



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 1516

Date Filmed 6/15/83 Camera No. --- 2

Cameraman bpc

1518

FEDERAL ELECTION COMMISSION

Routing cards

Commissioner objection memo

Commissioner vote sheet with comments

The above-described material was removed from this file pursuant to the following exemption provided in the Freedom of Information Act, 5 U.S.C. Section 552(b):

- | | |
|--|---|
| <input type="checkbox"/> (1) Classified Information | <input type="checkbox"/> (6) Personal privacy |
| <input type="checkbox"/> (2) Internal rules and practices | <input type="checkbox"/> (7) Investigatory files |
| <input type="checkbox"/> (3) Exempted by other statute | <input type="checkbox"/> (8) Banking Information |
| <input type="checkbox"/> (4) Trade secrets and commercial or financial information | <input type="checkbox"/> (9) Well Information (geographic or geophysical) |
| <input checked="" type="checkbox"/> (5) Internal Documents | |

Signed Manlybeth Tarrant
Date 5/9/83

83040394114



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

May 5, 1983

Jean Warnke, Treasurer
Carroll for Congress Committee
2000 North Loop West, Suite 124
Houston, Texas 77018

Re: MUR 1516

Dear Ms. Warnke:

On February 15, 1983, the Commission found reason to believe that your committee had violated 2 U.S.C. §§ 434(a)(2)(A)(iii) and 434(b)(8), provisions of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced matter. However, after considering the circumstances of this matter, the Commission has determined to take no further action and close its file. The file will be made part of the public record within 30 days.

The Commission reminds you that the failure to file reports is a violation of the Act and you should take steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Marybeth Tarrant at (202)523-4529.

Sincerely,

Charles N. Steele
General Counsel

By: Kenneth A. Gross
Associate General Counsel

83040394115



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

Jean Warnke, Treasurer
Carroll for Congress Committee
2000 North Loop West, Suite 124
Houston, Texas 77018

Re: MUR 1516

Dear Ms. Warnke:

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The Commission reminds you that the failure to file reports is a violation of the Act and you should take steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Marybeth Tarrant at (202)523-4529.

Sincerely,

Charles N. Steele
General Counsel

By: Kenneth A. Gross
Associate General Counsel

MT
5/4/83

33040394116

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) MUR 1516
Carroll for Congress Committee)

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on May 4, 1983, the Commission decided by a vote of 5-0 to take the following actions in MUR 1516:

1. Take no further action against the Carroll for Congress Committee.
2. Close the file.
3. Approve the letter as attached to the General Counsel's Report signed April 28, 1983.

Commissioners Aikens, Elliott, Harris, McGarry and Reiche voted affirmatively in this matter; Commissioner McDonald did not cast a vote.

Attest:

5/4/83

Date

Marjorie W. Emmons

Marjorie W. Emmons
Secretary of the Commission

Received in Office of Commission Secretary:
Circulated on 48 hour tally basis:

4-29-83, 12:02
5- 2-83, 11:00

33040394117



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

MEMORANDUM

TO: Office of the Commission Secretary
FROM: Office of General Counsel
DATE: April 29, 1983
SUBJECT: MUR 1516 - GC Report

The attached is submitted as an Agenda document
for the Commission Meeting of _____

Open Session _____

Closed Session _____

CIRCULATIONS

48 Hour Tally Vote ☒
Sensitive ☒
Non-Sensitive ☐

24 Hour No Objection ☐
Sensitive ☐
Non-Sensitive ☐

Information ☐
Sensitive ☐
Non-Sensitive ☐

Other ☐

DISTRIBUTION

Compliance ☒
Audit Matters ☐

Litigation ☐

Closed MUR Letters ☐

Status Sheets ☐

Advisory Opinions ☐

Other (see distribution below) ☐

83040394118

SENSITIVE

RECEIVED
OFFICE OF THE
COMMISSION SECRETARY

BEFORE THE FEDERAL ELECTION COMMISSION

83 APR 29 P12: 02

In the Matter of)
)
Carroll for Congress Committee) MUR 1516
)

GENERAL COUNSEL'S REPORT

I. Background

The Carroll for Congress Committee ("Committee") 1/ was referred to the Office of General Counsel by the Reports Analysis Division for failure to continuously report its debts on schedule D. On January 4, 1983, the Commission voted to open a MUR and on February 15, 1983, the Commission found reason to believe that the Committee had violated 2 U.S.C. § 434(a)(2)(A)(iii) by failing to file the July, October and Year End 1982 Quarterly Reports and 2 U.S.C. § 434(b)(8) by failing to continuously report its debts. The Committee was notified of the Commission's findings on February 16, 1983.

II. Factual and Legal Analysis

On its 1981 Year End Report, the Committee disclosed a \$4,500 loan from the candidate. The 1982 April Quarterly Report disclosed a \$5,000 loan from the candidate (with the notation that it was from personal funds) and a \$40,180 bank loan from the Town and Country Bank guaranteed by the candidate. However, the \$4,500 loan reported on the Year End Report was not carried over

1/ Larry Carroll, running from the 25th district of Texas, lost in the Republican primary of May 1, 1982, receiving 15.7% of the vote.

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on the April, 1982 Quarterly Report and no loan repayments were reported. The 12 Day Pre-Primary Report, which covered up to April 11, 1982, failed to report any of these loans as outstanding debts and, yet, no loan repayments were disclosed. Until now, the Committee had not filed a report since the 12 Day Pre-Primary Report. 2/

8 3 0 4 0 3 9 4 1 2 0
Pursuant to 2 U.S.C. § 434(b)(8), each report filed must disclose the amount and nature of all outstanding debts and obligations owed by a political committee. Pursuant to 11 C.F.R. § 104.11, all debts and obligations owed by a political committee which remain outstanding shall be continuously reported until extinguished. Under section 433(d)(1), a political committee may not terminate if such committee has outstanding debts or obligations. Pursuant to 2 U.S.C. § 434(a)(2)(A)(iii), the principal campaign committee of a candidate for the House of Representatives must file, in an election year, quarterly reports, which shall be filed no later than the 15th day after the last day of each calendar quarter: except that the report for the quarter ending December 31 shall be filed no later than January 31 of the following calendar year.

In response to the RTB findings, Jean Warnke, treasurer of the Committee, requested an extension of time. The General Counsel granted an extension until March 25, 1983.

2/ The Committee reported total receipts of \$71,516, approximately \$50,000 of which was in loans either made or guaranteed by the candidate and total disbursements of \$71,125 for the entire campaign.

On March 29, 1983, Ms. Warnke phoned this office to explain that she was still waiting for information from the candidate which was needed to fill in the reports. Several subsequent phone calls from Ms. Warnke reiterated the same problems. However, on April 22, 1982, this office received amended reports which correctly disclose the outstanding debt information at the time and a Termination Report, covering 4/12-12/31/82.

Ms. Warnke explained the delay in filing the reports by stating that both the candidate and the campaign treasurer had been out of town. In addition, after the campaign was over, some campaign records had been inadvertently destroyed. Therefore, it was necessary to obtain certain information from the bank.

According to the reports filed, Larry Carroll, the candidate, loaned the Committee a total of \$9,500 out of his personal funds. Attached to the Termination Report is a letter from Mr. Carroll forgiving those loans. In addition, Mr. Carroll repaid the outstanding bank loan of \$40,180. This loan was repaid by December 13, 1982. In light of these facts, the Committee has no outstanding debts and is in a position to terminate should this matter be concluded.

Based on the following facts, the General Counsel recommends that the Commission take no further action and close the file:

- 1) the Committee has correctly amended its reports; 2) the Committee has filed a report covering the July, October and Year End quarterly reporting periods which indicates that the only

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activity that needed to be reported were loan repayments being made by the candidate; and 3) the bank loan has been repaid.

III. Recommendations

1. Take no further action against the Carroll for Congress Committee.
2. Close the file.
3. Approve the attached letter.

April 28, 1963
Date

Charles N. Steele
General Counsel

By:

Kenneth A. Gross
Kenneth A. Gross
Associate General Counsel

Attachments

1. Response from Committee
2. Proposed letter

83040394122



Greater Houston Cleaners and Laundries Association

83 APR 22 P 1:22

2000 North Loop West, Suite 124
Houston, Texas 77018
(713) 680-8562

Attachment 1

April 21, 1983

Federal Election Commission
Washington, D. C. 20463

Attn: Marybeth Tarrant

Gentlemen:

Enclosed are amended Reports of Receipts and Disbursements for the periods of January 1, 1982-March 31, 1982, April 1, 1982-April 11, 1982 and the termination report for the period April 12, 1982-December 31, 1982.

My special thanks to you, Marybeth, for all your help in my preparing these reports.

Our sincere apologies to you and the Commission in our delay in forwarding this information.

We had a very difficult time in assembling the facts on the loan. After the campaign, the campaign manager immediately moved from the City, the candidate left for a well-deserved rest and as a volunteer campaign treasurer, I thought my obligation had ended. The people who closed the campaign headquarters, packed some of the information and unfortunately, through ignorance, destroyed the records we needed for these reports. The bank finally furnished the necessary information but it took longer than we had hoped.

Thanks again. We hope this will put the matter to rest.

Sincerely,

Jean Warnke Emersen
Campaign Treasurer - Carroll for Congress Committee

83040394123

00:03:07

RECEIVED AT THE REC

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SUMMARY		Column A This Period	Column B Calendar Year-to-Date
5. Covering Period	<u>1/1/82</u> Through <u>3/31/82</u>		
6. Net Contributions (other than loans):			
(a) Total Contributions (other than loans) (from Line 11e)	\$ <u>7,522.40</u>	\$ <u>7,522.40</u>	
(b) Total Contribution Refunds (from Line 20d)	\$	\$	
(c) Net Contributions (other than loans) (Subtract Line 6b from 6a)	\$ <u>7,522.40</u>	\$ <u>7,522.40</u>	
7. Net Operating Expenditures:			
(a) Total Operating Expenditures (from Line 17)	\$ <u>51,086.15</u>	\$ <u>51,086.15</u>	
(b) Total Offsets to Operating Expenditures (from Line 14)	\$	\$	
(c) Net Operating Expenditures (Subtract Line 7b from 7a)	\$ <u>51,086.15</u>	\$ <u>51,086.15</u>	
8. Cash on Hand at Close of Reporting Period (from Line 27)	\$ <u>2,423.48</u>		
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C or Schedule D)	\$		
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C or Schedule D)	\$ <u>49,650</u>		

For further information, contact:

Sean Warden
SIGNATURE OF TREASURER

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3 (3/80)

SCHEDULE A

ITEMIZED RECEIPTS

Page 5 of 5 for
LINE NUMBER
 (Use separate schedule(s) for each
 category of the Detailed
 Summary Page)

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

CARROLL INC CONGRESS COMMITTEE

A. Full Name, Mailing Address and ZIP Code <i>LARRY L. CARROLL</i> <i>9410 DENGURY WAY</i> <i>HOUSTON, TEXAS 77025</i>	Name of Employer <i>CARROLL ENTERPRISES</i> Occupation <i>PRESIDENT</i> Aggregate Year-to-Date—\$ <i>46,180</i>	Date (month, day, year) <i>2/1/82</i> <i>3/22/82</i>	Amount of Each Receipt this Period <i>40,180</i> <i>5,000</i>
B. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Name of Employer Occupation Aggregate Year-to-Date—\$	Date (month, day, year)	Amount of Each Receipt This Period
C. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Name of Employer Occupation Aggregate Year-to-Date—\$	Date (month, day, year)	Amount of Each Receipt This Period
D. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Name of Employer Occupation Aggregate Year-to-Date—\$	Date (month, day, year)	Amount of Each Receipt This Period
E. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Name of Employer Occupation Aggregate Year-to-Date—\$	Date (month, day, year)	Amount of Each Receipt This Period
F. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Name of Employer Occupation Aggregate Year-to-Date—\$	Date (month, day, year)	Amount of Each Receipt This Period
G. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Name of Employer Occupation Aggregate Year-to-Date—\$	Date (month, day, year)	Amount of Each Receipt This Period
SUBTOTAL of Receipts This Page (optional)			
TOTAL This Period (last page this line number only)			<i>45,180</i>

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LOANS

4

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Name of Committee (in Full) <i>CARROLL R. CONGRESS COMMITTEE</i>			
A. Full Name, Mailing Address and ZIP Code of Loan Source <i>TOWN and COUNTRY BANK 900 Town and Country Blvd HOUSTON, TEXAS 77024</i>	Original Amount of Loan <i>40,180</i>	Cumulative Payment To Date <i>- - -</i>	Balance Outstanding at Close of This Period <i>40,180</i>
Election: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Terms: Date Incurred <i>2/7/82</i> Date Due <i>6/4/82</i> Interest Rate <i>Prime %</i> (apr) <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item A			
1. Full Name, Mailing Address and ZIP Code <i>LARRY L. CARROLL 9410 DENBURY WAY HOUSTON, TEXAS 77025</i>	Name of Employer <i>CARROLL ENTERPRISES</i>	Occupation <i>PRESIDENT</i>	Amount Guaranteed Outstanding: <i>\$ 40,180</i>
2. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding
3. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding
B. Full Name, Mailing Address and ZIP Code of Loan Source <i>LARRY L. CARROLL 9410 DENBURY WAY HOUSTON, TEXAS 77025</i>			
Original Amount of Loan <i>5,000</i>		Cumulative Payment To Date <i>- - -</i>	
Balance Outstanding at Close of This Period <i>5,100</i>			
Election: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Terms: Date Incurred Date Due Interest Rate % (apr) <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item B			
1. Full Name, Mailing Address and ZIP Code <i>This loan from Personal Funds</i>	Name of Employer	Occupation	Amount Guaranteed Outstanding: <i>\$</i>
2. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding
3. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding
SUBTOTALS This Period This Page (optional)			<i>45,180</i>
TOTALS This Period (last page in this line only)			<i>45,180</i>

REPORT OF RECEIPTS AND DISBURSEMENTS
For an Authorized Committee

RECEIVED A. 112 FEC

(5)

(Summary Page)

83 APR 22 P 1:22

1. Name of Committee (in Full) <u>ROLL FOR CONGRESS COMMITTEE</u>	2. FEC Identification Number
Address (Number and Street) <u>P.O. Box 1293</u>	3. Is this Report an Amendment? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
City, State and ZIP Code <u>PASADENA, TEXAS 77501</u>	<input type="checkbox"/> Check if address is different than previously reported.

4. TYPE OF REPORT

<input type="checkbox"/> April 15 Quarterly Report	<input checked="" type="checkbox"/> Twelfth day report preceding <u>Primary</u> (Type of Election)
<input type="checkbox"/> July 15 Quarterly Report	election on <u>May 1</u> in the State of <u>Texas</u>
<input type="checkbox"/> October 15 Quarterly Report	<input type="checkbox"/> Thirtieth day report following the General Election
<input type="checkbox"/> January 31 Year End Report	on _____ in the State of _____
<input type="checkbox"/> July 31 Mid Year Report (Non-election Year Only)	<input type="checkbox"/> Termination Report

This report contains activity for - ☒ Primary Election ☐ General Election ☐ Special Election ☐ Runoff Election

SUMMARY		Column A This Period	Column B Calendar Year-to-Date
5. Covering Period	<u>1/1/82</u> Through <u>1/1/83</u>		
6. Net Contributions (other than loans):			
4 (a) Total Contributions (other than loans) (from Line 11e)	\$	<u>825</u>	\$ <u>8,313.40</u>
9 (b) Total Contribution Refunds (from Line 20d)	\$		\$
3 (c) Net Contributions (other than loans) (Subtract Line 6b from 6a) . . .	\$	<u>825</u>	\$ <u>8,313.40</u>
0 7. Net Operating Expenditures:			
4 (a) Total Operating Expenditures (from Line 17)	\$	<u>2,858.31</u>	\$ <u>53,944.49</u>
0 (b) Total Offsets to Operating Expenditures (from Line 14)	\$		\$
3 (c) Net Operating Expenditures (Subtract Line 7b from 7a)	\$	<u>2,858.31</u>	\$ <u>53,944.49</u>
0 8. Cash on Hand at Close of Reporting Period (from Line 27)	\$	<u>390.17</u>	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C or Schedule D)	\$		
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C or Schedule D)	\$	<u>19,680</u>	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

For further information, contact:

Federal Election Commission
Toll Free 800-424-9530
Local 202-623-4068

JEAN WARNEKE
Type or Print Name of Treasurer

Jean Warneke
SIGNATURE OF TREASURER

1/20/83
Date

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

FEC FORM 3 (3/80)

REPORT OF RECEIPTS AND DISBURSEMENTS
For an Authorized Committee

RECEIVED AT THE FEB 6

(Summary Page)

83 APR 22 8 1: 22

1. Name of Committee (in Full) <u>CARROLL FOR CONGRESS COMMITTEE</u>	2. FEC Identification Number
Address (Number and Street) <u>P.O. Box 1893</u>	3. Is this Report an Amendment? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
City, State and ZIP Code <u>PASADENA, TEXAS 77501</u>	<input type="checkbox"/> Check if address is different than previously reported.

4. TYPE OF REPORT

<input type="checkbox"/> April 15 Quarterly Report	<input type="checkbox"/> Twelfth day report preceding _____ (Type of Election)
<input type="checkbox"/> July 15 Quarterly Report	election on _____ in the State of _____
<input type="checkbox"/> October 15 Quarterly Report	<input type="checkbox"/> Thirtieth day report following the General Election
<input type="checkbox"/> January 31 Year End Report	on _____ in the State of _____
<input type="checkbox"/> July 31 Mid Year Report (Non-election Year Only)	<input checked="" type="checkbox"/> Termination Report

This report contains activity for - ☒ Primary Election ☐ General Election ☐ Special Election ☐ Runoff Election

SUMMARY		Column A This Period	Column B Calendar Year-to-Date
5. Covering Period	<u>1/12/82</u> Through <u>12/31/82</u>		
6. Net Contributions (other than loans):			
(a) Total Contributions (other than loans) (from Line 11e)	\$	<u>00</u>	\$ <u>8,313.40</u>
(b) Total Contribution Refunds (from Line 20d)	\$	<u>00</u>	\$
(c) Net Contributions (other than loans) (Subtract Line 6b from 6a)	\$	<u>00</u>	\$ <u>8,313.40</u>
7. Net Operating Expenditures:			
(a) Total Operating Expenditures (from Line 17)	\$	<u>00</u>	\$ <u>53,944.49</u>
(b) Total Offsets to Operating Expenditures (from Line 14)	\$	<u>00</u>	\$
(c) Net Operating Expenditures (Subtract Line 7b from 7a)	\$	<u>00</u>	\$ <u>53,944.49</u>
8. Cash on Hand at Close of Reporting Period (from Line 27)	\$	<u>00</u>	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C or Schedule D)	\$	<u>00</u>	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C or Schedule D)	\$	<u>00</u>	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

For further information, contact:

Federal Election Commission
Toll Free 800-424-9530
Local 202-523-4068

JEAN WARNE
Type or Print Name of Treasurer

Jean Warne
SIGNATURE OF TREASURER

1/20/83
Date

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All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

**DETAILED SUMMARY PAGE
of Receipts and Disbursements
(Page 2, FEC FORM 3)**

7

Name of Committee (in Full)

CARROLL FOR CONGRESS COMMITTEE

Report Covering the Period:

From: 1/12/12 To: 12/31/12

I. RECEIPTS

11. CONTRIBUTIONS (other than loans) FROM:

(a) Individuals/Persons Other Than Political Committees
(Memo Entry Unitemized \$ _____)

(b) Political Party Committees

(c) Other Political Committees

(d) The Candidate

(e) TOTAL CONTRIBUTIONS (other than loans) (add 11a, 11b, 11c and 11d) . . .

12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES.

13. LOANS:

(a) Made or Guaranteed by the Candidate

(b) All Other Loans

(c) TOTAL LOANS (add 13a and 13b)

14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)

15. OTHER RECEIPTS (Dividends, Interest, etc.)

16. TOTAL RECEIPTS (Add 11e, 12, 13c, 14 and 15).

II. DISBURSEMENTS

17. OPERATING EXPENDITURES

18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES.

19. LOAN REPAYMENTS:

(a) Of Loans Made or Guaranteed by the Candidate

(b) Of All Other Loans

(c) TOTAL LOAN REPAYMENTS (add 19a and 19b).

20. REFUNDS OF CONTRIBUTIONS TO:

(a) Individuals/Persons Other Than Political Committees

(b) Political Party Committees

(c) Other Political Committees

(d) TOTAL CONTRIBUTION REFUNDS (add 20a, 20b and 20c)

21. OTHER DISBURSEMENTS.

22. TOTAL DISBURSEMENTS (Add 17, 18, 19c, 20d and 21).

III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF THE REPORTING PERIOD	\$	<u>00</u>
24. TOTAL RECEIPTS THIS PERIOD (From Line 16)	\$	<u>00</u>
25. SUBTOTAL (Add Line 23 and Line 24)	\$	<u>00</u>
26. TOTAL DISBURSEMENTS THIS PERIOD (From Line 22)	\$	<u>40,180</u>
27. CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (Subtract Line 26 from 25)	\$	<u>00</u>

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SCHEDULE B

ITEMIZED DISBURSEMENTS

Page ____ of ____ for
 LINE NUMBER ____
 (Use separate schedule(s) for each
 category of the Detailed
 Summary Page)

8

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

CARROLL FOR CONGRESS COMMITTEE

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
TOWN and COUNTRY BANK 900 TOWN and COUNTRY BLVD. HOUSTON, TEXAS 77004	Payment of loan Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	8/14/82	\$25,391.51
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
TOWN and COUNTRY BANK 900 TOWN and COUNTRY BLVD. HOUSTON, TEXAS 77004	Payment of loan Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	12/13/82	\$21,180.52
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)

19,572.03

LOANS

9

Name of Committee (in Full) CARROLL FOR CONGRESS COMMITTEE			
A. Full Name, Mailing Address and ZIP Code of Loan Source TOWN and COUNTRY BANK 900 TOWN and COUNTRY BLVD. HOUSTON, TEXAS 77024	Original Amount of Loan \$40,180	Cumulative Payment To Date \$49,572.03 (Includes interest)	Balance Outstanding at Close of This Period .00
Election: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Terms: Date Incurred <u>3/4/82</u> Date Due <u>6/4/82</u> Interest Rate <u>Prime + 1% (apr)</u> <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item A			
1. Full Name, Mailing Address and ZIP Code LARRY L. CARROLL 9410 DENBURY WAY HOUSTON, TEXAS 77025	Name of Employer CARROLL ENTERPRISES Occupation PRESIDENT Amount Guaranteed Outstanding: \$40,180		
2. Full Name, Mailing Address and ZIP Code CANDIDATE BORROWED \$50,000 FROM TOWN AND COUNTRY BANK, HOWEVER HE GAVE THE CAMPAIGN ONLY \$40,180.	Name of Employer Occupation Amount Guaranteed Outstanding: \$		
3. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding: \$		
B. Full Name, Mailing Address and ZIP Code of Loan Source LARRY L. CARROLL 9410 DENBURY WAY HOUSTON TEXAS 77025			
Original Amount of Loan \$1,500.00 \$5,100.00		Cumulative Payment To Date CANDIDATE HAS FORGIVEN THE \$9,500. SEE ATTACHED LETTER	
Election: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Balance Outstanding at Close of This Period .00	
Terms: Date Incurred: Date Due: Interest Rate: % (apr) <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item B			
1. Full Name, Mailing Address and ZIP Code From personal funds	Name of Employer Occupation Amount Guaranteed Outstanding: \$		
2. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding: \$		
3. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding: \$		
SUBTOTALS This Period This Page (optional)			
TOTALS This Period (last page in this line only) 49,180.00			

33040394131

LARRY L. CARROLL
10575 KATY FREEWAY, SUITE 200
HOUSTON, TEXAS 77024
(713) 468-7617

RECEIVED AT L-5 FEB
83 APR 22 9 1: 22

(10)

December 30, 1982

Carroll for Congress Committee
P. O. Box 1293
Pasadena, Texas 77501

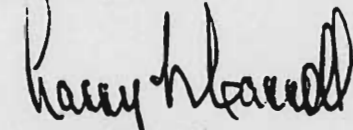
Attn: Jean Warnke Emersen
Campaign Treasurer

Gentlemen:

Please be advised that I am hereby forgiving \$9,500, loaned by me personally, to the Carroll for Congress Campaign Fund.

For your records, the funds were given to the campaign in two separate transactions. On December 8, 1981, I gave the campaign \$4,500 and the remaining \$5,000 was paid on April 12, 1982.

Thank you.



Larry Carroll
Candidate

J

33040394132



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

Attachment 2

Jean Warnke, Treasurer
Carroll for Congress Committee
2000 North Loop West, Suite 124
Houston, Texas 77018

Re: MUR 1516

Dear Ms. Warnke:

On February 15, 1983, the Commission found reason to believe that your committee had violated 2 U.S.C. §§ 434(a)(2)(A)(iii) and 434(b)(8), provisions of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced matter. However, after considering the circumstances of this matter, the Commission has determined to take no further action and close its file. The file will be made part of the public record within 30 days.

The Commission reminds you that the failure to file reports is a violation of the Act and you should take steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Marybeth Tarrant at (202)523-4529.

Sincerely,

Charles N. Steele
General Counsel

By: Kenneth A. Gross
Associate General Counsel

33040394133

83 APR 22 P 1: 22



Greater Houston Cleaners and Laundries Association

2000 North Loop West, Suite 124
Houston, Texas 77018
(713) 680-8562

April 21, 1983

Federal Election Commission
Washington, D. C. 20463

Attn: Marybeth Tarrant

Gentlemen:

Enclosed are amended Reports of Receipts and Disbursements for the periods of January 1, 1982-March 31, 1982, April 1, 1982-April 11, 1982 and the termination report for the period April 12, 1982-December 31, 1982.

My special thanks to you, Marybeth, for all your help in my preparing these reports.

Our sincere apologies to you and the Commission in our delay in forwarding this information.

We had a very difficult time in assembling the facts on the loan. After the campaign, the campaign manager immediately moved from the City, the candidate left for a well-deserved rest and as a volunteer campaign treasurer, I thought my obligation had ended. The people who closed the campaign headquarters, packed some of the information and unfortunately, through ignorance, destroyed the records we needed for these reports. The bank finally furnished the necessary information but it took longer than we had hoped.

Thanks again. We hope this will put the matter to rest.

Sincerely,

Jean Warnke Emersen
Campaign Treasurer - Carroll for Congress Committee

33040394134

REPORT OF RECEIPTS AND DISBURSEMENTS
For an Authorized Committee

RECEIVED AT THE FEC

(Summary Page)

03 APR 82 P 1:22

1. Name of Committee (in Full) <u>CARROLL FOR CONGRESS COMMITTEE</u>	2. FEC Identification Number
Address (Number and Street) <u>P.O. Box 1293</u>	3. Is this Report an Amendment? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
City, State and ZIP Code <input type="checkbox"/> Check if address is different than previously reported. <u>PASADENA, TEXAS 77601</u>	

TYPE OF REPORT

- | | |
|---|---|
| <input checked="" type="checkbox"/> April 15 Quarterly Report | <input type="checkbox"/> Twelfth day report preceding _____
(Type of Election) |
| <input type="checkbox"/> July 15 Quarterly Report | election on _____ in the State of _____ |
| <input type="checkbox"/> October 15 Quarterly Report | <input type="checkbox"/> Thirtieth day report following the General Election |
| <input type="checkbox"/> January 31 Year End Report | on _____ in the State of _____ |
| <input type="checkbox"/> July 31 Mid Year Report (Non-election Year Only) | <input type="checkbox"/> Termination Report |

This report contains activity for — ☒ Primary Election ☐ General Election ☐ Special Election ☐ Runoff Election

SUMMARY

5. Covering Period	Column A This Period	Column B Calendar Year-to-Date
<u>1/1/82</u> Through <u>3/31/82</u>		
6. Net Contributions (other than loans):		
(a) Total Contributions (other than loans) (from Line 11e)	\$ <u>7,588.40</u>	\$ <u>7,588.40</u>
(b) Total Contribution Refunds (from Line 20d)	\$	\$
(c) Net Contributions (other than loans) (Subtract Line 6b from 6a)	\$ <u>7,588.40</u>	\$ <u>7,588.40</u>
7. Net Operating Expenditures:		
(a) Total Operating Expenditures (from Line 17).	\$ <u>51,086.15</u>	\$ <u>51,086.15</u>
(b) Total Offsets to Operating Expenditures (from Line 14).	\$	\$
(c) Net Operating Expenditures (Subtract Line 7b from 7a).	\$ <u>51,086.15</u>	\$ <u>51,086.15</u>
8. Cash on Hand at Close of Reporting Period (from Line 27)	\$ <u>2,423.48</u>	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C or Schedule D)	\$	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C or Schedule D)	\$ <u>49,650</u>	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

For further information, contact:

Federal Election Commission
Toll Free 800-424-9530
Local 202-523-4068

JEAN WARNEKE
Type or Print Name of Treasurer

Jean Warneke
SIGNATURE OF TREASURER

4/20/82
Date

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

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FEC FORM 3 (3/80)

SCHEDULE A

ITEMIZED RECEIPTS

Page ____ of ____ for
LINE NUMBER ____
 (Use separate schedule(s) for each
 category of the Detailed
 Summary Page)

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

CARROLL INC CONGRESS COMMITTEE

A. Full Name, Mailing Address and ZIP Code

*LARRY L. CARROLL
 9410 DENGURY WAY
 HOUSTON, TEXAS 77025*

Name of Employer

CARROLL ENTERPRISES

Date (month,
day, year)

*2/1/82
 3/22/82*

Amount of Each
Receipt this Period

*10,180
 5,000*

Receipt For: ☐ Primary ☐ General
☐ Other (specify):

Occupation

PRESIDENT

Aggregate Year-to-Date—\$ *45,180*

B. Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month,
day, year)

Amount of Each
Receipt This Period

Occupation

Receipt For: ☐ Primary ☐ General
☐ Other (specify):

Aggregate Year-to-Date—\$

C. Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month,
day, year)

Amount of Each
Receipt This Period

Occupation

Receipt For: ☐ Primary ☐ General
☐ Other (specify):

Aggregate Year-to-Date—\$

D. Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month,
day, year)

Amount of Each
Receipt This Period

Occupation

Receipt For: ☐ Primary ☐ General
☐ Other (specify):

Aggregate Year-to-Date—\$

E. Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month,
day, year)

Amount of Each
Receipt This Period

Occupation

Receipt For: ☐ Primary ☐ General
☐ Other (specify):

Aggregate Year-to-Date—\$

F. Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month,
day, year)

Amount of Each
Receipt This Period

Occupation

Receipt For: ☐ Primary ☐ General
☐ Other (specify):

Aggregate Year-to-Date—\$

G. Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month,
day, year)

Amount of Each
Receipt This Period

Occupation

Receipt For: ☐ Primary ☐ General
☐ Other (specify):

Aggregate Year-to-Date—\$

SUBTOTAL of Receipts This Page (optional)

TOTAL This Period (last page this line number only)

45,180

33040394136

LOANS

Name of Committee (in Full) <i>CARROLL AC CONGRESS COMMITTEE</i>			
A. Full Name, Mailing Address and ZIP Code of Loan Source <i>TOWN and COUNTRY BANK 908 TOWN and COUNTRY BLVD HOUSTON, TEXAS 77024</i>		Original Amount of Loan <i>40,180</i>	Cumulative Payment To Date <i>- 0 -</i>
Election: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Balance Outstanding at Close of This Period <i>40,180</i>	
Terms: Date Incurred <i>2/1/82</i> Date Due <i>6/1/82</i> Interest Rate <i>Prime %</i> (apr) <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item A			
1. Full Name, Mailing Address and ZIP Code <i>LARRY L. CARROLL 9410 DENBURY WAY HOUSTON, TEXAS 77025</i>		Name of Employer <i>CARROLL ENTERPRISES</i>	
		Occupation <i>PRESIDENT</i>	
		Amount Guaranteed Outstanding: <i>\$ 40,180</i>	
2. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding: <i>\$</i>	
3. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding: <i>\$</i>	
B. Full Name, Mailing Address and ZIP Code of Loan Source <i>LARRY L. CARROLL 9410 DENBURY WAY HOUSTON, TEXAS 77025</i>		Original Amount of Loan <i>5,000</i>	Cumulative Payment To Date <i>- 0 -</i>
Election: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Balance Outstanding at Close of This Period <i>5,000</i>	
Terms: Date Incurred _____ Date Due _____ Interest Rate _____ % (apr) <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item B			
1. Full Name, Mailing Address and ZIP Code <i>This loan from Personal Funds</i>		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding: <i>\$</i>	
2. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding: <i>\$</i>	
3. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding: <i>\$</i>	
SUBTOTALS This Period This Page (optional)		<i>45,180</i>	
TOTALS This Period (last page in this line only)		<i>45,180</i>	

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

33040394137

REPORT OF RECEIPTS AND DISBURSEMENTS
For an Authorized Committee

RECEIVED AT THE FEC

(Summary Page)

83 APR 22 P 1:22

1. Name of Committee (In Full) <u>CARROLL FOR CONGRESS COMMITTEE</u>	2. FEC Identification Number
Address (Number and Street) <u>P.O. Box 1293</u>	3. Is this Report an Amendment? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
City, State and ZIP Code <input type="checkbox"/> Check if address is different than previously reported. <u>PRASADENA, TEXAS 77581</u>	

4. TYPE OF REPORT

<input type="checkbox"/> April 15 Quarterly Report	<input checked="" type="checkbox"/> Twelfth day report preceding <u>Primary</u> (Type of Election)
<input type="checkbox"/> July 15 Quarterly Report	election on <u>May 1</u> in the State of <u>Texas</u>
<input type="checkbox"/> October 15 Quarterly Report	<input type="checkbox"/> Thirtieth day report following the General Election
<input type="checkbox"/> January 31 Year End Report	on _____ in the State of _____
<input type="checkbox"/> July 31 Mid Year Report (Non-election Year Only)	<input type="checkbox"/> Termination Report

This report contains activity for — ☒ Primary Election ☐ General Election ☐ Special Election ☐ Runoff Election

SUMMARY		Column A This Period	Column B Calendar Year-to-Date
5. Covering Period	<u>1/1/82</u> Through <u>1/1/83</u>		
6. Net Contributions (other than loans):			
(a) Total Contributions (other than loans) (from Line 11e)		\$ <u>825</u>	\$ <u>8,313.40</u>
(b) Total Contribution Refunds (from Line 20d)		\$	\$
(c) Net Contributions (other than loans) (Subtract Line 6b from 6a)		\$ <u>825</u>	\$ <u>8,313.40</u>
7. Net Operating Expenditures:			
(a) Total Operating Expenditures (from Line 17)		\$ <u>2,858.31</u>	\$ <u>53,944.49</u>
(b) Total Offsets to Operating Expenditures (from Line 14)		\$	\$
(c) Net Operating Expenditures (Subtract Line 7b from 7a)		\$ <u>2,858.31</u>	\$ <u>53,944.49</u>
8. Cash on Hand at Close of Reporting Period (from Line 27)		\$ <u>390.11</u>	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C or Schedule D)		\$	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C or Schedule D)		\$ <u>19,680</u>	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

For further information, contact:

Federal Election Commission
Toll Free 800-424-9530
Local 202-523-4088

JEAN WARNEKE
Type or Print Name of Treasurer

Jean Warneke
SIGNATURE OF TREASURER

1/20/83
Date

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

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FEC FORM 3 (3/80)

LARRY L. CARROLL
10575 KATY FREEWAY, SUITE 200
HOUSTON, TEXAS 77024
(713) 468-7617

83 APR 22 P 1: 22

December 30, 1982

Carroll for Congress Committee
P. O. Box 1293
Pasadena, Texas 77501

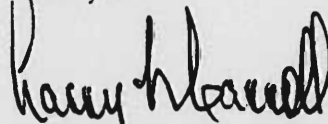
Attn: Jean Warnke Emersen
Campaign Treasurer

Gentlemen:

Please be advised that I am hereby forgiving \$9,500, loaned by me personally, to the Carroll for Congress Campaign Fund.

For your records, the funds were given to the campaign in two separate transactions. On December 8, 1981, I gave the campaign \$4,500 and the remaining \$5,000 was paid on April 12, 1982.

Thank you.


Larry Carroll
Candidate

j

33040394139

REPORT OF RECEIPTS AND DISBURSEMENTS
For an Authorized Committee

RECEIVED AT THE FEC

(Summary Page)

83 APR 22 8 11:22

1. Name of Committee (in Full) <u>CARRILL FOR CONGRESS COMMITTEE</u>	2. FEC Identification Number
Address (Number and Street) <u>P.O. Box 1293</u>	3. Is this Report an Amendment? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
City, State and ZIP Code <u>PASADENA, TEXAS 77501</u>	<input type="checkbox"/> Check if address is different than previously reported.

4. TYPE OF REPORT

<input type="checkbox"/> April 15 Quarterly Report	<input type="checkbox"/> Twelfth day report preceding _____ (Type of Election)
<input type="checkbox"/> July 15 Quarterly Report	election on _____ in the State of _____
<input type="checkbox"/> October 15 Quarterly Report	<input type="checkbox"/> Thirtieth day report following the General Election
<input type="checkbox"/> January 31 Year End Report	on _____ in the State of _____
<input type="checkbox"/> July 31 Mid Year Report (Non-election Year Only)	<input checked="" type="checkbox"/> Termination Report

This report contains activity for — ☒ Primary Election ☐ General Election ☐ Special Election ☐ Runoff Election

SUMMARY		Column A This Period	Column B Calendar Year-to-Date
5. Covering Period	<u>1/12/82</u> Through <u>12/31/82</u>		
6. Net Contributions (other than loans):			
(a) Total Contributions (other than loans) (from Line 11e)	\$	<u>00</u>	\$ <u>8,313.40</u>
(b) Total Contribution Refunds (from Line 20d)	\$	<u>00</u>	\$
(c) Net Contributions (other than loans) (Subtract Line 6b from 6a)	\$	<u>00</u>	\$ <u>8,313.40</u>
7. Net Operating Expenditures:			
(a) Total Operating Expenditures (from Line 17)	\$	<u>00</u>	\$ <u>53,944.49</u>
(b) Total Offsets to Operating Expenditures (from Line 14)	\$	<u>00</u>	\$
(c) Net Operating Expenditures (Subtract Line 7b from 7a)	\$	<u>00</u>	\$ <u>53,944.49</u>
8. Cash on Hand at Close of Reporting Period (from Line 27)	\$	<u>00</u>	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C or Schedule D)	\$	<u>00</u>	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C or Schedule D)	\$	<u>00</u>	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

For further information, contact:

Federal Election Commission
Toll Free 800-424-9530
Local 202-523-4088

JEAN WARNE
Type or Print Name of Treasurer

Jean Warne 1/20/83
SIGNATURE OF TREASURER Date

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

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FEC FORM 3 (3/80)

DETAILED SUMMARY PAGE
of Receipts and Disbursement
(Page 2, FEC FORM 3)

Name of Committee (In Full)

CAREFUL FOR CONGRESS COMMITTEE

Report Covering the Period:

From: 1/2/02 To: 12/9/02

I. RECEIPTS

11. CONTRIBUTIONS (other than loans) FROM:

(a) Individuals/Persons Other Than Political Committees . . .
(Memo Entry Unitemized \$_____)

(b) Political Party Committees.

(c) Other Political Committees

(d) The Candidate.

(e) TOTAL CONTRIBUTIONS (other than loans) (add 11a, 11b, 11c and 11d)

12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES.

13. LOANS:

(a) Made or Guaranteed by the Candidate

(b) All Other Loans.

(c) TOTAL LOANS (add 13a and 13b)

14.OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)**15. OTHER RECEIPTS (Dividends, Interest, etc.)****16. TOTAL RECEIPTS (Add 11e, 12, 13c, 14 and 15).**

II. DISBURSEMENTS

17. OPERATING EXPENDITURES

18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES

19. LOAN REPAYMENTS:

(a) Of Loans Made or Guaranteed by the Candidate

(b) Of All Other Loans

(c) TOTAL LOAN REPAYMENTS (add 19a and 19b).

20. REFUNDS OF CONTRIBUTIONS TO:

(e) Individuals/Persons Other Than Political Committees

(b) Political Party Committees.

(c) Other Political Committees

(d) TOTAL CONTRIBUTION REFUNDS (add 20a, 20b and 20c)

21. OTHER DISBURSEMENTS.

22. TOTAL DISBURSEMENTS (Add 17, 18, 19c, 20d and 21).

III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF THE REPORTING PERIOD\$ 00

24. TOTAL RECEIPTS THIS PERIOD (From Line 16) \$ 22

25. SUBTOTAL (Add Line 23 and Line 24) \$ 00

26. TOTAL DISBURSEMENTS THIS PERIOD (From Line 22)\$ 40,180

27. CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (Subtract Line 26 from 25) \$ 50

SCHEDULE B

ITEMIZED DISBURSEMENTS

Page ____ of ____ for
 LINE NUMBER ____
 (Use separate schedule(s) for each
 category of the Detailed
 Summary Page)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

CARROLL FOR CONGRESS COMMITTEE

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
TOWN and COUNTRY BANK 900 TOWN and COUNTRY BLVD. HOUSTON, TEXAS 77024	Payment of loan Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	8/14/82	\$25,391.51
TOWN and COUNTRY BANK 900 TOWN and COUNTRY BLVD. HOUSTON, TEXAS 77024	Payment of loan Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	12/13/82	\$24,180.52
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
SUBTOTAL of Disbursements This Page (optional)			
TOTAL This Period (last page this line number only)			19,572.03

Name of Committee (in Full) CARROLL FOR CONGRESS COMMITTEE				
A. Full Name, Mailing Address and ZIP Code of Loan Source TOWN and COUNTRY BANK 900 TOWN and COUNTRY BLVD. HOUSTON, TEXAS 77024 Election: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Original Amount of Loan \$40,180	Cumulative Payment To Date \$49,572.03 <i>(Includes interest)</i>	Balance Outstanding at Close of This Period .00	
Terms: Date Incurred <u>3/4/82</u> Date Due <u>6/4/82</u> Interest Rate <u>Prime + 1% (apr)</u> <input type="checkbox"/> Secured				
List All Endorsers or Guarantors (if any) to Item A				
1. Full Name, Mailing Address and ZIP Code LARRY L. CARROLL 9410 DENBURY WAY HOUSTON, TEXAS 77025	Name of Employer CARROLL ENTERPRISES Occupation PRESIDENT Amount Guaranteed Outstanding: \$40,180			
2. Full Name, Mailing Address and ZIP Code CANDIDATE BORROWED \$5,000 FROM TOWN AND COUNTRY BANK, HOWEVER HE GAVE THE CAMPAIGN ON-Y \$40,180.	Name of Employer Occupation Amount Guaranteed Outstanding: \$			
3. Full Name, Mailing Address and ZIP Code 	Name of Employer Occupation Amount Guaranteed Outstanding: \$			
B. Full Name, Mailing Address and ZIP Code of Loan Source LARRY L. CARROLL 9410 DENBURY WAY HOUSTON, TEXAS 77025 Election: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):				
		Original Amount of Loan \$1,500.00 \$5,000.00	Cumulative Payment To Date CANDIDATE HAS FORGIVEN THE \$1,500. SEE ATTACHED LETTER	Balance Outstanding at Close of This Period .00
Terms: Date Incurred _____ Date Due _____ Interest Rate _____ % (apr) <input type="checkbox"/> Secured				
List All Endorsers or Guarantors (if any) to Item B				
1. Full Name, Mailing Address and ZIP Code From personal funds	Name of Employer Occupation Amount Guaranteed Outstanding: \$			
2. Full Name, Mailing Address and ZIP Code 	Name of Employer Occupation Amount Guaranteed Outstanding: \$			
3. Full Name, Mailing Address and ZIP Code 	Name of Employer Occupation Amount Guaranteed Outstanding: \$			
SUBTOTALS This Period This Page (optional)				
TOTALS This Period (last page in this line only) \$49,680.03				
Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.				

33040394143

830403731

Exp 77018

83

FROM:

*General Education Council
Washington, D.C. 20032*

Customer Number, if any:

TO:

*General Education Council
Washington, D.C. 20032*

Label 11-B, Jan. 1983 • U.S.G.P.O. 1982-384-133

EXPRESS MAIL

POST OFFICE
TO ADDRESSEE

B26579968

▼ TO REMOVE PEEL BACK HERE ▼

ORIGIN		DESTINATION	
Date	Time	Date	Time
4-23	11:15	4-27	11:00
Return Receipt Service		Signature of Addressee	
<input type="checkbox"/> To Whom & Date Del		<input checked="" type="checkbox"/> DELIVERY WAS ATTEMPTED	
<input type="checkbox"/> To Whom, Date & Address of Del		Date	
		Notice Left By	
Weight		EXPRESS MAIL SERVICE	



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

February 16, 1983

Jean Warnke, Treasurer
Carroll for Congress Committee
2000 North Loop West, Suite 124
Houston, Texas 77018

Re: MUR 1516

Dear Ms. Warnke:

On February 15, 1983, the Federal Election Commission determined that there is reason to believe that your committee violated 2 U.S.C. § 434(a)(2)(A)(iii) and (b)(8), provisions of the Federal Election Campaign Act of 1971, as amended ("the Act"), by failing to continuously report its debts and by failing to file the July, October and Year End 1982 Quarterly Reports. The General Counsel's factual and legal analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you. Please submit any factual or legal materials which you believe are relevant to the Commission's consideration of this matter within ten days of your receipt of this letter.

In the absence of any additional information which demonstrates that no further action should be taken against your committee, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation. Of course, this does not preclude the settlement of this matter through conciliation prior to a finding of probable cause to believe if you so desire. See 11 C.F.R. § 111.18(d).

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and a statement authorizing such counsel to receive any notifications and other communications from the Commission.

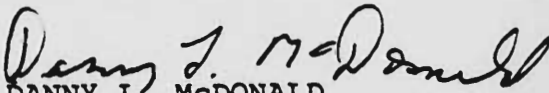
83040394115

Letter to Jean Warnke
Page 2

The investigation now being conducted will be confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Marybeth Tarrant, the staff member assigned to this matter, at (202)523-4529.

Sincerely,


DANNY L. McDONALD
Chairman

Enclosures

General Counsel's Factual and Legal Analysis
Procedures
Designation of Counsel Statement

83040394146

FEDERAL ELECTION COMMISSION

GENERAL COUNSEL'S FACTUAL AND LEGAL ANALYSIS

MUR No. 1516
STAFF MEMBERS & TEL. NO.
Marybeth Tarrant
Scott Thomas
(202) 523-4529

RESPONDENT Carroll for Congress Committee

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

SUMMARY OF ALLEGATIONS

The Committee failed to report its outstanding debts in violation of 2 U.S.C. § 434(b)(8) and failed to file the July, October and Year End 1982 Quarterly Reports in violation of 2 U.S.C. § 434(a)(2)(A)(iii).

FACTUAL AND LEGAL ANALYSIS

On its 1981 Year End Report, the Committee disclosed a \$4,500 loan from the candidate. The 1982 April Quarterly Report disclosed a \$5,000 loan from the candidate (with the notation that it was from personal funds) and a \$40,180 bank loan from the Town and Country Bank guaranteed by the candidate. However, the \$4,500 loan reported on the Year End Report was not carried over on the April, 1982 Quarterly Report and no loan repayments were reported. The 12 Day Pre-Primary Report, which covered up to April 11, 1982, failed to report any of these loans as outstanding debts and, yet, no loan repayments were disclosed. The Committee has not filed a report since the 12 Day Pre-Primary Report.

83040394147

Requests for Additional Information were sent to the Committee on June 8, 1982, requesting clarification of the debt situation. The Committee was also advised to provide column B figures for the detailed summary page and a total on line 10 of the summary page (total outstanding debts and obligations) for the 12 Day Pre-Primary Report. No response was received, and a Second Notice was mailed on July 2, 1982. RAD made several subsequent attempts to contact the treasurer by telephone, but to no avail.

It should be noted that the Committee was sent non-filer notices on September 1, 1982 and January 3, 1983, regarding the July Quarterly Report. It was not sent a notice for the October Quarterly Report.

Pursuant to 2 U.S.C. § 434(b)(8), each report filed must disclose the amount and nature of all outstanding debts and obligations owed by a political committee. The instructions on schedule C make clear that if a loan is settled for less than the reported amount of the loan, the committee must include a statement as to the circumstances and conditions under which the obligation was extinguished. See 2 U.S.C. § 434(b)(8) and 11 C.F.R. § 104.3(d). Pursuant to 11 C.F.R. § 104.11, all debts and obligations owed by a political committee which remain outstanding shall be continuously reported until extinguished.

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Loan repayments must be reported pursuant to 2 U.S.C. § 434(b) (5) (D). Under section 433(d) (1), a political committee may not terminate if such committee has outstanding debts or obligations. Pursuant to 2 U.S.C. § 434(a) (2) (A) (iii), the principal campaign committee of a candidate for the House of Representatives must file, in an election year, quarterly reports, which shall be filed no later than the 15th day after the last day of each calendar quarter: except that the report for the quarter ending December 31 shall be filed no later than January 31 of the following calendar year.

There is no evidence to suggest that any of the loans in question were repaid. The Committee appears to be in violation of 2 U.S.C. § 434(b) (8) by failing to continuously report its outstanding debts and in violation of 2 U.S.C. § 434(a) (2) (A) (iii) by failing to file the July, October and Year End 1982 Quarterly Reports.

While it appears that \$9,500 of the loans in question came from the candidate's own funds, and, therefore, could have been forgiven by the candidate, the bank loan of \$40,180 could not have been so forgiven. It therefore seems probable that the Committee continued to owe at least the \$40,180 loan. Because, under the 1979 Amendments, candidates are no longer required to file separate reports, all receipts and disbursements of a candidate must be reported by his or her authorized committee.

83040394149

Even if the candidate tried to assume the obligation on the bank loan, the committee's reporting responsibility remained. On May 28, 1981, the Commission approved the General Counsel's recommendation in a memo entitled "Debt Settlement" which read:

A candidate's assumption of outstanding debts and obligations of his or her authorized committee would not relieve that committee of its reporting obligation under the Act and Commission regulations.

As the Committee does not appear to be in a position to terminate and must continue to report until at least the \$40,180 loan is repaid, the General Counsel recommends that the Commission find reason to believe that the Carroll for Congress Committee violated 2 U.S.C. §§ 434(a)(2)(A)(iii) and 434(b)(8).

83040394150

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) MUR 1516
Carroll for Congress Committee)

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on February 15, 1983, the Commission decided by a vote of 6-0 to take the following actions in MUR 1516:

1. Find reason to believe that the Carroll for Congress Committee violated 2 U.S.C. §§ 434(a)(2)(A)(iii) and 434(b)(8).
2. Approve the letter as attached to the First General Counsel's Report dated February 10, 1983.

Commissioners Aikens, Elliott, Harris, McDonald, McGarry and Reiche voted affirmatively in this matter.

Attest:

2/16/83
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

Received in Office of Commission Secretary:
Circulated on 48 hour tally basis:

2-10-83, 11:27
2-10-83, 4:00

83040394131

February 10, 1983

MEMORANDUM TO: Marjorie W. Emmons
FROM: Phyllis A. Kayson
SUBJECT: MUR 1516

Please have the attached First General Counsel's
Report distributed to the Commission on a 48 hour tally
basis as a sensitive matter. Thank you.

Attachment

cc: Tarrant

83040394152

SENSITIVE

FEDERAL ELECTION COMMISSION
1325 K Street, N.W.
Washington, D.C. 20463

RECEIVED
OFFICE OF THE
COMMISSION SECRETARY

FIRST GENERAL COUNSEL'S REPORT

83 FEB 10 11:27

DATE AND TIME OF TRANSMITTAL
BY OGC TO THE COMMISSION 2-10-83

MUR # 1516
STAFF MEMBERS
Marybeth Tarrant
Scott Thomas

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D
RESPONDENT'S NAME: Carroll for Congress Committee
RELEVANT STATUTE: 2 U.S.C. §§ 434(a)(2)(A)(iii) and 434(b)(8)
11 C.F.R. § 104.11
INTERNAL REPORTS CHECKED: Carroll for Congress Committee
FEDERAL AGENCIES CHECKED: None

GENERATION OF MATTER

This matter was referred from the Reports Analysis Division.
On January 4, 1983, the Commission voted to open a MUR with
respect to the Carroll for Congress Committee */ (the
"Committee").

SUMMARY OF ALLEGATIONS

The Committee failed to report its outstanding debts in
violation of 2 U.S.C. § 434(b)(8) and failed to file the July,
October and Year End 1982 Quarterly Reports in violation of
2 U.S.C. § 434(a)(2)(A)(iii).

*/ Larry Carroll, running from the 25th district of Texas, lost
in the Republican primary of May 1, 1982, receiving 15.7% of the
vote.

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FACTUAL AND LEGAL ANALYSIS

On its 1981 Year End Report, the Committee disclosed a \$4,500 loan from the candidate. The 1982 April Quarterly Report disclosed a \$5,000 loan from the candidate (with the notation that it was from personal funds) and a \$40,180 bank loan from the Town and Country Bank guaranteed by the candidate. However, the \$4,500 loan reported on the Year End Report was not carried over on the April, 1982 Quarterly Report and no loan repayments were reported. The 12 Day Pre-Primary Report, which covered up to April 11, 1982, failed to report any of these loans as outstanding debts and, yet, no loan repayments were disclosed. The Committee has not filed a report since the 12 Day Pre-Primary Report.

Requests for Additional Information were sent to the Committee on June 8, 1982, requesting clarification of the debt situation. The Committee was also advised to provide column B figures for the detailed summary page and a total on line 10 of the summary page (total outstanding debts and obligations) for the 12 Day Pre-Primary Report. No response was received, and a Second Notice was mailed on July 2, 1982. RAD made several subsequent attempts to contact the treasurer by telephone, but to no avail.

It should be noted that the Committee was sent non-filer notices on September 1, 1982 and January 3, 1983, regarding the

July Quarterly Report. It was not sent a notice for the October Quarterly Report as Larry Carroll was not on the general election ballot.

Pursuant to 2 U.S.C. § 434(b)(8), each report filed must disclose the amount and nature of all outstanding debts and obligations owed by a political committee. The instructions on schedule C make clear that if a loan is settled for less than the reported amount of the loan, the committee must include a statement as to the circumstances and conditions under which the obligation was extinguished. See 2 U.S.C. § 434(b)(8) and 11 C.F.R. § 104.3(d). Pursuant to 11 C.F.R. § 104.11, all debts and obligations owed by a political committee which remain outstanding shall be continuously reported until extinguished. Loan repayments must be reported pursuant to 2 U.S.C. § 434(b)(5)(D). Under section 433(d)(1), a political committee may not terminate if such committee has outstanding debts or obligations. Pursuant to 2 U.S.C. § 434(a)(2)(A)(iii), the principal campaign committee of a candidate for the House of Representatives must file, in an election year, quarterly reports, which shall be filed no later than the 15th day after the last day of each calendar quarter: except that the report for the quarter ending December 31 shall be filed no later than January 31 of the following calendar year.

There is no evidence to suggest that any of the loans in question were repaid. The Committee appears to be in violation of 2 U.S.C. § 434(b)(8) by failing to continuously report its

8304039415

outstanding debts and in violation of 2 U.S.C. § 434(a)(2)(A) (iii) by failing to file the July, October and Year End 1982 Quarterly Reports.

While it appears that \$9,500 of the loans in question came from the candidate's own funds, and, therefore, could have been forgiven by the candidate, the bank loan of \$40,180 could not have been so forgiven. It therefore seems probable that the Committee continued to owe at least the \$40,180 loan. Because, under the 1979 Amendments, candidates are no longer required to file separate reports, all receipts and disbursements of a candidate must be reported by his or her authorized committee.

Even if the candidate tried to assume the obligation on the bank loan, the committee's reporting responsibility remained. On May 28, 1981, the Commission approved the General Counsel's recommendation in a memo entitled "Debt Settlement" which read:

A candidate's assumption of outstanding debts and obligations of his or her authorized committee would not relieve that committee of its reporting obligation under the Act and Commission regulations.

As the Committee does not appear to be in a position to terminate and must continue to report until at least the \$40,180 loan is repaid, the General Counsel recommends that the Commission find reason to believe that the Committee has violated the Act.

03040394156

RECOMMENDATIONS

1. Find reason to believe that the Carroll for Congress Committee violated 2 U.S.C. §§ 434(a)(2)(A)(iii) and 434(b)(8).
2. Approve the attached letter.

February 9, 1983
Date

Charles N. Steele
General Counsel

By:

Kenneth A. Gross
Associate General Counsel

Attachments

1. Proposed letter
2. General Counsel's Factual and Legal Analysis

83040394157



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

Attachment 1

Jean Warnke, Treasurer
Carroll for Congress Committee
2000 North Loop West, Suite 124
Houston, Texas 77018

Re: MUR 1516

Dear Ms. Warnke:

On , 1983, the Federal Election Commission determined that there is reason to believe that your committee violated 2 U.S.C. § 434(a)(2)(A)(iii) and (b)(8), provisions of the Federal Election Campaign Act of 1971, as amended ("the Act"), by failing to continuously report its debts and by failing to file the July, October and Year End 1982 Quarterly Reports. The General Counsel's factual and legal analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you. Please submit any factual or legal materials which you believe are relevant to the Commission's consideration of this matter within ten days of your receipt of this letter.

In the absence of any additional information which demonstrates that no further action should be taken against your committee, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation. Of course, this does not preclude the settlement of this matter through conciliation prior to a finding of probable cause to believe if you so desire. See 11 C.F.R. § 111.18(d).

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and a statement authorizing such counsel to receive any notifications and other communications from the Commission.

Letter to Jean Warnke
Page 2

The investigation now being conducted will be confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Marybeth Tarrant, the staff member assigned to this matter, at (202)523-4529.

Sincerely,

Enclosures

General Counsel's Factual and Legal Analysis
Procedures
Designation of Counsel Statement

03040394139

FEDERAL ELECTION COMMISSION

Attachment 2

GENERAL COUNSEL'S FACTUAL AND LEGAL ANALYSIS

MUR No. 1516
STAFF MEMBERS & TEL. NO.
Marybeth Tarrant
Scott Thomas
(202) 523-4529

RESPONDENT Carroll for Congress Committee

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

SUMMARY OF ALLEGATIONS

The Committee failed to report its outstanding debts in violation of 2 U.S.C. § 434(b)(8) and failed to file the July, October and Year End 1982 Quarterly Reports in violation of 2 U.S.C. § 434(a)(2)(A)(iii).

FACTUAL AND LEGAL ANALYSIS

On its 1981 Year End Report, the Committee disclosed a \$4,500 loan from the candidate. The 1982 April Quarterly Report disclosed a \$5,000 loan from the candidate (with the notation that it was from personal funds) and a \$40,180 bank loan from the Town and Country Bank guaranteed by the candidate. However, the \$4,500 loan reported on the Year End Report was not carried over on the April, 1982 Quarterly Report and no loan repayments were reported. The 12 Day Pre-Primary Report, which covered up to April 11, 1982, failed to report any of these loans as outstanding debts and, yet, no loan repayments were disclosed. The Committee has not filed a report since the 12 Day Pre-Primary Report.

Requests for Additional Information were sent to the Committee on June 8, 1982, requesting clarification of the debt situation. The Committee was also advised to provide column B figures for the detailed summary page and a total on line 10 of the summary page (total outstanding debts and obligations) for the 12 Day Pre-Primary Report. No response was received, and a Second Notice was mailed on July 2, 1982. RAD made several subsequent attempts to contact the treasurer by telephone, but to no avail.

It should be noted that the Committee was sent non-filer notices on September 1, 1982 and January 3, 1983, regarding the July Quarterly Report. It was not sent a notice for the October Quarterly Report.

Pursuant to 2 U.S.C. § 434(b)(8), each report filed must disclose the amount and nature of all outstanding debts and obligations owed by a political committee. The instructions on schedule C make clear that if a loan is settled for less than the reported amount of the loan, the committee must include a statement as to the circumstances and conditions under which the obligation was extinguished. See 2 U.S.C. § 434(b)(8) and 11 C.F.R. § 104.3(d). Pursuant to 11 C.F.R. § 104.11, all debts and obligations owed by a political committee which remain outstanding shall be continuously reported until extinguished.

B 3 0 4 0 3 9 4 1 6 1

Loan repayments must be reported pursuant to 2 U.S.C. § 434(b) (5) (D). Under section 433(d) (1), a political committee may not terminate if such committee has outstanding debts or obligations. Pursuant to 2 U.S.C. § 434(a) (2) (A) (iii), the principal campaign committee of a candidate for the House of Representatives must file, in an election year, quarterly reports, which shall be filed no later than the 15th day after the last day of each calendar quarter: except that the report for the quarter ending December 31 shall be filed no later than January 31 of the following calendar year.

There is no evidence to suggest that any of the loans in question were repaid. The Committee appears to be in violation of 2 U.S.C. § 434(b) (8) by failing to continuously report its outstanding debts and in violation of 2 U.S.C. § 434(a) (2) (A) (iii) by failing to file the July, October and Year End 1982 Quarterly Reports.

While it appears that \$9,500 of the loans in question came from the candidate's own funds, and, therefore, could have been forgiven by the candidate, the bank loan of \$40,180 could not have been so forgiven. It therefore seems probable that the Committee continued to owe at least the \$40,180 loan. Because, under the 1979 Amendments, candidates are no longer required to file separate reports, all receipts and disbursements of a candidate must be reported by his or her authorized committee.

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Even if the candidate tried to assume the obligation on the bank loan, the committee's reporting responsibility remained. On May 28, 1981, the Commission approved the General Counsel's recommendation in a memo entitled "Debt Settlement" which read:

A candidate's assumption of outstanding debts and obligations of his or her authorized committee would not relieve that committee of its reporting obligation under the Act and Commission regulations.

As the Committee does not appear to be in a position to terminate and must continue to report until at least the \$40,180 loan is repaid, the General Counsel recommends that the Commission find reason to believe that the Carroll for Congress Committee violated 2 U.S.C. §§ 434(a)(2)(A)(iii) and 434(b)(8).

33040394163

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) RAD REFERRAL 82L-27
Carroll for Congress Committee)

CERTIFICATION

I, Marjorie W. Emmons, Recording Secretary for the Federal Election Commission Executive Session on January 4, 1983, do hereby certify that the Commission decided by a vote of 5-1 to open a Matter Under Review with respect to the above-captioned referral.

Commissioners Aikens, Harris, McDonald, McGarry, and Reiche voted affirmatively for the decision; Commissioner Elliott dissented.

Attest:

Jan. 5, 1983
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

83040394154

December 8, 1982

MEMORANDUM TO: Marjorie W. Emmons

FROM: Phyllis A. Kayson

SUBJECT: RAD Referrals (82L-21 through 82L-35 and
82NF-1 through 82NF-5)

Please have the attached Memo to the Commission
distributed to the Commission on a 48 hour tally basis.

Thank you.

Attachments

cc: Thedford

83040394165



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20543

32 DEC 8 12:50

December 8, 1982

MEMORANDUM

TO: The Commission

FROM: Charles N. Steele
General Counsel

BY: Kenneth A. Gross
Associate General Counsel *KAG*

SUBJECT: RAD Referrals

As the cover memorandum to each RAD referral indicates there are twenty referrals that are being circulated for the Commission consideration. These referrals are being circulated simultaneously so the Commission may consider them as a group but they are separate vote sheets on each item. The separate vote sheets is in response to previous Commission suggestion concerning the consideration of these matters and will hopefully facilitate the approval of the items. Thus, if certain matters are approved on circulation it will reduce the number of matters which need to be considered at the Commission table.

83040394166



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
OFFICE OF THE
COMMISSION SECRETARY

82 DEC 8 P12: 53

December 8, 1982

MEMORANDUM TO: The Commission

FROM: Charles N. Steele
General Counsel

BY: Kenneth A. Gross
Associate General Counsel

SUBJECT: RAD Referral 82L-27

SENSITIVE

Between September 2, 1982 and November 15, 1982, the Office of the General Counsel received twenty referrals from the Reports Analysis Division, fifteen "legal" referrals and five "non-filer" referrals.

Attached for this referral is a critique prepared by the Office of the General Counsel, a copy of the referral from the Report Analysis Division, and a 48 hour tally vote sheet. The OGC critique summarizes the facts, presents an analysis of the legal issues involved, and makes a recommendation as to the disposition of the referrals. The copy of the RAD referral is attached for informational purposes; and the separate vote sheet provides for a vote on this referral.

ATTACHMENTS:
Critique and Referral

830403394167

RAD REFERRAL NUMBER: 82L-27

SUBJECT: Carroll for Congress Committee

BACKGROUND

1. Date of referral: October 22, 1982
2. Financial background
 - a. Total 1981-1982 receipts: \$71,516
 - b. Total 1981-1982 disbursements: \$71,125
 - c. Cash on hand (April 11, 1982): \$390
 - d. Debts owed to the Committee (April 11, 1982): \$0
 - e. Debts owed by the Committee (April 11, 1982): \$49,680
3. Status of candidate

Larry Carroll, running from the 25th district of Texas, lost in the Republican primary of May 1, 1982, receiving 15.7% of the vote.

SUMMARY OF RAD ACTIONS

Referral category 7 (failure to provide schedules - failure to continuously report outstanding debts on Schedule D in violation of 2 U.S.C. § 434(b)(8)).

On its 1981 Year End Report, the Committee disclosed a \$4,500 loan from the candidate. The 1982 April Quarterly Report disclosed a \$5,000 loan from the candidate (with the notation that it was from personal funds) and a \$40,180 bank loan from the Town and Country Bank guaranteed by the candidate. However, the \$4,500 loan reported on the Year End Report was not carried over and no repayments were reported. The 12 Day Pre-Primary Report, which covered up to April 11, 1982, failed to report any of these loans as outstanding debts and, yet, no repayments were disclosed. The Committee has not filed a report since the 12 Day Pre-Primary Report.

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Requests for Additional Information were sent to the Committee on June 8, 1982, requesting clarification of the debt situation. The Committee was also advised to provide column B figures for the detailed summary page and a total on line 10 of the summary page (total outstanding debts and obligations) for the 12 Day Pre-Primary Report. No response was received, and a Second Notice was mailed on July 2, 1982. RAD made several subsequent attempts to contact the Committee, but to no avail.

It should be noted that the Committee was sent a non-filer notice on September 1, 1982, regarding the July Quarterly Report. It was not sent a notice for the October Quarterly Report as Larry Carroll was not on the general election ballot.

OGC ANALYSIS

Pursuant to 2 U.S.C. § 434(b)(8), each report filed must disclose the amount and nature of all outstanding debts and obligations owed by a political committee. The instructions on schedule C make clear that if a loan is settled for less than the reported amount of the loan, the committee must include a statement as to the circumstances and conditions under which the obligation was extinguished. Loan repayments must be reported pursuant to 2 U.S.C. § 434(b)(5)(D). Under section 433(d)(1), a political committee may not terminate if such committee has outstanding debts or obligations. Pursuant to 2 U.S.C. § 434(a)(2)(A)(iii), the principal campaign committee of a candidate for the House of Representatives must file, in an election year,

83040394169

quarterly reports, which shall be filed no later than the 15th day after the last day of each calendar quarter.

There is no evidence to suggest that any of the loans in question were repaid. The Committee appears to be in violation of 2 U.S.C. § 434(b)(8) by failing to continuously report its outstanding debts and in violation of 2 U.S.C. § 434(a)(2)(A)(iii) by failing to file the July and October Quarterly Reports.

While it appears that \$9,500 of the loans in question came from the candidate's own funds, and, therefore, could have been forgiven by the candidate, the bank loan of \$40,180 could not have been so forgiven. It therefore seems probable that the Committee continued to owe at least the \$40,180 loan. Because, under the 1979 Amendments, candidates are no longer required to file separate reports, all receipts and disbursements of a candidate must be reported by his or her authorized committee.

Even if the candidate tried to assume the obligation on the bank loan, the committee's reporting responsibility remained. On May 28, 1981, the Commission approved the General Counsel's recommendation in a memo entitled "Debt Settlement" which read:

A candidate's assumption of outstanding debts and obligations of his or her authorized committee would not relieve that committee of its reporting obligation under the Act and Commission regulations.

As the Committee does not appear to be in a position to terminate and must continue to report until at least the \$40,180

83040394170

loan is repaid, the General Counsel recommends that the Commission open a MUR.

Recommendation

Open a MUR.

83040394171



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

22 October 1982

TO: CHARLES STEELE
GENERAL COUNSEL

THROUGH: B. ALLEN CLUTTER *BA*
STAFF DIRECTOR

FROM: JOHN D. GIBSON *JG*
ASSISTANT STAFF DIRECTOR, REPORTS ANALYSIS DIVISION

SUBJECT: REFERRAL OF CARROLL FOR CONGRESS COMMITTEE

Please find attached the referral of the Carroll for Congress Committee. According to the non-compliance standards contained in the Review and Referral Procedures, the committee has failed to continuously report \$49,680 in loans from Town and Country Bank and the candidate, Larry Carroll. (Chart #7)

If you have any questions, please contact Benita Adler at x34172.

cc: Commissioners

83040394172

REPORTS ANALYSIS REFERRAL TO
OFFICE OF GENERAL COUNSEL

DATE: 22 October 1982

ANALYST: Benita Marcus Adler

I. COMMITTEE: Carroll for Congress Committee (C00146001)
Jean Warnke, Treasurer
P.O. Box 1293
Pasadena, Texas 77501

II. RELEVANT STATUTE: 2 U.S.C. 434(b)(8); 11 CFR 104.3(d)

III. BACKGROUND:

Failure to provide schedules - 2 U.S.C. 434(b)(8)

The committee's 1981 Year End report disclosed a \$4,500 loan from the candidate (Attachment #2). The 1982 April Quarterly report disclosed a \$5,000 loan from the candidate and a \$40,180 bank loan from Town and Country Bank guaranteed by the candidate, however, the report failed to carry over the \$4,500 loan from the Year End report and no repayments were disclosed (Attachment #3). The 12 Day Pre-Primary report failed to disclose all of the above-referenced loans, and no repayments were reported (Attachment #4).

Requests for Additional Information were mailed on June 8, 1982 requesting the current status of the previously reported loans. The committee was also advised to provide Column B figures for the Detailed Summary Page and a total on Line 10 of the Summary Page (total outstanding debts and obligations) for the 12 Day Pre-Primary report (Attachments #5 and #6). No responses were received and a Second Notice was mailed on July 2, 1982 (Attachment #7). Several attempts were made to contact the committee, however, no responses have been received (Attachment #8).

IV. OTHER PENDING ACTIONS INITIATED BY RAD:

The committee has failed to file the July Quarterly report. A non-filer notice was mailed to the committee on September 1, 1982 (Attachment #9).

83040394173

FEDERAL ELECTION COMMISSION

DATE 190CT82

CANDIDATE INDEX OF SUPPORTING DOCUMENTS 8 3 0 4 5 3 9 4 1 7 (EX)

PAGE 1

ATTACHMENT #1

CANDIDATE/COMMITTEE/DOCUMENT	OFFICE SOUGHT/	PARTY	RECEIPTS		EXPENDITURES		COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
			PRIMARY	GENERAL	PRIMARY	GENERAL			

CARROLL, LARRY L	HOUSE 25	REPUBLICAN PARTY			TEXAS		1982 ELECTION	ID# H2TX25046	
1. STATEMENT OF CANDIDATE							190CT81	1 81HSE/205/4405	
1981 STATEMENT OF CANDIDATE									
2. CANDIDATE REPORTS OF RECEIPTS & EXPENDITURES									
3. PRINCIPAL CAMPAIGN COMMITTEE									
CARROLL FOR CONGRESS COMMITTEE									
1981 STATEMENT OF ORGANIZATION							ID# C00146001 HOUSE		
YEAR-END			17,923		17,181		190CT81	1 81HSE/205/4407	
YEAR-END - AMENDMENT			-		-		1AUG81 -31DEC81	22 82HSE/210/1476	
REQUEST FOR ADDITIONAL INFORMATION							1AUG81 -31DEC81	1 82HSE/211/2051	
1982 STATEMENT OF ORGANIZATION - AMENDMENT							1AUG81 -31DEC81	2 82FEC/224/3040	
MISCELLANEOUS REPORT							15APR82	1 82HSE/215/1419	
APRIL QUARTERLY			52,768		51,086		1SEP82 FROM FEC	1 82FEC/242/2037	
REQUEST FOR ADDITIONAL INFORMATION							1JAN82 -31MAR82	13 82HSE/215/0926	
REQUEST FOR ADDITIONAL INFORMATION 2ND							1JAN82 -31MAR82	1 82FEC/232/3670	
PRE-PRIMARY			825		2,858		1JAN82 -31MAR82	1 82FEC/235/0789	
REQUEST FOR ADDITIONAL INFORMATION							1APR82 -11APR82	4 82HSE/215/1082	
REQUEST FOR ADDITIONAL INFORMATION 2ND							1APR82 -11APR82	1 82FEC/232/3668	
							1APR82 -11APR82	3 82FEC/234/3557	
TOTAL			71,516	0	71,125	0		51 TOTAL PAGES	
4. AUTHORIZED COMMITTEES									
4B. TRANSFERS IN FROM JOINT FUNDRAISING COMMITTEES									

ALL REPORTS HAVE BEEN REVIEWED

Cash on Hand as of April 11, 1982 -- \$390.

Debts owed -- \$49,680.

REPORT OF RECEIPTS
For an Annual

STATE OF
1981

3412

ATTACHMENT #2
YEAR-END 1981
REPORT

FEDERAL ELECTION COMMISSION
In the District of Columbia
Washington, D.C. 20543
Date: 1/28/82
C00146001

TYPE OF REPORT

- ☐ April 15 Quarterly Report
☐ July 15 Quarterly Report
☐ October 15 Quarterly Report
☒ January 31 Year End Report *YE*
☐ July 31 Mid Year Report (Non-election Year Only)
- ☐ Twelfth day report preceding _____ (Type of Election)
election on _____ in the State of _____
☐ Thirtieth day report following the General Election
on _____ in the State of _____
☐ Termination Report

This report contains activity for: ☒ Primary Election ☐ General Election ☐ Special Election ☐ Runoff Election

SUMMARY

	Column A This Period	Column B Calendar Year to Date
6. Covering Period <u>8/1/81</u> Through <u>12/31/81</u>		
6. Net Contributions (other than loans):		
(a) Total Contributions (other than loans) (from Line 11a)	\$ 13,423	\$ 13,423
(b) Total Contribution Refunds (from Line 20d)	\$ 0	\$ 0
(c) Net Contributions (other than loans) (Subtract Line 8b from 6a)	\$ 13,423	\$ 13,423
7. Net Operating Expenditures:		
(a) Total Operating Expenditures (from Line 17)	\$ 17,181.77	\$ 17,181.77
(b) Total Offsets to Operating Expenditures (from Line 14)	\$ 0	\$ 0
(c) Net Operating Expenditures (Subtract Line 7b from 7a)	\$ 17,181.77	\$ 17,181.77
8. Cash on Hand at Close of Reporting Period (from Line 27)	\$ 741.23	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C or Schedule D)	\$ 0	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C or Schedule D)	\$ 4,500.00	

I certify that I have examined this Report and to the best of my knowledge and belief
it is true, correct, and complete.

For further information, contact:

Federal Election Commission
Tel: Fnt 800-424-9530
Local 202-527-4000

Joan Wanneke

Title or Print Name of Treasurer

SIGNATURE OF TREASURER

Date

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. § 437g.

All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

FEC FORM 3 (CAB)

1/5 2/8 2/9 4/9 4-13 4/28/82

Report for: **Carroll for Congress Committee**

Report covering the Period

From: **1/1/81**

To: **3/31/81**

I. RECEIPTS

11 CONTRIBUTIONS (other than loans) FROM:

(a) Individuals/Persons Other Than Political Committees

(Amount Entry Limited to \$1,000)

(b) Political Party Committees

(c) Other Political Committees

(d) The Candidate

(e) TOTAL CONTRIBUTIONS (other than loans) (add 11a, 11b, 11c and 11d)

12 TRANSFERS FROM OTHER AUTHORIZED COMMITTEES

13 LOANS

(a) Made or Guaranteed by the Candidate

(b) All Other Loans

(c) TOTAL LOANS (add 13a and 13b)

14 OFFSETS TO OPERATING EXPENDITURES (Refunds, Returns, etc.)

15 OTHER RECEIPTS (Dividends, Interest, etc.)

16 TOTAL RECEIPTS (add 11a, 12, 13c, 14 and 15)

II. DISBURSEMENTS

17 OPERATING EXPENDITURES

18 TRANSFERS TO OTHER AUTHORIZED COMMITTEES

19 LOAN REPAYMENTS

(a) Of Loans Made or Guaranteed by the Candidate

(b) Of All Other Loans

(c) TOTAL LOAN REPAYMENTS (add 19a and 19b)

20 REFUNDS OF CONTRIBUTIONS TO:

(a) Individuals/Persons Other Than Political Committees

(b) Political Party Committees

(c) Other Political Committees

(d) TOTAL CONTRIBUTION REFUNDS (add 20a, 20b and 20c)

21 OTHER DISBURSEMENTS

22 TOTAL DISBURSEMENTS (add 17, 18, 19c, 20d and 21)

III. CASH SUMMARY

23 CASH ON HAND AT BEGINNING OF THE REPORTING PERIOD

24 TOTAL RECEIPTS THIS PERIOD (From Line 16)

25 SUBTOTAL (Add Line 23 and Line 24)

26 TOTAL DISBURSEMENTS THIS PERIOD (From Line 22)

27 CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (Subtract Line 26 from 25)

COLUMN A
Total This Period

COLUMN B
Calendar Year to Date

\$12,423

\$12,423

1,000

1,000

\$13,423

\$13,423

4,500

4,500

\$4,500

\$4,500

\$17,923

\$17,923

\$17,181.77

\$17,181.77

\$17,181.77

\$17,181.77

-0-

\$17,923.00

\$17,923.00

\$17,181.77

\$741.23

83040394176

Emv

ITEMIZED RECEIPTS

ATTACHMENT #2
 (Use separate receipts for each receipt of the Election Summary Page)

Any receipt for a contribution to a Federal, State, or Local election must be made by any person for the purpose of obtaining contributions to the election of a Federal, State, or Local officer, judge, or legislator, or for the purpose of obtaining contributions to any political committee to obtain contributions from such committee.

Receipts for Congress Committee

A. Full Name, Mailing Address and ZIP Code Larry L. Carroll 9110 Danbury Way Houston, TX 77025 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Name of Employer Carroll Enterprises Occupation President Aggregate Year-to-Date-\$ 4500.00	Date (month, day, year) 12/9/81	Amount of Each Receipt This Period \$4500.00
B. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Name of Employer Occupation Aggregate Year-to-Date-\$	Date (month, day, year)	Amount of Each Receipt This Period
C. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Name of Employer Occupation Aggregate Year-to-Date-\$	Date (month, day, year)	Amount of Each Receipt This Period
D. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Name of Employer Occupation Aggregate Year-to-Date-\$	Date (month, day, year)	Amount of Each Receipt This Period
E. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Name of Employer Occupation Aggregate Year-to-Date-\$	Date (month, day, year)	Amount of Each Receipt This Period
F. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Name of Employer Occupation Aggregate Year-to-Date-\$	Date (month, day, year)	Amount of Each Receipt This Period
G. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Name of Employer Occupation Aggregate Year-to-Date-\$	Date (month, day, year)	Amount of Each Receipt This Period
SUBTOTAL of Receipts This Page (optional).....			
TOTAL This Period (last page this line number only).....			\$4500.00

EDULEC

LOANS

LIVE FORM
Use separate schedule
for each loan

ATTACHMENT #2

BMA

Section 1: Information for CAPITAL for Consumer Committee			
1. Full Name, Mailing Address and ZIP Code of Loan Source LARRY J. CAPRILL P.O. Box 1003 Paradise, TX 77501	Original Amount of Loan \$4500	Cumulative Payments To Date -0-	Balance Outstanding at Close of This Period \$4500
Section 2: Information for Terms: Date Incurred 12.9.81 Date Due 12.9.82 Interest Rate 10.00% <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item A			
1. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding \$		
2. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding \$		
3. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding \$		
Section 3: Information for 4. Full Name, Mailing Address and ZIP Code of Loan Source			
Section 4: Information for Terms: Date Incurred _____ Date Due _____ Interest Rate _____ <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item B			
1. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding \$		
2. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding \$		
3. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding \$		
SUBTOTALS This Period This Page (optional)			
TOTALS This Period (last page in this line only)			\$4500
Carry outstanding balance only to LINE 2, Schedule D, for this loan. If no Schedule D, carry forward to appropriate line of Summary.			

8 13 20 04 10 23 19 74 11 47 98 7

**DETAILED SUMMARY PAGE
of Receipts and Disbursements
(Page 2, FEC FORM 3)**

ATTACHMENT #3
APRIL QUARTERLY REPORT

Name of Committee (In Full)
Carroll for Congress Committee

Report Covering the Period:
From: **1/1/82** To: **3/31/82**

	COLUMN A Total This Period	COLUMN B Calendar Year to Date
I. RECEIPTS		
11. CONTRIBUTIONS (other than loans) FROM:		
(a) Individuals/Persons Other Than Political Committees (Memo Entry Unitemized \$ <u>2745</u>)	7545	7545
(b) Political Party Committees	43.40	43.40
(c) Other Political Committees		
(d) The Candidate		
(e) TOTAL CONTRIBUTIONS (other than loans) (add 11a, 11b, 11c and 11d)	7588.40	7588.40
12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES		
13. LOANS:		
(a) Made or Guaranteed by the Candidate	45,180	45,180
(b) All Other Loans		
(c) TOTAL LOANS (add 13a and 13b)	45,180	45,180
14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)		
15. OTHER RECEIPTS (Dividends, Interest, etc.)		
16. TOTAL RECEIPTS (Add 11e, 12, 13c, 14 and 15)	52,768.40	52,768.40
II. DISBURSEMENTS		
17. OPERATING EXPENDITURES	51,086.15	51,086.15
18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES		
19. LOAN REPAYMENTS:		
(a) Of Loans Made or Guaranteed by the Candidate		
(b) Of All Other Loans		
(c) TOTAL LOAN REPAYMENTS (add 19a and 19b)		
20. REFUNDS OF CONTRIBUTIONS TO:		
(a) Individuals/Persons Other Than Political Committees		
(b) Political Party Committees		
(c) Other Political Committees		
(d) TOTAL CONTRIBUTION REFUNDS (add 20a, 20b and 20c)		
21. OTHER DISBURSEMENTS		
22. TOTAL DISBURSEMENTS (Add 17, 18, 19c, 20d and 21)	51,086.15	51,086.15

III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF THE REPORTING PERIOD	\$ 741.23
24. TOTAL RECEIPTS THIS PERIOD (From Line 16)	\$ 52,768.40
25. SUBTOTAL (Add Line 23 and Line 24)	\$ 53,509.63
26. TOTAL DISBURSEMENTS THIS PERIOD (From Line 22)	\$ 51,086.15
27. CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (Subtract Line 26 from 25)	\$ 2,423.48

113046494137

BMDA

EDULE A

ITEMIZED RECEIPTS

LINE NUMBER 15
(Use separate schedule for each category of the Detailed Summary Page)

ATTACHMENT #3

APRIL
QUARTER

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

Carroll for Congress Committee

A. Full Name, Mailing Address and ZIP Code Larry L. Carroll 9410 Denbury Way Houston, TX 77025		Name of Employer Carroll Enterprises	Date (month, day, year) 2/4/82 3/22/82	Amount of Each Receipt This Period 40,180 5,000
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Occupation President		
		Aggregate Year-to-Date—\$ 45,180		
B. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Occupation		
		Aggregate Year-to-Date—\$		
C. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Occupation		
		Aggregate Year-to-Date—\$		
D. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Occupation		
		Aggregate Year-to-Date—\$		
E. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Occupation		
		Aggregate Year-to-Date—\$		
F. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Occupation		
		Aggregate Year-to-Date—\$		
G. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Occupation		
		Aggregate Year-to-Date—\$		
SUBTOTAL of Receipts This Page (optional):				
TOTAL This Period (last page this line number only) 45,180				

112012150931

SCHEDULE C
(Revised 3/80)

LOANS

Page 1 of 1 ATTACHMENT #3
LINE NUMBER 1 APRIL QUARTERLY
(Use separate schedule for each numbered line)

Name of Committee (in Full) Carroll for Congress Committee			
A. Full Name, Mailing Address and ZIP Code of Loan Source Town and Country Bank 900 Town and Country Blvd. Houston, TX 77024		Original Amount of Loan \$40,180	Cumulative Payment To Date -0-
Election <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Balance Outstanding at Close of This Period \$40,180	
Terms Date Incurred <u>2/4/82</u> Date Due <u>6/4/82</u> Interest Rate <u>Prime + 1</u>		Secured <input type="checkbox"/>	
List All Endorsers or Guarantors (if any) to Item A			
1. Full Name, Mailing Address and ZIP Code Larry L. Carroll 9410 Denbury Way Houston, TX 77025		Name of Employer Carroll Enterprises	
		Occupation President	
		Amount Guaranteed Outstanding \$40,180	
2. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding \$	
3. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding \$	
B. Full Name, Mailing Address and ZIP Code of Loan Source Larry L. Carroll 9410 Denbury Way Houston, TX 77025		Original Amount of Loan 5,000	Cumulative Payment To Date -0-
Election <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Balance Outstanding at Close of This Period 5,000	
Terms Date Incurred <u>3/22/82</u> Date Due <u>NONE</u> Interest Rate <u>NONE</u> (Specify)		Secured <input type="checkbox"/>	
List All Endorsers or Guarantors (if any) to Item B			
1. Full Name, Mailing Address and ZIP Code THIS LOAN FROM PERSONAL FUNDS		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding \$	
2. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding \$	
3. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding \$	
SUBTOTALS This Period This Page (optional)			
TOTALS This Period (last page in this line only)			\$45,180
Carry outstanding balances only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.			

8132041023195417332

APR 15 1982

REPORT OF RECEIPTS
For an ActAND DISBURSEMENTS
Committee

CERTIFIED

(Summary Page)

ATTACHMENT #4

The report does not
include a Schedule

097049

1. Name of Committee (in Full)

Carroll for Congress Committee

2. FEC REGISTRATION NUMBER

C00146001

Address (Number and Street)

P.O. Box 1293

City, State and ZIP Code

Pasadena TX 77501

Check if address is different than previously reported.

3. Is this report an amendment?

YES

NO

U.S. HOUSE OF REPRESENTATIVES

4.

TYPE OF REPORT

☐ April 15 Quarterly Report☐ July 15 Quarterly Report☐ October 15 Quarterly Report☐ January 31 Year End Report☐ July 31 Mid Year Report (Non-election Year Only)☒

Twelfth day report preceding

Primary

(Type of Election)

election on May 1 in the State of Texas

☐

Thirtieth day report following the General Election

on _____ in the State of _____

☐

Termination Report

This report contains activity for: ☒ Primary Election ☐ General Election ☐ Special Election ☐ Runoff Election

SUMMARY

5. Covering Period

4/1/82 Through 4/11/82

6. Net Contributions (other than loans):

(a) Total Contributions (other than loans) (from Line 11e)

\$

825

\$

8313.40

(b) Total Contribution Refunds (from Line 20d)

\$

\$

(c) Net Contributions (other than loans) (Subtract Line 6b from 6a)

\$

825

\$

8313.48

7. Net Operating Expenditures:

(a) Total Operating Expenditures (from Line 17)

\$

2858.34

\$

53944.49

(b) Total Offsets to Operating Expenditures (from Line 14)

\$

\$

(c) Net Operating Expenditures (Subtract Line 7b from 7a)

\$

2858.34

\$

53,944.49

8. Cash on Hand at Close of Reporting Period (from Line 27)

\$

890.14

9. Debts and Obligations Owed TO the Committee
(Itemize all on Schedule C or Schedule D)

\$

10. Debts and Obligations Owed BY the Committee
(Itemize all on Schedule C or Schedule D)

\$

I certify that I have examined this Report and to the best of my knowledge and belief
it is true, correct and complete.

Jean Warnke

Type or Print Name of Treasurer

SIGNATURE OF TREASURER

4/15/82

Date

For further information, contact:

Federal Election Commission
Toll Free 800-424-9530
Local 202-623-4068

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 5437e.

All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100
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FEC FORM 3 (3/80)

**DETAILED SUMMARY PAGE
of Receipts and Disbursements
(Page 2, FEC FORM 3)**

ATTACHMENT #4

Name of Committee (in Full)

Carroll for Congress Committee

Report Covering the Period:

From: 4/1/82

To: 4/11/82

COLUMN A
Total This Period

COLUMN B
Calendar Year-to-Date

I. RECEIPTS

11. CONTRIBUTIONS (other than loans) FROM:

(a) Individuals/Persons Other Than Political Committees

(Memo Entry Unitemized \$ 75)

(b) Political Party Committees

(c) Other Political Committees

(d) The Candidate

(e) TOTAL CONTRIBUTIONS (other than loans) (add 11a, 11b, 11c and 11d)

\$25

\$25

12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES

13. LOANS

(a) Made or Guaranteed by the Candidate

(b) All Other Loans

(c) TOTAL LOANS (add 13a and 13b)

14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)

15. OTHER RECEIPTS (Dividends, Interest, etc.)

TOTAL RECEIPTS (Add 11a, 12, 13c, 14 and 15)

II. DISBURSEMENTS

17. OPERATING EXPENDITURES

2858.34

18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES

19. LOAN REPAYMENTS:

(a) Of Loans Made or Guaranteed by the Candidate

(b) Of All Other Loans

(c) TOTAL LOAN REPAYMENTS (add 19a and 19b)

20. REFUNDS OF CONTRIBUTIONS TO:

(a) Individuals/Persons Other Than Political Committees

(b) Political Party Committees

(c) Other Political Committees

(d) TOTAL CONTRIBUTION REFUNDS (add 20a, 20b and 20c)

21. OTHER DISBURSEMENTS

22. TOTAL DISBURSEMENTS (Add 17, 18, 19c, 20d and 21)

2858.34

III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF THE REPORTING PERIOD

2423.48

24. TOTAL RECEIPTS THIS PERIOD (From Line 15)

\$25.00

25. SUBTOTAL (Add Line 23 and Line 24)

3248.48

26. TOTAL DISBURSEMENTS THIS PERIOD (From Line 22)

2,858.34

27. CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (Subtract Line 26 from 25)

\$390.14

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BMA



FEDERAL ELECTION COMMISSION
WASHINGTON, DC 20463

ATTACHMENT #5

8 June 1982

Ms. Jean Warnke
Carroll for Congress Committee
P.O. Box 1293
Pasadena, TX 77501

RQ2

Identification Number: C00146001

Reference: 1982 April 15th Quarterly Report (1/1/82-3/31/82)

Q1

Dear Ms. Warnke:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Commission Regulations require the continuous reporting of all outstanding debts/loans. Review of this report indicates an omission of debts itemized on your previous report(s). (11 C.F.R. 104.3(d) and 104.11) Please amend your report to indicate the current status of these omitted debts:

Larry Carroll
\$4,500

An amendment to your original report(s) correcting the above problem(s) should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington, DC 20515 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 523-4172.

Sincerely,

Benita Marcus Adler.

Benita Marcus Adler
Reports Analyst
Reports Analysis Division

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

ATTACHMENT #6

8 June 1982

Ms. Jean Warnke
Carroll for Congress Committee
P.O. Box 1293
Pasadena, TX 77501

RQ2

Identification Number: C00146001

Reference: 12 Day Pre-Primary Report (4/1/82-4/11/82) 12P

Dear Ms. Warnke:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Please provide Column B figures for the Detailed Summary Page.

-Commission Regulations require the continuous reporting of all outstanding debts/loans. Review of this report indicates an omission of debts itemized on your previous report(s). (11 C.F.R. 104.3(d) and 104.11) Please amend your report to indicate the current status of these omitted debts:

\$40,180 to Town and Country Bank
\$9,500 to Larry Carroll

-Please include a total on Line 10 of the Summary Page to disclose all debts and obligations owed by the committee.

An amendment to your original report(s) correcting the above problem(s) should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington, DC 20515 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 523-4172.

Sincerely,

Benita Marcus Adler

Benita Marcus Adler
Reports Analyst
Reports Analysis Division

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P 6-11



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RO-3

ATTACHMENT #7

July 2, 1982

Jean Warnke, Treasurer
Carroll for Congress Committee
P.O. box 1293
Pasadena, TX 77501

Identification Number: C00146001

Reference: 12 Day Pre-Primary Report (4/1/82-4/11/82) and
1982 April Quarterly Report (1/1/82-3/31/82)

Dear Ms. Warnke:

This letter is to inform you that as of this date, the Commission has not received your response to our requests for additional information, dated June 8, 1982. Those notices requested information essential to full public disclosure of your Federal election financial activity and to ensure compliance with provisions of the Federal Election Campaign Act (the Act). Copies of our original requests are enclosed.

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions related to this matter, please contact Benita Marcus Adler on our toll-free number (800)424-9530 or our local number (202)523-4172.

Sincerely,

John D. Gibson

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosures

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MEMORANDUM FOR FILES

RE: TELECON

DATE: various through 9/1/82

FROM: Benita Adler

TO:

NAME OF COMMITTEE: Carroll for Congress Committee

Three attempts have been made to contact the treasurer, candidate, and committee. One telephone number was obtained, however it is disconnected.

83040394138



FEDERAL ELECTION COMMISSION
WASHINGTON DC 20463

MS-F
ATTACHMENT #9

1 September 1982

Jean Warnke, Treasurer
Carroll for Congress Committee
P.O. Box 1293
Pasadena, TX 77501

Identification Number: C00146001

Dear Ms. Warnke:

It has come to the attention of the Federal Election Commission that you may have failed to file the July Quarterly Report of Receipts and Expenditures as required by the Federal Election Campaign Act. Prior notice was sent to you on June 21, 1982 advising you that the July Quarterly Report (covering the period from the closing date of your last report or from the date of registration, whichever is later, through June 30, 1982) was due on July 15, 1982.

It is important for your report to be available on a timely basis for the public record. The report should be filed immediately with the Clerk of the House, Office of Records and Registration, 1036 Longworth House Office Building, Washington, DC 20515, or the Secretary of the Senate, Office of Public Records, 119 D Street, N.E., Washington, DC 20510, as appropriate. A copy of the report should also be filed with the Secretary of State or equivalent state officer.

The failure to file the July Quarterly Report may result in audit or legal enforcement action.

If you have any questions regarding this matter, please contact Benita Marcus Adler on our toll-free number (800) 424-9530. Our local number is (202) 523-4172.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

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9-3





FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

March 1, 1983

Jean Warnke, Treasurer
Carroll for Congress Committee
2000 North Loop West, Suite 124
Houston, Texas 77018

Re: MUR 1516

Dear Ms. Warnke:

This is in reference to your letter dated February 22, 1983, requesting an extension of time to respond to the Commission's notice that it has reason to believe that your committee has violated the Act.

The General Counsel has granted your request for an extension and you will be given an additional 20 days to respond to the Commission. Therefore, your response will be due on or before March 25, 1983.

If you have any questions, please contact Marybeth Tarrant at (202)523-4529.

Sincerely,

Charles N. Steele
General Counsel


By: Kenneth A. Gross
Associate General Counsel

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RECEIVED AT THE FEC
CCH# 9560

83FE825 PK: 01

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2000 North Loop West, Suite 124
Houston, Texas 77018

February 22, 1983

Ms. Marybeth Tarrant
Federal Election Commission
Washington, D. C. 20463

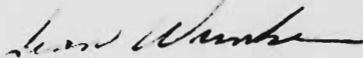
Re: MUR 1516

Dear Ms. Tarrant:

In response to our telephone conversation today, I respectfully request an extension for filing the necessary reports for the Carroll for Congress Committee as outlined by you.

Thank you for your patience and guidance in this matter.

Sincerely,



Jean Warnke, Treasurer
Carroll for Congress Committee

s

83040394191



GHCL Association
2000 North Loop West, Suite 124
Houston, Texas 77018



MS. MARYBETH TARRANT
FEDERAL ELECTION COMMISSION
WASHINGTON, D. C. 20463



GHCL Association
2000 North Loop West, Suite 124
Houston, Texas 77018



MS. MARYBETH TARRANT
FEDERAL ELECTION COMMISSION
WASHINGTON, D. C. 20463

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FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 1516

Date Filmed 6/15/83 Camera No. --- 2

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