



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 1133

Date Filmed 7/1/82 Camera No. --- 2

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FEDERAL ELECTION COMMISSION

Conciliation Agreements

Certification of PCTB + Council (2)

PCTB Reports (2)

Routing Slips (2)

Commissioner Voting Sheets (2)

The above-described material was removed from this file pursuant to the following exemption provided in the Freedom of Information Act, 5 U.S.C. Section 552(b):

 (1) Classified Information

 (6) Personal privacy

☒ (2) Internal rules and practices

 (7) Investigatory files

☒ (3) Exempted by ^{FEC} other statute

 (8) Banking Information

 (4) Trade secrets and commercial or financial information

 (9) Well Information (geographic or geophysical)

 (5) Internal Documents

Signed

date

Robert Thirford
6-10-82

FEC 9-21-77

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
John S. Connolly for U.S.)
Senate Committee, et al.)

MUR 1133

CERTIFICATION

I, Marjorie W. Emons, Recording Secretary for the Federal Election Commission Executive Session on June 8, 1982, do hereby certify that the Commission decided by a vote of 6-0 to take no further action against John S. Connolly and the John S. Connolly for U.S. Senate Committee and close the entire file in MUR 1133 in the Matter of the John S. Connolly for U.S. Senate Committee, et al., (1978).

Commissioners Aikens, Elliott, Harris, McDonald, McGarry, and Reiche voted affirmatively for the decision.

Attest:

6-8-82

Date

Marjorie W. Emons

Marjorie W. Emons
Secretary of the Commission

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May 28, 1982

MEMORANDUM TO: Marjorie W. Emmons

FROM: Phyllis A. Kayson

SUBJECT: MUR 1133, In the Matter of the John S. Connolly
for U.S. Senate Committee, et al. (1978).

Please have the attached Memo to the Commission
distributed to the Commission for the agenda of June 8, 1982.

Thank you.

Attachment

cc: Bonham

3 2040332114



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

May 28, 1982

MEMORANDUM

TO: The Commission

FROM: Charles N. Steele *CNS*
General Counsel

SUBJECT: MUR 1133, In the Matter of the John S. Connolly for U.S. Senate Committee, et al. (1978).

On September 9, 1980, the Commission authorized the Office of the General Counsel to file a civil suit in United States District Court against the John S. Connolly for U.S. Senate Committee, the principal campaign committee of John S. Connolly, a Democratic candidate for the United States Senate from Minnesota in the 1978 primary,^{1/} for two reporting violations. Specifically, the Commission found probable cause to believe that the committee violated former 2 U.S.C. § 434(b)(12) and former 11 C.F.R. § 104.2(b)(11) by (a) failing to continuously report until extinguished \$25,616 in debts and obligations owed by the committee (including \$23,925 from the candidate) which were disclosed as outstanding loans on the committee's April 10, 1978 quarterly report, and (b) failing to disclose the nature of those debts and obligations.

Thereafter, on March 17, 1981, the Commission authorized the Office of the General Counsel to file suit against the candidate, John S. Connolly (the other respondent in this matter), for five reporting violations. Specifically, the Commission found probable cause to believe that Connolly violated former 2 U.S.C. §§ 434(b) by: (a) failing to sign three quarterly disclosure reports; (b) failing to itemize loans (totaling \$65,266), contributions (\$22,300) and other transfers (\$444.20) Connolly made to his principal campaign

^{1/} Mr. Connolly, who received only 31.7 percent of the primary vote, was defeated in the 1978 Democratic primary for the Senate seat formerly held by Hubert Humphrey by (then) Senator Wendell Anderson who received 56.8 percent of the vote. No other candidate received more than 4 percent of the vote. Anderson subsequently lost in the general election to Rudolph Boschwitz.

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committee; (c) failing to provide the details of his debts on one quarterly report; (d) erroneously reporting on the same report loans made by others to his principal campaign committee; and (e) failing to continuously report his debts until extinguished and disclosing their status on his 1978 Year-End Report. -

After reviewing the facts of the alleged violations in this matter in preparation for drafting a civil complaint, the Office of the General Counsel has decided to recommend not proceeding to litigation against either the candidate, John S. Connolly, or his principal campaign committee, the John S. Connolly for U.S. Senate Committee, in this matter. While a number of separate violations by candidate Connolly have been found, most involve requirements for candidate reporting of loans, contributions or other transfers which were eliminated by the 1979 Amendments to the Act. In addition, one of the violations (candidate reporting of loans made by others to his committee) resulted from too full, rather than inadequate, disclosure. Another appears to have been the result of an inadvertent error; the candidate's reports were signed by the treasurer of the committee in the space provided on the form for the "[s]ignature of [the] Treasurer or Candidate." As to the committee, while the reporting requirements remain in effect, we do not believe that the two violations noted should now be litigated. The committee has reported no financial activity since the first quarter of 1979. In addition, these are the only violations by the committee ever pursued by the Commission. Accordingly, we recommend that the Commission take no further action against either respondent and close the file in this matter.

RECOMMENDATION

1. Take no further action against John S. Connolly and the John S. Connolly for U.S. Senate Committee and close the entire file in MUR 1133, In the Matter of the John S. Connolly for U.S. Senate Committee, et al., (1978).

32040332116



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
OFFICE OF THE
COMMISSION SECRETARY

82 MAY 28 P 3: 30

May 28, 1982

SENSITIVE

MEMORANDUM

EXECUTIVE SESSION

TO: The Commission

JUN 8 1982

FROM: Charles N. Steele *CNS*
General Counsel

SUBJECT: MUR 1133, In the Matter of the John S. Connolly for U.S. Senate Committee, et al. (1978).

On September 9, 1980, the Commission authorized the Office of the General Counsel to file a civil suit in United States District Court against the John S. Connolly for U.S. Senate Committee, the principal campaign committee of John S. Connolly, a Democratic candidate for the United States Senate from Minnesota in the 1978 primary,^{1/} for two reporting violations. Specifically, the Commission found probable cause to believe that the committee violated former 2 U.S.C. § 434(b)(12) and former 11 C.F.R. § 104.2(b)(11) by (a) failing to continuously report until extinguished \$25,616 in debts and obligations owed by the committee (including \$23,925 from the candidate) which were disclosed as outstanding loans on the committee's April 10, 1978 quarterly report, and (b) failing to disclose the nature of those debts and obligations.

Thereafter, on March 17, 1981, the Commission authorized the Office of the General Counsel to file suit against the candidate, John S. Connolly (the other respondent in this matter), for five reporting violations. Specifically, the Commission found probable cause to believe that Connolly violated former 2 U.S.C. §§ 434(b) by: (a) failing to sign three quarterly disclosure reports; (b) failing to itemize loans (totaling \$65,266), contributions (\$22,300) and other transfers (\$444.20) Connolly made to his principal campaign

^{1/} Mr. Connolly, who received only 31.7 percent of the primary vote, was defeated in the 1978 Democratic primary for the Senate seat formerly held by Hubert Humphrey by (then) Senator Wendell Anderson who received 56.8 percent of the vote. No other candidate received more than 4 percent of the vote. Anderson subsequently lost in the general election to Rudolph Boschwitz.

82040332117

committee; (c) failing to provide the details of his debts on one quarterly report; (d) erroneously reporting on the same report loans made by others to his principal campaign committee; and (e) failing to continuously report his debts until extinguished and disclosing their status on his 1978 Year-End Report.

After reviewing the facts of the alleged violations in this matter in preparation for drafting a civil complaint, the Office of the General Counsel has decided to recommend not proceeding to litigation against either the candidate, John S. Connolly, or his principal campaign committee, the John S. Connolly for U.S. Senate Committee, in this matter. While a number of separate violations by candidate Connolly have been found, most involve requirements for candidate reporting of loans, contributions or other transfers which were eliminated by the 1979 Amendments to the Act. In addition, one of the violations (candidate reporting of loans made by others to his committee) resulted from too full, rather than inadequate, disclosure. Another appears to have been the result of an inadvertent error; the candidate's reports were signed by the treasurer of the committee in the space provided on the form for the "[s]ignature of [the] Treasurer or Candidate." As to the committee, while the reporting requirements remain in effect, we do not believe that the two violations noted should now be litigated. The committee has reported no financial activity since the first quarter of 1979. In addition, these are the only violations by the committee ever pursued by the Commission. Accordingly, we recommend that the Commission take no further action against either respondent and close the file in this matter.

RECOMMENDATION

1. Take no further action against John S. Connolly and the John S. Connolly for U.S. Senate Committee and close the entire file in MUR 1133, In the Matter of the John S. Connolly for U.S. Senate Committee, et al., (1978).

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
John S. Connolly)

MUR 1133

CERTIFICATION

I, Marjorie W. Emmons, Recording Secretary for the Federal Election Commission's Executive Session on March 17, 1981, do hereby certify that the Commission decided by a vote of 4-0 to authorize the Office of the General Counsel to file a civil suit for relief in the United States District Court against John S. Connolly in MUR 1133.

Commissioners Harris, McGarry, Reiche, and Thomson voted affirmatively for the decision. Commissioners Aikens and Tiernan were not present at the time of the vote.

Attest:

3/17/81

Date

Marjorie W. Emmons

Marjorie W. Emmons
Secretary of the Commission

92040332119

BEFORE THE FEDERAL ELECTION COMMISSION
February 20, 1981

In the Matter of)

John S. Connolly)

MUR 1133

GENERAL COUNSEL'S REPORT

I. BACKGROUND/PREVIOUS COMMISSION ACTION:

On January 6, 1981, the Commission found probable cause to believe that John S. Connolly violated 2 U.S.C. § 434(b) and initiated conciliation with the respondent. The respondent received the conciliation agreement on January 16, 1981, and has failed to submit a counterproposal.


II. LEGAL ANALYSIS:

Pursuant to 2 U.S.C. § 437g(a)(4)(A)(i), the Commission shall attempt for a period of at least thirty days to correct a violation by informal methods of conference, conciliation, and persuasion, and by entering into a conciliation agreement with a person involved. Mr. Connolly has not responded to the Commission's conciliation agreement and the mandatory thirty days for conciliation attempts expired on February 23, 1981.

III. RECOMMENDATION:

Authorize the Office of the General Counsel to file a civil suit for relief in the United States District Court against John S. Connolly.

11 March 1981
Date


Charles N. Steele
General Counsel

32040332120



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

January 8, 1981

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

John S. Connolly
208 McColl Building
St. Paul, MN 55101

Re: MUR 1133

Dear Mr. Connolly:

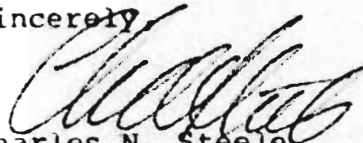
On January 6, 1981, the Commission determined there was probable cause to believe you committed a violation of 2 U.S.C. § 434(b) of the Federal Election Campaign Act of 1971, as amended, in connection with your 1978 and 1979 disclosure reports.

The Commission has a duty to attempt to correct such violations for a period of thirty to ninety days by informal methods of conference, conciliation and persuasion, and by entering into a conciliation agreement. If we are unable to reach an agreement during that period, the Commission may institute civil suit in United States District Court and seek payment of a civil penalty.

We enclose a conciliation agreement that this office is prepared to recommend to the Commission in settlement of this matter. If you agree with the provisions of the enclosed agreement, please sign and return it along with the civil penalty to the Commission within ten days. I will then recommend that the Commission approve the agreement. Please make your check for the civil penalty payable to the U.S. Treasurer.

If you have any questions or suggestions for changes in the enclosed conciliation agreement, please contact Judy Thedford, at (202)523-4057.

Sincerely,


Charles N. Steele
General Counsel

Enclosure
Conciliation Agreement

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

John S. Connolly
208 McColl Building
St. Paul, MN 55101

Re: MUR 1133

Dear Mr. Connolly:

On January 6, 1981, the Commission determined there was probable cause to believe you committed a violation of 2 U.S.C. § 434(b) of the Federal Election Campaign Act of 1971, as amended, in connection with your 1978 and 1979 disclosure reports.

The Commission has a duty to attempt to correct such violations for a period of thirty to ninety days by informal methods of conference, conciliation and persuasion, and by entering into a conciliation agreement. If we are unable to reach an agreement during that period, the Commission may institute civil suit in United States District Court and seek payment of a civil penalty.

We enclose a conciliation agreement that this office is prepared to recommend to the Commission in settlement of this matter. If you agree with the provisions of the enclosed agreement, please sign and return it along with the civil penalty to the Commission within ten days. I will then recommend that the Commission approve the agreement. Please make your check for the civil penalty payable to the U.S. Treasurer.

If you have any questions or suggestions for changes in the enclosed conciliation agreement, please contact Judy Thedford, at (202)523-4057.

Sincerely,

Charles N. Steele
General Counsel

Enclosure
Conciliation Agreement

GA 1-781

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 3, 1980

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

John S. Connolly
208 McColl Building
St. Paul, MN 55101

Re: MUR 1133

Dear Mr. Connolly:

Based on information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission, on June 25, 1980, found reason to believe that you violated section 434(b) of the Federal Election Campaign Act of 1971, as amended, and instituted an investigation of this matter.

After considering all the evidence available to the Commission, the Office of General Counsel is prepared to recommend that the Commission find probable cause to believe that a violation has occurred.

Submitted for your review is a brief stating the position of the General Counsel on the legal and factual issues of the case. Within fifteen days of your receipt of this notice, you may file with the Secretary of the Commission a brief (10 copies) stating your position on the issues and replying to the brief of the General Counsel. (Three copies of such brief should also be forwarded to the Office of General Counsel.) The General Counsel's brief and any brief which you may submit will be considered by the Commission before proceeding to a vote of probable cause to believe a violation has occurred.

A finding of probable cause to believe requires that the Office of General Counsel attempt for a period of not less than thirty, but not more than ninety days to settle this matter

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John S. Connolly
Page Two

through a conciliation agreement. This does not preclude settlement of this matter through informal conciliation prior to a finding of probable cause to believe, if you so desire.

Should you have any questions, please contact Judy Thedford at (202)523-4057.

Sincerely,

Charles N. Steele
Charles N. Steele
General Counsel

Enclosure
Brief

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October 3, 1980

MEMORANDUM TO: Marjorie W. Emmons
FROM: Elissa T. Garr
SUBJECT: MUR 1133

Please have the attached Memo and Brief distributed
to the Commission on an informational basis. Thank you.

32040332125



RECEIVED
OFFICE OF THE
COMMISSION SECRETARY

FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

80 OCT 3 AIO: 37

October 3, 1980

MEMORANDUM TO: The Commission

FROM: Charles N. Steele
General Counsel *CNS*

SUBJECT: MUR 1133

Attached for the Commission's review is a brief stating the position of the General Counsel on the legal and factual issues of the above-captioned matter. A copy of this brief and a letter notifying the respondent of the General Counsel's intent to recommend to the Commission a finding of probable cause to believe was mailed on October 3, 1980. Following receipt of the Respondent's reply to this notice, this Office will make a further report to the Commission.

Attachments

Brief

Letter to Respondent

32040332125

BEFORE THE FEDERAL ELECTION COMMISSION

September 25, 1980

In the Matter of
John S. Connolly

)
)
)

MUR 1133

GENERAL COUNSEL'S BRIEF

I. Statement of the Case:

On May 21, 1980, the Reports Analysis Division ("RAD") referred information to the Office of General Counsel which revealed that candidate John S. Connolly violated 2 U.S.C. § 434. Specifically, the RAD review of the candidate's 1978 October 10 Quarterly Report, 1978 Year-end Report, and 1979 April 10 Quarterly Report revealed that the candidate:

- incorrectly signed the reports;
- failed to itemize loans he made to his committee on Schedules A and B;
- incorrectly reported loans made by others to his committee;
- failed to provide the details of his debts;
- failed to continuously report his debts till extinguished and disclose their status;
- failed to itemize a \$444.20 expenditure; and,
- failed to itemize transfers totalling \$22,300 made to his committee.

A Request for Additional Information was sent to Mr. Connolly on January 4, 1980, and a second letter on February 22, 1980. Mr. Connolly did not respond to either notice.

82040332127

On June 25, 1980, the Commission found reason to believe that Mr. Connolly violated 2 U.S.C. § 434(b) and notified him accordingly. On July 7, 1980, Mr. Connolly phoned the Office of General Counsel and requested additional information concerning his reporting requirements. Mr. Connolly was subsequently sent copies of the requests for additional information previously sent to him by the Reports Analysis Division. He received the additional material on July 15, 1980.

Mr. Connolly failed to submit any response to the Commission, therefore, on August 12, 1980, a letter was sent to the respondent requesting him to submit any factual or legal materials as the Commission was concluding its investigation shortly and would base its decision on the information available to it.

No response has been submitted by Mr. Connolly.

II. Legal Analysis:

Under the 1976 Amendments to the Act, a candidate reported to the Commission if he or she was receiving contributions or making expenditures in support of his or her candidacy. 2 U.S.C. § 434. Accordingly, Mr. Connolly reported his activity to the Commission. A RAD review of the candidate's reports revealed that certain information required by section 434(b) was omitted and incorrectly reported. Mr. Connolly has received the notices sent to him by the Office of General Counsel and has failed to submit any response.

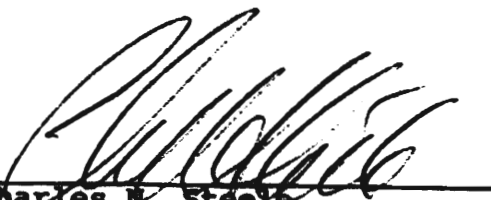
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The Office of General Counsel recommends that the Commission find probable cause to believe that John S. Connolly violated 2 U.S.C. § 434(b).

III. Recommendation

Find probable cause to believe John S. Connolly violated 2 U.S.C. § 434(b).

2 October 1980
Date


Charles N. Steele
General Counsel

92040332129



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 3, 1980

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

John S. Connolly
208 McColl Building
St. Paul, MN 55101

Re: MUR 1133

Dear Mr. Connolly:

Based on information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission, on June 25, 1980, found reason to believe that you violated section 434(b) of the Federal Election Campaign Act of 1971, as amended, and instituted an investigation of this matter.

After considering all the evidence available to the Commission, the Office of General Counsel is prepared to recommend that the Commission find probable cause to believe that a violation has occurred.

Submitted for your review is a brief stating the position of the General Counsel on the legal and factual issues of the case. Within fifteen days of your receipt of this notice, you may file with the Secretary of the Commission a brief (10 copies) stating your position on the issues and replying to the brief of the General Counsel. (Three copies of such brief should also be forwarded to the Office of General Counsel.) The General Counsel's brief and any brief which you may submit will be considered by the Commission before proceeding to a vote of probable cause to believe a violation has occurred.

A finding of probable cause to believe requires that the Office of General Counsel attempt for a period of not less than thirty, but not more than ninety days to settle this matter

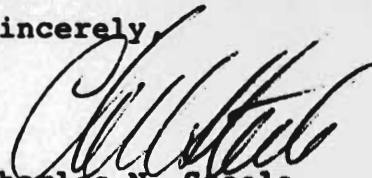
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John S. Connolly
Page Two

through a conciliation agreement. This does not preclude settlement of this matter through informal conciliation prior to a finding of probable cause to believe, if you so desire.

Should you have any questions, please contact Judy Thedford at (202) 523-4057.

Sincerely,



Charles N. Steele
General Counsel

Enclosure
Brief

82040332131



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

September 11, 1980

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Kenneth D. Grund, Treasurer
John S. Connolly for U.S. Senate Committee
100 McColl Building
St. Paul, MN 55101

RE: MUR 1133

Dear Mr. Grund:

This is to notify you that on July 8, 1980, the Federal Election Commission found probable cause to believe that your committee violated 2 U.S.C. § 434(b)(12) and 11 C.F.R. § 104.2(b)(11) in connection with the captioned matter.

As a result of our inability to settle this matter through conciliation within the allowable time period, the Commission has authorized the institution of a civil action for relief in the U.S. District Court.

Should you have questions, or should you wish to settle this matter prior to suit, please contact Gary Johansen at 202/523-4143 by Friday, October 3, 1980.

Sincerely,



Charles N. Steele
General Counsel

82040332132



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Kenneth D. Grund, Treasurer
John S. Connolly for U.S. Senate Committee
100 McColl Building
St. Paul, MN 55101

RE: MUR 1133

Dear Mr. Grund:

This is to notify you that on July 8, 1980, the Federal Election Commission found probable cause to believe that your committee violated 2 U.S.C. § 434(b)(12) and 11 C.F.R. § 104.2(b)(11) in connection with the captioned matter.

As a result of our inability to settle this matter through conciliation within the allowable time period, the Commission has authorized the institution of a civil action for relief in the U.S. District Court.

Should you have questions, or should you wish to settle this matter prior to suit, please contact Gary Johansen at 202/523-4143 by *Friday, October 3, 1980*

Sincerely,

Charles N. Steele
General Counsel

9-9-80

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
John S. Connolly For U. S.) MUR 1133
Senate Committee)

CERTIFICATION

I, Marjorie W. Emmons, Recording Secretary for the Federal Election Commission's executive session on September 9, 1980, do hereby certify that the Commission decided by a vote of 6-0 to take the following actions in MUR 1133:

1. Authorize the Office of General Counsel to file a suit for relief in the United States District Court.
2. Send the letter attached to the General Counsel's August 18, 1980 report in this matter.

Attest:

9-10-80

Date

Marjorie W. Emmons

Marjorie W. Emmons
Secretary to the Commission

32040332134

BEFORE THE FEDERAL ELECTION COMMISSION
August 18, 1980

In the Matter of)
John S. Connolly For U.S. Senate)
Committee)

MUR 1133

GENERAL COUNSEL'S REPORT

I. BACKGROUND/PREVIOUS COMMISSION ACTION:

On July 8, 1980, the Commission found probable cause to believe that the John S. Connolly for U.S. Senate Committee (the "Committee") violated 2 U.S.C. § 434(b)(12) and 11 C.F.R. § 104.2(b)(11). The Committee was sent notification of the Commission's determination and conciliation was initiated by enclosing a conciliation agreement offered as settlement of this matter. The Committee received the letter and agreement on July 18, 1980. No response or counterproposal has been submitted by the respondent.

I. LEGAL ANALYSIS:

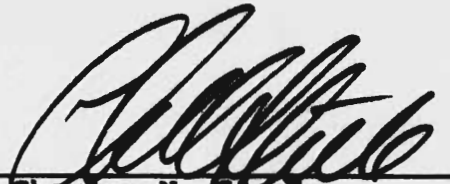
In accordance with 2 U.S.C. § 437g(a)(4)(A)(i), the Commission shall attempt for a period of at least thirty days to correct or prevent a violation of the Act by informal methods of conference, conciliation, and persuasion and to enter into a conciliation agreement with the respondent. As the respondent has failed to conciliate, the Office of the General Counsel recommends the filing of a civil suit against the Committee.

32040332135

III. RECOMMENDATION:

Authorize the Office of the General Counsel to file
a suit for relief in the United States District Court and
send the attached letter.

Date: 26 August 1970


Charles N. Steele
General Counsel

Attachment:
Letter

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Kenneth D. Grund, Treasurer
John S. Connolly for U.S. Senate Committee
100 McColl Building
St. Paul, MN 55101

RE: MUR 1133

Dear Mr. Grund:

This is to notify you that on July 8, 1980, the Federal Election Commission found probable cause to believe that your committee violated 2 U.S.C. § 434(b)(12) and 11 C.F.R. § 104.2(b)(11) in connection with the captioned matter.

As a result of our inability to settle this matter through conciliation within the allowable time period, the Commission has authorized the institution of a civil action for relief in the U.S. District Court.

Should you have questions, or should you wish to settle this matter prior to suit, please contact Gary Johansen at 202/523-4143 by

Sincerely,

Charles N. Steele
General Counsel

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

MEMORANDUM TO: CHARLES STEELE *mwe*
FROM: MARJORIE W. EMMONS/MARGARET CHANEY *mc*
DATE: AUGUST 1, 1980
SUBJECT: MUR 1133 - Interim Conciliation Report #1
dated 7-28-80; Signed 7-30-80;
Received in OCS 7-31-80, 11:42

The above-named document was circulated to the Commission on a 24 hour no-objection basis at 4:00, July 31, 1980.

There were no objections to the Interim Conciliation Report at the time of the deadline.

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July 31, 1980

MEMORANDUM TO: Marjorie W. Emmons
FROM: Elissa T. Garr
SUBJECT: MUR 1133

Please have the attached Interim Concil Report
distributed to the Commission. Thank you.

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BEFORE THE FEDERAL ELECTION COMMISSION

RECEIVED
OFFICE OF THE
COMMISSION SECRETARY

July 28, 1980

In the Matter of

80 JUL 31 AM: 42

John S. Connolly for U.S. Senate Committee
John S. Connolly

MUR 1133

INTERIM CONCILIATION REPORT #1

The Commission, on June 25, 1980, found reason to believe that John S. Connolly violated 2 U.S.C. § 434(b); and, on July 8, 1980, found probable cause to believe the John S. Connolly for U.S. Senate Committee (the "Committee") violated 2 U.S.C. § 434 (b)(12) and 11 C.F.R. § 104.2(b)(11).

Mr. Connolly was sent notice of the Commission's reason to believe determination on July 1, 1980. He phoned the Office of General Counsel on July 7, 1980 and requested additional information concerning his reporting violations. Mr. Connolly was sent copies of the requests for additional information previously sent to him by the Reports Analysis Division. He received this material on July 15, 1980.

On July 14, 1980, the Committee was sent notification of the Commission's probable cause to believe determination enclosing a conciliation agreement. The agreement was received by the Committee on July 18, 1980. In accordance with 2 U.S.C. § 437g(a) (4)(A)(i), conciliation attempts must continue for a period of at least 30 days. The Committee's 30 days expires August 20, 1980, at which time a report will be presented to the Commission.

30 July 1980
Date
Charles N. Steele
General Counsel

32040332140



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

July 14, 1980

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Kenneth D. Grund, Treasurer
John S. Connolly for U.S. Senate Committee
100 McColl Building
St. Paul, MN 55101

Re: MUR 1133

Dear Mr. Grund:

On July 8, 1980, the Commission determined there was probable cause to believe that the John S. Connolly for U.S. Senate Committee committed a violation of the Federal Election Campaign Act of 1971, as amended, specifically 2 U.S.C. § 434(b)(12) and 11 C.F.R. § 104.2(b)(11), in connection with its failure to continuously report debts and to provide the nature of the debts owed to John S. Connolly.

The Commission has a duty to attempt to correct such violations for a period of thirty to ninety days by informal methods of conference, conciliation and persuasion, and by entering into a conciliation agreement. If we are unable to reach an agreement during that period, the Commission may institute civil suit in United States District Court and seek payment of a civil penalty.

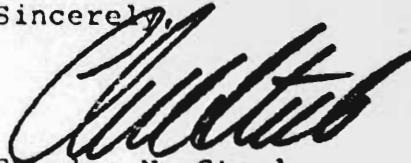
We enclose a conciliation agreement that this office is prepared to recommend to the Commission in settlement of this matter. If you agree with the provisions of the enclosed agreement, please sign and return it along with the civil penalty to the Commission within ten days. I will then recommend that the Commission approve the agreement. Please make your check for the civil penalty payable to the U.S. Treasurer.

3 2 0 4 0 3 3 2 1 4 1

Kenneth D. Grund
Page Two

If you have any questions or suggestions for changes in the enclosed conciliation agreement, please contact Judy Thedford, at (202)523-5071.

Sincerely,



Charles N. Steele
General Counsel

Enclosure

Conciliation Agreement

32040332142





FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 12, 1980

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

John S. Connolly
208 McColl Building
St. Paul, MN 55101

RE" MUR 1133

Dear Mr. Connolly:

On June 25, 1980, the Commission found reason to believe that you violated the Federal Election Campaign Act of 1971, as amended, specifically 2 U.S.C. § 434(b). You were notified of the Commission's determination at that time and given an opportunity to demonstrate that no further action should be taken in this matter.

While we acknowledge your phone call of July 7, 1980 and the subsequent mailing of additional information to you on July 11, 1980, we have received no written response from you in connection with this matter.

In the absence of any information which demonstrates that no further action should be taken against you, the Commission may find probable cause to believe that a violation has occurred, and proceed with formal conciliation. Of course, this does not preclude the settlement of this matter through informal conciliation prior to a finding of probable cause to believe.

If you have any questions, please contact Judy Thedford at 202/523-4057.

Sincerely,


Charles N. Steele
General Counsel

32040332143

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PS Form 3811, Apr. 1977

066

1. SENDER: Complete items 1, 2, and 3.
Add your address in the "RETURN TO" space on reverse.

2. The following service is requested (check one):

- ☐ Show to whom and date delivered
- ☐ Show to whom, date, and address of delivery
- ☐ RESTRICTED DELIVERY
Show to whom and date delivered
- ☐ RESTRICTED DELIVERY
Show to whom, date, and address of delivery: 2
(CONSULT POSTMASTER FOR FEES)

3. ARTICLE ADDRESSED TO:
*John J. Connelley
199 McCall Rd.
St Paul Ma*

4. ARTICLE DESCRIPTION:

REGISTERED NO.	CERTIFIED NO.	INSURED NO.
	<i>945804</i>	

(Always obtain signature of addressee or agent)

I have received the article described above.

SIGNATURE ☐ Addressee ☒ Authorized agent

Nancy L. P...

5. DATE OF DELIVERY:
8-18-80

6. ADDRESS (Complete only if requested)

7. UNABLE TO DELIVER BECAUSE:

Sheaford 1133

★GPO 1977-248-566



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

July 11, 1980

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. John S. Connolly
208 McColl Building
St. Paul, MN 55101


RE: MUR 1133 ~

Dear Mr. Connolly:

As per your conversation with Judy Thedford on July 7, 1980, we enclose copies of two requests for additional information previously sent to you on January 4, 1980 and February 22, 1980. These letters clarify the reporting errors or omitted information a Reports Analysis review of your reports discovered; and an itemization of the information needing clarification or correction.

If you have any questions, please contact Judy Thedford, at 202/523-5071 or toll free 800/424-9530.

Sincerely,


Charles N. Steele
General Counsel

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1. The following service is requested (check one): <input type="checkbox"/> Show to whom and date delivered <input type="checkbox"/> Show to whom, date, address of delivery, and date delivered <input type="checkbox"/> RESTRICTED DELIVERY <input type="checkbox"/> Show to whom and date delivered <input type="checkbox"/> RESTRICTED DELIVERY <input type="checkbox"/> Show to whom, date, and address of delivery, and date delivered (CONSULT POSTMASTER FOR FEES)		2. ARTICLE ADDRESSED TO: Mr. John S. Connolly 208 McColl Building St. Paul, MN 55101	
3. ARTICLE DESCRIPTION: REGISTERED NO. 945579		INSURED NO.	
4. I have examined the article described above. SIGNATURE <input type="checkbox"/> Addressee <input type="checkbox"/> Authorized agent N. L. Davis		DATE OF DELIVERY 7-15-80	
5. ADDRESS (Complete only if registered)		6. UNABLE TO DELIVER BECAUSE:	

PS Form 3811, Apr. 1977 RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

JAN 4 1980

John S. Connolly
208 McColl Bldg.
St. Paul, MN 55101

Dear Mr. Connolly:

This letter is prompted by the Commission's review of your disclosure reports undertaken in the normal course of carrying out its supervisory responsibilities under the Federal Election Campaign Act (FECA). Based on the requirements of the FECA and appropriate Regulations, the review indicated possible prohibited activity, errors and/or omitted information. We have attached an itemization of the information needing clarification and/or correction.

Please respond to this notification within fifteen (15) days from the date of this letter. If you have any questions, you may contact our Reports Analysis Division. The name and telephone number of the analyst handling this matter is located on the attached page(s).

Sincerely,

A handwritten signature in cursive script, reading "Thomas J. Haselhorst", is written over a rectangular area.

Thomas J. Haselhorst
Assistant Staff Director
Reports Analysis Division

Attachment(s)

32040332146

T

0-2

JAN 4 1980

S8MN00032

John S. Connolly
208 McColl Bldg.
St. Paul, MN 55101

Toll Free Number: (800) 424-9530
Local Number: (202) 523-4172

The following information is requested by our analyst, Susan Weber for the October Report, covering August 29, 1978 through September 30, 1978.

Signature omitted ☒ incorrect

11 CFR 104.12(a) requires each person having the responsibility to file a report to sign the original report. Please submit a signed copy of your report

On Schedule C, your report discloses \$65,266.00 in loans from the candidate to the Connolly for U.S. Senate Committee. Please be advised that all receipts from the candidate must be itemized on Schedule A for Line 15a of the Detailed Summary Page. All transfers out to an authorized committee must be itemized on Schedule B for Line 22a of the Detailed Summary Page. Please amend your report accordingly.

Additionally, your report discloses loans made to the Connolly for U.S. Senate Committee by individuals (other than the candidate). Please be advised that these debts should not appear on the Candidate's reports. Please amend Schedule C to reflect only those debts owed to or by the candidate.

Summary Page Line(s) 13 Column(s) Totals omitted or ☒ incorrect

Section 104.2(b)(11) of the Commission's Regulations requires that the nature of all debts and obligations be provided. Please provide the details for the debt(s) owed to John S. Connolly.

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JAN 4 1980

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John S. Connolly

208 McColl Bldg.

St. Paul, MN 55101

Toll Free Number: (800) 424-9530

Local Number: (202) 523-4172

The following information is requested by our analyst, Susan Weber for the Year End Report, covering October 1, 1978 through December 31, 1978.

Signature omitted x incorrect

11 CFR 104.12(a) requires each person having the responsibility to file a report to sign the original report. Please submit a signed copy of your report.

On your previous report, you disclose the following outstanding debts:

John S. Connolly	8-8-78	\$24,155.00
"	8-24-78	20,000.00
"	8-25-78	5,711.00
"	8-15-78	2,400.00
"	8-18-78	3,000.00
"	9-7-78	5,000.00
"	9-9-78	5,000.00

However you fail to disclose the status of these debts.

Section 104.8(a) of the Commission's Regulations requires continuous reporting of all debts until extinguished. Please submit a Schedule C, disclosing the status of these debts.

Please be advised that all transfers out to political committees must be itemized on Schedule B regardless of amount. Please provide a Schedule B for the transfer out of \$444.20 reported on Line 22a of the Detailed Summary Page.

32040332148

S8MN00032

John S. Connolly
208 McColl Bldg.
St. Paul, MN 55101

Toll Free Number: (800) 424-9530
Local Number: (202) 523-4172

The following information is requested by our analyst Susan Weber for the April 10 Report, covering January 1, 1979 through March 31, 1979.

Signature omitted x incorrect

11 CFR 104.12(a) requires each person having the responsibility to file a report to sign the original report. Please submit a signed copy of your report.

On the Detailed Summary Page, your report discloses \$22,300.00 in contributions from the candidate and \$22,300.00 in transfers out to the Connolly for U.S. Senate Committee. Please be advised that all receipts from the candidate must be itemized on Schedule A for Line 15a of the Detailed Summary Page. All transfers out to an authorized committee must be itemized on Schedule B for Line 22a of the Detailed Summary Page. Please amend your report accordingly.

32040332149



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

February 22, 1980

Treasurer
John S. Connolly
208 McColl Bldg.
St. Paul, MN 55101

REFERENCE: October 10 Report (8/29/78 9/30/78),
Year End Report (10/1/78 - 12/31/78) and
April 10 Report (1/1/79 3/31/79)

Dear Treasurer:

This letter is to inform you that as of this date, the Commission has not received your response to our request for additional information, dated January 4, 1980. That notice requested information essential to full public disclosure of your Federal election financial activity and to assure compliance with provisions of the Federal Election Campaign Act (the Act).¹

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to take legal action to insure compliance with the Act.

If you should have any questions related to this matter, please contact Susan Weber on our toll free number (800)424-9530. Our local number is (202)523-4172.

Sincerely,

Thomas J. Vaselhorst
Assistant Staff Director
Reports Analysis Division

82040332150



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

July 1, 1980

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

John S. Connolly
208 McColl Building
St. Paul, MN 55101

Re: MUR 1133

Dear Mr. Connolly:

The Federal Election Commission notified you in a request for additional information letter dated January 4, 1980 and a letter dated February 22, 1980 that you may have violated certain sections of the Federal Election Campaign Act of 1971, as amended (the "Act").

Upon further review of the information available to the Commission, the Commission determined on June 25, 1980, that there is reason to believe that you violated 2 U.S.C. § 434(b). A report on the Commission's finding is attached for your information. You may submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter within ten days.

In the absence of any information which demonstrates that no further action should be taken against you, the Commission may find probable cause to believe that a violation has occurred, and proceed with formal conciliation. Of course, this does not preclude the settlement of this matter through informal conciliation prior to a finding of probable cause to believe if you so desire.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public.

32040332151

John S. Connolly
Page Two

If you intend to be represented by counsel in this matter, please advise the Commission by sending a letter of representation stating the name, address and telephone number of such counsel, and a statement authorizing such counsel to receive any notifications and other communications from the Commission.

If you have any questions, please contact Judy Thedford, at (202) 523-5071.

Sincerely,

Max L. Friedersdorf

Max L. Friedersdorf
Chairman

Enclosure
Notification



32040332152

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

John S. Connolly
208 McCall Building
St. Paul, MN 55101

Re: MUR 1133

Dear Mr. Connolly:

The Federal Election Commission notified you in a request for additional information letter dated January 4, 1980 and a letter dated February 22, 1980 that you may have violated certain sections of the Federal Election Campaign Act of 1971, as amended (the "Act").

Upon further review of the information available to the Commission, the Commission determined on June 24, 1980, that there is reason to believe that you violated 2 U.S.C. § 434(b). A report on the Commission's finding is attached for your information. You may submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter within ten days.

In the absence of any information which demonstrates that no further action should be taken against you, the Commission may find probable cause to believe that a violation has occurred, and proceed with formal conciliation. Of course, this does not preclude the settlement of this matter through informal conciliation prior to a finding of probable cause to believe if you so desire.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public.

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John S. Connolly
Page Two

If you intend to be represented by counsel in this matter, please advise the Commission by sending a letter of representation stating the name, address and telephone number of such counsel, and a statement authorizing such counsel to receive any notifications and other communications from the Commission.

If you have any questions, please contact Judy Thedford, at (202) 523-5071.

Sincerely,

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6-26-80

FEDERAL ELECTION COMMISSION

NOTIFICATION OF REASON TO BELIEVE FINDING

DATE _____

MUR 1133
STAFF MEMBER & TEL. NO.

Judy Thedford
(202) 523-5071

RESPONDENT John S. Connolly

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

BACKGROUND

On May 21, 1980, the Reports Analysis Division (RAD) referred information to the Office of General Counsel which revealed that the candidate, John S. Connolly, may have violated 2 U.S.C. § 434. Specifically, a RAD-review of the candidate's 1978 October 10 Quarterly Report, 1978 Year-end Report, and 1979 April 10 Quarterly Report revealed that the candidate:

- incorrectly signed the reports;
- failed to itemize loans he made to his committee on Schedules A and B;
- incorrectly reported loans made by others to his committee;
- failed to provide the details of his debts,
- failed to continuously report his debts till extinguished and disclosure of their status;
- failed to itemize a \$444.20 expenditure; and,
- failed to itemize transfers totalling \$22,300 made to his committee.

A Request for Additional Information was sent to Mr. Connolly on January 4, 1980 and a second letter on February 22, 1980. Mr. Connolly did not respond to either notice.

FACTUAL BASIS AND LEGAL ANALYSIS

Under the 1976 Amendments to the Act, a candidate reported to the Commission if he or she was receiving contributions or making expenditures in support of his or her candidacy. 2 U.S.C. § 434. Accordingly, Mr. Connolly reported his activity to the

32040332153

Commission. The RAD review of the candidate's report revealed that certain information required by section 434(b) was omitted and incorrectly reported.

The Office of General Counsel recommended that the Commission find reason to believe John S. Connolly violated 2 U.S.C. § 434(b).

Based on the foregoing analysis, the Federal Election Commission has:

1. found reason to believe John S. Connolly violated 2 U.S.C. § 434(b).

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)

John S. Connolly for)

U. S. Senate Committee)

MUR 1133

CERTIFICATION

I, Marjorie W. Emmons, Secretary to the Federal Election Commission, do hereby certify that on June 25, 1980, the Commission decided by a vote of 6-0 to take the following actions regarding MUR 1133:

1. Find REASON TO BELIEVE
John S. Connolly violated
2 U.S.C. §434(b).
2. Approve and send the letter and
notification, as attached to
the General Counsel's Report
dated June 9, 1980, to Mr. Connolly.

Voting for this determination were Commissioners Aikens, Friedersdorf, Harris, McGarry, Reiche, and Tiernan.

Attest:

4/25/80

Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary to the Commission

Report signed: 6-14-80
Received in Office of the Commission Secretary: 6-23-80, 12:27
Circulated on 48 hour vote basis: 6-23-80, 4:00

82040332157

June 23, 1980

MEMORANDUM TO: Marjorie W. Emmons
FROM: Jane Colgrove
SUBJECT: MUR 1133

Please have the attached General Counsel's Report on MUR 1133 distributed to the Commission on a 48 hour tally basis.

Thank you.

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June 9, 1980

80 JUN 23 PM 12: 27

In the Matter of

John S. Connolly for U.S. Senate Committee

)
)
)

MUR 1133

GENERAL COUNSEL'S REPORT

I. Background

On January 7, 1980, the Reports Analysis Division (RAD) referred to the Office of General Counsel information which indicated that the John S. Connolly for U.S. Senate Committee (the "Committee") violated 2 U.S.C. § 434(b)(12) and 11 C.F.R. § 104.2(b)(11). The Commission upon recommendation of RAD, found reason to believe that the Committee violated 2 U.S.C. § 434. In an attempt to investigate this matter, the Commission issued interrogatories to the Committee's treasurer. The respondent failed to respond; therefore, on May 12, 1980, notification was sent to Kenneth Grund, the Committee's treasurer, of the General Counsel's intent to recommend probable cause to believe. The notification enclosed a brief stating the position of the General Counsel on the legal and factual issues of this matter and requested the respondent to file a responsive brief within fifteen days.

On May 21, 1980, RAD referred additional information to the Office of General Counsel which revealed that the candidate, John S. Connolly, may have violated 2 U.S.C. § 434 (see Attachment I). Specifically, a RAD review of the candidate's

32040332159

1978 October 10 Quarterly Report, 1978 Year-end Report, and 1979 April 10 Quarterly Report revealed that the candidate:

- incorrectly signed the reports;
- failed to itemize loans he made to his committee on Schedules A and B;
- incorrectly reported loans made by others to his committee;
- failed to provide the details of his debts;
- failed to continuously report his debts till extinguished and disclose their status;
- failed to itemize a \$444.20 expenditure; and;
- failed to itemize transfers totalling \$22,300 made to his committee.

A Request for Additional Information was sent to Mr. Connolly on January 4, 1980 and a second letter on February 22, 1980. Mr. Connolly did not respond to either notice.

II. Legal Analysis

Under the 1976 Amendments to the Act, a candidate reported to the Commission if he or she was receiving contributions or making expenditures in support of his or her candidacy. 2 U.S.C. § 434. Accordingly, Mr. Connolly reported his activity to the Commission. The RAD review of the candidate's report revealed that certain information required by section 434(b) was omitted and incorrectly reported.


32040332160

The Office of General Counsel recommends that the Commission find reason to believe John S. Connolly violated 2 U.S.C. § 434(b).

III. Recommendation

1. Find reason to believe John S. Connolly violated 2 U.S.C. § 434(b).
2. Approve and send the attached letter and notification to Mr. Connolly.

14 June 1980
Date



Charles N. Steele
General Counsel

Attachments:

- I. Referral
- II. Letter
- III. Notification

32040332161

REPORTS ANALYSIS REFERRAL UPDATE

RAD 80L-16

D-591

*ORIGIN: RAD

DATE May 21, 1980

ANALYST Susan Weber *SW*

TO: OGC

ATTENTION: Judy Theoford *JT*

TEAM CHIEF Peter Kell, Jr.

THROUGH: STAFF DIRECTOR

COMPLIANCE REVIEW PS

FROM: ASSISTANT STAFF DIRECTOR FOR REPORTS ANALYSIS *PH*

MUR No. 1133

DATE OF ORIGINAL REFERRAL 1/7/80

**PURPOSE:

For Informational purposes only, please find attached copies of the RFAI's sent to the candidate for the October 10, Year End, and April 15 reports. A second notice was subsequently sent on these reports. (Copy attached) No response has been received to date.

OUTCOME: (if applicable)

*Commission unit which initiated original Referral (e.g. AUDIT/RAD/OGC).

**INFORMATION, or RESULTS OF RAD ACTION, as appropriate.

ATTACHMENT 7 pg 1

22040332162



FEDERAL ELECTION COMMISSION
WASHINGTON, D C 20463

JAN 4 1980

John S. Connolly
208 McColl Bldg.
St. Paul, MN 55101

Dear Mr. Connolly:

This letter is prompted by the Commission's review of your disclosure reports undertaken in the normal course of carrying out its supervisory responsibilities under the Federal Election Campaign Act (FECA). Based on the requirements of the FECA and appropriate Regulations, the review indicated possible prohibited activity, errors and/or omitted information. We have attached an itemization of the information needing clarification and/or correction.

Please respond to this notification within fifteen (15) days from the date of this letter. If you have any questions, you may contact our Reports Analysis Division. The name and telephone number of the analyst handling this matter is located on the attached page(s).

Sincerely,

A handwritten signature in cursive script, reading "Thomas J. Haselhorst", is written over the typed name.

Thomas J. Haselhorst
Assistant Staff Director
Reports Analysis Division

Attachment(s)

I pg 2

JAN 4 1980

SBMN00032

John S. Connolly
208 McColl Bldg.
St. Paul, MN 55101

Toll Free Number: (800) 424-9530
Local Number: (202) 523-4172

The following information is requested by our analyst, Susan Weber for the October Report, covering August 29, 1978 through September 30, 1978.

Signature omitted x incorrect

11 CFR 104.12(a) requires each person having the responsibility to file a report to sign the original report. Please submit a signed copy of your report

On Schedule C, your report discloses \$65,266.00 in loans from the candidate to the Connolly for U.S. Senate Committee. Please be advised that all receipts from the candidate must be itemized on Schedule A for Line 15a of the Detailed Summary Page. All transfers out to an authorized committee must be itemized on Schedule B for Line 22a of the Detailed Summary Page. Please amend your report accordingly.

Additionally, your report discloses loans made to the Connolly for U.S. Senate Committee by individuals (other than the candidate). Please be advised that these debts should not appear on the Candidate's reports. Please amend Schedule C to reflect only those debts owed to or by the candidate.

Summary Page Line(s) 13 Column(s) Totals omitted or x incorrect

Section 104.2(b)(11) of the Commission's Regulations requires that the nature of all debts and obligations be provided. Please provide the details for the debt(s) owed to John S. Connolly.

I pg 3

32040332164

JAN 4 1980

SBMN00032

John S. Connolly
208 McColl Bldg.
St. Paul, MN 55101

Toll Free Number: (800) 424-9530
Local Number: (202) 523-4172

The following information is requested by our analyst, Susan Weber for the Year End Report, covering October 1, 1978 through December 31, 1978.

Signature omitted ☒ incorrect

11 CFR 104.12(a) requires each person having the responsibility to file a report to sign the original report. Please submit a signed copy of your report.

On your previous report, you disclose the following outstanding debts:

John S. Connolly	8-8-78	\$24,155.00
"	8-24-78	20,000.00
"	8-25-78	5,711.00
"	8-15-78	2,400.00
"	8-18-78	3,000.00
"	9-7-78	5,000.00
"	9-9-78	5,000.00

However you fail to disclose the status of these debts.

Section 104.8(a) of the Commission's Regulations requires continuous reporting of all debts until extinguished. Please submit a Schedule C, disclosing the status of these debts.

Please be advised that all transfers out to political committees must be itemized on Schedule B regardless of amount. Please provide a Schedule B for the transfer out of \$444.20 reported on Line 22a of the Detailed Summary Page.

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I PG 4

S8MN00032

John S. Connolly
208 McColl Bldg.
St. Paul, MN 55101

Toll Free Number: (800) 424-9530
Local Number: (202) 523-4172

The following information is requested by our analyst Susan Weber for the April 10 Report, covering January 1, 1979 through March 31, 1979.

Signature omitted x incorrect

11 CFR 104.12(a) requires each person having the responsibility to file a report to sign the original report. Please submit a signed copy of your report.

On the Detailed Summary Page, your report discloses \$22,300.00 in contributions from the candidate and \$22,300.00 in transfers out to the Connolly for U.S. Senate Committee. Please be advised that all receipts from the candidate must be itemized on Schedule A for Line 15a of the Detailed Summary Page. All transfers out to an authorized committee must be itemized on Schedule B for Line 22a of the Detailed Summary Page. Please amend your report accordingly.

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I pg 5



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

February 22, 1980

Treasurer
John S. Connolly
208 McColl Bldg.
St. Paul, MN 55101

REFERENCE: October 10 Report (8/29/78 9/30/78),
Year End Report (10/1/78 - 12/31/78) and
April 10 Report (1/1/79 3/31/79)

Dear Treasurer:

This letter is to inform you that as of this date, the Commission has not received your response to our request for additional information, dated January 4, 1980. That notice requested information essential to full public disclosure of your Federal election financial activity and to assure compliance with provisions of the Federal Election Campaign Act (the Act).

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to take legal action to insure compliance with the Act.

If you should have any questions related to this matter, please contact Susan Weber on our toll free number (800)424-9530. Our local number is (202)523-4172.

Sincerely,

Thomas J. Vaselhorst
Assistant Staff Director
Reports Analysis Division

I pg 6

32040332167



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

John S. Connolly
208 McColl Building
St. Paul, MN 55101

RE: MUR 1133

Dear Mr. Connolly:

The Federal Election Commission notified you in a request for additional information letter dated January 4, 1980 and a letter dated February 22, 1980 that you may have violated certain sections of the Federal Election Campaign Act of 1971, as amended (the "Act").

Upon further review of the information available to the Commission, the Commission determined on , 1980, that there is reason to believe that you violated 2 U.S.C. § 434(b). A report on the Commission's finding is attached for your information. You may submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter within ten days.

In the absence of any information which demonstrates that no further action should be taken against you, the Commission may find probable cause to believe that a violation has occurred, and proceed with formal conciliation. Of course, this does not preclude the settlement of this matter through informal conciliation prior to a finding of probable cause to believe if you so desire.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public.

Attachment II pg 1

92040332168

John S. Connolly
Page Two

If you intend to be represented by counsel in this matter, please advise the Commission by sending a letter of representation stating the name, address and telephone number of such counsel, and a statement authorizing such counsel to receive any notifications and other communications from the Commission.

If you have any questions, please contact Judy Thedford, at (202) 523-5071.

Sincerely,

Enclosure
Notification

Attachment II pg 2

92040332169

FEDERAL ELECTION COMMISSION

NOTIFICATION OF REASON TO BELIEVE FINDING

DATE _____

MUR 1133
STAFF MEMBER & TEL. NO.
Judy Thedford
(202) 523-5071

RESPONDENT John S. Connolly

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

BACKGROUND

On May 21, 1980, the Reports Analysis Division (RAD) referred information to the Office of General Counsel which revealed that the candidate, John S. Connolly, may have violated 2 U.S.C. § 434. Specifically, a RAD review of the candidate's 1978 October 10 Quarterly Report, 1978 Year-end Report, and 1979 April 10 Quarterly Report revealed that the candidate:

- incorrectly signed the reports;
- failed to itemize loans he made to his committee on Schedules A and B;
- incorrectly reported loans made by others to his committee;
- failed to provide the details of his debts,
- failed to continuously report his debts till extinguished and disclosure of their status;
- failed to itemize a \$444.20 expenditure; and,
- failed to itemize transfers totalling \$22,300 made to his committee.

A Request for Additional Information was sent to Mr. Connolly on January 4, 1980 and a second letter on February 22, 1980. Mr. Connolly did not respond to either notice.

FACTUAL BASIS AND LEGAL ANALYSIS

Under the 1976 Amendments to the Act, a candidate reported to the Commission if he or she was receiving contributions or making expenditures in support of his or her candidacy. 2 U.S.C. § 434. Accordingly, Mr. Connolly reported his activity to the

Attachment III pg 1

32040332170

Commission. The RAD review of the candidate's report revealed that certain information required by section 434(b) was omitted and incorrectly reported.

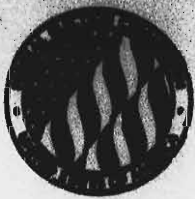
The Office of General Counsel recommended that the Commission find reason to believe John S. Connolly violated 2 U.S.C. § 434(b).

Based on the foregoing analysis, the Federal Election Commission has:

1. found reason to believe John S. Connolly violated 2 U.S.C. § 434(b).

Attachment III pg 2

32040332171



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

MEMORANDUM TO: CHARLES STEELE

FROM: MARJORIE W. EMMONS/MARGARET CHANEY *me*

DATE: JUNE 10, 1980

SUBJECT: MUR 1133 - Interim Investigative Report #2,
dated 6-6-80; Received in OCS 6-6-80, 1:53

The above-named document was circulated to the Commission on a 24 hour no-objection basis at 11:00, Monday, June 9, 1980.

There were no objections to the Interim Investigative Report at the time of the deadline; however, Commissioner Aikens had a question regarding MUR 1133. A copy of her vote sheet is attached.

ATTACHMENT:
Copy of Vote Sheet

32040332172

June 6, 1980

MEMORANDUM TO: Marjorie W. Emmons
FROM: Elissa T. Garr
SUBJECT: MUR 1133

Please have the attached Interim Invest Report
distributed to the Commission. Thank you.

32040332173

BEFORE THE FEDERAL ELECTION COMMISSION
June 6, 1980

RECEIVED
OFFICE OF THE
COMMISSION SECRETARY

80 JUN 6 P 1: 50

In the Matter of)
John S. Connolly For U.S. Senate)
Committee)

MUR 1133

INTERIM INVESTIGATIVE REPORT #2

On May 12, 1980, a General Counsel's Brief was sent to Kenneth Grund, treasurer of the John S. Connolly For U.S. Senate Committee. As the return receipt card has not been returned to the Commission, the Office of the General Counsel allows the respondent a twenty-one day time period from the date of the letter enclosing the brief to submit a responsive brief. Therefore, the time period for submission of the respondent's brief expired June 2, 1980. A General Counsel's Report is being prepared for the Commission's review.

Additionally, on May 21, 1980, an informational referral was forwarded to the Office of the General Counsel from the Reports Analysis Division. The referral noted that the candidate, John S. Connolly, had been sent letters from the Reports Analysis Division requesting correction and clarification of his disclosure reports. A General Counsel's Report is also being prepared for the Commission's review of the additional information.

Date: 6 June 1980


Charles N. Steele
General Counsel

32040332174

REPORTS ANALYSIS REFERRAL UPDATE

*ORIGIN: RAD

D
RAD ROL-16

2-591

DATE May 21, 1980

ANALYST Susan Weber *pr*

TO: OGC
ATTENTION: Judy Thaford

TEAM CHIEF Peter Kell, Jr.

THROUGH: STAFF DIRECTOR *JH*

COMPLIANCE REVIEW PS

FROM: ASSISTANT STAFF DIRECTOR FOR REPORTS ANALYSIS *JH*

MUR No. 1133

DATE OF ORIGINAL REFERRAL 1/7/80

**PURPOSE:

For Informational purposes only, please find attached copies of the RFAI's sent to the candidate for the October 10, Year End, and April 15 reports. A second notice was subsequently sent on these reports. (Copy attached) No response has been received to date.

OUTCOME: (if applicable)

3 2 0 4 0 3 3 2 1 7 5

*Commission unit which initiated original Referral (e.g. AUDIT/RAD/OGC).

**INFORMATION, or RESULTS OF RAD ACTION, as appropriate.



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

JAN 4 1980

John S. Connolly
208 McColl Bldg.
St. Paul, MN 55101

Dear Mr. Connolly:

This letter is prompted by the Commission's review of your disclosure reports undertaken in the normal course of carrying out its supervisory responsibilities under the Federal Election Campaign Act (FECA). Based on the requirements of the FECA and appropriate Regulations, the review indicated possible prohibited activity, errors and/or omitted information. We have attached an itemization of the information needing clarification and/or correction.

Please respond to this notification within fifteen (15) days from the date of this letter. If you have any questions, you may contact our Reports Analysis Division. The name and telephone number of the analyst handling this matter is located on the attached page(s).

Sincerely,

Thomas J. Havelhorst
Assistant Staff Director
Reports Analysis Division

Attachment(s)

8 2 0 4 0 3 3 2 1 7 6

JAN 4 1980

S8MN00032

John S. Connolly
208 McColl Bldg.
St. Paul, MN 55101

Toll Free Number: (800) 424-9530
Local Number: (202) 523-4172

The following information is requested by our analyst, Susan Weber for the October Report, covering August 29, 1978 through September 30, 1978.

Signature omitted x incorrect

11 CFR 104.12(a) requires each person having the responsibility to file a report to sign the original report. Please submit a signed copy of your report

On Schedule C, your report discloses \$65,266.00 in loans from the candidate to the Connolly for U.S. Senate Committee. Please be advised that all receipts from the candidate must be itemized on Schedule A for Line 15a of the Detailed Summary Page. All transfers out to an authorized committee must be itemized on Schedule B for Line 22a of the Detailed Summary Page. Please amend your report accordingly.

Additionally, your report discloses loans made to the Connolly for U.S. Senate Committee by individuals (other than the candidate). Please be advised that these debts should not appear on the Candidate's reports. Please amend Schedule C to reflect only those debts owed to or by the candidate.

Summary Page Line(s) 13 Column(s) Totals omitted or x incorrect

Section 104.2(b)(11) of the Commission's Regulations requires that the nature of all debts and obligations be provided. Please provide the details for the debt(s) owed to John S. Connolly.

32040332177

SEN00032

John S. Connolly
208 McColl Bldg.
St. Paul, MN 55101

JAN 4 1990

Toll Free Number: (800) 424-9530
Local Number: (202) 523-4172

The following information is requested by our analyst, Susan Weber for the Year End Report, covering October 1, 1978 through December 31, 1978.

Signature omitted x incorrect

11 CFR 104.12(a) requires each person having the responsibility to file a report to sign the original report. Please submit a signed copy of your report.

On your previous report, you disclose the following outstanding debts:

John S. Connolly	8-8-78	\$24,155.00
"	8-24-78	20,000.00
"	8-25-78	5,711.00
"	8-15-78	2,400.00
"	8-18-78	3,000.00
"	9-7-78	5,000.00
"	9-9-78	5,000.00

However you fail to disclose the status of these debts.

Section 104.8(a) of the Commission's Regulations requires continuous reporting of all debts until extinguished. Please submit a Schedule C, disclosing the status of these debts.

Please be advised that all transfers out to political committees must be itemized on Schedule B regardless of amount. Please provide a Schedule B for the transfer out of \$444.20 reported on Line 22a of the Detailed Summary Page.

8 2 0 4 0 3 3 2 1 7 8

S8MN00032

John S. Connolly
208 McColl Bldg.
St. Paul, MN 55101

Toll Free Number: (800) 424-9530
Local Number: (202) 523-4172

The following information is requested by our analyst Susan Weber for the April 10 Report, covering January 1, 1979 through March 31, 1979.

Signature omitted x incorrect

11 CFR 104.12(a) requires each person having the responsibility to file a report to sign the original report. Please submit a signed copy of your report.

On the Detailed Summary Page, your report discloses \$22,300.00 in contributions from the candidate and \$22,300.00 in transfers out to the Connolly for U.S. Senate Committee. Please be advised that all receipts from the candidate must be itemized on Schedule A for Line 15a of the Detailed Summary Page. All transfers out to an authorized committee must be itemized on Schedule B for Line 22a of the Detailed Summary Page. Please amend your report accordingly.

32040332179



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

February 22, 1980

Treasurer
John S. Connolly
208 McColl Bldg.
St. Paul, MN 55101

REFERENCE: October 10 Report (8/29/78 9/30/78),
Year End Report (10/1/78 - 12/31/78) and
April 10 Report (1/1/79 3/31/79)

Dear Treasurer:

This letter is to inform you that as of this date, the Commission has not received your response to our request for additional information, dated January 4, 1980. That notice requested information essential to full public disclosure of your Federal election financial activity and to assure compliance with provisions of the Federal Election Campaign Act (the Act).

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to take legal action to insure compliance with the Act.

If you should have any questions related to this matter, please contact Susan Weber on our toll free number (800)424-9530. Our local number is (202)523-4172.

Sincerely,

Thomas J. Maselhorst
Assistant Staff Director
Reports Analysis Division

82040332180



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

May 12, 1980

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Kenneth D. Grund, Treasurer
John S. Connolly For U.S. Senate
Committee
100 McColl Building
St. Paul, Minnesota 55101

RE: MUR 1133

Dear Mr. Grund:

Based on information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission, on January 9, 1979, found reason to believe that the John S. Connolly For U.S. Senate Committee violated the Federal Election Campaign Act of 1971, as amended, specifically 2 U.S.C. § 434, and instituted an investigation of this matter.

After considering all the evidence available to the Commission, the Office of the General Counsel is prepared to recommend that the Commission find probable cause to believe that a violation has occurred.

Submitted for your review is a brief stating the position of the General Counsel on the legal and factual issues of the case. Within fifteen days of your receipt of this notice, you may file with the Secretary of the Commission a brief (10 copies) stating your position on the issues and replying to the brief of the General Counsel. (Three copies of such brief should also be forwarded to the Office of the General Counsel.) The General Counsel's brief and any brief which you may submit will be considered by the Commission before proceeding to a vote of probable cause to believe a violation has occurred.

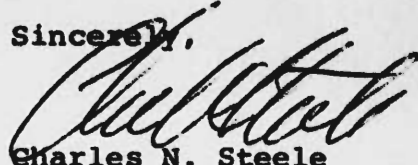
A finding of probable cause to believe requires that the Office of the General Counsel attempt for a period of not less than thirty, but more than ninety days to settle

32040332181

this matter through a conciliation agreement. This does not preclude settlement of this matter through informal conciliation prior to a finding of probable cause to believe, if you so desire.

Should you have any questions, please contact Judy Thedford at 202-523-5071.

Sincerely,


Charles N. Steele
General Counsel

Enclosure
Brief

82040332182

May 12, 1980

MEMORANDUM TO: Marjorie W. Emmons

FROM: Elissa T. Garr

SUBJECT: MUR 1133

Please have the attached memo & Brief distributed
to the Commission for their information. Thank you.

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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

May 12, 1980

MEMORANDUM TO: The Commission

FROM: Charles N. Steele *CS*
General Counsel

SUBJECT: MUR 1133

Attached for the Commission's review is a brief stating the position of the General Counsel on the legal and factual issues of the above-captioned matter. A copy of this brief and a letter notifying the respondent of the General Counsel's intent to recommend to the Commission a finding of probable cause to believe was mailed on May 12, 1980. Following receipt of the respondent's reply to this notice, this Office will make a further report to the Commission.

Attachments

1. Brief
- 2 Letter to Respondent

32040332184

BEFORE THE FEDERAL ELECTION COMMISSION

In The Matter of

John S. Connolly For U.S.
Committee

MUR 1133

General Counsel's Brief

I. Statement of the Case:

On January 9, 1979, the Commission, upon the recommendation of the Reports Analysis Division ("RAD"), found reason to believe that the John S. Connolly For U.S. Senate Committee ("the Committee") violated 2 U.S.C. § 434 by failing to continuously report its debts. RAD had previously sent requests to the Committee for additional information concerning the reporting of debts owed to the candidate by the Committee and concerning the Committee's failure to provide the nature of these debts. Additionally, four unsuccessful attempts were made to reach the treasurer by phone. The Committee did not respond to any inquiries from RAD.

On January 7, 1980, RAD referred this information to the Office of the General Counsel. The referral alleged that the Committee violated 2 U.S.C. § 434(b)(12) [recodified 2 U.S.C. § 434(b)(8)] by failing to continuously report debts properly and 11 CFR § 104.2(b)(11) by failing to provide the nature of the debts owed to the candidate.

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II. Evidence/Legal Analysis:

The April 10, 1978 quarterly report filed by the Committee reported outstanding debts totalling \$25,616 which were loans from the candidate, John S. Connolly. The loans were not continuously reported on the Committee's subsequent 1978 reports as required by 2 U.S.C. § 434. Thus, it was unknown if the loans were outstanding, if they had been repaid, or if they had been forgiven.

As no response had been received to any RAD inquiries or to the Commission's reason to believe notice, the Office of the General Counsel recommended and the Commission approved, conducting an investigation by sending a letter and interrogatories to the Committee's treasurer. The letter enclosing interrogatories was mailed on February 25, 1980. The Committee failed to submit responses to the questions; therefore, on March 27, 1980, a letter requesting the responses to the interrogatories was sent to the Committee's treasurer. No response has been submitted by the Committee to the Commission's inquiry.

III. Recommendation:

It is recommended that the Commission find probable cause to believe that the John S. Connolly For U.S. Senate Committee violated 2 U.S.C. § 434(b)(12).

12 May 1980
Date


Charles N. Steele
General Counsel

82040332185



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

May 12, 1980

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Kenneth D. Grund, Treasurer
John S. Connolly For U.S. Senate
Committee
100 McColl Building
St. Paul, Minnesota 55101

RE: MUR 1133

Dear Mr. Grund:

Based on information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission, on January 9, 1979, found reason to believe that the John S. Connolly For U.S. Senate Committee violated the Federal Election Campaign Act of 1971, as amended, specifically 2 U.S.C. § 434, and instituted an investigation of this matter.

After considering all the evidence available to the Commission, the Office of the General Counsel is prepared to recommend that the Commission find probable cause to believe that a violation has occurred.

Submitted for your review is a brief stating the position of the General Counsel on the legal and factual issues of the case. Within fifteen days of your receipt of this notice, you may file with the Secretary of the Commission a brief (10 copies) stating your position on the issues and replying to the brief of the General Counsel. (Three copies of such brief should also be forwarded to the Office of the General Counsel.) The General Counsel's brief and any brief which you may submit will be considered by the Commission before proceeding to a vote of probable cause to believe a violation has occurred.

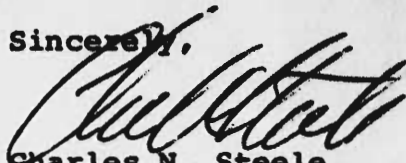
A finding of probable cause to believe requires that the Office of the General Counsel attempt for a period of not less than thirty, but more than ninety days to settle

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this matter through a conciliation agreement. This does not preclude settlement of this matter through informal conciliation prior to a finding of probable cause to believe, if you so desire.

Should you have any questions, please contact Judy Thedford at 202-523-5071.

Sincerely,


Charles N. Steele
General Counsel

Enclosure
Brief

82040332188



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

MEMORANDUM TO: CHARLES STEELE

FROM: MARJORIE W. EMMONS/MARGARET CHANEY *me*

DATE: APRIL 1, 1980

SUBJECT: MUR 1133 - Interim Investigative Report #1,
dated 3-19-80; Signed 3-28-80; Received
in OCS 3-28-80, 1:46

The above-named document was circulated to the Commission on a 24 hour no-objection basis at 11:00, March 31, 1980.

There were no objections to the Interim Investigative Report at the time of the deadline,

82040332189

March 28, 1980

MEMORANDUM TO: Marjorie W. Emmons

FROM: Elissa T. Garr

SUBJECT: MUR 1133

Please have the attached Interim Invest Report distributed to the Commission. Thank you.

32040332190

BEFORE THE FEDERAL ELECTION COMMISSION
March 19, 1980

RECEIVED
OFFICE OF THE
COMMISSION SECRETARY

80 MAR 28 P 1: 40

In the Matter of)

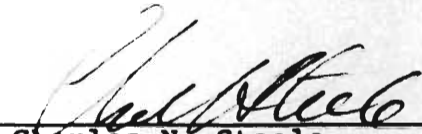
John S. Connolly For U.S.)
Senate Committee)

MUR 1133

INTERIM INVESTIGATIVE REPORT #1

On February 20, 1980, the Commission approved sending a letter and interrogatories to the respondent concerning the loans it reported receiving from the candidate, John S. Connolly. The responses to the interrogatories were due March 13, 1980. As of this date, no responses, written or telephonic, have been received from the respondent. A letter has been drafted to the treasurer of the committee requesting his responses to the interrogatories.

Date: 28 March 1980


Charles N. Steele
General Counsel

32040332191



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

March 27, 1980

**CERTIFIED MAIL
RETURN RECEIPT REQUESTED**

Mr. Kenneth D. Grund, Treasurer
John S. Connolly For U.S. Senate
Committee
100 McColl Building
St. Paul, MN

RE: MUR 1133

Dear Mr. Grund:

On January 9, 1979, you received notification that the Commission had found reason to believe that the John S. Connolly For U.S. Senate Committee violated the Federal Election Campaign Act of 1971, as amended ("the Act"), specifically 2 U.S.C. §434. Subsequently, on February 25, 1980, you were requested to submit within ten days answers to five questions in connection with the alleged violation.

As of this date, we have received no response. The Commission intends to conclude its investigation shortly. In the absence of any materials from you, the Commission will have to decide whether to take further action solely on the basis of information available from other sources.

If you have any questions regarding this matter, please call Judy Thedford, at 202/523-5071.

ly,
[Signature]
N. Steele
Counsel

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1. The following service is requested (check one):
☐ Show to whom and date delivered.
☐ Show to whom, date, and address of delivery.
☐ RESTRICTED DELIVERY
Show to whom and date delivered.
☐ RESTRICTED DELIVERY
Show to whom, date, and address of delivery.
(CONSULT POSTMASTER FOR FEES)

ARTICLE ADDRESSED TO:
Mr. Kenneth D. Grund
John S. Connolly for U.S.
Senate Committee
100 McColl Building
St. Paul, MN

ARTICLE DESCRIPTION:
REGISTERED NO. 1867116
CERTIFIED NO.
INSURED NO.

I have received the article described in enclosure ☐ Addressed ☐ Authorized agent

DATE OF DELIVERY 3-31-80
POSTMARK
ST. PAUL, MN
MAR 31 1980

UNABLE TO DELIVER BECAUSE



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

February 25, 1980

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Kenneth D. Grund, Treasurer
John S. Connolly For U.S. Senate
Committee
100 McCall Building
St. Paul, Minnesota 55101

Re: MUR 1133

Dear Mr. Grund:

On January 9, 1980, the Commission found reason to believe that your committee may have violated 2 U.S.C. § 434 of the Federal Election Campaign Act of 1971, as amended ("the Act"). You were notified of the Commission's determination at that time and given an opportunity to demonstrate that no further action should be taken in this matter.

As of this date, we have received no response from you in connection with this matter. Please submit answers to the enclosed questions within ten days of your receipt of this letter. Where appropriate, statements should be submitted under oath.

In the absence of any information which demonstrates that no further action should be taken against your committee, the Commission may find probable cause to believe that a violation has occurred, and proceed with formal conciliation. Of course, this does not preclude the settlement of this matter through informal conciliation prior to a finding of probable cause to believe.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

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Letter to: Kenneth D. Grund, Treasurer
Page 2

If you intend to be represented by counsel in this matter, please advise the Commission by sending a letter of representation stating the name, address and telephone number of such counsel, and a statement authorizing such counsel to receive any notification and other communications from the Commission.

If you have any questions, please contact Judy Thedford at 202/523-5071. For your information, we have attached a brief description of the Commission's procedures for handling possible violations.

Sincerely,

Charles N. Steele
Charles N. Steele
General Counsel

Enclosures

Procedures
Interrogatories

3 2 0 4 0 3 3 2 1 9 4

1. ARTICLE DESCRIPTION:	
REGISTERED NO.	CERTIFIED NO.
	94057
INSURED NO.	
I have received the article described above.	
SIGNATURE	<input type="checkbox"/> Addressee <input type="checkbox"/> Authorized agent
DATE OF DELIVERY	
8-27-80	
1. POSTAGE (Commission only if required)	

1183-7000

1

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Kenneth D. Grund, Treasurer
John S. Connolly For U.S. Senate
Committee
100 McCall Building
St. Paul, Minnesota 55101

Re: MUR 1133

Dear Mr. Grund:

On January 9, 1979, the Commission found reason to believe that your committee may have violated 2 U.S.C. § 434 of the Federal Election Campaign Act of 1971, as amended ("the Act"). You were notified of the Commission's determination at that time and given an opportunity to demonstrate that no further action should be taken in this matter.

As of this date, we have received no response from you in connection with this matter. Please submit answers to the enclosed questions within ten days of your receipt of this letter. Where appropriate, statements should be submitted under oath.

In the absence of any information which demonstrates that no further action should be taken against your committee, the Commission may find probable cause to believe that a violation has occurred, and proceed with formal conciliation. Of course, this does not preclude the settlement of this matter through informal conciliation prior to a finding of probable cause to believe.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

48. 2/2/80

Letter to: Kenneth D. Grand, Treasurer
Page 2

If you intend to be represented by counsel in this matter, please advise the Commission by sending a letter of representation stating the name, address and telephone number of such counsel, and a statement authorizing such counsel to receive any notification and other communications from the Commission.

If you have any questions, please contact Judy Thedford at 202/523-5071. For your information, we have attached a brief description of the Commission's procedures for handling possible violations.

Sincerely,

Charles W. Steele
General Counsel

Enclosures

Procedures
Interrogatories

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FEDERAL ELECTION COMMISSION

John S. Connolly For U.S.
Senate Committee

MUR 1133

INTERROGATORIES

The John S. Connolly For U.S. Senate Committee reported approximately \$25,616 in outstanding debts on the April 10, 1978 Quarterly Report. These debts were reported as loans from the candidate, John S. Connolly. Subsequent reports filed by the committee have failed to reflect if the loans are still outstanding, if they have been repaid, or if they have been forgiven. In regard to this matter please answer the following:

- (1) Are any of the loans outstanding? If so, please indicate the amount of each loan and the date it was made.
- (2) Were any of the loans forgiven? If so, please give the date each loan was forgiven and the amount.
- (3) Were any of the loans repaid? If so, please give the date each loan was repaid and the amount.
- (4) If you know, please indicate (a) which loans were from Mr. Connolly's personal funds, and (b) which loans were not from Mr. Connolly's personal funds. For those loans which were not from Mr. Connolly's personal fund, please identify the original source of the loans.
- (5) If you were able to answer which loans were or were not from Mr. Connolly's personal funds, please explain how you know this. Attach to your reply any documentation which may exist.

32040332197

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
John S. Connolly For U. S.)
Senate Committee)

MUR 1133

CERTIFICATION

I, Marjorie W. Emmons, Recording Secretary for the Federal Election Commission's Executive Session on February 20, 1980, do hereby certify that the Commission decided by a vote of 6-0 to send the letter and interrogatories, as attached to the General Counsel's February 11, 1980 report in MUR 1133, to the John S. Connolly for U. S. Senate Committee.

Attest:

2/21/80

Date

Marjorie W. Emmons

Marjorie W. Emmons
Secretary to the Commission

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FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

MEMORANDUM TO: CHARLES STEELE

FROM: MARJORIE W. EMMONS/MARGARET CHANEY *me*

DATE: FEBRUARY 13, 1980

SUBJECT: OBJECTION - MUR 1133 - First General
Counsel's Report dated 2-11-80; Received
in OCS 2-11-80, 10:40

The above-named document was circulated on a 48
hour vote basis at 4:00, February 11, 1980.

Commissioner Reiche submitted an objection at
10:16, February 12, 1980, thereby placing MUR 1133 on
the Executive Session Agenda for Wednesday, February 20,
1980.

A copy of Commissioner Reiche's vote sheet is
provided showing his comments.

ATTACHMENT:
Copy of Vote Sheet

32040332199

February 11, 1980

MEMORANDUM TO: Marjorie W. Emmons

FROM: Elissa T. Garr

SUBJECT: MUR 1133

Please have the attached First GC Report on MUR 1133 distributed to the Commission on a 48 hour tally basis.

Thank you.

32040332200

FEDERAL ELECTION COMMISSION
1325 K Street, N.W.
Washington, D.C. 20003

FIRST GENERAL COUNSEL'S REPORT

DATE AND TIME OF TRANSMITTAL
BY OGC TO THE COMMISSION

2-11-80

MUR # 1133

STAFF MEMBER(S)
Thedford

SOURCE OF MUR: INTERNALLY GENERATED

RESPONDENT'S NAME: John S. Connolly For U.S. Senate Committee

RELEVANT STATUTE: 2 U.S.C. § 434(b)(12) recodified 2 U.S.C.
§ 434(b)(8)

INTERNAL REPORTS CHECKED: John S. Connolly For U.S. Senate Committee

FEDERAL AGENCIES CHECKED: None

SUMMARY OF ALLEGATIONS

On January 7, 1980, the Reports Analysis Division ("RAD") referred to the Office of the General Counsel information which alleges that the John S. Connolly For U.S. Senate Committee ("the Committee") failed to continuously report debts properly in violation of 2 U.S.C. § 434(b)(12) recodified 2 U.S.C. § 434(b)(8) and to provide the nature of debts owed to the candidate as required by 11 C.F.R. § 104.2(b)(11).

FACTUAL AND LEGAL ANALYSIS

The April 10, 1978 Quarterly Report filed by the John S. Connolly For U.S. Senate Committee ("the Committee") reported outstanding debts totalling \$25,616 which were loans from the candidate, John S. Connolly, to the Committee. The loans were not continuously reported on the Committee's subsequent 1978 reports as required by 2 U.S.C. § 434. Thus, it is not known if the loans are still outstanding, if they have been repaid, or if they have been forgiven.

The Committee was sent requests for additional information on October 11, 1978 and August 17, 1979. On January 9, 1979, the Commission, upon recommendation from the Reports Analysis Division, found reason to believe that the Committee may have violated 2 U.S.C. § 434. Four unsuccessful attempts were made to reach the treasurer by phone. No response, written or telephonic, has been received from the respondent.

The requests for additional information also inquired into the particulars of the candidate loans. The particulars would clarify whether the loans were from personal funds of the candidate or other sources.

In order to investigate the matter, it is recommended that a letter and interrogatories be sent to the Committee.

RECOMMENDATION

Send the attached letter and interrogatories to the John S. Connolly For U.S. Senate Committee.

Attachments

Letter
Interrogatories
Referral

3 2 0 4 0 3 3 2 2 0 2



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Kenneth D. Grund, Treasurer
John S. Connolly For U.S. Senate
Committee
100 McCall Building
St. Paul, Minnesota 55101

Re: MUR 1133

Dear Mr. Grund:

On January 9, 1979, the Commission found reason to believe that your committee may have violated 2 U.S.C. § 434 of the Federal Election Campaign Act of 1971, as amended ("the Act"). You were notified of the Commission's determination at that time and given an opportunity to demonstrate that no further action should be taken in this matter.

As of this date, we have received no response from you in connection with this matter. Please submit answers to the enclosed questions within ten days of your receipt of this letter. Where appropriate, statements should be submitted under oath.

In the absence of any information which demonstrates that no further action should be taken against your committee, the Commission may find probable cause to believe that a violation has occurred, and proceed with formal conciliation. Of course, this does not preclude the settlement of this matter through informal conciliation prior to a finding of probable cause to believe.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

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Letter to: Kenneth D. Grund, Treasurer
Page 2

If you intend to be represented by counsel in this matter, please advise the Commission by sending a letter of representation stating the name, address and telephone number of such counsel, and a statement authorizing such counsel to receive any notification and other communications from the Commission.

If you have any questions, please contact Judy Thedford at 202/523-5071. For your information, we have attached a brief description of the Commission's procedures for handling possible violations.

Sincerely,

Charles N. Steele
General Counsel

Enclosures

Procedures
Interrogatories

3 2 0 4 0 3 3 2 2 0 4

FEDERAL ELECTION COMMISSION

**John S. Connolly For U.S.
Senate Committee**

MUR 1133

INTERROGATORIES

The John S. Connolly For U.S. Senate Committee reported approximately \$25,616 in outstanding debts on the April 10, 1978 Quarterly Report. These debts were reported as loans from the candidate, John S. Connolly. Subsequent reports filed by the committee have failed to reflect if the loans are still outstanding, if they have been repaid, or if they have been forgiven. In regard to this matter please answer the following:

- (1) Are any of the loans outstanding? If so, please indicate the amount of each loan and the date it was made.
- (2) Were any of the loans forgiven? If so, please give the date each loan was forgiven and the amount.
- (3) Were any of the loans repaid? If so, please give the date each loan was repaid and the amount.
- (4) If you know, please indicate (a) which loans were from Mr. Connolly's personal funds, and (b) which loans were not from Mr. Connolly's personal funds. For those loans which were not from Mr. Connolly's personal fund, please identify the original source of the loans.
- (5) If you were able to answer which loans were or were not from Mr. Connolly's personal funds, please explain how you know this. Attach to your reply any documentation which may exist.

32040332205

REFER TO INSTRUCTIONS ON REVERSE SIDE BEFORE COMPLETING

REPORTS ANALYSIS REFERRAL SHEET

DATE 1-7-80 ANALYST Susan Heber
TO: OCC TEAM CHIEF Peter Kell
THROUGH: STAFF DIRECTOR COMPLIANCE REVIEW CA/CC
FROM: ASSISTANT STAFF DIRECTOR FOR REPORTS ANALYSIS

CANDIDATE/COMMITTEE: John S. Connolly for U.S. Senate Committee
TREASURER: Kenneth D. Grund
ADDRESS: 100 McColl Building
St. Paul, Minnesota 55101
AFFILIATE(S): None

ALLEGATION(S): Failure to continuously report debts properly CITE: 2 U.S.C. 434(b)(12) ATTACHMENT(S) 2
Failure to provide nature of debts owed to candidate 104.2(b)(11)
DATE INITIATED: 10/6/78

MANNER IN WHICH REVIEW WAS INITIATED:
☒ Normal Review ☐ Other:
☐ Special Project: ATTACHMENT

REPORTS: All reports within the dates listed below have received initial basic review. For all reports reviewed, see Attachment 1.

PERIOD COVERED FROM 4/1/78 TO 8/28/78
TOTAL RECEIPTS \$ 70808 TOTAL EXPENDITURES \$ 60686
CASH ON HAND \$ 10123 DEBTS \$ 68525

HISTORY:

RESULTS OF REVIEW: ATTACHMENT
10/11/78- RFAI sent for July 10 report 3
10/11/78- RFAI sent for the 10 Day Pre-Primary report 4
1/9/79 - Second letter sent for the July 10 & 10 Day Pre-Primary 6
8/17/79 - RFAI sent for the October 10 report 7
2/17/79 - RFAI sent for the Year End report 8

COMMUNICATIONS WITH CANDIDATE/COMMITTEE: ATTACHMENT
Unsuccessful attempts to contact committee on 5
10/24/79, 10/29/79, 10/31/79, and 11/2/79

REASON(S) FOR REFERRAL: ATTACHMENT
No response to RTB for the July 10 & 10 Day Pre-Primary reports
No response to the RFAI's for the October 10 and Year End reports

OTHER PENDING ACTIONS INITIATED BY RAD: ATTACHMENT

OTHER RELEVANT INFORMATION: ATTACHMENT

PREVIOUS OCC AUDIT REFERRALS FROM RAD:

FEDERAL ELECTION COMMISSION
COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)DATE 7NOV79
PAGE 1

SENATE

COMMITTEE	DOCUMENT	RECEIPTS		EXPENDITURES		COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
		PRIMARY	GENERAL	PRIMARY	GENERAL			
CONNOLLY FOR U.S. SENATE COMMITTEE								
1977	STATEMENT OF ORGANIZATION					31OCT77	5	77SEN/005/0916
	STATEMENT OF ORGANIZATION- AMENDMENT					5DEC77	3	77SEN/005/1833
1978	STATEMENT OF ORGANIZATION- AMENDMENT					17JUL78	3	78SEN/009/2821
	48 HOUR CONTRIBUTION NOTICE					18EP78	2	78SEN/010/3953
	48 HOUR CONTRIBUTION NOTICE					78EP78	3	78SEN/011/2172
	48 HOUR CONTRIBUTION NOTICE					118EP78	2	78SEN/011/3369
	STATEMENT OF ORGANIZATION- AMENDMENT					128EP78	4	78SEN/011/4686
	48 HOUR CONTRIBUTION NOTICE					128EP78	2	78SEN/011/4684
	48 HOUR CONTRIBUTION NOTICE					148EP78	2	78SEN/012/0084
	48 HOUR CONTRIBUTION NOTICE					188EP78	3	78SEN/012/0158
1977	OCTOBER 10 QUARTERLY	0		0		278EP77 -30SEP77	3	78SEN/002/0404
	YEAR END REPORT	0		0		278EP77 -31DEC77	9	78SEN/002/0407
	REQUEST FOR ADDITIONAL INFORMATION					10CT77 -31DEC77	2	78FEC/076/0219
	YEAR END REPORT - AMENDMENT	10,773		10,724		10CT77 -31DEC77	12	78SEN/005/0546
	YEAR END REPORT - AMENDMENT	10,773		10,724		10CT77 -31DEC77	6	78SEN/009/2155
1978	APRIL 10 QUARTERLY	14,321		14,327		1JAN78 -31MAR78	18	78SEN/003/4304
	APRIL 10 QUARTERLY - AMENDMENT	14,321		14,367		1JAN78 -31MAR78	26	78SEN/005/0566
	REQUEST FOR ADDITIONAL INFORMATION					1JAN78 -31MAR78	4	78FEC/076/0221
	APRIL 10 QUARTERLY - AMENDMENT	14,321		14,367		1JAN78 -31MAR78	27	78SEN/009/2161
	10 DAY PRE-PRIMARY	63,056		52,944		1JUL78 -28AUG78	15	78SEN/011/0497
	REQUEST FOR ADDITIONAL INFORMATION					1JUL78 -28AUG78	3	78FEC/088/4016
	JULY 10 QUARTERLY	7,752		7,742		1APR78 -30JUN78	15	78SEN/009/1272
	REQUEST FOR ADDITIONAL INFORMATION					1APR78 -30JUN78	3	78FEC/088/4013
	OCTOBER 10 QUARTERLY	20,955		31,057		29AUG78 -30SEP78	22	78SEN/014/3873
	REQUEST FOR ADDITIONAL INFORMATION					29AUG78 -30SEP78	4	79FEC/135/0838
	YEAR END REPORT	745		444		10CT78 -31DEC78	16	79SEN/001/2725
	REQUEST FOR ADDITIONAL INFORMATION					10CT78 -31DEC78	3	79FEC/135/0835
	TOTAL	117,602	0	117,278	0		217	TOTAL PAGES

8 2 0 4 0 3 2 2 0 7

ALLEGATIONS

The John S. Connolly for U.S. Senate Committee does not disclose its debts in a continuous manner as prescribed by law. The following is a brief synopsis of the problem.

None of the debts disclosed on the April 10 report were carried over to the subsequent July 10 report nor included in the total amount of debts owed by the committee. Most of the debts from the 10 Day Pre-Primary report were carried over to the subsequent reports. However, the loans and debts listed on the April 10 report never reappeared on Schedule C nor were ever reported as expenditures on Schedule B. The majority of these debts were loans from the candidate to the committee. The following are the summary pages and Schedule Cs for the April 10 report and the subsequent July 10 report.

3 2 0 4 0 3 3 2 2 2 0 3

REPORT OF RECEIPTS AND EXPENDITURES
FOR A CANDIDATE OR COMMITTEE
SUPPORTING CANDIDATE(S) FOR
NOMINATION OR ELECTION TO FEDERAL OFFICE

(Except for Candidates or Committees Receiving Federal Matching Funds)

APR 10 AMEND
RECEIVED
SECRETARY OF THE SENATE
MAY -8 AM 10 19

DELIVERED ☐

Note: Committees authorized by a candidate to receive contributions and make expenditures in connection with more than one election must maintain separate records with respect to each election.

1. John S. Connolly for US Senate Vol. Committee

Name of Candidate or Committee (in full)

308 Mc Coll Building

Address (number and street)

Saint Paul, Minnesota 55101

City, State and ZIP Code

☐ Check if address is different than previously reported.

Year of Election 1978

2 I.D. No. S2422

Candidate/Committee

3 U.S. Senate

Office Sought, State/District (if applicable)

Type of Report (check appropriate boxes)

☒ April 10 Quarterly Report

☐ Tenth day report preceding _____ election
(primary, general or convention)

☐ Termination Report

☐ July 10 Quarterly Report

on _____ in the State of _____
(date)

☒ Amendment for:

☐ October 10 Quarterly Report

☐ Thirtieth day report following _____ election
(primary, general or convention)

April 10, 1978
(which report)

☐ January 31 Annual Report

☐ Monthly Report _____ on _____ in the State of _____
(month) (date)

This is a report for

☒ Primary Election

☐ General Election

☐ Primary and General

☐ Other (specify, runoff, etc.)

SUMMARY OF RECEIPTS AND EXPENDITURES

(Figures may be rounded to nearest dollar.)

5 Covering Period	Column A This Period	Column B Calendar Year-To-Date
<u>January 1</u> Through <u>March 31, 1978</u>		
6 Cash on hand January 1, 19		\$ <u>48.62</u>
7 Cash on hand at beginning of reporting period	\$ <u>48.62</u>	
8 Total receipts (from line 19)	\$ <u>14321.00</u>	\$ <u>14,321.00</u>
(a) Subtotal (Add lines 7 and 8 for Column A and lines 6 and 8 for Column B)	\$ <u>14369.62</u>	\$ <u>14,369.62</u>
9 Total expenditures (from line 25)	\$ <u>14,367.37</u>	\$ <u>14,367.67</u>
10 Cash on hand at close of reporting period (Subtract line 9 from line 8a)	\$ <u>2.25</u>	\$ <u>2.25</u>
11 Value of contributed items on hand to be liquidated (Attach itemized list)	\$ _____	
12 Debts and obligations owed to the Committee/Candidate (itemize all on Schedule C)	\$ _____	
13 Debts and obligations owed by the Committee/Candidate (itemize all on Schedule C)	\$ <u>25,616.00</u>	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

2 May 1978
(Date)

MILTON A. DE JESUS - TREASURER
(Typed Name of Treasurer or Candidate)

Milton A. de Jesus
(Signature of Treasurer or Candidate)

Note: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. Section 437g, or Section 461; (see reverse side of form).

For further
information,
Contact:

Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

or call 800-424-9530

Approved by GAO
B-157520 (R05G6)
Expires 3-31-81

All previous versions of FORM 3 are obsolete and should no longer be used.

Any information reported herein may not be copied for sale or use by any person for purposes of soliciting contributions or for any commercial purpose.

DEBTS AND OBLIGATIONS
Supporting Line Numbers 12 and 13
of FEC FORM 3

Page 1 of 2 for
 Line Number 13 *FEC 3*
 (Use Separate Schedules for
 each numbered line)

(Indicate Primary or General Election for each Entry)

Name of Candidate and Committee in Full

John S. Connolly For U.S. Senate

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Beeson Travel Bureau, Inc. 2011 Eye Street, N.W. Washington, D.C. 20006	2/1/78	360.00	none	360.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Travel tickets, air fare from Mpls. to Washington D. C. in connection with fund raiser, for Mr. and Mrs Connolly.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Buds Auto Repair 542 Stryker Ave. SAint Paul, Minnesota 55107	1/31/78	27.90	none	27.90
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Minor auto repair on the hustings.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
The Hotel Leamington 1014 3rd Ave. South Minneapolis, Minnesota 55404	1/23/78 1/29/78	108.70 259.90	none	368.60
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Lodging and food for out of state campaign workers.

SUBTOTALS this period this page (optional)	\$	\$	\$ 756.50
TOTAL this period (last page this line number only)	\$	\$	\$

Carry outstanding balance only to appropriate line of summary.

97902030302323

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13
 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

APRIL 11 AMEND

Page 2 of 2 for

Line Number 13 FEC 3

(Use Separate Schedules for
 each numbered line)

Name of Candidate and Committee in Full

John S. Connolly For U.S. Senate

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

Devlin Productions, Inc.
 150 West 55th Street
 New York, New York 10019

Date (month,
 day, year)

1/24/78
 2/13/78

Amount of Original
 Debt, Contract,
 Agreement or
 Promise

671.93
 238.02

Cumulative
 Payment
 To Date

none
 none

Outstanding
 Balance at
 Close of
 This Period

909.95

☒ Primary

☐ General

☐ Other

NATURE OF OBLIGATION (Details of Debt):

Production of Television Ad.

PLEASE NOTE, THIS ITEM IS IN DISPUTE IN ITS ENTIRETY.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

Date (month,
 day, year)

Amount of Original
 Debt, Contract,
 Agreement or
 Promise

Cumulative
 Payment
 To Date

Outstanding
 Balance at
 Close of
 This Period

☐ Primary

☐ General

☐ Other

NATURE OF OBLIGATION (Details of Debt):

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

Date (month,
 day, year)

Amount of Original
 Debt, Contract,
 Agreement or
 Promise

Cumulative
 Payment
 To Date

Outstanding
 Balance at
 Close of
 This Period

☐ Primary

☐ General

☐ Other

NATURE OF OBLIGATION (Details of Debt):

SUBTOTALS this period this page (optional)

\$

\$

\$ 909.95

TOTAL this period (last page this line number only)

\$

\$

\$1666.45

Carry outstanding balance only to appropriate line of summary

0584202800243

APRIL 16 AMEND

Caveat: The above analysis only considers the effect of the number

DEBTS AND OBLIGATIONS

**Supporting Line Numbers 12 and 13
 of FEC FORM 3**

(Indicate Primary or General Election for each Entry)

Page 2 of 4 for

Line Number _____

(Use Separate Schedules for
 each numbered line)

Name of Candidate and Committee in Full

JOHN S. CONNOLLY FOR US SENATE VOLUNTEER COMMITTEE

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll Building Saint Paul, Minnesota 55101	2/10/78	\$420.00	none	\$420.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to campaign committee.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly Same as above	1/10/78	\$600.00	none	\$600.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to campaign committee.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly Same as above	1/11/78	\$150.00	none	\$150.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to campaign committee.

SUB-TOTALS (this period this page (optional))	\$	\$	\$
TOTAL (this period first page this line number only)	\$	\$	\$
Carry over to the next page only to add to the line of summary			

2280903090582

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13
of FEC FORM 3

(Indicate Primary or General Election for each Entry)

APRIL 10 1978

Page **3** of **4** for

Line Number

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

JOHN S. CONNOLLY FOR US SENATE VOLUNTEER COMMITTEE

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll Building Saint Paul, Minnesota 55101	2/10/78	\$400.00	none	\$400.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt)

Loan to campaign committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly Same as above	2/14/78	\$1,200.00	none	\$1,200.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt)

Loan to campaign committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly Same as above	3/27/78	\$85.00	none	\$85.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt)

SUBTOTALS this period this page (optional) \$ \$ \$

TOTAL this period (last page this line number only) \$ \$ \$

NOTE: Outstanding balance only to approximate end of summary.

2 7 7 4 0 2 3 0 3 0 2 5 2 7 5 4 8 3

DEBTS AND OBLIGATIONS

**Supporting Line Numbers 12 and 13
 of FEC FORM 3**

(Indicate Primary or General Election for each Entry)

Page 4 of 4 for

Line Number

(Use Separate Schedules for
 each numbered line)

Name of Candidate and Committee in Full

JOHN S. CONNOLLY FOR US SENATE VOLUNTEER COMMITTEE

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

John S. Connolly
 100 Mc Coll building
 Saint Paul, Minnesota 55101

Date (month,
 day, year)

1/6/78

Amount of Original
 Debt, Contract,
 Agreement or
 Promise

\$100.00

Cumulative
 Payment
 To Date

none

Outstanding
 Balance at
 Close of
 This Period

\$100.00

☒ Primary

☐ General

☐ Other

\$

\$

\$

NATURE OF OBLIGATION (Details of Debt):

Loan to campaign committee.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

John S. Connolly
 Same as above

Date (month,
 day, year)

1/4/78

Amount of Original
 Debt, Contract,
 Agreement or
 Promise

\$400.00

Cumulative
 Payment
 To Date

none

Outstanding
 Balance at
 Close of
 This Period

\$400.00

☒ Primary

☐ General

☐ Other

\$

\$

\$

NATURE OF OBLIGATION (Details of Debt):

Loan to campaign committee.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

John S. Connolly
 Same as above

Date (month,
 day, year)

3/29/78

Amount of Original
 Debt, Contract,
 Agreement or
 Promise

\$25.00

Cumulative
 Payment
 To Date

none

Outstanding
 Balance at
 Close of
 This Period

\$25.00

☒ Primary

☐ General

☐ Other

\$

\$

\$

NATURE OF OBLIGATION (Details of Debt):

Loan to campaign committee.

SUBTOTALS this period this page (optional)

\$

\$

\$

TOTAL this period (last page this line number only).

\$

\$

\$ \$13,380

Copy outstanding balance only to appropriate line of summary

3 2 7 4 4 0 2 3 0 2 2 1 5 8 4

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13
of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 1 of 4 for

Line Number 13

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

John S. Connolly for U.S. Senate Volunteer Committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll Bldg. Saint Paul, Minnesota 55101	11/17/77	\$3,000.00	none	\$3,000.00
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to Campaign Committee.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll Bldg. Saint Paul, Minnesota 55101	11/25/77	\$2625.00	none	\$2625.00
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to Campaign Committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll Bldg. Saint Paul Minnesota 55101	11/30/77	\$650.00	none	\$650.00
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to Campaign Committee

Subtotal for this period (this page optional)	\$	\$	\$ 6275
Subtotal for this period (this page this line number only)	\$	\$	\$

Do not include amounts only in appropriate line of summary

3 2 7 0 0 2 3 0 5 2 1 5 8 5

DEBTS AND OBLIGATION

Supporting Line Numbers 12 and 13
of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 2 of 4 for
Line Number 13

(Use Separate Schedules for
each numbered line)

Name of Campaign Committee in Full

John S. Connolly for U. S. Senate Volunteer Committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

John S. Connolly
100 Mc Coll Bldg.
Saint Paul, Minnesota 55101

Date (month,
day, year)

12/16/77

Amount of Original
Debt, Contract,
Agreement or
Promise

\$200.00

Cumulative
Payment
To Date

none

Outstanding
Balance at
Close of
This Period

\$200.00

☐ Primary

☐ General

☐ Other

\$

\$

\$

NATURE OF OBLIGATION (Details of Debt):

Loan to Campaign Committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

John S. Connolly
100 Mc Coll Bldg.
Saint Paul, Minnesota 55151

Date (month,
day, year)

12/19/77

Amount of Original
Debt, Contract,
Agreement or
Promise

\$900.00

Cumulative
Payment
To Date

none

Outstanding
Balance at
Close of
This Period

\$900.00

☐ Primary

☐ General

☐ Other

\$

\$

\$

NATURE OF OBLIGATION (Details of Debt):

Loan to Campaign Committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

John S. Connolly
100 Mc Coll Bldg.
Saint Paul, Minnesota 55101

Date (month,
day, year)

12/27/77

Amount of Original
Debt, Contract,
Agreement or
Promise

\$400.00

Cumulative
Payment
To Date

none

Outstanding
Balance at
Close of
This Period

\$400.00

☐ Primary

☐ General

☐ Other

\$

\$

\$

NATURE OF OBLIGATION (Details of Debt):

Loan to Campaign Committee.

SUB TOTAL (if entered this page (optional))

\$

\$

\$ 1500.00

TOTAL (if entered this page (optional))

\$

\$

\$

Grand Total (if entered this page (optional))

DEBTS AND OBLIGATION

Supporting Line Numbers 12 and 13
of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 4 of 4

Line Number 13

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

John S. Connolly for U.S. Senate Volunteer Committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll Bldg Saint Paul, Minnesota 55101	12/29/77	\$100.00	None	\$100.00
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to Campaign Committee.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll Bldg. Saint Paul, Minnesota 55101	12/23/77	\$550.00	none	\$550.00
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to campaign Committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll Bldg. Saint Paul, Minnesota 55101	12/13/77	\$600.00	none	\$600.00
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to campaign Committee.

SUBTOTALS this period this page (optional) \$ \$ \$1250

TOTAL this period (first page this line number only) \$ \$ \$

(Do not include this amount only to avoid double counting)

277840023030252015387

APRIL 10 1978

John Connolly
 270840023030252015908
 Conn. L.C. 20483

DEBTS AND OBLIGATION

Supporting Line Numbers 12 and 13
 of FEC FORM 3

Page 3 of 3 for
 Line Number 13

(Use Separate Schedules for
 each numbered line)

(Indicate Primary or General Election for each Entry)

Name of Candidate and Committee in Full

John S. Connolly for U.S. Senate Volunteer Committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 McColl Bldg Saint Paul, Minnesota 55101	12/12/77	\$1,500.00	none	\$1,500.00
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt)

Loan to Campaign committee.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll Bldg. SAint Paul, Minnesota 55101	12/23/77	\$20.00	none	\$20.00
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to Campaign Committee.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Donald Cheatham 431 Lynnhurst Ave. West Saint Paul, Minnesota	11/28/77	\$25.00	none	\$25.00
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt)

SUBTOTAL (this period this page (optional))	\$	\$	\$ 1545
TOTAL (this period first page this line number only)	\$	\$	\$ 10,57
Continuation of Form 3 - this page only to be used in reporting of summary			

JULY 10

FEC FORM 3
REVISED
January, 1978
Federal Election Commission
1375 K Street, N.W.
Washington, D.C. 20463

REPORT OF RECEIPTS AND EXPENDITURES
FOR A CANDIDATE OR COMMITTEE
SUPPORTING CANDIDATE(S) FOR
NOMINATION OR ELECTION TO FEDERAL OFFICE

(Except for Candidates or Committees Receiving Federal Matching Funds)

RECEIVED
JUL 14 1978
U.S. SENATE

Note: Committees authorized by a candidate to receive contributions and make expenditures in connection with more than one election must maintain separate records with respect to each election.

JOHN S. CONNOLLY FOR U.S. SENATE VOLUNTEER COMMITTEE

1 Name of Candidate or Committee (in full)
100 McColl Bldg. MN DEL C906
Address (number and street)
Saint Paul, Minnesota 55101
City, State and ZIP Code

2 I.D. No. **S 2422**
Candidate/Committee

3 **U.S. SENATE**
Office Sought, State/District (if applicable)

4 Check if address is different than previously reported. ☒ **1978**
Year of Election

4 Type of Report (check appropriate boxes)

- ☐ April 10 Quarterly Report
☒ July 10 Quarterly Report
☐ October 10 Quarterly Report
☐ January 31 Annual Report
☐ Monthly Report (month) _____ on _____ (date) in the State of _____
- ☐ Tenth day report preceding _____ election (primary, general or convention)
☐ Termination Report
☐ Amendment for: _____ (which report) _____
- ☐ Thirtieth day report following _____ election (primary, general or convention)
☐ Primary Election
☐ General Election
☐ Primary and General
☐ Other (specify: _____)

SUMMARY OF RECEIPTS AND EXPENDITURES
(Figures may be rounded to nearest dollar.)

5 Covering Period	Column A This Period	Column B Calendar Year-To-Date
04/10/78 Through 06/30/78		
6 Cash on hand January 1, 1978		\$
7 Cash on hand at beginning of reporting period	\$ 2.25	
8 Total receipts (line 19)	\$ 7752.10	\$ 22,073.10
1st Subtotal (Add lines 7 and 8 for Column A and lines 6 and 8 for Column B)	\$ 7754.35	\$ 22,123.97
9 Total expenditures (from line 25)	\$ 7742.68	\$ 22,110.05
10 Cash on hand at close of reporting period (Subtract line 9 from line 8)	\$ 11.67	\$ 13.92
11 Total contributions on hand to be liquidated (Amount designated as)		
12 Debts and obligations owed to the Committee (Candidate itemize on Schedule C)	\$	
13 Debts and obligations owed by the Committee (Candidate itemize on Schedule C)	\$ 7,200.00	

certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

[Signature]
(Signature)

[Signature]
(Type and Name of Treasurer or Candidate)

[Signature]
(Signature of Treasurer or Candidate)

Note: Submission of false or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. Section 437.

For further information, contact:
Federal Election Commission
1375 K Street, N.W.
Washington, D.C. 20463

or call 800-424-9530

Approved by CAO
B-187522 (R0506)
Expires 3/31/81

ADDITIONAL
INFORMATION
REQUESTED

2
Previous versions of FEC FORM 3 are obsolete and should no longer be used.
This form is not to be used for soliciting contributions or for any commercial purpose.

FEDERAL ELECTION COMMISSION
 WASHINGTON, D.C. 20543
 January, 1978
 Form 280, 281, 282, 283, 284, 285, 286, 287, 288, 289, 290, 291, 292, 293, 294, 295, 296, 297, 298, 299, 300, 301, 302, 303, 304, 305, 306, 307, 308, 309, 310, 311, 312, 313, 314, 315, 316, 317, 318, 319, 320, 321, 322, 323, 324, 325, 326, 327, 328, 329, 330, 331, 332, 333, 334, 335, 336, 337, 338, 339, 340, 341, 342, 343, 344, 345, 346, 347, 348, 349, 350, 351, 352, 353, 354, 355, 356, 357, 358, 359, 360, 361, 362, 363, 364, 365, 366, 367, 368, 369, 370, 371, 372, 373, 374, 375, 376, 377, 378, 379, 380, 381, 382, 383, 384, 385, 386, 387, 388, 389, 390, 391, 392, 393, 394, 395, 396, 397, 398, 399, 400, 401, 402, 403, 404, 405, 406, 407, 408, 409, 410, 411, 412, 413, 414, 415, 416, 417, 418, 419, 420, 421, 422, 423, 424, 425, 426, 427, 428, 429, 430, 431, 432, 433, 434, 435, 436, 437, 438, 439, 440, 441, 442, 443, 444, 445, 446, 447, 448, 449, 450, 451, 452, 453, 454, 455, 456, 457, 458, 459, 460, 461, 462, 463, 464, 465, 466, 467, 468, 469, 470, 471, 472, 473, 474, 475, 476, 477, 478, 479, 480, 481, 482, 483, 484, 485, 486, 487, 488, 489, 490, 491, 492, 493, 494, 495, 496, 497, 498, 499, 500, 501, 502, 503, 504, 505, 506, 507, 508, 509, 510, 511, 512, 513, 514, 515, 516, 517, 518, 519, 520, 521, 522, 523, 524, 525, 526, 527, 528, 529, 530, 531, 532, 533, 534, 535, 536, 537, 538, 539, 540, 541, 542, 543, 544, 545, 546, 547, 548, 549, 550, 551, 552, 553, 554, 555, 556, 557, 558, 559, 560, 561, 562, 563, 564, 565, 566, 567, 568, 569, 570, 571, 572, 573, 574, 575, 576, 577, 578, 579, 580, 581, 582, 583, 584, 585, 586, 587, 588, 589, 590, 591, 592, 593, 594, 595, 596, 597, 598, 599, 600, 601, 602, 603, 604, 605, 606, 607, 608, 609, 610, 611, 612, 613, 614, 615, 616, 617, 618, 619, 620, 621, 622, 623, 624, 625, 626, 627, 628, 629, 630, 631, 632, 633, 634, 635, 636, 637, 638, 639, 640, 641, 642, 643, 644, 645, 646, 647, 648, 649, 650, 651, 652, 653, 654, 655, 656, 657, 658, 659, 660, 661, 662, 663, 664, 665, 666, 667, 668, 669, 670, 671, 672, 673, 674, 675, 676, 677, 678, 679, 680, 681, 682, 683, 684, 685, 686, 687, 688, 689, 690, 691, 692, 693, 694, 695, 696, 697, 698, 699, 700, 701, 702, 703, 704, 705, 706, 707, 708, 709, 710, 711, 712, 713, 714, 715, 716, 717, 718, 719, 720, 721, 722, 723, 724, 725, 726, 727, 728, 729, 730, 731, 732, 733, 734, 735, 736, 737, 738, 739, 740, 741, 742, 743, 744, 745, 746, 747, 748, 749, 750, 751, 752, 753, 754, 755, 756, 757, 758, 759, 760, 761, 762, 763, 764, 765, 766, 767, 768, 769, 770, 771, 772, 773, 774, 775, 776, 777, 778, 779, 780, 781, 782, 783, 784, 785, 786, 787, 788, 789, 790, 791, 792, 793, 794, 795, 796, 797, 798, 799, 800, 801, 802, 803, 804, 805, 806, 807, 808, 809, 810, 811, 812, 813, 814, 815, 816, 817, 818, 819, 820, 821, 822, 823, 824, 825, 826, 827, 828, 829, 830, 831, 832, 833, 834, 835, 836, 837, 838, 839, 840, 841, 842, 843, 844, 845, 846, 847, 848, 849, 850, 851, 852, 853, 854, 855, 856, 857, 858, 859, 860, 861, 862, 863, 864, 865, 866, 867, 868, 869, 870, 871, 872, 873, 874, 875, 876, 877, 878, 879, 880, 881, 882, 883, 884, 885, 886, 887, 888, 889, 890, 891, 892, 893, 894, 895, 896, 897, 898, 899, 900, 901, 902, 903, 904, 905, 906, 907, 908, 909, 910, 911, 912, 913, 914, 915, 916, 917, 918, 919, 920, 921, 922, 923, 924, 925, 926, 927, 928, 929, 930, 931, 932, 933, 934, 935, 936, 937, 938, 939, 940, 941, 942, 943, 944, 945, 946, 947, 948, 949, 950, 951, 952, 953, 954, 955, 956, 957, 958, 959, 960, 961, 962, 963, 964, 965, 966, 967, 968, 969, 970, 971, 972, 973, 974, 975, 976, 977, 978, 979, 980, 981, 982, 983, 984, 985, 986, 987, 988, 989, 990, 991, 992, 993, 994, 995, 996, 997, 998, 999, 1000

July 10

DEBTS AND OBLIGATIONS Supporting Line Numbers 12 and 13 of FEC FORM 3

Page 1 of 2
 Line Number 11
 (Use Separate Schedules for each numbered line)

(Indicate Primary or General Election for each Entry)

Name of Candidate and Committee in Full				
JOHN S. CONNOLLY FOR U.S. SENATE COMMITTEE				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
ALBERT O. UNISE 1144 Argyle St. Paul, Minnesota 55103	4/19/78	\$1,000.00	none	\$1,000.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$
NATURE OF OBLIGATION (Details of Debt)				
LOAN TO CAMPAIGN COMMITTEE				
JAMES HAPORNG 731 Grandview St. Paul, Minnesota	4/19/78	\$1,000.00	none	\$1,000.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$
NATURE OF OBLIGATION (Details of Debt)				
LOAN TO CAMPAIGN COMMITTEE				
JOHN J. KELLY 555 Farrel Street St. Paul, Minnesota	5/18/78	\$1,000.00	none	\$1,000.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$
NATURE OF OBLIGATION (Details of Debt)				
LOAN TO CAMPAIGN COMMITTEE				
SUBTOTALS this period this page (optional)		\$1,000.00	\$ none	\$1,000.00
TOTAL (carried over to page this line number only)		\$	\$	\$

1990

= 7200.00



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

October 11, 1978

Mr. Kenneth Grund, Treasurer
John S. Connolly for U.S. Senate
208 McColl Building
St. Paul, MN 55101

Dear Mr. Grund:

This letter is prompted by our interest in assisting Senate candidates and committees who wish to comply with the Federal Election Campaign Act.

During review of the July 10 & 10 Day Pre-Prim Report of Receipts and Expenditures, we noted that you omitted certain information or made apparent mathematical errors in certain entries. Attached is an itemization of the information requested.

While we recognize the difficulties you may have experienced in filling out the reporting forms, we must ask that you supply the Secretary of the Senate, Office of Public Records, 119 D Street, N.E., Washington, D.C. 20510, with the missing information within fifteen (15) days from the date of this letter. If you have any questions, please do not hesitate to contact Susan Weber in our Reports Analysis Division on the toll free number (800)424-9530. Our local number is (202)523-4048.

Sincerely,

Orlando B. Potter

Orlando B. Potter
Staff Director

Attachment
FEC Form 12



JOHN S. CONNOLLY FOR U.S. SENATE/ MN

DATE: October 11, 1978

I.D. NO.: 000082032

REQUEST FOR ADDITIONAL INFORMATION FOR THE July 10 Quarterly REPORT OF RECEIPTS
AND EXPENDITURES COVERING THE PERIOD 4/1/78 THROUGH 6/30/78,
PURSUANT TO THE FEDERAL ELECTION CAMPAIGN ACT OF 1971, AS AMENDED.

A review of the report indicates that additional information is needed in order to be considered complete. Please return a copy of this form with your amended submission(s).

Please provide the required data, as indicated (x):

☐ Coverage Dates ☐ omitted or ☐ incorrect

☐ Signature ☐ omitted or ☐ incorrect

☒ Summary Page Line(s) 6, 8, 10, 13 Column(s) B Totals ☐ omitted or ☒ incorrect

☐ Detailed Summary (Page 2) Line(s) ☐ Column(s) ☐ Totals ☐ omitted or ☐ incorrect

☒ Schedule Totals ☐ disagree with Detailed Summary (Page 2) or ☐ omitted

☐ Date(s) ☐ omitted or ☐ inadequate for Schedule(s) ☐ Line(s) ☐

☐ Full Name(s) Omitted for Schedule(s) ☐ Line(s) ☐

☐ Mailing Address(es) ☐ omitted or ☐ inadequate for Schedule(s) ☐ Line(s) ☐

☐ Occupational Descriptions ☐ omitted or ☐ inadequate for Schedule(s) ☐ Line(s) ☐

☐ Principal Place(s) of Business ☐ omitted or ☐ inadequate for Schedule(s) ☐ Line(s) ☐

☐ Aggregate Year-to-date Totals ☐ omitted or ☐ inadequate for Schedule(s) ☐ Line(s) ☐

☐ Nature or Purpose of Expenditure ☐ omitted or ☐ inadequate for Schedule(s) ☐ Line(s) ☐

☐ Nature or Purpose of Receipt ☐ omitted or ☐ inadequate for Schedule(s) ☐ Line(s) ☐

☐ Inadequate Description of ☐ proceeds ☐ dates ☐ events ☐ location of Schedule ☐

☒ Other: Section 104.8(a) of the FEC Regulations states that debts and obligations

which remain outstanding after the election shall be continuously reported (See page 2)

Your initial submission(s), together with this request for additional information, has been made available for public inspection. The Commission urges you to file the additional submission(s) promptly to the above address. If you have any questions regarding this request, please call the Reports Analysis Division toll free at (800) 424-9530. The local Washington, D.C. telephone numbers are (202) 523-4048 (Senate, Non-Party), 523-4172 (House) or 523-1474 (Party).

Senate filers should file their submission(s) with the Secretary of the Senate, Office of Public Records, 119 D St., N.E., Washington, D.C. 20510. House filers should file their submission(s) with the Clerk of the House, Office of Records and Registration, 1036 Longworth House Office Building, Washington, D.C. 20515.

JOHN S. CONNOLLY FOR U.S. SENATE
REQUEST FOR ADDITIONAL INFORMATION

PAGE TWO

(Continued from page one)

until extinguished. These debts and obligations shall be reported on separate schedules together with a statement explaining the circumstances and conditions under which each debt and obligation was incurred or extinguished. In the case where the debt or obligation is a loan owed by the committee, the nature of the obligation must be provided which includes the duration of the debt, interest, interest rate, source, whether or not secured, terms of repayment, and where applicable, collateral and terms of extension. Please provide an amended Schedule C to reflect the status of all debts and obligations previously reported.

Please be advised that the total of the receipts from mass collections on Schedule D should be entered as a Memo entry on Line 14(c) of the Detailed Summary page. In addition, any receipt in excess of \$100 must be itemized on a separate Schedule A and included in the total of itemized receipts on Line 14(a) of the Detailed Summary Schedule. Receipts of less than \$100 must be included in the total of unitemized receipts on Line 14(b) of the Detailed Summary page. Please amend your report to reflect this activity.

3204033225
78030384015

TO: JOHN B. CONNOLLY FOR U.S. SENATE/ MNDATE: October 11, 1978I.D. NO.: 000082032

REQUEST FOR ADDITIONAL INFORMATION FOR THE 10 Day Pre-Primary REPORT OF RECEIPTS
 AND EXPENDITURES COVERING THE PERIOD 7/1/78 THROUGH 8/28/78,
 PURSUANT TO THE FEDERAL ELECTION CAMPAIGN ACT OF 1971, AS AMENDED.

A review of the report indicates that additional information is needed in order to be considered complete. Please return a copy of this form with your amended submission(s).

Please provide the required data, as indicated (x):

 Coverage Dates omitted or incorrect

 Signature omitted or incorrect

X Summary Page Line(s) 6, 8a, 9 Column(s) B Totals omitted or X incorrect

 Detailed Summary (Page 2) Line(s) Column(s) Totals omitted or incorrect

16 Schedule Totals disagree with Detailed Summary (Page 2) or omitted

20 Date(s) omitted or inadequate for Schedule(s) Line(s)

24 Full Name(s) Omitted for Schedule(s) Line(s)

28 Mailing Address(es) omitted or inadequate for Schedule(s) Line(s)

32 Occupational Descriptions omitted or inadequate for Schedule(s) Line(s)

36 Principal Place(s) of Business omitted or inadequate for Schedule(s) Line(s)

40 Aggregate Year-to-date Totals omitted or inadequate for Schedule(s) Line(s)

44 Nature or Purpose of Expenditure omitted or inadequate for Schedule(s) Line(s)

48 Nature or Purpose of Receipt omitted or inadequate for Schedule(s) Line(s)

52 Inadequate Description of proceeds dates events location of Schedule

X Other: Please be advised that all transfers in from committees should be reported on
Line 15(b) of the Detailed Summary Schedule. (See page two)

Your initial submission(s), together with this request for additional information, has been made available for public inspection. The Commission urges you to file the additional submission(s) promptly to the above address. If you have any questions regarding this request, please call the Reports Analysis Division toll free at (800) 424-9530. The local Washington, D.C. telephone numbers are (202) 523-4048 (Senate, Non-Party), 523-4172 (House) or 523-1474 (Party).

Senate filers should file their submission(s) with the Secretary of the Senate, Office of Public Records, 119 D St., N.E., Washington, D.C. 20510. House filers should file their submission(s) with the Clerk of the House, Office of Records and Registration, 1036 Longworth House Office Building, Washington, D.C. 20515.

JOHN S. CONNOLLY FOR U.S. SENATE

REQUEST FOR ADDITIONAL INFORMATION

PAGE TWO

(Continued from page one)

Section 104.8(a) of the FEC Regulations states that debts and obligations which remain outstanding after the election shall be continuously reported until extinguished. These debts and obligations shall be reported on separate schedules together with a statement explaining the circumstances and conditions under which each debt and obligation was incurred or extinguished. In the case where the debt or obligation is a loan owed by the committee, the nature of the obligation must be provided which includes the duration of the debt, interest, interest rate, source, whether or not secured, terms of repayment, and where applicable, collateral and terms of extension. Please provide an amended Schedule C to reflect the status of all debts and obligations previously reported.

62040332227
78030884017

RE: TELECON 612 221-1947

FROM: Susan Heber to office of Kenneth Grund

DATE: 10/24/79, 10/29/79, 10/31/79, and 11/2/79

NAME OF COMMITTEE: John S. Connolly for Senate Committee/ MN

On the dates listed above, I called the office of the treasurer, Kenneth Grund, to inform him of the failure to respond to the RFAI's for the July 10, 10 Day Pre-Primary, October 10 and Year End reports. On all occasions, the secretary informed me that he would return my call. To the best of my knowledge, the calls were never returned.

82040332228



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

Mr. Kenneth Grund
John S. Connolly for U.S. Senate
208 McColl Building
Saint Paul, MN 55101

January 9, 1979

Dear Mr. Grund:

On October 11, 1978, you were notified that the July 10 Quarterly and 10 Day Pre-Primary Reports of Receipts and Expenditures filed by your committee omitted certain required information.

As of this date, however, we have received no response from you. Your failure to amend your reports gives the Commission reason to believe that you are in violation of 2 U.S.C. 434.

The Commission will take no action against you until you have had a reasonable opportunity to respond to this letter. This response should be mailed to the Commission within fifteen (15) days from the date of this letter.

If you have responded to the above or you require additional information, please contact Susan Weber, our Reports Analyst assigned to you on our toll free number (800)424-9530. Our local number is 523-4048.

Sincerely,

John W. McGee
Commissioner

Certified Mail:
Return Receipt Requested

320403322229



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

17 AUG 1979

Mr. Kenneth D. Grund, Treasurer
John S. Connolly for U.S. Senate
208 McColl Building
St. Paul, MN 55101

Dear Mr. Grund:

This letter is prompted by our interest in assisting Senate candidates and committees who wish to comply with the Federal Election Campaign Act.

During review of the October 10 & Year End Report of Receipts and Expenditures, we noted that you omitted certain information or made apparent mathematical errors in certain entries. Attached is an itemization of the information requested.

While we recognize the difficulties you may have experienced in filling out the reporting forms, we must ask that you supply the Secretary of the Senate, Office of Public Records, 119 D Street, N.E., Washington, D.C. 20510, with the missing information within fifteen (15) days from the date of this letter. If you have any questions, please do not hesitate to contact Susan Weber in our Reports Analysis Division on the toll free number (800)424-9530. Our local number is (202)523-4048.

Sincerely,

Orlando B. Potter

Orlando B. Potter
Staff Director

Attachment
FEC Form 12

3 2 0 4 0 3 3 3 2 9 3 8

✓

FEDERAL ELECTION COMMISSION
1325 K Street, N.W.
Washington, D.C. 20463

SM/S

TO: John S. Connolly for U.S. Senate/ MN

DATE: 17 AUG 1979

I.D. NO.: C00082032

REQUEST FOR ADDITIONAL INFORMATION FOR THE October 10 Quarterly REPORT OF RECEIPTS
AND EXPENDITURES COVERING THE PERIOD 8/29/78 THROUGH 9/30/78.
PURSUANT TO THE FEDERAL ELECTION CAMPAIGN ACT OF 1971, AS AMENDED.

A review of the report indicates that additional information is needed in order to be considered complete. Please return a copy of this form with your amended submission(s).

Please provide the required data, as indicated (x):

 Coverage Dates: omitted or incorrect

X Summary Page Line(s): 6, 9, 13 Column(s): B Totals: omitted or X incorrect

X Detailed Summary (Page 2) Line(s): 25 Column(s): B Totals: omitted or X incorrect

Schedule Totals: disagree with Detailed Summary (Page 2) or omitted

Date(s): omitted or inadequate for Schedule(s) Line(s)

Full Name(s)/Mailing Address(es): omitted or inadequate for Schedule(s) Line(s)

Occupation/Principal Place(s) of Business: omitted or inadequate for Schedule(s) Line(s)

Election Designation: omitted or inadequate for Schedule(s) Line(s)

X Aggregate Year-to-Date Totals: X omitted or inadequate for Schedule(s) A Line(s) 14a & 17a

Nature or Purpose of Expenditure: omitted or inadequate for Schedule(s) Line(s)

Nature or Purpose of Receipt: omitted or inadequate for Schedule(s) Line(s)

Inadequate Description of: proceeds dates events location of Schedule

Signature: omitted inadequate

11 CFR 104.12(a) requires each person having the responsibility to file a report to sign the original report. Please resubmit a signed copy of your report.

X Other: Records at the Commission indicate that your committee has received a total
of \$112371 in loans to date in addition to other debts incurred (See page two)

Your initial submission(s), together with this request for additional information, has been made available for public inspection. The Commission urges you to file the additional submission(s) promptly to the above address. If you have any questions regarding this request, please call the Reports Analysis Division toll free at (800) 424-9530. The local Washington, D.C. telephone numbers are (202) 523-4048 (Senate, Non-Party), 523-4172 (House) or 523-1474 (Party).

Senate filers should file their submission(s) with the Secretary of the Senate, Office of Public Records, 119 D St., N.E., Washington, D.C. 20510. House filers should file their submission(s) with the Clerk of the House, Office of Records and Registration, 1036 Longworth House Office Building, Washington, D.C. 20515.

17 AUG 1979

John S. Connolly for U.S. Senate

Request for Additional Information

Page Two

October 10 Quarterly

No repayment has been shown on either Schedule B, or Schedule C. However, your report indicates \$89,702.99 in debts owed by the committee. Please explain the discrepancy in the amount of debts outstanding.

Section 104.8(a) of the FEC Regulations states that debts and obligations which remain outstanding after the election shall be continuously reported until extinguished. These debts and obligations shall be reported on separate schedules together with a statement explaining the circumstances and conditions under which each debt and obligation was incurred or extinguished. In the case where the debt is a loan owed by the committee, the nature of the obligation must be provided which includes the duration of the debt, interest, interest rate, source, whether or not secured, terms of repayment, and where applicable, collateral and terms of extension. Please provide an amended Schedule C to reflect the status of all debts and obligations previously reported, along with the details of all the debts.

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FEDERAL ELECTION COMMISSION
1325 K Street, N.W.
Washington, D.C. 20463

SW/5

17 AUG 1979

TO: John S. Connolly for U.S. Senate/ NH

DATE: _____

I.D. NO.: C00082032

REQUEST FOR ADDITIONAL INFORMATION FOR THE Year End _____ REPORT OF RECEIPTS
AND EXPENDITURES COVERING THE PERIOD 10/1/78 THROUGH 12/31/78
PURSUANT TO THE FEDERAL ELECTION CAMPAIGN ACT OF 1971, AS AMENDED.

A review of the report indicates that additional information is needed in order to be considered complete. Please return a copy of this form with your amended submission(s).

Please provide the required data, as indicated (x):

___ Coverage Dates: ___ omitted or ___ incorrect

X Summary Page Line(s) 6,9 Column(s): B Totals: ___ omitted or X incorrect Line 13 wrong

4 X Detailed Summary (Page 2) Line(s): 25 Column(s): B Totals: ___ omitted or X incorrect

13 Schedule Totals: ___ disagree with Detailed Summary (Page 2) or ___ omitted

8 Date(s): ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

2 Full Name(s)/Mailing Address(es): ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

4 Occupation/Principal Place(s) of Business: ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

3 Election Designation: ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

1 Aggregate Year-to-Date Totals: ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

3 Nature or Purpose of Expenditure: ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

9 Nature or Purpose of Receipt: ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

2 Inadequate Description of: ___ proceeds ___ dates ___ events ___ location of Schedule

7 Signature: ___ omitted ___ inadequate

11 CFR 104.12(a) requires each person having the responsibility to file a report to sign the original report. Please resubmit a signed copy of your report.

X Other: Line 15a of the Detailed Summary Page shows \$444.20 in transfers from
Political Committees. However, it is not itemized on Schedule A. Please
be advised that all transfers must be itemized on Schedule A, (See page two)

Your initial submission(s), together with this request for additional information, has been made available for public inspection. The Commission urges you to file the additional submission(s) promptly to the above address. If you have any questions regarding this request, please call the Reports Analysis Division toll free at (800) 424-9530. The local Washington, D.C. telephone numbers are (202) 523-4048 (Senate, Non-Party), 523-4172 (House) or 523-1474 (Party).

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17 AUG 1979

John S. Connolly for U.S. Senate

Request for Additional Information

Page Two

Year End

regardless of the amount. Please provide a Schedule A to reflect this transfer.

Please be advised that 2 U.S.C. 434(b)(12) requires the continuous reporting of debts and obligations until such debts are extinguished. Please provide an amended Schedule C, reflecting the status of all debts and obligations previously reported.

729043133520336

REPORTS ANALYSIS REFERRAL SHEET

DATE 1-1-80 ANALYST Susan Heber fw
TO: OGC TEAM CHIEF Peter Kelly, Jr. OGC
THROUGH: STAFF DIRECTOR J.P. COMPLIANCE REVIEW CO/RS
FROM: ASSISTANT STAFF DIRECTOR FOR REPORTS ANALYSIS J.H.

CANDIDATE/COMMITTEE: John S. Connolly for U.S. Senate Committee
TREASURER: Kenneth D. Grund
ADDRESS: 100 McColl Building
St. Paul, Minnesota 55101
AFFILIATE(S): None

ALLEGATION(S): Failure to continuously report debts properly
CITE: 2 U.S.C. 434(b)(12)
Failure to provide nature of debts owed to candidate 104.2(b)(11)
DATE INITIATED: 10/6/78 ATTACHMENT(S) 2

MANNER IN WHICH REVIEW WAS INITIATED:
☒ Normal Review ☐ Other:
☐ Special Project: ATTACHMENT

REPORTS: All reports within the dates listed below have received initial basic review. For all reports reviewed, see Attachment 1.

PERIOD COVERED FROM 4/1/78 TO 8/28/78
TOTAL RECEIPTS \$ 70808 TOTAL EXPENDITURES \$ 60686
CASH ON HAND \$ 10123 DEBTS \$ 68525

HISTORY:

RESULTS OF REVIEW: ATTACHMENT
10/11/78- RFAI sent for July 10 report 3
10/11/78- RFAI sent for the 10 Day Pre-Primary report 4
1/9/79 - Second letter sent for the July 10 & 10 Day Pre-Primary 6
8/17/79 - RFAI sent for the October 10 report 7
8/17/79 - RFAI sent for the Year End report 8

COMMUNICATIONS WITH CANDIDATE/COMMITTEE: ATTACHMENT
Unsuccessful attempts to contact committee on 10/24/79, 10/29/79, 10/31/79, and 11/2/79 5

REASON(S) FOR REFERRAL: ATTACHMENT
No response to RTB for the July 10 & 10 Day Pre-Primary reports
No response to the RFAI's for the October 10 and Year End reports

OTHER PENDING ACTIONS INITIATED BY RAD: ATTACHMENT

OTHER RELEVANT INFORMATION: ATTACHMENT

PREVIOUS OGC/AUDIT REFERRALS FROM RAD:

REPORTS ANALYSIS REFERRAL SHEET

DATE 1-7-80 ANALYST Susan Weber pw
TO: OGC TEAM CHIEF Peter Kell, Jr. JKS
THROUGH: STAFF DIRECTOR J.P. COMPLIANCE REVIEW CR
FROM: ASSISTANT STAFF DIRECTOR FOR REPORTS ANALYSIS J.H.

CANDIDATE/COMMITTEE: John S. Connolly for U.S. Senate Committee
TREASURER: Kenneth D. Grund
ADDRESS: 100 McColl Building
St. Paul, Minnesota 55101
AFFILIATE(S): None

MUR 1133

ALLEGATION(S): Failure to continuously report debts properly
CITE: 2 U.S.C. 434(b)(12)
Failure to provide nature of debts owed to candidate 104.2(b)(11)
DATE INITIATED: 10/6/78
MANNER IN WHICH REVIEW WAS INITIATED:
☒ Normal Review ☐ Other:
☐ Special Project:

ATTACHMENT(S)

2

ATTACHMENT

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Unsuccessful attempts to contact committee on
10/24/79, 10/29/79, 10/31/79, and 11/2/79

ATTACHMENT

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ATTACHMENT

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REASON(S) FOR REFERRAL:
No response to RTB for the July 10 & 10 Day Pre-Primary reports
No response to the RFAI's for the October 10 and Year End reports

ATTACHMENT

OTHER PENDING ACTIONS INITIATED BY RAD:

ATTACHMENT

OTHER RELEVANT INFORMATION:

ATTACHMENT

PREVIOUS OGC/AUDIT REFERRALS FROM RAD:

FEDERAL ELECTION COMMISSION
COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)

DATE 7NOV79

PAGE 1

SENATE

COMMITTEE	DOCUMENT	RECEIPTS		EXPENDITURES		COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
		PRIMARY	GENERAL	PRIMARY	GENERAL			
CONNOLLY FOR U.S. SENATE COMMITTEE								
1977	STATEMENT OF ORGANIZATION					31OCT77	5	ID# C00002032
	STATEMENT OF ORGANIZATION- AMENDMENT					5DEC77	3	77SEN/005/0916
1978	STATEMENT OF ORGANIZATION- AMENDMENT					17JUL78	3	78SEN/005/1033
	48 HOUR CONTRIBUTION NOTICE					7SEP78	2	78SEN/009/2021
	48 HOUR CONTRIBUTION NOTICE					18SEP78	2	78SEN/010/3953
	48 HOUR CONTRIBUTION NOTICE					7SEP78	3	78SEN/011/2172
	48 HOUR CONTRIBUTION NOTICE					11SEP78	2	78SEN/011/3369
	STATEMENT OF ORGANIZATION- AMENDMENT					12SEP78	4	78SEN/011/4604
	48 HOUR CONTRIBUTION NOTICE					12SEP78	2	78SEN/011/4604
	48 HOUR CONTRIBUTION NOTICE					14SEP78	2	78SEN/012/0004
	48 HOUR CONTRIBUTION NOTICE					18SEP78	3	78SEN/012/0150
✓1977	OCTOBER 10 QUARTERLY	0		0		27SEP77 -30SEP77	3	78SEN/002/0404
✓	YEAR END REPORT	0		0		27SEP77 -31DEC77	9	78SEN/002/0407
	REQUEST FOR ADDITIONAL INFORMATION					1OCT77 -31DEC77	2	78FEC/076/0219
✓	YEAR END REPORT - AMENDMENT	10,773		10,724		1OCT77 -31DEC77	12	78SEN/005/0546
✓	YEAR END REPORT - AMENDMENT	10,773		10,724		1OCT77 -31DEC77	6	78SEN/009/2155
✓1978	APRIL 10 QUARTERLY	14,321		14,327		1JAN78 -31MAR78	18	78SEN/003/4304
✓	APRIL 10 QUARTERLY - AMENDMENT	14,321		14,367		1JAN78 -31MAR78	26	78SEN/005/0566
	REQUEST FOR ADDITIONAL INFORMATION					1JAN78 -31MAR78	4	78FEC/076/0221
✓	APRIL 10 QUARTERLY - AMENDMENT	14,321		14,367		1JAN78 -31MAR78	27	78SEN/009/2161
✓	10 DAY PRE-PRIMARY	43,054		52,944		1JUL78 -28AUG78	15	78SEN/011/0497
	REQUEST FOR ADDITIONAL INFORMATION					1JUL78 -28AUG78	3	78FEC/000/4016
✓	JULY 10 QUARTERLY	7,752		7,742		1APR78 -30JUN78	15	78SEN/009/1272
	REQUEST FOR ADDITIONAL INFORMATION					1APR78 -30JUN78	3	78FEC/000/4013
✓	OCTOBER 10 QUARTERLY	20,955		31,057		29AUG78 -30SEP78	22	78SEN/014/3071
	REQUEST FOR ADDITIONAL INFORMATION					29AUG78 -30SEP78	4	78FEC/125/0030
✓	YEAR END REPORT	745		444		1OCT78 -31DEC78	14	78SEN/001/2725
	REQUEST FOR ADDITIONAL INFORMATION					1OCT78 -31DEC78	3	78FEC/125/0030
	TOTAL	117,402	0	117,270	0		217	TOTAL PAGES

EXIT

.K

When info case PPN

ALLEGATIONS

The John S. Connolly for U.S. Senate Committee does not disclose its debts in a continuous manner as prescribed by law. The following is a brief synopsis of the problem.

None of the debts disclosed on the April 10 report were carried over to the subsequent July 10 report nor included in the total amount of debts owed by the committee. Most of the debts from the 10 Day Pre-Primary report were carried over to the subsequent reports. However, the loans and debts listed on the April 10 report never reappeared on Schedule C nor were ever reported as expenditures on Schedule B. The majority of these debts were loans from the candidate to the committee. The following are the summary pages and Schedule Cs for the April 10 report and the subsequent July 10 report.

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REPORT OF RECEIPTS AND EXPENDITURES
FOR A CANDIDATE OR COMMITTEE
SUPPORTING CANDIDATE(S) FOR
NOMINATION OR ELECTION TO FEDERAL OFFICE

(Except for Candidates or Committees Receiving Federal Matching Funds)

RECEIVED
SECRETARY OF THE SENATE

78 MAY -8 AM 10 19

DELIVERED ☐

Notes: Committees authorized by a candidate to receive contributions and make expenditures in connection with more than one election must maintain separate records with respect to each election.

1 John S. Connolly for US Senate Vol. Committee

Name of Candidate or Committee (in full)

308 Mc Coll Building

Address (number and street)

Saint Paul, Minnesota 55101

City, State and ZIP Code

☐ Check if address is different than previously reported.

Year of Election 1978

2 I.D. No.

S2422

Candidate/Committee

3 U.S. Senate

Office Sought, State/District (if applicable)

Type of Report (check appropriate boxes)

☒ April 10 Quarterly Report

☐ Tenth day report preceding _____ election
(primary, general or convention)

☐ Termination Report

☐ July 10 Quarterly Report

on _____ in the State of _____
(date)

☒ Amendment for:

☐ October 10 Quarterly Report

☐ Thirtieth day report following _____ election
(primary, general or convention)

April 10, 1978
(which report)

☐ January 31 Annual Report

☐ Monthly Report

(month)

on _____ in the State of _____
(date)

This is a report for

☒ Primary Election

☐ General Election

☐ Primary and General

☐ Other (specify runoff, etc.)

SUMMARY OF RECEIPTS AND EXPENDITURES

(Figures may be rounded to nearest dollar.)

5 Covering Period	Column A This Period	Column B Calendar Year-To-Date
<u>January 1</u> Through <u>March 31, 1978</u>		
6 Cash on hand January 1, 19		\$ <u>48.62</u>
7 Cash on hand at beginning of reporting period	\$ <u>48.62</u>	
8 Total receipts (from line 19)	\$ <u>14321.00</u>	\$ <u>14,321.00</u>
(a) Subtotal (Add lines 7 and 8 for Column A and lines 6 and 8 for Column B)	\$ <u>14369.62</u>	\$ <u>14,369.62</u>
9 Total expenditures (from line 25)	\$ <u>14,367.37</u>	\$ <u>14,367.67</u>
10 Cash on hand at close of reporting period (Subtract line 9 from line 8a)	\$ <u>2.25</u>	\$ <u>2.25</u>
11 Value of contributed items on hand to be liquidated (Attach itemized list)		
12 Debts and obligations owed to the Committee/Candidate (itemize all on Schedule C)	\$	
13 Debts and obligations owed by the Committee/Candidate (itemize all on Schedule C)	\$ <u>25,616.00</u>	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

2 May 1978
(Date)

MILTON A. DE JESUS - TREASURER
(Typed Name of Treasurer or Candidate)

Milton A. De Jesus
(Signature of Treasurer or Candidate)

Note: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. Section 537g, or Section 541 (see reverse side of form).

For further
information,
contact:

Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

or call 800-424-9520

Approved by GAO
B-187520 (90566)
Expires 3-31-81

All previous versions of FEC FORM 3 are obsolete and should no longer be used.

Any information reported herein may not be copied for sale or use by any person for purposes of soliciting contributions or for any commercial purpose.

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13
of FEC FORM 3

(Indicate Primary or General Election for each Entry)

APRIL 10 1978
Page 1 of 2 for
Line Number 13 FEC 3
(Use Separate Schedules for
each numbered line)

Name of Candidate and Committee in Full

John S. Connolly For U.S. Senate

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Beeson Travel Bureau, Inc. 2011 Eye Street, N.W. Washington, D.C. 20006	2/1/78	360.00	none	360.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Travel tickets, air fare from Mpls. to Washington D. C. in connection with fund raiser, for Mr. and Mrs Connolly.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Buds Auto Repair 542 Stryker Ave. SAint Paul, Minnesota 55107	1/31/78	27.90	none	27.90
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Minor auto repair on the hustings.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
The Hotel Leamington 1014 3rd Ave. South Minneapolis, Minnesota 55404	1/23/78 1/29/78	108.70 259.90	none	368.60
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Lodging and food for out of state campaign workers.

SUBTOTALS this period this page (optional)	\$	\$	\$ 756.50
TOTAL this period (last page this line number only)	\$	\$	\$

Carry outstanding balance only to appropriate line of summary.

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DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13
of FEC FORM 3

(Indicate Primary or General Election for each Entry)

APRIL 11 AMEND

Page 2 of 2 for

Line Number 13 FEC 3

(Use Separate Schedules for
each numbered line)

Name of Candidate and Committee in Full

John S. Connolly For U.S. Senate

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

Devlin Productions, Inc.
150 West 55th Street
New York, New York 10019

Date (month,
day, year)

1/24/78
2/13/78

Amount of Original
Debt, Contract,
Agreement or
Promise

671.93
238.02

Cumulative
Payment
To Date

none
none

Outstanding
Balance at
Close of
This Period

909.95

☒ Primary

☐ General

☐ Other

\$

\$

\$

NATURE OF OBLIGATION (Details of Debt):

Production of Television Ad.

PLEASE NOTE, THIS ITEM IS IN DISPUTE IN ITS ENTIRETY.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

Date (month,
day, year)

Amount of Original
Debt, Contract,
Agreement or
Promise

Cumulative
Payment
To Date

Outstanding
Balance at
Close of
This Period

☐ Primary

☐ General

☐ Other

\$

\$

\$

NATURE OF OBLIGATION (Details of Debt):

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

Date (month,
day, year)

Amount of Original
Debt, Contract,
Agreement or
Promise

Cumulative
Payment
To Date

Outstanding
Balance at
Close of
This Period

☐ Primary

☐ General

☐ Other

\$

\$

\$

NATURE OF OBLIGATION (Details of Debt):

SUBTOTALS this period this page (optional)

\$

\$

\$ 909.95

TOTAL this period (last page this line number only)

\$

\$

\$ 1666.45

Carry outstanding balance only to appropriate line of summary

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DEBTS AND OBLIGATIONS

**Supporting Line Numbers 12 and 13
 of FEC FORM 3**

(Indicate Primary or General Election for each Entry)

APRIL 11 AMEND

Page 1 of 4 for

Line Number _____

(Use Separate Schedules for
 each numbered line)

Name of Candidate and Committee in Full

JOHN S. CONNOLLY FOR US SENATE VOLUNTEER COMMITTEE

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll Building Saint Paul, Minnesota 55101	1/12/78	\$6,000.00	none	\$6,000.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to Campaign Committee.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll Building Saint Paul, Minnesota 55101	1/30/78	\$3,500.00	none	\$3,500.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to Campaign Committee.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly same as above	2/6/78	\$500.00	none	\$500.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

same as above.

SUBTOTALS this period this page (optional) \$ \$ \$

TOTAL this period (last page this line number only) \$ \$ \$

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**SCHEDULE C
REVISED**

January, 1978

Federal Election Commission

1325 K Street, N.W.

Washington, D.C. 20543

DEBTS AND OBLIGATIONS

**Supporting Line Numbers 12 and 13
of FEC FORM 3**

(Indicate Primary or General Election for each Entry)

APRIL 16 AMEND

Page 2 of 4 for

Line Number _____

(Use Separate Schedules for
each numbered line)

Name of Candidate and Committee in Full

JOHN S. CONNOLLY FOR US SENATE VOLUNTEER COMMITTEE

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll Building Saint Paul, Minnesota 55101	2/10/78	\$420.00	none	\$420.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to campaign committee.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly Same as above	1/10/78	\$600.00	none	\$600.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to campaign committee.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly Same as above	1/11/78	\$150.00	none	\$150.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to campaign committee.

SUBTOTALS this period this page (optional)	\$	\$	\$
TOTAL this period (first page this line number only)	\$	\$	\$

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DEBTS AND OBLIGATIONS

**Supporting Line Numbers 12 and 13
of FEC FORM 3**

(Indicate Primary or General Election for each Entry)

APRIL 10 AM 10:15

Page 3 of 4 for

Line Number _____

(Use Separate Schedules for
each numbered line)

Name of Graduate and Committee in Full

JOHN S. CONNOLLY FOR US SENATE VOLUNTEER COMMITTEE

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll Building Saint Paul, Minnesota 55101	2/10/78	\$400.00	none	\$400.00
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt)

Loan to campaign committee

Full Name, Fulling Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly Same as above	2/14/78	\$1,200.00	none	\$1,200.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Deb:)

Loan to campaign committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly Same as above	3/27/78	\$85.00	none	\$85.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF DELICATION (Details of Dept.)

SUBTOTALS this period this page (optional)	\$	\$	\$
TOTAL this period (last page this line number only)	\$	\$	\$

Costs estimated only to approximate time of seminar.

DEBTS AND OBLIGATIONS

**Supporting Line Numbers 12 and 13
 of FEC FORM 3**

(Indicate Primary or General Election for each Entry)

April 16 Amend

Page 4 of 4 for

Line Number

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

JOHN S. CONNOLLY FOR US SENATE VOLUNTEER COMMITTEE

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll building Saint Paul, Minnesota 55101	1/6/78	\$100.00	none	\$100.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to campaign committee.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly Same as above	1/4/78	\$400.00	none	\$400.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to campaign committee.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly Same as above	3/29/78	\$25.00	none	\$25.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to campaign committee.

SUBTOTALS this period this page (optional)	\$	\$	\$
TOTAL this period (last page this line number only)	\$	\$	\$ 13,380

Carry over ending balance only to appropriate line of summary

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50

APRIL 10 AMEND

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13
of FEC FORM 3

Page 1 of 4

Line Number 13

(Use Separate Schedules for each numbered line)

(Indicate Primary or General Election for each Entry)

Name of Candidate and Committee in Full

John S. Connolly for U.S. Senate Volunteer Committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll Bldg. Saint Paul, Minnesota 55101	11/17/77	\$3,000.00	none	\$3,000.00
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to Campaign Committee.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll Bldg. Saint Paul, Minnesota 55101	11/25/77	\$2625.00	none	\$2625.00
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to Campaign Committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll Bldg. Saint Paul, Minnesota 55101	11/30/77	\$650.00	none	\$650.00
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to Campaign Committee

Subtotal (if this period this page optional)	\$	\$	\$ 6275
Total (if this period this page this line number only)	\$	\$	\$

3 2 8 4 0 2 3 0 2 5 2 0 4 5 6 8 5

APRIL 11 AMEND

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13
of FEC FORM 3

Page 2 of 4 to
Line Number 13

(Use Separate Schedules for
each numbered line)

(Indicate Primary or General Election for each Entry)

Name of Candidate or Committee in Full

John S. Connolly for U. S. Senate Volunteer Committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

John S. Connolly
100 Mc Coll Bldg.
Saint Paul, Minnesota 55101

Date (month,
day, year)

12/16/77

Amount of Original
Debt, Contract,
Agreement or
Promise

\$200.00

Cumulative
Payment
To Date

none

Outstanding
Balance at
Close of
This Period

\$200.00

Primary

General

Other

\$

\$

\$

NATURE OF OBLIGATION (Details of Debt):

Loan to Campaign Committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

John S. Connolly
100 Mc Coll Bldg.
Saint Paul, Minnesota 55151

Date (month,
day, year)

12/19/77

Amount of Original
Debt, Contract,
Agreement or
Promise

\$900.00

Cumulative
Payment
To Date

none

Outstanding
Balance at
Close of
This Period

\$900.00

Primary

General

Other

\$

\$

\$

NATURE OF OBLIGATION (Details of Debt):

Loan to Campaign Committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor

John S. Connolly
100 Mc Coll Bldg.
Saint Paul, Minnesota 55101

Date (month,
day, year)

12/27/77

Amount of Original
Debt, Contract,
Agreement or
Promise

\$400.00

Cumulative
Payment
To Date

none

Outstanding
Balance at
Close of
This Period

\$400.00

Primary

General

Other

\$

\$

\$

NATURE OF OBLIGATION (Details of Debt):

Loan to Campaign Committee.

SUBTOTAL (Sum of this page (optional))

\$

\$

\$ 1500.00

TOTAL (Sum of all pages (this line number only))

\$

\$

\$

DEBTS AND OBLIGATION

Supporting Line Numbers 12 and 13
of FEC FORM 3

(Indicate Primary or General Election for each Entry)

APRIL 03 AME No 4
Page 1 of 1
Line Number 13
(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

John S. Connolly for U.S. Senate Volunteer Committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll Bldg Saint Paul, Minnesota 55101	12/29/77	\$100.00	None	\$100.00
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to Campaign Committee.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll Bldg. Saint Paul, Minnesota 55101	12/23/77	\$550.00	none	\$550.00
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to campaign Committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
John S. Connolly 100 Mc Coll Bldg. Saint Paul, Minnesota 55101	12/13/77	\$600.00	none	\$600.00
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$

NATURE OF OBLIGATION (Details of Debt):

Loan to campaign Committee.

SUBTOTALS this period this page (optional)	\$	\$	\$ 1250
TOTAL this period (last page this line number only)	\$	\$	\$

(Do not include in totals - only to be added to totals of summary)

3 7 8 4 0 2 3 3 2 5 2 0 4 5 8 8 7

2000, 2001, 2002, 2003, 2004, 2005, 2006, 2007, 2008, 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, 2019, 2020, 2021, 2022, 2023, 2024, 2025, 2026, 2027, 2028, 2029, 2030, 2031, 2032, 2033, 2034, 2035, 2036, 2037, 2038, 2039, 2040, 2041, 2042, 2043, 2044, 2045, 2046, 2047, 2048, 2049, 2050, 2051, 2052, 2053, 2054, 2055, 2056, 2057, 2058, 2059, 2060, 2061, 2062, 2063, 2064, 2065, 2066, 2067, 2068, 2069, 2070, 2071, 2072, 2073, 2074, 2075, 2076, 2077, 2078, 2079, 2080, 2081, 2082, 2083, 2084, 2085, 2086, 2087, 2088, 2089, 2090, 2091, 2092, 2093, 2094, 2095, 2096, 2097, 2098, 2099, 2100, 2101, 2102, 2103, 2104, 2105, 2106, 2107, 2108, 2109, 2110, 2111, 2112, 2113, 2114, 2115, 2116, 2117, 2118, 2119, 2120, 2121, 2122, 2123, 2124, 2125, 2126, 2127, 2128, 2129, 2130, 2131, 2132, 2133, 2134, 2135, 2136, 2137, 2138, 2139, 2140, 2141, 2142, 2143, 2144, 2145, 2146, 2147, 2148, 2149, 2150, 2151, 2152, 2153, 2154, 2155, 2156, 2157, 2158, 2159, 2160, 2161, 2162, 2163, 2164, 2165, 2166, 2167, 2168, 2169, 2170, 2171, 2172, 2173, 2174, 2175, 2176, 2177, 2178, 2179, 2180, 2181, 2182, 2183, 2184, 2185, 2186, 2187, 2188, 2189, 2190, 2191, 2192, 2193, 2194, 2195, 2196, 2197, 2198, 2199, 2200, 2201, 2202, 2203, 2204, 2205, 2206, 2207, 2208, 2209, 2210, 2211, 2212, 2213, 2214, 2215, 2216, 2217, 2218, 2219, 2220, 2221, 2222, 2223, 2224, 2225, 2226, 2227, 2228, 2229, 2230, 2231, 2232, 2233, 2234, 2235, 2236, 2237, 2238, 2239, 2240, 2241, 2242, 2243, 2244, 2245, 2246, 2247, 2248, 2249, 2250, 2251, 2252, 2253, 2254, 2255, 2256, 2257, 2258, 2259, 2260, 2261, 2262, 2263, 2264, 2265, 2266, 2267, 2268, 2269, 2270, 2271, 2272, 2273, 2274, 2275, 2276, 2277, 2278, 2279, 2280, 2281, 2282, 2283, 2284, 2285, 2286, 2287, 2288, 2289, 2290, 2291, 2292, 2293, 2294, 2295, 2296, 2297, 2298, 2299, 2300, 2301, 2302, 2303, 2304, 2305, 2306, 2307, 2308, 2309, 2310, 2311, 2312, 2313, 2314, 2315, 2316, 2317, 2318, 2319, 2320, 2321, 2322, 2323, 2324, 2325, 2326, 2327, 2328, 2329, 2330, 2331, 2332, 2333, 2334, 2335, 2336, 2337, 2338, 2339, 2340, 2341, 2342, 2343, 2344, 2345, 2346, 2347, 2348, 2349, 2350, 2351, 2352, 2353, 2354, 2355, 2356, 2357, 2358, 2359, 2360, 2361, 2362, 2363, 2364, 2365, 2366, 2367, 2368, 2369, 2370, 2371, 2372, 2373, 2374, 2375, 2376, 2377, 2378, 2379, 2380, 2381, 2382, 2383, 2384, 2385, 2386, 2387, 2388, 2389, 2390, 2391, 2392, 2393, 2394, 2395, 2396, 2397, 2398, 2399, 2400, 2401, 2402, 2403, 2404, 2405, 2406, 2407, 2408, 2409, 2410, 2411, 2412, 2413, 2414, 2415, 2416, 2417, 2418, 2419, 2420, 2421, 2422, 2423, 2424, 2425, 2426, 2427, 2428, 2429, 2430, 2431, 2432, 2433, 2434, 2435, 2436, 2437, 2438, 2439, 2440, 2441, 2442, 2443, 2444, 2445, 2446, 2447, 2448, 2449, 2450, 2451, 2452, 2453, 2454, 2455, 2456, 2457, 2458, 2459, 2460, 2461, 2462, 2463, 2464, 2465, 2466, 2467, 2468, 2469, 2470, 2471, 2472, 2473, 2474, 2475, 2476, 2477, 2478, 2479, 2480, 2481, 2482, 2483, 2484, 2485, 2486, 2487, 2488, 2489, 2490, 2491, 2492, 2493, 2494, 2495, 2496, 2497, 2498, 2499, 2500, 2501, 2502, 2503, 2504, 2505, 2506, 2507, 2508, 2509, 2510, 2511, 2512, 2513, 2514, 2515, 2516, 2517, 2518, 2519, 2520, 2521, 2522, 2523, 2524, 2525, 2526, 2527, 2528, 2529, 2530, 2531, 2532, 2533, 2534, 2535, 2536, 2537, 2538, 2539, 2540, 2541, 2542, 2543, 2544, 2545, 2546, 2547, 2548, 2549, 2550, 2551, 2552, 2553, 2554, 2555, 2556, 2557, 2558, 2559, 2560, 2561, 2562, 2563, 2564, 2565, 2566, 2567, 2568, 2569, 2570, 2571, 2572, 2573, 2574, 2575, 2576, 2577, 2578, 2579, 2580, 2581, 2582, 2583, 2584, 2585, 2586, 2587, 2588, 2589, 2590, 2591, 2592, 2593, 2594, 2595, 2596, 2597, 2598, 2599, 2600, 2601, 2602, 2603, 2604, 2605, 2606, 2607, 2608, 2609, 2610, 2611, 2612, 2613, 2614, 2615, 2616, 2617, 2618, 2619, 2620, 2621, 2622, 2623, 2624, 2625, 2626, 2627, 2628, 2629, 2630, 2631, 2632, 2633, 2634, 2635, 2636, 2637, 2638, 2639, 2640, 2641, 2642, 2643, 2644, 2645, 2646, 2647, 2648, 2649, 2650, 2651, 2652, 2653, 2654, 2655, 2656, 2657, 2658, 2659, 2660, 2661, 2662, 2663, 2664, 2665, 2666, 2667, 2668, 2669, 2670, 2671, 2672, 2673, 2674, 2675, 2676, 2677, 2678, 2679, 2680, 2681, 26

FEC FORM 3
REVISED
January, 1978
Federal Election Commission
1376 N Street, N.W.
Washington, D.C. 20463

**REPORT OF RECEIPTS AND EXPENDITURES
FOR A CANDIDATE OR COMMITTEE
SUPPORTING CANDIDATE(S) FOR
NOMINATION OR ELECTION TO FEDERAL OFFICE**

(Except for Candidates or Committees Receiving Federal Matching Funds)

SECRET
JUL 14 1978
JUL 14 1978

JULY 10

Note: Committees authorized by a candidate to receive contributions and make expenditures in connection with more than one election must maintain separate records with respect to each election.

JOHN S. CONNOLLY FOR U.S. SENATE VOLUNTEER COMMITTEE

1 Name of Candidate or Committee (in full)
100 McColl Bldg. MN DEL C906
Address (number and street)
Saint Paul, Minnesota 55101
City, State and ZIP Code

2 I.D. No. **S 2422**
Candidate/Committee

3 **U.S. SENATE**
Office Sought, State/District (if applicable)

☒ Check if address is different than previously reported.

Year of Election **1978**

4 Type of Report (check appropriate boxes)

- ☐ April 10 Quarterly Report
- ☒ July 10 Quarterly Report
- ☐ October 10 Quarterly Report
- ☐ January 31 Annual Report
- ☐ Monthly Report (month) _____
- ☐ Tenth day report preceding _____ election (primary, general or convention)
- ☐ Twentieth day report following _____ election (primary, general or convention)
- ☐ Termination Report
- ☐ Amendment for: _____ (which report)

This is a report for ☐ Primary Election ☐ General Election ☐ Primary and General ☐ Other (specify, include, etc.) _____

SUMMARY OF RECEIPTS AND EXPENDITURES
(Figures may be rounded to nearest dollar.)

5 Covering Period <u>04/10/78</u> Through <u>06/30/78</u>	Column A This Period	Column B Calendar Year-To-Date
6 Cash on hand January 1, 78		\$
7 Cash on hand at beginning of reporting period	\$ 2.25	
8 Total receipts (from line 19)	\$ 7752.10	\$ 22,073.10
(a) Subtotal (Add lines 7 and 8 for Column A and lines 6 and 8 for Column B)	\$ 7754.35	\$ 22,123.97
9 Total expenditures (from line 25)	\$ 7742.68	\$ 22,110.05
10 Cash on hand at close of reporting period (Subtract line 9 from line 8a)	\$ 11.67	\$ 13.92
11 Total of all computed items on hand to be liquidated (Attach statement with)	\$	
12 Debts and obligations owed to the Committee Candidate (itemize on Schedule C)	\$	
13 Debts and obligations owed by the Committee Candidate (itemize on Schedule C)	\$ 7,200.00	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

7/14/78
Signature

Michael A. De Jesus
Typed Name of Treasurer or Candidate

Michael A. De Jesus
Typed Name of Treasurer or Candidate

Note: Submission of false, erroneous or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. Section 4373.

For further
information,
Contact:

**ADDITIONAL
INFORMATION
REQUESTED**

Federal Election Commission
Washington, D.C. 20463

or call 400-424-9530

Approved by CAO
R-157520 (R0506)
Expires 3/31/81

Previous versions of FEC FORM 3 are obsolete and should no longer be used.

This form is not to be used for solicitation or for any commercial purpose.

320420332232

2

JULY 10

U.S. HOUSE OF REPRESENTATIVES
JULY 10, 1978
U.S. HOUSE OF REPRESENTATIVES
1000 N. 3RD ST., N.W.
WASHINGTON, D.C. 20543

DEBTS AND OBLIGATIONS
Supporting Line Numbers 12 and 13
of FEC FORM 3

Page 1 of 2

Line Number 11

(Use Separate Schedules for each of following items)

(Indicate Primary or General Election for each Entry)

Name of Candidate and Committee in Full				
JOHN S. CONNOLLY FOR U.S. SENATE COMMITTEE				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
ALBERT O. UNISE 1144 Argyle St. Paul, Minnesota 55103	4/19/78	\$1,000.00	none	\$1,000.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$
NATURE OF OBLIGATION (Details of Debt)				
LOAN TO CAMPAIGN COMMITTEE				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
JAMES HARBING 731 Grandview St. Paul, Minnesota	4/19/78	\$1,000.00	none	\$1,000.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$
NATURE OF OBLIGATION (Details of Debt)				
LOAN TO CAMPAIGN COMMITTEE				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
JOHN J. KELLY 555 Farrel Street St. Paul, Minnesota	5/18/78	\$1,000.00	none	\$1,000.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		\$	\$	\$
NATURE OF OBLIGATION (Details of Debt)				
LOAN TO CAMPAIGN COMMITTEE				
SUBTOTALS (this period this page (optional))		\$1,000.00	\$ none	\$1,000.00
TOTAL (this period this page (this line number only))		\$	\$	\$

320403322251
73020001278



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

October 11, 1978

Mr. Kenneth Grund, Treasurer
John S. Connolly for U.S. Senate
208 McColl Building
St. Paul, MN 55101

Dear Mr. Grund:

This letter is prompted by our interest in assisting Senate candidates and committees who wish to comply with the Federal Election Campaign Act.

During review of the July 10 & 10 Day Pre-Prim Report of Receipts and Expenditures, we noted that you omitted certain information or made apparent mathematical errors in certain entries. Attached is an itemization of the information requested.

While we recognize the difficulties you may have experienced in filling out the reporting forms, we must ask that you supply the Secretary of the Senate, Office of Public Records, 119 D Street, N.E., Washington, D.C. 20510, with the missing information within fifteen (15) days from the date of this letter. If you have any questions, please do not hesitate to contact Susan Weber in our Reports Analysis Division on the toll free number (800)424-9530. Our local number is (202)523-4048.

Sincerely,

Orlando B. Potter
Staff Director

Attachment
FEC Form 12



JOHN S. CONNOLLY FOR U.S. SENATE/ MN

DATE: October 11, 1978

I.D. NO.: C00082032

REQUEST FOR ADDITIONAL INFORMATION FOR THE July 10 Quarterly REPORT OF RECEIPTS
AND EXPENDITURES COVERING THE PERIOD 4/1/78 THROUGH 6/30/78,
PURSUANT TO THE FEDERAL ELECTION CAMPAIGN ACT OF 1971, AS AMENDED.

A review of the report indicates that additional information is needed in order to be considered complete. Please return a copy of this form with your amended submission(s).

Please provide the required data, as indicated (x):

☐ Coverage Dates ☐ omitted or ☐ incorrect

☐ Signature ☐ omitted or ☐ incorrect

☒ Summary Page Line(s) 6, 8, 10, 13 ☐ Totals ☐ omitted or ☒ incorrect

☐ Detailed Summary (Page 2) Line(s) ☐ Column(s) ☐ Totals ☐ omitted or ☐ incorrect

☒ Schedule Totals ☐ disagree with Detailed Summary (Page 2) or ☐ omitted

☐ Date(s) ☐ omitted or ☐ inadequate for Schedule(s) ☐ Line(s) ☐

☐ Full Name(s) Omitted for Schedule(s) ☐ Line(s) ☐

☐ Mailing Address(es) ☐ omitted or ☐ inadequate for Schedule(s) ☐ Line(s) ☐

☐ Occupational Descriptions ☐ omitted or ☐ inadequate for Schedule(s) ☐ Line(s) ☐

☐ Principal Place(s) of Business ☐ omitted or ☐ inadequate for Schedule(s) ☐ Line(s) ☐

☐ Aggregate Year-to-date Totals ☐ omitted or ☐ inadequate for Schedule(s) ☐ Line(s) ☐

☐ Nature or Purpose of Expenditure ☐ omitted or ☐ inadequate for Schedule(s) ☐ Line(s) ☐

☐ Nature or Purpose of Receipt ☐ omitted or ☐ inadequate for Schedule(s) ☐ Line(s) ☐

☐ Inadequate Description of ☐ proceeds ☐ dates ☐ events ☐ location of Schedule ☐

☒ Other: Section 104.8(a) of the FEC Regulations states that debts and obligations

which remain outstanding after the election shall be continuously reported (See page 2)

Your initial submission(s), together with this request for additional information, has been made available for public inspection. The Commission urges you to file the additional submission(s) promptly to the above address. If you have any questions regarding this request, please call the Reports Analysis Division toll free at (800) 424-9530. The local Washington, D.C. telephone numbers are (202) 523-4048 (Senate, Non-Party), 523-4172 (House) or 523-1474 (Party).

Senate filers should file their submission(s) with the Secretary of the Senate, Office of Public Records, 119 D St., N.E., Washington, D.C. 20510. House filers should file their submission(s) with the Clerk of the House, Office of Records and Registration, 1036 Longworth House Office Building, Washington, D.C. 20515.

JOHN S. CONNOLLY FOR U.S. SENATE

REQUEST FOR ADDITIONAL INFORMATION

PAGE TWO

(Continued from page one)

until extinguished. These debts and obligations shall be reported on separate schedules together with a statement explaining the circumstances and conditions under which each debt and obligation was incurred or extinguished. In the case where the debt or obligation is a loan owed by the committee, the nature of the obligation must be provided which includes the duration of the debt, interest, interest rate, source, whether or not secured, terms of repayment, and where applicable, collateral and terms of extension. Please provide an amended Schedule C to reflect the status of all debts and obligations previously reported.

Please be advised that the total of the receipts from mass collections on Schedule D should be entered as a Memo entry on Line 14(c) of the Detailed Summary page. In addition, any receipt in excess of \$100 must be itemized on a separate Schedule A and included in the total of itemized receipts on Line 14(a) of the Detailed Summary Schedule. Receipts of less than \$100 must be included in the total of unitemized receipts on Line 14(b) of the Detailed Summary page. Please amend your report to reflect this activity.

32040332255
78030384015

TO: JOHN S. CONNOLLY FOR U.S. SENATE/ MN

DATE: October 11, 1978

I.D. NO.: 000082032

REQUEST FOR ADDITIONAL INFORMATION FOR THE 10 Day Pre-Primary REPORT OF RECEIPTS
 AND EXPENDITURES COVERING THE PERIOD 7/1/78 THROUGH 8/28/78
 PURSUANT TO THE FEDERAL ELECTION CAMPAIGN ACT OF 1971, AS AMENDED.

A review of the report indicates that additional information is needed in order to be considered complete. Please return a copy of this form with your amended submission(s).

Please provide the required data, as indicated (x):

___ Coverage Dates ___ omitted or ___ incorrect

___ Signature ___ omitted or ___ incorrect

X Summary Page Line(s) 6, 8a, 9 Column(s) B Totals ___ omitted or X incorrect

___ Detailed Summary (Page 2) Line(s) ___ Column(s) ___ Totals ___ omitted or ___ incorrect

6 Schedule Totals ___ disagree with Detailed Summary (Page 2) or ___ omitted

5 Date(s) ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

2 Full Name(s) Omitted for Schedule(s) ___ Line(s) ___

2 Mailing Address(es) ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

3 Occupational Descriptions ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

3 Principal Place(s) of Business ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

0 Aggregate Year-to-date Totals ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

4 Nature or Purpose of Expenditure ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

2 Nature or Purpose of Receipt ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

3 Inadequate Description of ___ proceeds ___ dates ___ events ___ location of Schedule ___

X Other: Please be advised that all transfers in from committees should be reported on
 Line 15(b) of the Detailed Summary Schedule. (See page two)

Your initial submission(s), together with this request for additional information, has been made available for public inspection. The Commission urges you to file the additional submission(s) promptly to the above address. If you have any questions regarding this request, please call the Reports Analysis Division toll free at (800) 424-9530. The local Washington, D.C. telephone numbers are (202) 523-4048 (Senate, Non-Party), 523-4172 (House) or 523-1474 (Party).

Senate filers should file their submission(s) with the Secretary of the Senate, Office of Public Records, 119 D St., N.E., Washington, D.C. 20510. House filers should file their submission(s) with the Clerk of the House, Office of Records and Registration, 1036 Longworth House Office Building, Washington, D.C. 20515.

JOHN S. CONNOLLY FOR U.S. SENATE

REQUEST FOR ADDITIONAL INFORMATION

PAGE TWO

(Continued from page one)

Section 104.8(a) of the FEC Regulations states that debts and obligations which remain outstanding after the election shall be continuously reported until extinguished. These debts and obligations shall be reported on separate schedules together with a statement explaining the circumstances and conditions under which each debt and obligation was incurred or extinguished. In the case where the debt or obligation is a loan owed by the committee, the nature of the obligation must be provided which includes the duration of the debt, interest, interest rate, source, whether or not secured, terms of repayment, and where applicable, collateral and terms of extension. Please provide an amended Schedule C to reflect the status of all debts and obligations previously reported.

32040332257

78030884017

RE: TELECON 612 221-1547

FROM: Susan Heber to office of Kenneth Grund

DATE: 10/24/79, 10/29/79, 10/31/79, and 11/2/79

NAME OF COMMITTEE: John S. Connolly for Senate Committee/ MN

On the dates listed above, I called the office of the treasurer, Kenneth Grund, to inform him of the failure to respond to the RFAI's for the July 10, 10 Day Pre-Primary, October 10 and Year End reports. On all occasions, the secretary informed me that he would return my call. To the best of my knowledge, the calls were never returned.

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FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

Mr. Kenneth Grund
John S. Connolly for U.S. Senate
208 McColl Building
Saint Paul, MN 55101

January 9, 1979

Dear Mr. Grund:

On October 11, 1978, you were notified that the July 10 Quarterly and 10 Day Pre-Primary Reports of Receipts and Expenditures filed by your committee omitted certain required information.

As of this date, however, we have received no response from you. Your failure to amend your reports gives the Commission reason to believe that you are in violation of 2 U.S.C. 434.

The Commission will take no action against you until you have had a reasonable opportunity to respond to this letter. This response should be mailed to the Commission within fifteen (15) days from the date of this letter.

If you have responded to the above or you require additional information, please contact Susan Weber, our Reports Analyst assigned to you on our toll free number (800)424-9530. Our local number is 523-4048.

Sincerely,

John W. McGee
Commissioner

Certified Mail:
Return Receipt Requested

322040332259



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

17 AUG 1979

Mr. Kenneth D. Grund, Treasurer
John S. Connolly for U.S. Senate
208 McColl Building
St. Paul, MN 55101

Dear Mr. Grund:

This letter is prompted by our interest in assisting Senate candidates and committees who wish to comply with the Federal Election Campaign Act.

During review of the October 10 & Year End Report of Receipts and Expenditures, we noted that you omitted certain information or made apparent mathematical errors in certain entries. Attached is an itemization of the information requested.

While we recognize the difficulties you may have experienced in filling out the reporting forms, we must ask that you supply the Secretary of the Senate, Office of Public Records, 119 D Street, N.E., Washington, D.C. 20510, with the missing information within fifteen (15) days from the date of this letter. If you have any questions, please do not hesitate to contact Susan Weber in our Reports Analysis Division on the toll free number (800)424-9530. Our local number is (202)523-4048.

Sincerely,

A handwritten signature in cursive script, reading "Orlando B. Potter".

Orlando B. Potter
Staff Director

Attachment
FEC Form 12

7903133520833

11

FEDERAL ELECTION COMMISSION
1325 K Street, N.W.
Washington, D.C. 20463

SN/5

TO: John S. Connolly for U.S. Senate/ MN

DATE: 17 AUG 1979

I.D. NO.: C00082032

REQUEST FOR ADDITIONAL INFORMATION FOR THE October 10 Quarterly REPORT OF RECEIPTS
AND EXPENDITURES COVERING THE PERIOD 8/29/78 THROUGH 9/30/78.
PURSUANT TO THE FEDERAL ELECTION CAMPAIGN ACT OF 1971, AS AMENDED.

A review of the report indicates that additional information is needed in order to be considered complete. Please return a copy of this form with your amended submission(s).

Please provide the required data, as indicated (x):

___ Coverage Dates: ___ omitted or ___ incorrect

X Summary Page Line(s): 6, 9, 13 Column(s): B Totals: ___ omitted or X incorrect

X Detailed Summary (Page 2) Line(s): 25 Column(s): B Totals: ___ omitted or X incorrect

___ Schedule Totals: ___ disagree with Detailed Summary (Page 2) or ___ omitted

___ Date(s): ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

___ Full Name(s)/Mailing Address(es): ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

___ Occupation/Principal Place(s) of Business: ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

___ Election Designation: ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

X Aggregate Year-to-Date Totals: X omitted or ___ inadequate for Schedule(s) A Line(s) 14a & 17a

___ Nature or Purpose of Expenditure: ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

___ Nature or Purpose of Receipt: ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___

___ Inadequate Description of: ___ proceeds ___ dates ___ events ___ location of Schedule

___ Signature: ___ omitted ___ inadequate

11 CFR 104.12(a) requires each person having the responsibility to file a report to sign the original report. Please resubmit a signed copy of your report.

X Other: Records at the Commission indicate that your committee has received a total
of \$112371 in loans to date in addition to other debts incurred (See page two)

Your initial submission(s), together with this request for additional information, has been made available for public inspection. The Commission urges you to file the additional submission(s) promptly to the above address. If you have any questions regarding this request, please call the Reports Analysis Division toll free at (800) 424-9530. The local Washington, D.C. telephone numbers are (202) 523-4048 (Senate, Non-Party), 523-4172 (House) or 523-1474 (Party).

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SW/S

17 AUG 1979

John S. Connolly for U.S. Senate

Request for Additional Information

Page Two

October 10 Quarterly

No repayment has been shown on either Schedule B, or Schedule C. However, your report indicates \$89,702.99 in debts owed by the committee. Please explain the discrepancy in the amount of debts outstanding.

Section 104.8(a) of the FEC Regulations states that debts and obligations which remain outstanding after the election shall be continuously reported until extinguished. These debts and obligations shall be reported on separate schedules together with a statement explaining the circumstances and conditions under which each debt and obligation was incurred or extinguished. In the case where the debt is a loan owed by the committee, the nature of the obligation must be provided which includes the duration of the debt, interest, interest rate, source, whether or not secured, terms of repayment, and where applicable, collateral and terms of extension. Please provide an amended Schedule C to reflect the status of all debts and obligations previously reported, along with the details of all the debts.

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FEDERAL ELECTION COMMISSION
1325 K Street, N.W.
Washington, D.C. 20463

SW/S

17 AUG 1979

TO: John S. Connolly for U.S. Senate/ MN

DATE: _____

I.D. NO.: C00082032

REQUEST FOR ADDITIONAL INFORMATION FOR THE Year End 10/1/78 REPORT OF RECEIPTS
AND EXPENDITURES COVERING THE PERIOD 10/1/78 THROUGH 12/31/78,
PURSUANT TO THE FEDERAL ELECTION CAMPAIGN ACT OF 1971, AS AMENDED.

A review of the report indicates that additional information is needed in order to be considered complete. Please return a copy of this form with your amended submission(s).

Please provide the required data, as indicated (x):

 Coverage Dates: omitted or incorrect

X Summary Page Line(s) 6,9 Column(s): B Totals: omitted or X incorrect Line 13 wrong

X Detailed Summary (Page 2) Line(s): 25 Column(s): B Totals: omitted or X incorrect

Schedule Totals: disagree with Detailed Summary (Page 2) or omitted

Date(s): omitted or inadequate for Schedule(s) Line(s)

Full Name(s)/Mailing Address(es): omitted or inadequate for Schedule(s) Line(s)

Occupation/Principal Place(s) of Business: omitted or inadequate for Schedule(s) Line(s)

Election Designation: omitted or inadequate for Schedule(s) Line(s)

Aggregate Year-to-Date Totals: omitted or inadequate for Schedule(s) Line(s)

Nature or Purpose of Expenditure: omitted or inadequate for Schedule(s) Line(s)

Nature or Purpose of Receipt: omitted or inadequate for Schedule(s) Line(s)

Inadequate Description of: proceeds dates events location of Schedule

Signature: omitted inadequate

11 CFR 104.12(a) requires each person having the responsibility to file a report to sign the original report. Please resubmit a signed copy of your report.

X Other: Line 15a of the Detailed Summary Page shows \$444.20 in transfers from
Political Committees. However, it is not itemized on Schedule A. Please
be advised that all transfers must be itemized on Schedule A, (See page two)

Your initial submission(s), together with this request for additional information, has been made available for public inspection. The Commission urges you to file the additional submission(s) promptly to the above address. If you have any questions regarding this request, please call the Reports Analysis Division toll free at (800) 424-9530. The local Washington, D.C. telephone numbers are (202) 523-4048 (Senate, Non-Party), 523-4172 (House) or 523-1474 (Party).

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17 AUG 1979

John S. Connolly for U.S. Senate

Request for Additional Information

Page Two

Year End

regardless of the amount. Please provide a Schedule A to reflect this transfer.

Please be advised that 2 U.S.C. 434(b)(12) requires the continuous reporting of debts and obligations until such debts are extinguished. Please provide an amended Schedule C, reflecting the status of all debts and obligations previously reported.

79031335028346



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 1133

Date Filmed 7/1/82 Camera No. --- 2

Cameraman SPC

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