed.,	10-16-85,	11:00
Deadline for vote:	Fri.,	10-18-85,	11:00



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

July 22, 1985

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Richard S. Rideout
Vines, Rideout, Gusea, and White, P.C.
508 E. 18th Street
P.O. Box 1368
Cheyenne, Wyoming 82001

RE: MUR 1848
Wyoming State Democratic
Central Committee
Teri Carroll, Tresurer

Dear Mr. Rideout:

On July 9, 1985, the Commission determined there is probable cause to believe that your client, the Wyoming State Democratic Central Committee, committed violations of 2 U.S.C. §§ 434(a)(4)(A)(i) and (iv), provisions of the Federal Election Campaign Act of 1971, as amended, for failing to file its 1984 April and July Quarterly Reports and its 1983 Year End Report in a timely manner.

The Commission has a duty to attempt to correct such violations for a period of thirty to ninety days by informal methods of conference, conciliation and persuasion, and by entering into a conciliation agreement. If we are unable to reach an agreement during that period, the Commission may institute civil suit in United States District Court and seek payment of a civil penalty.

We enclose a conciliation agreement that this office is prepared to recommend to the Commission in settlement of this matter. If you agree with the provisions of the enclosed agreement, please sign and return it along with the civil penalty to the Commission within ten days. I will then recommend that the Commission approve the agreement. Please make your check for the civil penalty payable to the U.S. Treasurer.

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Letter to Richard Rideout
Page 2

If you have any questions or suggestions for changes in the enclosed conciliation agreement, please contact Shelley Garr, the staff member assigned to this matter, at (202) 523-4143.

Sincerely,



Charles N. Steele
General Counsel

Enclosure
Conciliation Agreement

35040561093

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
Wyoming State Democratic)
Central Committee) MUR 1848
Teri Carroll, Treasurer)

CONCILIATION AGREEMENT

35040561094
This matter was initiated by the Federal Election Commission (hereinafter "the Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found probable cause to believe that the Wyoming State Democratic Central Committee and Teri Carroll, as treasurer, ("Respondents") violated 2 U.S.C. §§ 434(a)(4)(A)(i) and (iv) by failing to file its 1984 April and July Quarterly Reports and its 1983 Year End Report in a timely manner.

NOW, THEREFORE, the Commission and Respondents, having duly entered into conciliation pursuant to 2 U.S.C. § 437g(a)(4)(i) do hereby agree as follows:

I. The Commission has jurisdiction over the Respondents, and the subject matter of this proceeding.

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. Respondent Wyoming State Democratic Central Committee is a political committee registered with the Federal Election Commission.

2. Respondent Teri Carroll is the treasurer of the Wyoming State Democratic Central Committee.
3. Respondents were required to file the 1984 April Quarterly Report on April 15, 1984.
4. Respondents filed the 1984 April Quarterly Report on June 6, 1984, 52 days late.
5. Respondents were required to file the 1984 July Quarterly Report on July 15, 1984.
6. Respondents filed the 1984 July Quarterly Report on August 17, 1984, 32 days late.
7. Respondents were required to file the 1983 Year End Report on January 31, 1984.
8. Respondents filed the 1983 Year End Report on February 7, 1984, 8 days late.

V. Pursuant to 2 U.S.C. § 434(a)(4)(A)(i) all political committees other than authorized committees of a candidate, are required to file, in a calendar year in which a regularly scheduled election is held, a quarterly report to be filed no later than the 15th day after the last day of the calendar quarter.

VI. Respondents violated 2 U.S.C. § 434(a)(4)(A)(i) by failing to timely file the 1984 April and July Quarterly Reports.

VII. Pursuant to 2 U.S.C. § 434(a)(4)(A)(iv) all political committees other than authorized committees of a candidate are required to file in any calendar year in which a regularly scheduled election is not held, a report covering the period beginning January 1 and ending June 30 which shall be filed no

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later than July 31, and a report beginning July 1 and ending December 31, which shall be filed no later than January 31 of the following calendar year.

VIII. Respondents violated 2 U.S.C. § 434(a)(4)(A)(iv) by failing to timely file the 1983 Year End Report.

IX. Respondents will pay a civil penalty to the Treasurer of the United States in the amount of seven hundred and fifty dollars (\$750), pursuant to 2 U.S.C. § 437g(a)(5)(A).

X. Respondents agree that they shall not undertake any activity which is in violation of the Federal Election Campaign Act of 1971, as amended, 2 U.S.C. § 431, et seq.

XI. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with its agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

XII. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

XIII. Respondents shall have no more than thirty (30) days from the date this agreement becomes effective to comply with and implement the requirements contained in this agreement and to so notify the Commission.

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XIV. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written agreement shall be valid.

FOR THE COMMISSION:

Charles N. Steele
General Counsel

BY:

Kenneth A. Gross
Associate General Counsel

Date

FOR THE RESPONDENTS:

Date

35040561097

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
Wyoming State Democratic) MUR 1848
Central Committee)
Teri Carroll, Treasurer)

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session of July 9, 1985, do hereby certify that the Commission decided by a vote of 6-0 to take the following actions in MUR 1848:

1. Find probable cause to believe that the Wyoming State Democratic Central Committee and Teri Carroll, as Treasurer, violated 2 U.S.C. §§ 434(a)(4)(A)(i) and (iv).
2. Approve and send the letter and conciliation agreement attached to the General Counsel's report dated June 26, 1985.

Commissioners Aikens, Elliott, Harris, McDonald, McGarry, and Reiche voted affirmatively for the decision.

Attest:

7-10-85

Date

Marjorie W. Emmons

Marjorie W. Emmons
Secretary of the Commission

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)	
)	
Wyoming State Democratic)	MUR 1848
Central Committee,)	
Teri Carroll, Treasurer.)	

BRIEF OF THE WYOMING STATE CENTRAL COMMITTEE,
TERI CARROLL, TREASURER

I. ADMISSION OF FACTS

The Wyoming State Democratic Central Committee and Teri Carroll, Treasurer, admit that the 1984 April Quarterly, 1984 July Quarterly, and the 1983 Year End Reports were not filed on a timely basis.

II. DISCUSSION

Attached to the brief are sworn Affidavits from Teri Carroll, former Democratic State Central Committee Treasurer; and David D. Freudenthal, former Democratic State Central Committee Chairman. Their information is submitted in affidavit form because they are no longer officers of the Wyoming State Democratic Central Committee. The names and addresses of the new officers were previously transmitted to the Commission as part of the request for an extension.

The late filings are clearly related to the voluntary nature of the Wyoming State Democratic Central Committee and the staff and management problems in the Casper office. These circumstances do not justify the late filings. It is hoped, however, that the Commission will take these factors into account in reaching a determination as to the amount of any civil assessment.

The two Quarterly Report late filings were the most severe in terms of the lapse of time between date due and date filed. These were no doubt linked to the lack of direct

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management by the officers and the problems relating to staff. The Wyoming State Democratic Central Committee does not have additional information to provide with regard to the eight-day delay in filing the 1983 Year End Report. It is probably related to the staffing and management problems.

The State Democratic Central Committee has a new set of officers, including a Treasurer working in Casper. There is also a new staff person serving as executive director. All of the officers and staff are aware of the past difficulties with FEC compliance. The new Treasurer, Kim Bader, has been in contact with Mike Tangney of the Commission staff in an effort to avoid future problems and correct any outstanding issues.

Dated this 13th day of May, 1985.



RICHARD S. RIDEOUT
508 East 18th Street
Cheyenne, WY 82001
(307) 632-7660

Attorney for Wyoming State
Democratic Central Committee
(Teri Carroll, Past Treasurer),
Kim Bader, Treasurer

Attachments:

- (1) Affidavit of Teri Carroll
- (2) Affidavit of David D. Freudenthal

STATE OF WYOMING)
) ss.
COUNTY OF LARAMIE)

A F F I D A V I T

I, Teri Carroll, being duly sworn on oath, hereby depose and state as follows:

1. I was Treasurer of the Wyoming State Democratic Central Committee from August, 1983 to April, 1985.

2. I reside in Laramie, Wyoming, at 2103 Spring Creek Drive, Laramie, Wyoming 82070.

3. The Wyoming State Central Committee office is located in Casper, Wyoming, at 130 North Ash, P. O. Box 1964, Casper, Wyoming 82601.

4. Because the officers of the State Democratic Central Committee serve only two-year terms, it has been the long-standing policy that all correspondence directed to the party or its officers is sent to the Casper address.

5. During the period of late filings, the Casper office staff did not forward any Federal Election Commission correspondence to my home.

6. The day-to-day work of preparing reports was done by the Casper office staff.

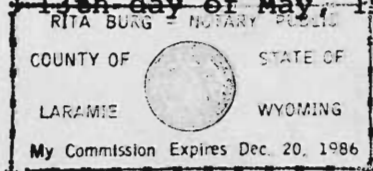
7. During the period of late filings, we encountered significant staff problems, eventual terminations, and selection of new staff.

Further Affiant sayeth not.

Teri Carroll
TERI CARROLL

Subscribed and sworn to before me by TERI CARROLL this

~~13th day of May, 1985.~~



Rita Burg
Notary Public

My commission expires December 20, 1986.

FEDERAL COUNSEL

STATE OF WYOMING)
) ss.
COUNTY OF LARAMIE)

14 P 1: 49

A F F I D A V I T

I, David D. Freudenthal, being duly sworn on oath, hereby depose and state that:

1. I served as Chairman of the Wyoming Democratic State Central Committee during 1983 and 1984.

2. The Wyoming Democratic State Central Committee is a limited-budget, essentially volunteer organization.

3. None of the elected officers receives compensation or reimbursement for expenses related to party activities.

4. During the time period of the late filings, the paid staff consisted of a half-time secretary and a full-time office manager/executive secretary. The name of the office manager/executive secretary was Jean Brew. She has since remarried and is now known as Jean Brew Davies.

5. To the best of my recollection, the salary paid to Jean Brew was \$1,000 or \$1,100 per month.

6. The Wyoming State Central Committee office is located in Casper, Wyoming. The mailing address for the party is P.O. Box 1964, Casper, Wyoming 82602. Because the Central Committee officers change on a two-year cycle, all correspondence has been directed to this address.

7. The responsibility for all bookkeeping and preparation and filing of FEC reports has been with the staff in Casper. ("Responsibility" refers to the practical aspects of the work, not legal responsibility.)

8. In late February and early April 1984, operational difficulties in the Casper office became apparent. These included seemingly irregular office hours, lack of attention to detail, etc. Apparently, these were related to family difficulties and a divorce by Jean Brew. With the State

Democratic Convention set for the second week in May 1984, I decided it was not an appropriate time to make staff changes.

9. In late May and early June, the Casper office staff continued to deteriorate. A letter of reprimand was given to Jean Brew.

10. Jean Brew Davies' employment was terminated on July 31, 1984. Attached to this Affidavit is the letter of termination and a copy of a letter from Jean Brew Davies dated that same day.

11. Subsequent to this termination, the books of the State Democratic Central Committee were submitted to Daniel E. Johnston, Certified Public Accountant, P. O. Box 670, Glenrock, Wyoming 82632 (307-436-8894) for a complete audit.

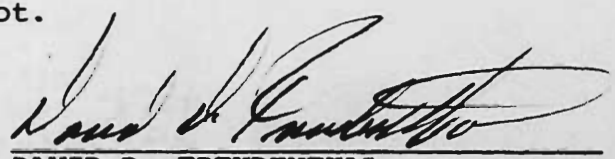
12. The audit revealed some irregularities, but generally proper accounting.

13. The results of the audit were turned over to Officer Ken Gassen of the Casper Police Department, Investigation Division, 201 North David, Casper, Wyoming 82601 (307-235-8286), for further investigation. After considerable investigation, interviews and meetings, the investigation was completed. No criminal charges resulted from the investigation.

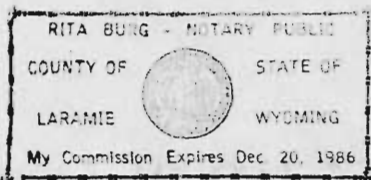
14. As reflected in the termination letter, part of the basis for terminating Jean Brew Davies was the failure to forward correspondence (particularly from the IRS) to appropriate party officials.

15. Jean Brew Davies was terminated on July 31, 1984. A new office manager/executive director was not found until mid-August, 1984.

Further Affiant sayeth not.


DAVID D. FREUDENTHAL

Subscribed and sworn to before me by DAVID D. FREUDENTHAL
this 13th day of May, 1985.



Rita Burg

Notary Public

My commission expires December 20, 1986.

Wyoming Democratic State Central Committee

P. O. Box 1964

Phone 234-8862

Casper, Wyoming 82601

July 31, 1984

Ms. Jean Brew Davies
Wyoming Democratic Party
P. O. Box 1964
Casper, WY 82602

Dear Jean:

By this letter, I am terminating your employment with the Wyoming Democratic Party as of 9:30 a.m. on July 31, 1984.

The decision to terminate your employment was not an easy one. However, in view of the circumstances, I do not believe that I have any other choice. In late May and early June we encountered difficulties relative to Check No. 164 which you had written to yourself without authorization. As you recall, this check was returned for insufficient funds, and you were not a proper signatory on that account. Since that time, the following items have come to light which contribute to this decision.

1. The Wyoming Democratic Party had received correspondence from the Internal Revenue Service relative to failure to properly meet our employer withholding obligations. This correspondence and related problems caused a significant reduction from the party treasury. While the problems with the IRS are probably not your responsibility, your failure to forward the correspondence to me or in any way advise me of the problem is unacceptable.

2. On October 12, 1984, you transferred funds out of the voter registration account without authorization. Again, you did not advise me or anyone else of this action.

3. The records for the state and local account show checks No. 155, No. 156, and No. 157 paid to you and signed by you. These checks were issued without authorization. I do not believe that you are even the proper signatory for the account.

It is unfortunate that this action must be taken. You have remarkable skills in working with people and organizing party activities. In addition, you have been very dedicated to the Wyoming Democratic Party.

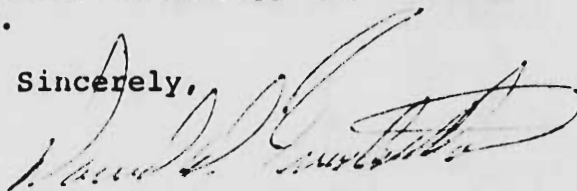
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Ms. Jean Brew Davies
July 31, 1984
Page Two

Please remove all of your personal belongings from the office immediately. Also give all office keys and post office box keys to me immediately. In addition, please return all records and items in your possession which belong to the Wyoming Democratic Party.

Under these circumstances, I am withholding your July salary check until such time as we have reconciled the various checking accounts and fund transfers.

Sincerely,



David D. Freudenthal

DDF:rb

By my signature, I acknowledge receipt of this letter.


JEAN BREW DAVIES

Date: 7-31-84

85040561106

Wyoming Democratic State Central Committee

P. O. Box 1964

Phone 234-8862

Casper, Wyoming 82601

July 30, 1984

Dear Dave:

I feel that submitting my resignation at this time is in the best interests of all concerned. There are a few things that I feel must be pointed out.

During the past four years I have given tirelessly and unselfishly of most of my time and efforts. I see my inadequacies quite openly and realize what they are. You have often referred to it as the Mary Poppins outlook and quite frankly this is true.

While Jackie Richards was employed I can document one particular time that I paid her salary myself because of not enough money. This was something I did on my own. At the end of 1983 I was two weeks short on my pay - but I did not point this out, and wrote it off because of the bank charges that had been incurred through returned checks.

The only expenses I have ever asked for to be re-imbursed were mileage. I did not always turn in mileage for trips, when I did it was at 20¢ per mile. My personal telephone bill for the past few years has averaged around \$60.00 per month. I have never asked for re-imbursment for any phone calls made from my home, or any other expenses incurred while working for the party, such as postage, window washing or other minor expenses.

I would be the first to agree that this was not very wise on my part, but as getting money has at best been difficult, it seemed the easiest course of action to pay these myself.

I re-imbursed the party \$510.00 for the check Teri Carroll wrote in May. \$226.00 was deducted from my salary for this and I have not been re-imbursed. I realize also that you personally felt that I did not organize the State Convention as well as possible, but I must point out how it felt to me for Jane to receive a bonus for her work and I received the criticism. I worked very hard on that particular effort and feel that this criticism is unjustified.

In four years I have never taken a vacation. The two weeks that I was in China in January, I did not consider a vacation, although I will leave that to your judgement.

I have taken great pride in the fact that I can organize functions and turn out the attendance. In return I have never asked for acknowledgement or anything else. Many people have commented on how well the candidate school went recently, and it was gratifying to read a memo crediting Sherri with the organization and work on this. It certainly made all of the effort and work that was done out of headquarters by Jane and myself seem unappreciated.


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Dave Freudenthal
Page 2

This job has been a situation that I kept thinking would be rectified or get better, but as time went on, steadily got worse. This has been my fault and I realize that. At times I've felt that I was in quicksand and would never find a way out. I feel very badly about the trust you put in me that has proven to be so very disappointing to you. Quite frankly the reason I always tried to take care of problems or didn't let you know when they were occurring was because I wasn't prepared to admit that I wasn't handling things properly.

I apologize for all of the problems that have been caused from the bottom of my heart, and hope that someday you will understand.

Sincerely,



Jean Brew Davies

85040561103

709.57

JAMES OR JEAN BREW

461 TRIGOOD 265-4678
CASPER, WY 82601

1468

Feb 18 1981

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PAY TO THE
ORDER OF

jackie Richards \$ 234⁷⁵
Two hundred thirty-four dollars and ⁷⁵/₁₀₀ DOLLARS

Wyoming National Bank East Casper

(307) 237-4000 • P.O. BOX 8206 CASPER, WYOMING 82606

MEMO

Jean Brew

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Wyoming Democratic State Central Committee

P.O. Box 1964 Phone 234-8862
Casper, Wyoming 82602
May 10, 1985

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Charles M. Steele
Federal Election Commission
1325 K Street, N. W.
Washington, D. C. 20463

RE: MJR 1848
Wyoming State Democratic Central Committee

Dear Mr. Steele:

This letter is to advise you that Richard Rideout
is serving as legal counsel for the Wyoming Democratic State
Central Committee and Teri Carroll in the above referenced
matter.

Sincerely,

Kim Bader

Kim Bader
Treasurer

KB/pjy

05040561110

JAMES W. GUSEA
RICHARD RIDEOUT
KENNETH G. VINES
DANIEL E. WHITE

LAW OFFICES OF
VINES, RIDEOUT, GUSEA & WHITE
A PROFESSIONAL CORPORATION
508 EAST 18th STREET
P. O. BOX 1368
CHEYENNE, WYOMING 82001

GCC# 7369
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TELEPHONE
632-7660
AREA CODE 307

May 2, 1985

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Mr. Charles N. Steele
General Counsel
Federal Election Commission
Washington, DC 20463

Dear Mr. Steele:

I have been asked to enter an appearance in this matter on behalf of the Wyoming State Democratic Central Committee and Teri Carroll. I am forced as a first order of business to request a twenty day extension as referred to in your letter of April 17, 1985. The reason for this extension is that the Wyoming State Central Committee recently elected new officers. This election was conducted pursuant to W. S. 22-4-111. The new state officers are:

CHAIRMAN: James Roth
P. O. Box 639
Green River, WY 82935

VICE CHAIR: Barbara Ahr
Box 94
Wilson, WY 83014

SECRETARY: Bil Tucker (not Bill)
Box 1776
Tie Siding, WY 82084

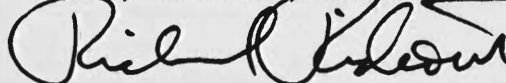
TREASURER: Kim Bader
P. O. Box 1562
Glenrock, WY 82633

It is my hope that a response to your pleadings can be in your office prior to the expiration of the twenty days. However, as you can imagine, the new officers are just now getting their feet on the ground and it will take a week or ten days to gather the necessary material.

Let me thank you in advance for your consideration and patience in this matter.

Very truly yours,

VINES, RIDEOUT, GUSEA & WHITE, P.C.



Richard Rideout

RR/ts

cc: James Roth
Kim Bader, Teri Carroll



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

10
FEC
STARY

APR 17 1985 4:08
April 17, 1985

MEMORANDUM

TO: The Commission

FROM: Charles N. Steele
General Counsel *CNS*

SUBJECT: MUR #1848

Attached for the Commission's review is a brief stating the position of the General Counsel on the legal and factual issues of the above-captioned matter. A copy of this brief and a letter notifying the respondents of the General Counsel's intent to recommend to the Commission a finding of probable cause to believe was mailed on April 17, 1985. Following receipt of the Respondent's reply to this notice, this Office will make a further report to the Commission.

Attachments

1. Brief
2. Letter to Respondents

85040561112

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
Wyoming State Democratic)
Central Committee) MUR 1848
Teri Carroll, Treasurer)

GENERAL COUNSEL'S BRIEF

I. STATEMENT OF THE FACTS

On November 5, 1984, the Commission determined that there was reason to believe that the Wyoming State Democratic Central Committee and Teri Carroll, as treasurer, ("Committee") violated 2 U.S.C. §§ 434(a)(4)(A)(i) and (iv) for failing to file the Committee's 1984 April and July Quarterly Reports and its 1983 Year End Report in a timely manner. Notification of the Commission's findings was mailed to respondents on November 13, 1984.

On December 6, 1984, the Office of General Counsel received a telephone call from Teri Carroll. Ms. Carroll advised this office that all correspondence to her on behalf of the Committee had been sent to the Casper, Wyoming address, not her home address in Laramie, Wyoming, thus substantially delaying receipt of the reason to believe notification. Further, she indicated her intent to respond to the Commission's notification. As of this date, the Commission has received no reply.

II. LEGAL ANALYSIS

Pursuant to 2 U.S.C. § 434(a)(4)(A)(i), all political committees other than authorized committees of a candidate, are required to file, in a calendar year in which a regularly scheduled election is held, a quarterly report to be filed no later than the 15th day after the last day of the calendar quarter.

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Further, pursuant 2 U.S.C. § 434(a)(4)(A)(iv), those committees are required to file in any other calendar year a report covering the period beginning July 1 and ending December 31 which shall be filed no later than January 31 of the following calendar year.

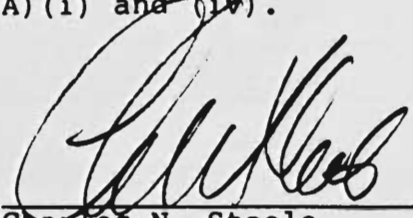
The Committee was required to file its 1984 April and July Quarterly Reports on April 15 and July 15, 1984, respectively. The 1983 Year End Report was to be filed no later than January 31, 1984. The Committee filed its 1984 April Quarterly Report on June 6, 1984, 52 days late; its 1984 July Quarterly Report on August 17, 1984, 32 days late; and its 1983 Year End Report on February 7, 1984, 8 days late. The Committee's failure to comply with the reporting requirements is a violation of 2 U.S.C. §§ 434(a)(4)(A)(i) and (iv), in the General Counsel's view. Hence, it is the recommendation of the General Counsel that the Commission find probable cause to believe that the Committee violated 2 U.S.C. §§ 434(a)(4)(A)(i) and (iv).

III. RECOMMENDATIONS

The Office of General Counsel recommends that the Commission:

1. Find probable cause to believe that the Wyoming State Democratic Central Committee and Teri Carroll, as treasurer, violated 2 U.S.C. §§ 434(a)(4)(A)(i) and (iv).
2. Approve the attached letter.

16 April 1985
Date


Charles N. Steele
General Counsel

Attachments

1. Proposed letter

85040561114



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

April 17, 1985

Wyoming State Democratic Central Committee
Teri Carroll, Treasurer
2103 Spring Creek Drive
Laramie, Wyoming 82070

RE: MUR 1848
Wyoming State Democratic
Central Committee

Dear Ms. Carroll:

Based on information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission, on November 5, 1984, found reason to believe that the Wyoming State Democratic Central Committee and you, as treasurer, had violated 2 U.S.C. §§ 434(a)(4)(A)(i) and (iv), and instituted an investigation in this matter.

After considering all the evidence available to the Commission, the Office of the General Counsel is prepared to recommend that the Commission find probable cause to believe that a violation has occurred.

Submitted for your review is a brief stating the position of the General Counsel on the legal and factual issues of the case. Within fifteen days of your receipt of this notice, you may file with the Secretary of the Commission a brief (10 copies if possible) stating your position on the issues and replying to the brief of the General Counsel. (Three copies of such brief should also be forwarded to the Office of General Counsel, if possible.) The General Counsel's brief and any brief which you may submit will be considered by the Commission before proceeding to a vote of probable cause to believe a violation has occurred.

If you are unable to file a responsive brief within 15 days, you may submit a written request to the Commission for an extension of time in which to file a brief. The Commission will not grant any extensions beyond 20 days.

A finding of probable cause to believe requires that the Office of General Counsel attempt for a period of not less than

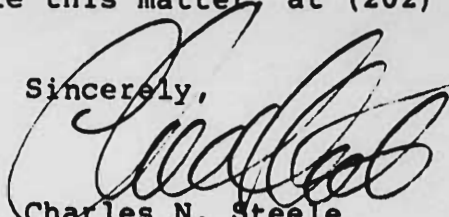
83040561115

Teri Carroll, Treasurer
Page 2

thirty, but not more than ninety, days to settle this matter through a conciliation agreement.

Should you have any questions, please contact Shelley Garr, the staff member assigned to handle this matter, at (202) 523-4143.

Sincerely,



Charles N. Steele
General Counsel

Enclosure
Brief

35040561115

SENSITIVE

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
Wyoming State Democratic)
Central Committee)
Teri Carroll, Treasurer)

MUR 1848

05 JAN 23 P 2: 52

COMPREHENSIVE INVESTIGATIVE REPORT #1

On November 5, 1984, the Commission determined that there was reason to believe that the Wyoming State Democratic Central Committee ("Committee") and Teri Carroll, as treasurer, violated 2 U.S.C. §§ 434(a)(4)(A)(i) and (iv) for failing to file the Committee's 1984 April and July Quarterly Reports and its 1983 Year End Report in a timely manner. Notification of the Commission's findings was mailed to respondents on November 13, 1984.

On December 6, 1984, the Office of General Counsel received a telephone call from Teri Carroll. Ms. Carroll advised this office that all correspondence to her on behalf of the Committee has been sent to the Casper, Wyoming address, not her home address in Laramie, Wyoming, thus substantially delaying receipt of the reason to believe notification. Further, she indicated her intent to respond to the Commission's notification. As of this date, the Commission has received no reply.

The Office of General Counsel is currently preparing a brief stating the position of this office on the legal and factual issues of the case.

Charles N. Steele
General Counsel

BY:

Kenneth A. Gross
Associate General Counsel

Date

January 23, 1985

8304056117



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

November 13, 1984

Teri Carroll, Treasurer
Wyoming State Democratic Central Committee
P.O. Box 1964
Casper, Wyoming 92601

RE: MUR 1848
Wyoming State Democratic
Central Committee

Dear Ms. Carroll:

On November 5, 1984, the Federal Election Commission determined that there is reason to believe that the Wyoming State Democratic Central Committee and you, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(i) and (iv), provisions of the Federal Election Campaign Act of 1971, as amended ("the Act") by failing to file the Committee's 1984 April and July Quarterly Reports and its 1983 Year End Report in a timely manner. The General Counsel's factual and legal analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you and the Committee. Please submit any factual or legal materials which you believe are relevant to the Commission's consideration of this matter.

In the absence of any additional information which demonstrates that no further action should be taken against your committee and you, as treasurer, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation. Of course, this does not preclude the settlement of this matter through conciliation prior to a finding of probable cause to believe if so desired. See 11 C.F.R. § 111.18(d).

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and a statement authorizing such counsel to receive any notifications and other communications from the Commission.

Teri Carroll, Treasurer
Page 2

The investigation now being conducted will be confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Shelley Garr, the staff member assigned to this matter, at (202)523-4143.

Sincerely,


Lee Ann Elliott
Chairman

Enclosures

General Counsel's Factual and Legal Analysis
Procedures
Designation of Counsel Statement

85040561119

FEDERAL ELECTION COMMISSION
GENERAL COUNSEL'S FACTUAL AND LEGAL ANALYSIS

MUR 1848
STAFF MEMBER(S) & TEL. NO.
Shelley Garr 523-4143

RESPONDENT Wyoming State Democratic Central Committee
Teri Carroll, Treasurer

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

SUMMARY OF ALLEGATIONS

On June 22, 1984, a chronic late filing notice was sent to WSDCC for failing to file its 1983 Year End and 1984 April Quarterly Reports in a timely manner.*/ The notice advised WSDCC that any additional late filing of reports might result in a legal enforcement action.

An additional Non-Filer Notice was sent on August 8, 1984, when the Commission failed to receive WSDCC's 1984 July Quarterly Report.

In her response to the Commission's notifications, Teri Carroll, treasurer of WSDCC, cited a major turnover in office personnel as the reason for WSDCC's failure to meet the filing deadlines. She further explained that the figures included in the April Quarterly Report were inaccurate. WSDCC is auditing its books and, upon completion of the audit, Ms. Carroll stated that an amended report would be filed with the Commission.

*/ The reports were filed on February 7, 1984, and June 12, 1984, respectively.

FACTUAL AND LEGAL ANALYSIS

Pursuant to 2 U.S.C. § 434(a)(4)(A)(i), all political committees other than authorized committees of a candidate, are required to file, in a calendar year in which a regularly scheduled election is held, a quarterly report to be filed no later than the 15th day after the last day of the calendar quarter.

Further, pursuant to 2 U.S.C. § 434(a)(4)(A)(iv), those committees are required to file a report covering the period beginning July 1 and ending December 31 which shall be filed no later than January 31 of the following calendar year.

WSDCC was required to file its 1984 April and July Quarterly Reports on April 15 and July 15, 1984, respectively. The 1983 Year End Report was to be filed no later than January 31, 1984. WSDCC filed its 1984 April Quarterly Report on June 6, 1984, 52 days late; its 1984 July Quarterly Report on August 17, 1984, 32 days late; and its 1983 Year End Report on February 7, 1984, 8 days late. WSDCC's failure to comply with the reporting requirements is a violation of 2 U.S.C. §§ 434(a)(4)(A)(i) and (iv), in the General Counsel's view. Hence it is the recommendation of the General Counsel that the Commission find reason to believe that WSDCC violated 2 U.S.C. §§ 434(a)(4)(A)(i) and (iv).

35040561121



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

Teri Carroll, Treasurer
Wyoming State Democratic Central Committee
P.O. Box 1964
Casper, Wyoming 92601

RE: MUR
Wyoming State Democratic
Central Committee

Dear Ms. Carroll:

On , 1984, the Federal Election Commission determined that there is reason to believe that the Wyoming State Democratic Central Committee and you, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(i) and (iv), provisions of the Federal Election Campaign Act of 1971, as amended ("the Act") by failing to file the Committee's 1984 April and July Quarterly Reports and its 1983 Year End Report in a timely manner. The General Counsel's factual and legal analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you and the Committee. Please submit any factual or legal materials which you believe are relevant to the Commission's consideration of this matter.

In the absence of any additional information which demonstrates that no further action should be taken against your committee and you, as treasurer, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation. Of course, this does not preclude the settlement of this matter through conciliation prior to a finding of probable cause to believe if so desired. See 11 C.F.R. § 111.18(d).

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and a statement authorizing such counsel to receive any notifications and other communications from the Commission.

Teri Carroll, Treasurer
Page 2

The investigation now being conducted will be confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Shelley Garr, the staff member assigned to this matter, at (202)523-4143.

Sincerely,

Enclosures

General Counsel's Factual and Legal Analysis
Procedures
Designation of Counsel Statement

05040361123

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
Wyoming State Democratic)
Central Committee)

RAD Referral 84NF-57

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on November 5, 1984, the Commission decided by a vote of 6-0 to take the following actions in RAD Referral 84NF-57:

1. Open a MUR.
2. Find reason to believe that the Wyoming State Democratic Central Committee and Teri Carroll, as treasurer, violated 2 U.S.C. §§ 434(a)(4)(A)(i) and (iv).
3. Approve the letter and analysis attached to the First General Counsel's Report signed October 31, 1984.

Commissioners Aikens, Elliott, Harris, McDonald, McGarry and Reiche voted affirmatively in this matter.

Attest:

11-5-84

Date

Marjorie W. Emmons

Marjorie W. Emmons
Secretary of the Commission

Received in Office of Commission Secretary:
Circulated on 48 hour tally basis:

10-31-84, 2:49
11-1-84, 11:00

35040561124

SENSITIVE

FEDERAL ELECTION COMMISSION
1325 K Street, N.W.
Washington, D.C. 20463

RECEIVED
FEDERAL ELECTION COMMISSION
JAN 31 1985

FIRST GENERAL COUNSEL'S REPORT 31 P 2:49

DATE AND TIME OF TRANSMITTAL 84NF-57
BY OGC TO THE COMMISSION 10/31/84-2:45 STAFF MEMBER(S)
Garr

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

RESPONDENT'S NAME: Wyoming State Democratic Central Committee
and its treasurer Teri Carroll

RELEVANT STATUTE: 2 U.S.C. § 434(a)(4)(A)(i) and (iv)
11 C.F.R. § 104.5(c)(1)(i)(A) and (2)(B)

INTERNAL REPORTS CHECKED: FEC Disclosure Documents

FEDERAL AGENCIES CHECKED: N/A

GENERATION OF MATTER

The Wyoming State Democratic Central Committee ("WSDCC") was referred to the Office of General Counsel by the Reports Analysis Division for failing to file its 1983 Year End and 1984 April and July Quarterly Reports in a timely manner. The reports were filed between 7 and 58 days late.

SUMMARY OF ALLEGATIONS

On June 22, 1984, a chronic late filing notice was sent to WSDCC for failing to file its 1983 Year End and 1984 April Quarterly Reports in a timely manner.* / The notice advised WSDCC that any additional late filing of reports might result in a legal enforcement action.

* / The reports were filed on February 7, 1984, and June 12, 1984, respectively.

An additional Non-Filer Notice was sent on August 8, 1984, when the Commission failed to receive WSDCC's 1984 July Quarterly Report.

In her response to the Commission's notifications, Teri Carroll, treasurer of WSDCC, cited a major turnover in office personnel as the reason for WSDCC's failure to meet the filing deadlines. She further explained that the figures included in the April Quarterly Report were inaccurate. WSDCC is auditing its books and, upon completion of the audit, Ms. Carroll stated that an amended report would be filed with the Commission.

FACTUAL AND LEGAL ANALYSIS

Pursuant to 2 U.S.C. § 434(a)(4)(A)(i), all political committees other than authorized committees of a candidate, are required to file, in a calendar year in which a regularly scheduled election is held, a quarterly report to be filed no later than the 15th day after the last day of the calendar quarter.

Further, pursuant to 2 U.S.C. § 434(a)(4)(A)(iv), those committees are required to file a report covering the period beginning July 1 and ending December 31 which shall be filed no later than January 31 of the following calendar year.

WSDCC was required to file its 1984 April and July Quarterly Reports on April 15 and July 15, 1984, respectively. The 1983 Year End Report was to be filed no later than January 31, 1984. WSDCC filed its 1984 April Quarterly Report on June 12, 1984, 58 days late; its 1984 July Quarterly Report on August 17, 1984, 32

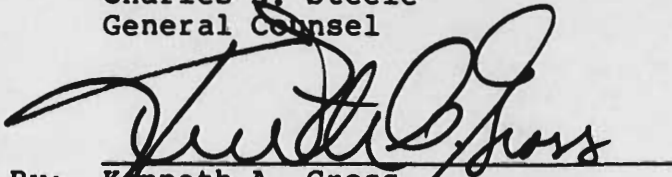
days late; and its 1983 Year End Report on February 7, 1984, 8 days late. WSDCC's failure to comply with the reporting requirements is a violation of 2 U.S.C. §§ 434(a)(4)(A)(i) and (iv), in the General Counsel's view. Hence it is the recommendation of the General Counsel that the Commission find reason to believe that WSDCC violated 2 U.S.C. §§ 434(a)(4)(A)(i) and (iv).

RECOMMENDATION

1. Open a MUR.
2. Find reason to believe that the Wyoming State Democratic Central Committee and Teri Carroll, as treasurer, violated 2 U.S.C. §§ 434(a)(4)(A)(i) and (iv).
3. Approve the attached letter and analysis.

Charles N. Steele
General Counsel

Oct. 31, 1984
Date


By: Kenneth A. Gross
Associate General Counsel

Attachments

1. Referral
2. Letter and analysis

85040561127



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

5 October 1984

MEMORANDUM

TO: CHARLES N. STEELE
GENERAL COUNSEL

THROUGH: JOHN C. SURINA 181
STAFF DIRECTOR

FROM: JOHN D. GIBSON 94
ASSISTANT STAFF DIRECTOR
REPORTS ANALYSIS DIVISION

SUBJECT: REFERRAL OF THE WYOMING STATE DEMOCRATIC CENTRAL
COMMITTEE

This is the referral of the Wyoming State Democratic Central Committee ("the WSDCC"). The WSDCC has failed to file three (3) reports in a timely manner during the 1983-1984 election cycle. According to the RAD Review and Referral Procedures for Unauthorized Committees (Standard 4), further examination is required by your office.

If you have any questions on this manner, please contact Mike Tangney at 523-4048.

Attachment

Attachment 1 page 1

05040361123

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: 5 October 1984

ANALYST: Mike Tangney

I. COMMITTEE: Wyoming State Democratic Central
Committee (C00001917)
Teri Carroll, Treasurer
P.O. Box 1964
Casper, WY 82601

II. RELEVANT STATUTE: 2 U.S.C. §434(a)(4)(A)(i)&(iv)
11 CFR 104.5(c)(1)(A)&(2)(B)

III. BACKGROUND:

Failure to Timely File Reports

The Wyoming State Democratic Central Committee ("the WSDCC") failed to file three (3) Reports of Receipts and Disbursements in a timely manner for the 1983-1984 election cycle. (See Chart on Page 2) The reports were filed between 7 and 52 calendar days late.

On June 22, 1984, a Chronic Late Filing Notice was sent to the WSDCC for failing to file the 1983 Year End and 1984 April Quarterly Reports in a timely manner (Attachment 10). The notice advised the WSDCC that any additional late filing of reports may result in legal enforcement action. On August 17, 1984, WSDCC filed the July Quarterly Report and stated in a cover letter that the report was filed late "because of a major turnover in office personnel" (Attachment 11).

IV. OTHER PENDING MATTERS INITIATED BY RAD:

None

Attachment 1 page 2

850405611229

85040561130

LATE FILING HISTORY

<u>REPORT TYPE</u>	<u>PRIOR NOTICE</u>	<u>DATE DUE</u>	<u>NON-FILER NOTICE</u>	<u>DATE FILED</u>
1983 Year End (7/1/83-12/31/83)	1/7/84 (Attachment 2)	1/31/84	N/A	2/7/84 (Attachment 3)
1984 April Quarterly (1/1/84-3/31/84)	3/22/84 (Attachment 4)	4/15/84	5/8/84 (Attachment 5)	6/6/84 (Attachment 6)
1984 July Quarterly (4/1/84-6/30/84)	6/21/84 (Attachment 7)	7/15/84	8/8/84 (Attachment 8)	8/17/84 (Attachment 9)

PARTY RELATED

COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	TYPE OF FILER COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
WYOMING STATE DEMOCRATIC CENTRAL COMMITTEE				PARTY QUALIFIED		10 1000001917
1983	MID-YEAR REPORT	25,044	23,956	1JAN83 -30JUN83	15	84FEC/200/365
	REQUEST FOR ADDITIONAL INFORMATION			1JAN83 -30JUN83	2	84FEC/306/306
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JAN83 -30JUN83	5	84FEC/311/205
	YEAR-END	38,596	35,005	1JUL83 -31DEC83	19	84FEC/296/191
	REQUEST FOR ADDITIONAL INFORMATION			1JUL83 -31DEC83	2	84FEC/306/333
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JUL83 -31DEC83	1	84FEC/312/012
1984	MISCELLANEOUS NOTICE FROM FEC			22JUN84	1	84FEC/317/536
	STATEMENT OF ORGANIZATION - AMENDMENT			25SEP84	1	84FEC/317/493
	APRIL QUARTERLY	18,661	14,967	1JAN84 -15APR84	10	84FEC/311/70
	NOTICE OF FAILURE TO FILE			1JAN84 -31MAR84	1	84FEC/313/72
	JULY QUARTERLY	34,140	39,885	1APR84 -30JUN84	19	84FEC/325/429
	JULY QUARTERLY - AMENDMENT			1APR84 -30JUN84	2	84FEC/329/482
	NOTICE OF FAILURE TO FILE			1APR84 -30JUN84	1	84FEC/325/064
	REQUEST FOR ADDITIONAL INFORMATION			1APR84 -30JUN84	4	84FEC/328/039
	TOTAL	116,441	0 103,893	0	83	TOTAL PAGES

Debts and obligations owed by the committee: \$1,513***

Debts and obligations owed to the committee: \$0

Ending cash on hand as of 6/30/84: -\$1,513

All reports have been reviewed

*** Includes total of negative cash on hand

Attachment 1 page 4



FEDERAL ELECTION COMMISSION

WASHINGTON DC 20463

January 7, 1984

JANUARY 31 YEAR-END REPORT NOTICE

WHO MUST FILE

ALL POLITICAL COMMITTEES currently registered with the Commission must file a year-end report by January 31, 1984.

WHAT MUST BE REPORTED

All financial activity must be disclosed from the last report filed through December 31, 1983. Registered committees which have not previously filed a financial disclosure report should report all financial activity from the date of registration* through December 31, 1983.

FILING DATE

Reports sent by registered or certified mail must be postmarked no later than midnight January 31, 1984. Reports hand delivered or mailed first class must be received no later than close of business January 31, 1984.

WHERE TO FILE

Committees should consult the instructions on the enclosed form for details.

COMPLIANCE

Political committees are fully liable for failure to file any report required under the Act. Furthermore, failure to file in a timely fashion is a serious violation and an offending committee is subject to enforcement action. Committees must also submit legible reports which can be clearly reproduced. Illegible reports and reports submitted on non-FEC forms will not be accepted and committees filing such documents will be required to refile.

1984 FILING REQUIREMENTS

See the January 1984 issue of the FEC Record for filing requirements during 1984.

*The first report filed by a committee shall include all amounts received or disbursed prior to becoming a political committee, even if such amounts were not received during the current reporting period. See 11 CFR 104.3(a) and (b).

FOR INFORMATION CALL: Office of Public Communications
202/523-4068 or 800/424-9530

Attachment 1 page 5

REPORT OF RECEIPTS AND DISBURSES
For a Political Committee Other Than an Authorized Committee

JAN 10 1984 11:32

(Summary Page)

ALIGN AREA

1 Name of Committee (in Full)
**WYOMING DEMOCRATIC STATE CENTRAL
COMMITTEE**

Address Number and Street
P.O. Box 1766

City, State and ZIP Code
Casper, Wyo. 82602

☐ Check here if address is different than previously reported

2 FEC Identification Number
000001917

3 ☐ This committee qualified as a multicandidate committee during the Reporting Period on _____

4 TYPE OF REPORT (Check one or more boxes)

- ☐ April 15 Quarterly Report ☐ October 15 Quarterly Report
☐ July 15 Quarterly Report ☒ January 31 Yearly Report
☐ July 31 Mid Year Report ☐ Election Year Report
☐ Monthly Report
☐ Twelfth day report preceding _____
 election on _____ in the State of _____
☐ Thirtieth day report following the General Election
 on _____ in the State of _____
☐ Termination Report

5a Is this Report an Amendment?

☐ YES ☒ NO

SUMMARY

6 Covering Period **7-1-83** through **12-31-83**

6a Cash on hand January 1, 19 **83**

b Cash on Hand at Beginning of Reporting Period

6c Total Receipts (from Line 18)

6d Subtotal (add Lines 6b and 6c) for Column A and
Lines 6a and 6c for Column B

7 Total Disbursements (from Line 28)

8 Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6d)

9 Debts and Obligations Owed TO The Committee
(Itemize all on Schedule C or Schedule D)

10 Debts and Obligations Owed BY The Committee
(Itemize all on Schedule C or Schedule D)

I, _____, certify that I have examined this report and to the best of my knowledge and belief it is true, correct and complete.

TERI CAPPEL

Secretary

Jan. Darnell

SIGNATURE OF TREASURER

Tabernaugh

Date

For further information contact:

Federal Election Commission
P.O. Box 424 9530
Lanham MD 21753-0240

NOTE: Submit this report to the Secretary of the State or the Secretary of the Board of Elections and Registration of the State of Wyoming.

All previous versions of FEC FORM 2 and FEC FORM 2a are obsolete and should no longer be used.

FEC FORM 28 (3-80)

Attachment 1 page 6



FEDERAL ELECTION COMMISSION
WASHINGTON DC 20463

March 22, 1984

APRIL REPORTING NOTICE FOR UNAUTHORIZED COMMITTEES

WHO MUST FILE

ALL unauthorized political committees, except those that file monthly, must file a quarterly report by April 15, 1984. (See below)

WHAT MUST BE REPORTED

All financial activity must be disclosed from the last report filed through March 31, 1984. Political committees which have not previously filed a financial disclosure report covering any period in 1984 should report all financial activity from the later of January 1, 1984, or date of registration,* through March 31, 1984.

WHEN TO FILE

Reports sent by registered or certified mail must be postmarked no later than April 15, 1984. Reports hand delivered or mailed first class must be received no later than close of business April 15, 1984.

WHERE AND HOW TO FILE

Committees should consult the instructions on the enclosed FEC Form 3X for details.

MONTHLY FILERS

Committees that file on a monthly schedule must file their next report by April 20, 1984, and disclose all financial activity of their committee from March 1 through March 31, 1984. Monthly reports are due by the twentieth of each month and should cover all financial activity of the previous month.

COMPLIANCE

Political committees are fully liable for failure to file any report required under the Act. Failure to file in a timely fashion is a serious violation. Committees are subject to enforcement action for late filing. Illegible reports which can not be clearly reproduced and reports submitted on non-FEC forms will not be accepted. Committees filing such documents will be required to refile.

*The first report filed by a committee shall include all amounts received or disbursed in 1984, and prior to becoming a political committee, even if such amounts were not received during the current reporting period. See 11 CFR 104.3(a) and (b) Activity which occurred prior to 1984 should be reported separately.

-over-

Attachment 1 page 7



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

HQ-7

May 8, 1984

Larry R. Clapp, Treasurer
Wyoming State Democratic
Central Committee
P.O. Box 1964
Casper, WY 82601

Identification Number: C0001917

Reference: April Quarterly Report (1/1/84-3/31/84)

Dear Mr. Clapp:

It has come to the attention of the Federal Election Commission that you may have failed to file the above referenced Report of Receipts and Disbursements as required by the Federal Election Campaign Act. You were previously notified of the due date for this report.

It is important that you file this report immediately with the Federal Election Commission, 1325 K Street, NW, Washington, DC 20463 (or with the Clerk of the House or the Secretary of the Senate, as appropriate). A copy of the report or its relevant portions should also be filed with the Secretary of State or equivalent state officer (see 11 CFR 108.2, 108.3, 108.4).

The failure to file this report may result in an audit or legal enforcement action.

If you have any questions regarding this matter, please contact Mike Tangney on our toll-free number (800) 424-9530. Our local number is (202) 523-4048.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Summary Page

84 JUN 12 1984

ALLEGY AREA

1. Name of Committee (Do Not)

WYOMING DEMOCRATIC STATE CENTRAL
COMMITTEE

Address (Number and Street)

P.O. Box 1964

City, State and ZIP Code

Casper, Wyoming 82602

☐ Check here if address is different than previously reported.

2. FEC Identification Number

00001917

3. ☐ This committee qualified as a multicandidate committee during this reporting period on _____

4. TYPE OF REPORT (Check appropriate box)

☒ April 15 Quarterly Report ☐ October 15 Quarterly Report

☐ July 15 Quarterly Report ☐ January 31 Year End Report

☐ July 31 Mid Year Report (Non-Station Year Only)

☐ Monthly Report for _____

☐ Twelfth day report preceding _____
election on _____ in the State of _____

☐ Thirtieth day report following the General Election
on _____ in the State of _____

☐ Termination Report

Is this Report an Amendment?

☐ YES ☒ NO

SUMMARY

5. Covering Period 1-1-84 through 4-15-84

6. (a) Cash on hand January 1, 19 84

(b) Cash on hand in Beginning of Reporting Period

(c) Total Receipts (from Line 10)

(d) Subtotal (add Lines 6(b) and 6(c) for Column A and
Lines 6(a) and 6(c) for Column B)

7. Total Disbursements (from Line 28)

8. Cash on hand at Close of Reporting Period (subtract Line 7 from Line 6(d))

9. Debts and Obligations Owed TO the Committee
(Itemize all on Schedule C or Schedule D)

10. Debts and Obligations Owed BY the Committee
(Itemize all on Schedule C or Schedule D)

COLUMN A This Period	COLUMN B Calendar Year-to-Date
	\$ 15,198.08
\$ 15,198.08	
\$ 18,651.50	\$ 18,651.50
\$ 33,849.58	\$ 33,849.58
\$ 14,957.24	\$ 14,957.24
\$ 18,892.24	\$ 18,892.24

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

TERI CARROLL

Signature of Treasurer

4-30-84

Signature of Treasurer

NOTE: This form must be filed with the Commission and the State or local election officials.

All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

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FEC FORM 3 (3-83)

Wyoming Democratic State Central Committee

U.S. MAIL
FIRST CLASS PERMIT NO. 1000 CHELSEA, MI 48118

64 JUN 12 PM 2:48

CERTIFIED

P 597 448 501

MAIL

FEDERAL ELECTION COMMISSION

1325 K Street N.W.

Washington, D.C. 20463

ATTN: MIKE TARGY



FEDERAL ELECTION COMMISSION
WASHINGTON DC 20463

June 21, 1984

JULY REPORTING NOTICE FOR UNAUTHORIZED COMMITTEES

WHO MUST FILE

ALL unauthorized political committees, except those that file monthly, must file a quarterly report by July 15, 1984. (See below.)

WHAT MUST BE REPORTED

All financial activity must be disclosed from the last report filed through June 30, 1984. Political committees which have not previously filed a financial disclosure report should report all financial activity from the date of registration,* through June 30, 1984.

WHEN TO FILE

Reports sent by registered or certified mail must be postmarked no later than midnight July 15, 1984. Reports hand delivered or mailed first class must be received no later than close of business July 15, 1984.

WHERE AND HOW TO FILE

Committees should consult the instructions on the enclosed FEC Form 3X for details.

MONTHLY FILERS

Committees that file on a monthly schedule must file their next report by July 20, 1984, and disclose all financial activity of their committee from June 1 through June 30, 1984. Monthly reports are due by the twentieth of each month and should cover all financial activity of the previous month.

COMPLIANCE

Political committees are fully liable for failure to file any report required under the Act. Failure to file in a timely fashion is a serious violation. Committees are subject to enforcement action for late filing. Illegible reports which can not be clearly reproduced and reports submitted on non-FEC forms will not be accepted. Committees filing such documents will be required to refile.

*The first report filed by a committee shall include all amounts received or disbursed prior to becoming a political committee, even if such amounts were not received during the current reporting period. See 11 CFR 104.3(a) and (b).

-over-

Attachment 1 page 4



FEDERAL ELECTION COMMISSION
WASHINGTON, DC 20463

AUG 8 1984

EQ-7

Larry R. Clapp, Treasurer
Wyoming State Democratic
Central Committee
P.O. Box 1964
Casper, WY 82601

Identification Number: C00001917

Reference: July Quarterly Report (4/1/84-6/30/84)

Dear Mr. Clapp:

It has come to the attention of the Federal Election Commission that you may have failed to file the above referenced Report of Receipts and Disbursements as required by the Federal Election Campaign Act. You were previously notified of the due date for this report.

It is important that you file this report immediately with the Federal Election Commission, 1325 K Street, NW, Washington, DC 20463 (or with the Clerk of the House or the Secretary of the Senate, as appropriate). A copy of the report or its relevant portions should also be filed with the Secretary of State or equivalent state officer (see 11 CFR 108.2, 108.3, 108.4).

The failure to file this report may result in an audit or legal enforcement action.

If you have any questions regarding this matter, please contact Mike Tangney on our toll-free number (800) 424-9530. Our local number is (202) 523-4048.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Attachment 1 page 12

83-1074335-657547

REPORT OF RECEIPTS AND DISBURSEMENTS
For a Political Committee Other Than an Authorized Committee

RECEIVED AT THE FEC

(Summary Page)

64 AUG 17 12:22

ALICE ARZA

ALICE ARZA

1. Name of Committee (No Full)

WOMEN IN POLITICAL SCIENCE CONF.

Address (Number and Street)

P. O. Box 1964

City, State and ZIP Code

CLAYTON, WY. 82602

☐ Check here if address is different than previously reported.

2. FEC Identification Number

00001917

3. ☐ This committee qualified as a multi-candidate committee during the Reporting Period on _____

4. TYPE OF REPORT (Check appropriate boxes)

☐ April 15 Quarterly Report ☐ October 15 Quarterly Report

☒ July 15 Quarterly Report ☐ January 31 Year End Report

☐ July 31 Mid Year Report (Non-Election Year Only)

☐ Monthly Report for _____

☐ Twelfth day report preceding _____
Election on _____ in the State of _____

☐ Thirtieth day report following the General Election

on _____ in the State of _____

☐ Termination Report

5. Is this Report an Amendment?

☐ YES

☒ NO

SUMMARY

6. Covering Period **4/01/84** through **6/30/84**

6. (a) Cash on hand January 1, 19 **84**

(b) Cash on Hand at Beginning of Reporting Period

(c) Total Receipts (from Line 18)

(d) Subtotal (add Lines 6(b) and 6(c) for Column A and
Lines 6(b) and 6(c) for Column B)

7. Total Disbursements (from Line 28)

8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))

9. Debts and Obligations Owed TO The Committee
(Itemize all on Schedule C or Schedule D)

10. Debts and Obligations Owed BY the Committee
(Itemize all on Schedule C or Schedule D)

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

TENI CORROLL
Type or Print Name of Treasurer

Teni Corroll
SIGNATURE OF TREASURER

8/03/84

Date

NOTE: Section 304 of the Federal Election Campaign Act of 1971, as amended, requires that the person who signs this report be the Treasurer or the person authorized by the Treasurer to sign.

All previous versions of FEC FORM 3 and FEC FORM 3x are obsolete and should no longer be used.

FEC FORM 3X-3 80

Attachment 1 page 13



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

12-2

June 22, 1984

Larry R. Clapp, Treasurer
Wyoming State Democratic Central
Committee
P.O. Box 1964
Casper, WY 82601

Identification Number: C00001917

Reference: 1983-1984 Election Cycle Reports

Dear Mr. Clapp:

This letter is prompted by the Commission's review of the reports filed by your committee. The following is a list of reports your committee has failed to file in a timely manner.

<u>Report Type</u>	<u>Due Date</u>	<u>Date Filed</u>
Year End (7/1/83-12/31/83)	1/31/84	2/7/84
April Quarterly (1/1/84-4/15/84)	4/15/84	6/6/84

Timely filing is a specific requirement of the Federal Election Campaign Act and is essential to fulfilling the public disclosure concept embodied in that law. The Commission views late filing as a serious violation of the Act. This communication is to advise you that any additional late filing of a required report by your committee may result in the Commission initiating legal enforcement action.

If you need assistance or have any questions regarding this matter, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 523-4048.

Sincerely,

Michael B. Filler
Chief
Party/Non-Party Branch

Attachment 1 Page 14

84033175360

Box 1964

Phone 23 2

Casper, Wyoming 82601

August 8, 1984

Attachment 11

Public Records Division
Federal Election Commission
1325 K Street, N. W.
Washington, D. C. 20463

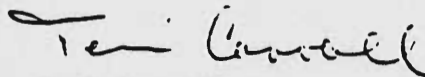
Gentlemen:

Please find enclosed our second quarterly report to the Federal Election Commission which was due July 15, 1984. Because of a major turnover in office personnel, we were unable to file this report at the designated time. However, this report is a complete and accurate statement of our finances through July 30, 1984.

We have omitted the data for the calendar year-to-date on the Summary page, as the figures sent to you in the first quarterly report were inaccurate. We are requesting an audit of our books shortly, and when this has been completed we will file an amended report for the first quarter, 1984.

If you have questions, please contact our office at:
307-234-8862.

Sincerely,



Teri Carroll
Treasurer

Enclosure

TC/pjy

44

Attachment 1 page 45



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

Teri Carroll, Treasurer
Wyoming State Democratic Central Committee
P.O. Box 1964
Casper, Wyoming 92601

RE: MUR
Wyoming State Democratic
Central Committee

Dear Ms. Carroll:

On , 1984, the Federal Election Commission determined that there is reason to believe that the Wyoming State Democratic Central Committee and you, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(i) and (iv), provisions of the Federal Election Campaign Act of 1971, as amended ("the Act") by failing to file the Committee's 1984 April and July Quarterly Reports and its 1983 Year End Report in a timely manner. The General Counsel's factual and legal analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you and the Committee. Please submit any factual or legal materials which you believe are relevant to the Commission's consideration of this matter.

In the absence of any additional information which demonstrates that no further action should be taken against your committee and you, as treasurer, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation. Of course, this does not preclude the settlement of this matter through conciliation prior to a finding of probable cause to believe if so desired. See 11 C.F.R. § 111.18(d).

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and a statement authorizing such counsel to receive any notifications and other communications from the Commission.

Attachment II - page 16

Teri Carroll, Treasurer
Page 2

The investigation now being conducted will be confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Shelley Garr, the staff member assigned to this matter, at (202) 523-4143.

Sincerely,

Enclosures

General Counsel's Factual and Legal Analysis
Procedures
Designation of Counsel Statement

Attachment II - page 17

05040561149

FEDERAL ELECTION COMMISSION
GENERAL COUNSEL'S FACTUAL AND LEGAL ANALYSIS

MUR
STAFF MEMBER(S) & TEL. NO.
Shelley Garr 523-4143

RESPONDENT Wyoming State Democratic Central Committee
Teri Carroll, Treasurer

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

SUMMARY OF ALLEGATIONS

On June 22, 1984, a chronic late filing notice was sent to WSDCC for failing to file its 1983 Year End and 1984 April Quarterly Reports in a timely manner.* / The notice advised WSDCC that any additional late filing of reports might result in a legal enforcement action.

An additional Non-Filer Notice was sent on August 8, 1984, when the Commission failed to receive WSDCC's 1984 July Quarterly Report.

In her response to the Commission's notifications, Teri Carroll, treasurer of WSDCC, cited a major turnover in office personnel as the reason for WSDCC's failure to meet the filing deadlines. She further explained that the figures included in the April Quarterly Report were inaccurate. WSDCC is auditing its books and, upon completion of the audit, Ms. Carroll stated that an amended report would be filed with the Commission.

* / The reports were filed on February 7, 1984, and June 12, 1984, respectively.

05040561145

FACTUAL AND LEGAL ANALYSIS

Pursuant to 2 U.S.C. § 434(a)(4)(A)(i), all political committees other than authorized committees of a candidate, are required to file, in a calendar year in which a regularly scheduled election is held, a quarterly report to be filed no later than the 15th day after the last day of the calendar quarter.

Further, pursuant to 2 U.S.C. § 434(a)(4)(A)(iv), those committees are required to file a report covering the period beginning July 1 and ending December 31 which shall be filed no later than January 31 of the following calendar year.

WSDCC was required to file its 1984 April and July Quarterly Reports on April 15 and July 15, 1984, respectively. The 1983 Year End Report was to be filed no later than January 31, 1984. WSDCC filed its 1984 April Quarterly Report on June 12, 1984, 58 days late; its 1984 July Quarterly Report on August 17, 1984, 32 days late; and its 1983 Year End Report on February 7, 1984, 8 days late. WSDCC's failure to comply with the reporting requirements is a violation of 2 U.S.C. §§ 434(a)(4)(A)(i) and (iv), in the General Counsel's view. Hence it is the recommendation of the General Counsel that the Commission find reason to believe that WSDCC violated 2 U.S.C. §§ 434(a)(4)(A)(i) and (iv).



FEDERAL ELECTION COMMISSION

1325 K STREET NW
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 1848

Date Filmed 11/18/85 Camera No. ---4/

Cameraman AS

85040561147